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Statistical evidence on distinct impacts of short- and long-time fluctuations of Indian Ocean surface wind fields on Indian summer monsoon rainfall during 1991–2014

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Abstract

This observational study mainly examines the impacts of short- and long-time fluctuations of surface wind fields over the Arabian Sea (AS), the Bay of Bengal (BoB), and the southern Indian Ocean (SIO) on Indian Summer Monsoon Rainfall (ISMR), with special reference to strong and weak Indian summer monsoons (ISM). Two datasets over 1991–2014 are used: (1) the daily gridded rainfall produced by India Meteorological Department (IMD), and (2) the Cross-Calibrated Multi-Platform (CCMP) wind product version 2.0 created by Remote Sensing Systems.

Monthly mean surface wind speed, convergence, and curl in the AS, BoB, and SIO are overall not significantly different between strong and weak ISMRs except for wind speed in the AS in September. However, the probability density function (PDF) distribution of daily values over the AS, BoB, and SIO during strong ISMRs is different from during weak ISMs, suggesting that sub-monthly surface wind characteristics could be useful in diagnosing rainfall characteristics. Except for rainfall in the northeast part of India, Indian regional rainfalls are closely linked with surface wind speeds over the AS, and wind convergence and curl over the BoB on short timescales of up to one week. The daily area-averaged wind convergence over the BoB is better correlated with regional rainfall during strong ISMs than during weak ISMRs. Multiple linear regression analysis shows that the fluctuations of monthly wind fields in the AS and BoB can affect monthly rainfall in some regions but are not related to a significant change in rainfall over the whole India. It is the short-time fluctuations of wind speed over the AS as well as wind convergence and curl over the BoB rather than their long (monthly) timescale fluctuations that are related to the strength of ISMR. Surface winds over the SIO on weather timescales have little influence on ISMR.

Keywords: Indian summer monsoon rainfall, CCMP surface winds, Indian regional rainfall

53 **1 Introduction**

54 Indian summer monsoons (ISMs) have a tremendous effect on the well-being of residents in India
55 and neighboring countries. The strength of ISMs is often measured by the All-India summer
56 rainfall index (AIRI) represented by the amount of Indian summer monsoon rainfall (ISMR)
57 seasonally (June–September, or JJAS) averaged across all of India (Parthasarathy et al. 1992).
58 ISMR has two erratic natures: 1) the “bursting” of a monsoon characterized by an abrupt change
59 in the mean daily rainfall (Allaby 2002) and 2) the “vagaries” of rainfall variability in time and
60 space in India (Parthasarathy et al. 1990; Kulkarni et al. 2006). As the mechanisms behind these
61 two features are not yet completely understood, ISMR is notoriously difficult to predict. This study
62 is an effort to understand the mechanisms controlling the strength of ISMR by focusing on the
63 investigation of how Indian Ocean surface winds on short and long timescales affect the strength
64 of ISMR. Several early studies (Verma and Kamte 1980; Joesph et al. 1981; Parthasarathy et al.
65 1991) indicated a good relationship between 200-hPa meridional winds in May and Indian
66 monsoon rainfall and further discussed its potential for predicting the Indian seasonal rainfall. The
67 interannual variations of ISMs have also been described in terms of several circulation indices in
68 terms of wind fields, including Webster and Yang monsoon Index (WYI; Webster and Yang 1992),
69 Monsoon Hadley Circulation Index (MHI; Goswami et al. 1999), convection index (CI; Wang and
70 Fan 1999), and a unified monsoon index defined by dynamical normalized seasonality (DNS; Li
71 and Zeng 2002). Thus, ISMR variations are associated with variations of high level (e.g., 200 hPa)
72 and low level (e.g., 850 hPa) wind fields. For example, Govardhan et al. (2017) suggested that the
73 meridional shear of 200-hPa winds between the subtropical westerly jet stream and tropical
74 easterly jet can cause a barotropic instability in a monsoon basic flow (to form synoptic disturbance)
75 that controls the revival of the summer monsoon subsequent to the break events. During the boreal
76 summer, ISM surface circulation is predominantly controlled by southwest winds in the northern
77 Indian Ocean. These winds, known as an atmospheric western boundary current, are strengthened
78 by the high terrain over eastern Africa. Therefore, it is believed that the “vagaries” of ISMR are
79 closely linked with the temporal and spatial variability of surface winds over the Indian Ocean.
80 This study focuses on the impacts of surface winds in the Indian Ocean on ISMR on short (sub-
81 monthly) and long (monthly) timescales. We will show that long timescale mean winds are a poor
82 diagnostic for rainfall characteristics, and that the distribution of winds based on shorter (daily)
83 timescales is a much better diagnostic.

84 Many basin-scale factors such as Indian Ocean dipole (IOD) and several global phenomena
85 including tropical intraseasonal oscillation (ISO), the El Niño Southern Oscillation (ENSO), the
86 Atlantic Multi-decadal Oscillation (AMO), the Pacific Decadal Oscillation (PDO), large-scale
87 land-surface processes (e.g., Eurasian snow cover), and the total solar irradiance (TSI) also
88 influence ISMR through modulating surface winds and the associated moisture on a wide range of
89 timescales. For example, many studies have suggested that the “bursting” and “vagaries” of ISMR
90 are connected with the “active (wet spells)” and “break (dry spells)” phases of an ISM and the
91 associated wind patterns can be greatly influenced by the tropical ISO (Yasunari 1979; Sikka and
92 Gadgil 1980; Lau and Chan 1986; Krishnamurthy and Shukla 2000). The impacts on ISMR from
93 these global phenomena are usually interwoven and complex. For example, the variability of ISMR
94 can be greatly influenced by ENSO on interannual timescales (Shukla 1987), although ENSO’s
95 impacts can be concealed or masked by other important factors such as IOD, ISO, PDO, and
96 Eurasian snow cover, leading to an unclear link between ENSO and ISMR. Eurasian snow cover
97 has been confirmed to play a critical role in subsequent ISMR (Blanford 1884; Dey and Bhanu
98 Kumar 1982, 1983; Dickson 1984; Vernekar et al. 1995). PDO usually affects ISMR on decadal
99 to interdecadal timescales (Malik et al. 2017, 2018) and the deficit (excess) rainfall in India is tied
100 to the warm (cold) phase of the PDO by changing Hadley circulation in the monsoon region
101 (Krishnamurthy and Krishnamurthy 2014). Venugopal et al. (2018) concluded that the ocean mean
102 temperature, representing the thermal energy available in the ocean, of the southwestern Indian
103 Ocean spanning from 10°S to 0° and 50°E to 70°E has a success rate of 80% in predicting stronger
104 or weaker than average ISMR compared to the existing atmospheric and oceanic indices.

105 As a low branch of monsoonal circulation, the temporal and spatial changes of the prevailing
106 southwest winds in the Indian Ocean have an essential impact on the strength of an ISMR in several
107 ways. First, these winds are the major force driving the underlying ocean circulation dynamically
108 and thermodynamically. For example, southwest winds produce eastward surface currents owing
109 to the Coriolis effect driving the upper ocean circulation and exchanging the upper water of
110 different temperatures between the western and eastern parts of the Indian Ocean. This
111 significantly changes the spatial distribution of the upper ocean temperature and sea surface
112 temperature patterns via oceanic dynamics and surface heat flux, causing a shift in the IOD and
113 ocean water evaporation (i.e., moisture in the air). The IOD, an irregular fluctuation in sea surface
114 temperature between western and eastern Indian Ocean, is closely linked with ISMR: positive

115 (negative) IOD is generally conducive to the strong (weak) ISMR (e.g., Ashok et al. 2001; Sreejith
116 et al. 2015). Additionally, the temporal and spatial variations of surface wind speed, convergence,
117 and curl in the Indian Ocean, particularly in the Arabian Sea (AS) and the Bay of Bengal (BoB)
118 are believed to be critically important to the transport of moisture (i.e., moisture-laden winds) to
119 the Indian subcontinent (Sadhuram and Ramesh Kumar 1988; Levine and Turner 2012). It is this
120 transport of moisture that fuels convection and storm cloud development over land, a precursor to
121 the formation of precipitation in India. Konwar et al. (2012) revealed that an increasing trend of
122 low-level wind speed and moisture content over the AS contributes to an increasing trend of
123 vertically integrated moisture transport over the AS, while a decreasing trend of low-level wind
124 speed and moisture content over the BoB contributes to a decreasing trend of vertically integrated
125 moisture transport over the BoB, leading to an east-west asymmetry of ISMR trend in the past
126 three decades. The water vapor flux over the southern Indian Ocean (SIO) crossing the equator is
127 also believed to be one of the main moisture sources for ISMR (Cadet and Greco 1987a, b), and
128 therefore the variations of winds over the SIO are also presumed to affect the strength of ISMR.
129 Since surface wind speeds along the Somali coast (SC), as part of the Somali Jet, are high and have
130 high variability (Findlater 1969), they can affect the SST distribution and drive winds over the AS
131 towards India at a greater pace and intensity. In addition, winds over the eastern Indian Ocean
132 (EIO) may be more important for IOD formation and consequently influence the ISM (Krishnan
133 and Swapna 2009). Here, wind features over the SC and EIO will also be analyzed and their
134 association with Indian rainfall will be compared to that over the AS and SIO, respectively.
135 Furthermore, land-sea breeze is an important process for precipitation in coastal regions. Thus, the
136 features of surface winds in time and space over the Indian Ocean are believed to substantially
137 affect the strength of ISMR.

138 That said, several basic but important issues regarding the links between ocean surface winds and
139 India's rainfall variability remain unresolved. These questions include, but are not limited to: (a)
140 Is the strength of ISMR determined by the strength of surface winds? If so, on what temporal scales
141 (e.g., daily, weekly, or monthly), at what locations and with what wind characteristics (wind speed,
142 convergence, and curl), can surface winds evidently influence and/or be strongly related to the
143 rainfall over entire India and/or regional rainfall? (b) Do these impacts differ during strong and
144 weak ISMRs? Answering these questions would greatly advance our understanding of ISMR. This
145 study is an attempt to answer these questions based on high-quality observations. To study the

146 links of the regional rainfall with winds, we choose the rainfall over the homogeneous rainfall
147 zones of India as regional rainfall. The India Meteorological Department (IMD) defines four
148 homogeneous rainfall zones to represent geographical distribution of regional Indian rainfall.
149 These four homogeneous rainfall zones are northwest India (NWI), northeast India (NEI), central
150 India (CI), and south peninsula (SPIN), shown in Fig. 2 of Zheng et al. (2016b)). In this study, we
151 simply call these four regions as the IMD regions. The IMD regions have been selected for analysis
152 in several studies (e.g., Pattanaik 2007a, b; Zheng et al. 2016a, b).

153 Zheng et al. (2016a) studied the monthly and regional rainfall contribution to the overall ISMR
154 and Zheng et al. (2016b) studied how the rainfall in different regions varies during strong and weak
155 ISMs. Saha et al. (2019) suggested that the internal variability of ISM caused by subseasonal
156 (synoptic and intraseasonal) fluctuations is partly predictable owing to its association with slowly
157 varying forcing such as ENSO, intimating the significance of short timescale fluctuations in the
158 strength of ISMR. In this study, we go further to study the impacts of short- and long-time
159 fluctuations of Indian Ocean surface winds on ISMR. We focus on investigating the characteristics
160 of the observed daily surface winds in each month of summer monsoon seasons (i.e., June through
161 September, JJAS) between strong and weak ISM years. Four surface wind fields—surface wind
162 vector, wind speed, wind convergence, and curl—over the Indian Ocean are examined. These four
163 wind fields are assumed to be relevant to rainfall variability over the Indian subcontinent.
164 Distinctive monthly wind features between strong and weak ISM years will be first identified,
165 whenever and wherever they exist. Implications of these distinctive surface winds with regard to
166 the rainfall variability in the IMD regions will also be discussed. It should be pointed out that
167 whether these distinctive surface wind features are related to basin-scale phenomena (such as IOD)
168 and global phenomena (such as ENSO, PDO, Eurasian snow cover, TSI) is generally focused on
169 interannual to interdecadal timescales, and these are beyond the scope of the present study,
170 partially owing to the short record of data used in this study as described in the methodology
171 section. A separate study should be conducted to address these issues.

172 The rest of this work is organized as follows. Section 2 briefly describes the methods and datasets.
173 The detailed results are presented in section 3. Discussion regarding the roles of monthly mean
174 surface wind fields over the AS, BoB, and SIO in the strength of ISMR is presented in section 4.
175 Section 5 concludes the paper and includes some caveats and perspectives for future study.

176 2 Methods and data

177 2.1 Methodology

178 In this study, the daily all-India rainfall (AIR) was obtained by area-averaging the IMD's daily
179 gridded ($1^\circ \times 1^\circ$) rainfall, and the ISMR for each year was then computed as the sum of daily AIR
180 from June 1 to September 30 (referred to as JJAS rainfall hereafter). The strength of an ISM,
181 represented by the magnitude of ISMR, is defined in the same way as in our previous study (Zheng
182 et al. 2016b): a strong (weak) ISM is when the ISMR is greater (smaller) than 110% (90%) of the
183 JJAS rainfall climatology computed over the period 1951–2014. This definition of a strong (weak)
184 ISM year is consistent with the definition of IMD “excess” (“deficient”) rainfall year when the
185 rainfall of the particular year is greater (smaller) than 10% of the long-term mean. Fig. 1 shows
186 the JJAS rainfall area averaged over all India and the four IMD regions during 1991–2014. There
187 are three strong ISM years (2005, 2007, and 2008), three weak (2000, 2002, and 2009) and 18
188 normal ISM years. It should be noted that weak ISMs in 2002 and 2009 are associated with El
189 Niño, ISMs in 2000 and 2007 are influenced by La Niña events and strong ISMs in 2005 and 2008
190 are not associated with any ENSO phenomena. Comparisons of all-India JJAS rainfall (Fig. 1a)
191 distribution with the distributions of regional rainfall in the four IMD regions (Fig. 1b–1e) suggest
192 that the total India JJAS rainfall is more associated with the rainfall in NWI and CI, consistent
193 with the results of our previous studies with a long record of rainfall data (Zheng et al. 2016a, b).

194 Observational features of monthly and seasonally mean rainfall spatial distribution in India during
195 strong and weak ISM years defined in the previous paragraph are first examined based on a
196 composite analysis. Whether monthly and seasonally mean surface wind fields over the Indian
197 Ocean are distinct between strong and weak ISMs is then investigated using a composite method.
198 A composite approach is used with the underlying assumption that the dominant features of the
199 samples behave in a similar manner and are governed by the same mechanisms. Of course, it is
200 very unlikely that all samples/events behave in the same or similar way and that they occur because
201 of the same mechanisms; however, the composite approach is adopted as a first means of
202 identifying the dominant features that potentially behave in a similar manner and are governed by
203 the same mechanisms. In fact, a composite approach is often used to seek common features of
204 some fields (e.g., rainfall, winds) regarding strong and weak ISM periods (Zheng et al. 2016a,
205 2016b).

206 To highlight the salient features of daily surface wind fields (wind speed, convergence, and curl)
207 between strong and weak ISMRs, we have applied a probability density function (PDF) approach
208 to the daily surface wind fields. The PDF is used to specify the probability of the variables (e.g.,
209 surface wind speed, convergence, and curl) falling within a particular range of values. Through the
210 PDF analysis, it is easy to identify if any dominant modes of daily surface wind fields exist in a
211 particular region during strong ISM years and convey if they are distinguished from those during
212 weak ISM years as well as during normal ISM years.

213 To examine the relationship between daily fluctuation of ocean surface winds in the AS, BoB, and
214 SIO as well as rainfall variations in the four IMD regions, we perform a simple linear lag
215 correlation analysis to describe the potential links between daily surface wind fields and daily
216 rainfall in the IMD regions.

217 2.2 Multiple linear regression

218 To examine the relative roles of monthly wind fields in the AS, BoB, and SIO during the Indian
219 summer monsoon periods, we use a multiple linear regression (MLR) approach to estimate the
220 anomalous monthly rainfall in the entire India and the four IMD regions from anomalous monthly
221 wind fields (wind speed, convergence, and curl) with the following MLR model:

$$222 \quad Y = a_0 + \sum_{i=1}^9 a_i X_i + \varepsilon \quad (1),$$

223 where Y is the anomalous monthly rainfall in all-India and the four IMD regions, X_i is the i th
224 component (i.e., wind speed, wind convergence, curl in the AS, BoB, and SIO), a_i is the regression
225 coefficient for the i th component, a_0 is intercept, and ε is the estimated error due to linear
226 regression.

227 2.3 Data

228 Two major datasets are used in this study: (1) the IMD daily gridded ($1^\circ \times 1^\circ$) rainfall product for
229 the Indian subcontinent over 1951–2014 (Pai et al. 2016), and (2) Cross-Calibrated Multi-Platform
230 (CCMP) ocean surface wind velocity products version 2.0 over 1991–2014 (Atlas et al. 2011).

231 The IMD daily gridded rainfall is well-suited to this study because the daily mean rainfall is able
232 to quantify the rainfall variability on short timescales (e.g., up to one week) as compared to

233 monthly rainfall products, which are not as appropriate. In addition, this rainfall product can be
234 used to produce rainfall in separate homogeneous rainfall zones of India as regional rainfall, and
235 thus allows us to examine the links of regional rainfall with surface winds in the Indian Ocean on
236 short timescales (i.e., up to one week).

237 CCMP V2.0 wind product contains four maps (at 00, 06, 12, 18Z) on a $0.25^\circ \times 0.25^\circ$ grid from
238 July 1987 through May 2016. Since data before 1991 is insufficient, data over the period 1991–
239 2014 are used in this study. Using a variational analysis method, the CCMP wind product was
240 derived from several sources of wind observations such as moored buoy wind data, Version-7
241 Remote Sensing Systems radiometer wind speeds, ASCAT and QuikSCAT scatterometer wind
242 vectors, and the European Center for Medium-Range Weather Forecast (ECMRWF) ERA-Interim
243 model wind fields (Atlas et al. 1996, 2011; Hoffman et al. 2003). CCMP winds are referenced to
244 10 m above surface. This wind product is selected because the wind data are of the high quality
245 with high temporal and spatial resolutions, which are well suited to studying the wind
246 characteristics at fine scales in both space and time. For this study, we choose the period 1991–
247 2014 for analysis of winds and rainfall. A detailed description of the CCMP product can be found
248 at <http://www.remss.com/measurements/ccmp>. It should be noted that although this version of the
249 CCMP wind product has several advantages, it also has some known issues. For example, this
250 wind product may be suitable for studying region trends and patterns, but it is not well-suited for
251 studying global trends because bogus trends in the background wind field can be created from
252 assimilation processes. In addition, high wind speeds ($> 25 \text{ m s}^{-1}$) should be used cautiously
253 because high wind events are known to be underestimated by the background model.

254 **3 Results**

255 The geographic distributions of monthly and seasonal mean rainfall between strong and weak
256 ISMs are first described in section 3.1. If monthly and seasonally mean surface wind fields in the
257 Indian Ocean are significantly distinct between strong and weak ISMs, then the monthly variations
258 (i.e., long-time fluctuations) of surface wind fields would be able to determine the strength of the
259 ISMRs. To this end, we investigate whether the monthly and seasonally mean wind features are
260 distinct between strong and weak ISMs in section 3.2. We then proceed to examine the potential
261 impacts of short-time fluctuations of surface wind fields on the rainfall over the four IMD regions
262 and over the entire India (section 3.3 and 3.4). In section 3.5, we examine the northward

263 propagation of surface wind fields from the BoB to Indian subcontinent, an important way ocean
264 winds in the BoB contribute to the strength of ISMR.

265 3.1 Geographic distribution of monthly and seasonally mean rainfall in India during strong and 266 weak ISMs

267 According to the definition of ISM strength discussed in section 2.1, we identify three strong ISM
268 years (2005, 2007, and 2008) and three weak ISM years (2000, 2002, and 2009) during the period
269 1991–2014. Fig. 2 illustrates the geographical distribution of India’s daily rainfall averaged over
270 June, July, August, September (for individual months), and over JJAS (for the season as whole)
271 during strong and weak ISMRs. Fig. 2 also shows the corresponding differences in rainfall
272 geographic distribution between strong and weak ISMRs. A student-t two-sided test was conducted
273 to determine whether these differences of daily rainfall averaged over June, July, August,
274 September and JJAS between strong and weak ISMRs are significant assuming the daily rainfalls
275 for strong and weak ISMRs have the same variance distribution. Only the significant differences
276 at the 99% confidence level are shaded. It is clear that during June, rainfall does not appear
277 significantly different between strong and weak ISMRs. However, during July, rainfall is
278 significantly different over parts of SPIN and NWI. During August, the area of significant
279 difference of rainfall in SPIN tends to be smaller and the rainfall does not appear significantly
280 different in NWI. Interestingly, although most of the CI region gains more rainfall in July and
281 August during strong ISMRs than during weak ISMRs, the rainfall difference in the CI region is
282 overall insignificant. It is intriguing that the Western Ghats and the eastern portion of the SPIN
283 between 15°N–20°N experience significantly larger rainfall during September of strong ISMs.
284 Generally, the difference in rainfall over the NEI region is not significantly different, partly due to
285 a large degree of uncertainty in relationship with the strength of ISMR (Zheng et al. 2016a, b). The
286 phenomena described above can be partially explained by anomalous wind features on short
287 timescales over the Indian Ocean during different ISM years, which are discussed in section 3.3–
288 3.4.

289 3.2 Links of monthly and seasonally mean surface wind fields to ISMR strength

290 3.2.1 Surface wind vector

291 Fig. 3 is the same as Fig. 2 but shows surface wind vector over the Indian Ocean for strong and
292 weak ISMs, and the corresponding differences. The general spatial patterns of monthly and
293 seasonally mean wind vector are alike: the southeast winds over the southern Indian Ocean cross
294 the equator and turn rightward to become southwest winds. Five regions—the AS (denoted by red
295 rectangle box), the BoB (denoted by black rectangle box), the SIO (denoted by blue rectangle box),
296 the SC (denoted by green rectangle box), and the EIO (denoted by cyan rectangle box)—were
297 chosen because the temporally mean and variability of winds over these regions are postulated to
298 play a role in rainfall strength in the Indian subcontinent. For example, winds along the SC and in
299 the AS moving towards India may be essential to the transport of moisture to India, while energetic
300 convective systems in the BoB may affect Indian rainfall. Wind variability in the EIO and the SIO
301 may play a role in the phase shift of the IODs (i.e., shift among the positive, negative, and neutral
302 phases of IODs) via atmosphere-ocean interaction, noting that the IOD itself is an important factor
303 influencing the strength of ISMR (e.g., Ashok et al. 2001; Sreejith et al. 2015). Because surface
304 wind features over the five major regions can affect ISMR, surface winds over the five regions are
305 chosen for analysis and their possible links to the strength of ISMR are examined in this study.

306 Four striking features are found. First, monthly and seasonally mean winds are not significantly
307 different over the BoB between strong and weak ISMRs, indicating that strength of seasonal and
308 monthly mean winds over the BoB is not the significant factor in controlling the rainfall strength
309 in India. Second, monthly mean winds over the AS are not significantly different, except in
310 September when stronger winds over the AS towards the Indian subcontinent during strong ISMRs
311 may result in the heavy rainfall in the regions along Western Ghats (as seen in Fig. 2n). The
312 seasonal mean winds are also not significantly different over the AS. Third, a small portion of
313 monthly mean winds along the SC is significantly different in June only, probably because the
314 winds start turning over this region in this month. Fourth, winds are significantly different over
315 some portion of the EIO only in June and August. Its association with Indian rainfall will be
316 discussed in section 3.4.

317 3.2.2 Surface wind speed

318 Fig. 4 is the same as Fig. 3 but showing surface wind speed. In general, the spatial distributions of
319 monthly and seasonal mean surface wind speed for different ISMs have several common features.
320 For example, the surface wind speeds are the strongest along the SC and in the AS with a southwest

321 tilt and the wind speeds in the BoB are much weaker than those in the AS. There is a zonal belt of
322 strong wind speed in the SIO, especially during July and August. Regardless of these
323 commonalities, there are also some features that are distinct between different strength of ISMs.
324 For example, the surface wind speed over the AS in September of strong ISMs is significantly
325 larger than during September of weak ISMs at a 99% confidence level. This partly explains the
326 overall excessive rainfall over the western part of India in September of strong ISMs as compared
327 to that during September of weak ISMs (as seen in Fig. 2n). In contrast, surface wind speeds are
328 different in some portions of the EIO region between strong and weak ISMRs during June–August.
329 It should be noted that the seasonal mean wind speeds in the BoB are not significantly different
330 between strong and weak ISMRs. It is also worth noting that daily wind speed along SC (EIO) is
331 well correlated with daily wind speed in the AS (SIO) and statistically correlated at a 99%
332 confidence level during both strong and weak ISMs (not shown), indicating strong temporal
333 synchronization for daily winds between SC and AS, as well as between EIO and SIO.

334 3.2.3 Surface wind convergence and curl

335 Surface wind convergence is an important process for drawing surface moisture up to the lower
336 troposphere. Thus, the availability of a high-quality wind product with a high horizontal resolution
337 allows us to examine the fine-scale features of wind convergence (Fig. 5). Both strong and weak
338 ISMs share many common features with regards to surface wind convergence. First, surface
339 divergence (convergence) is dominant in the interior of the AS (BoB) in the monsoon season
340 except near the coastal area where the orographic effect causes strong surface convergence.
341 Dominant surface divergence impedes the accumulation of surface moisture in the low-level
342 atmosphere in the AS, which does not allow for the energy necessary to initiate and sustain the
343 monsoon depressions, thus partly explaining the infrequent depressions (i.e., energetic convective
344 activities) in the AS. By contrast, the dominant surface convergence in the BoB is accompanied
345 by the presence of the positive wind curl/cyclonic vorticity. Second, the dominant surface
346 convergence and actual high amount of moisture in the lower atmosphere above the SIO favor
347 vertical transport of moisture from the surface to lower troposphere, This moisture is then
348 transported towards the AS and BoB by southeasterly winds south of the equator and southwesterly
349 winds north of the equator (see Fig. 3). The transported moisture above the AS converges along
350 the Western Ghats and in monsoon trough region (mainly above the BoB), then produce rain

351 through moist-thermodynamic processes. Conversely, moisture movement in the BoB toward the
352 Indian subcontinent is not primarily achieved through mean winds; it is primarily through
353 movement of a series of convective activities associated with monsoon low pressure system
354 carrying abundant moisture toward the Indian subcontinent. When strong convective events make
355 landfall, they produce heavy precipitation in the Indian subcontinent (Singh et al. 2001). Note that
356 the dominant surface wind convergence in the BoB is usually produced by the monsoon trough in
357 the BoB, which not only affects frequency and timing of convective events but also acts to direct
358 convective events towards the Indian subcontinent. Thus, surface wind convergence in the BoB is
359 an essential process to the initiation, development, and maintenance of the convective processes
360 providing abundant moisture. As a consequence, when convective systems move toward the Indian
361 subcontinent, the land receives heavy precipitation as moisture is condensed and latent heat is
362 released to energize convective systems. Note that strong surface divergence along the SC during
363 strong and weak ISMRs enhances the surface winds in the AS. Regardless of the important roles
364 of surface wind convergence, the monthly and seasonal mean surface convergence in the SC, AS,
365 BoB, and SIO during strong ISMRs are not significantly different from those during weak ISMRs.
366 It is worth noting that surface convergence along the Western Ghats in September is remarkably
367 different. This convergence results in a significant rainfall difference along the Western Ghats in
368 September (Fig. 2n). Although the monthly and seasonal mean surface convergence are generally
369 not significantly different between strong and weak ISMRs in the AS, BoB, and SIO, we postulate
370 that it is the variability of surface wind convergence on short timescales (e.g., up to one week) in
371 the above three regions that plays a critical role in the strength of ISMRs. This will be further
372 investigated in section 3.4.2.

373 The features of monthly mean surface wind curl between strong and weak ISMRs (not shown) are
374 similar to surface wind convergence (Fig. 5). This is not surprising because surface wind curl is
375 strongly connected with wind convergence/divergence and cyclonic (anti-cyclonic) circulation
376 induces cross-isobar flow in the boundary layer leading to surface convergence (divergence)
377 caused by turbulent friction and Ekman transport.

378 3.3 Probability density function distribution based on daily surface wind fields

379 The results presented thus far clearly demonstrate that the monthly and seasonally mean wind
380 fields in the three regions are overall not significantly different except over the AS in June and

381 September. Hence, it is natural to ask what causes significantly different ISMRs. Here, we propose
382 that the wind variability on short timescales (e.g., up to one week) plays a more important role
383 than the monthly and seasonal mean fields in the Indian summer monsoon rainfall, which further
384 supports the notion that the interannual variability of ISMR is more dependent on the scales which
385 are shorter than a month (Goswami and Xavier 2005; Webster et al. 1998) from the perspective of
386 surface winds. This hypothesis is confirmed using high-quality CCMP wind products and the
387 IMD's daily gridded rainfall product. In this section, the salient features regarding the PDF
388 distributions of daily surface wind speed, convergence, and curl over the SC, AS, BoB, EIO, and
389 SIO are examined for June, July, August, September, and for June–September. The PDF
390 distributions are compared between strong and weak ISMRs. A PDF analysis based on the daily
391 surface wind fields enables us to identify remarkable differences that are indistinguishable based
392 on monthly mean fields (i.e., surface wind speed, convergence, and curl) in the five regions
393 between strong and weak ISMRs by taking daily fluctuations into account.

394 3.3.1 Daily surface wind speed

395 The southwest wind speed in the AS is critically important for transporting moisture from the AS
396 to India, thus affecting rainfall in India (Figs. 2–4). The PDF distribution of daily surface wind
397 speed averaged over the AS (represented by a red rectangle in Fig. 4k) between different categories
398 of ISMs is shown in Fig. 6. It is clear that the PDF distributions of daily wind speed averaged in
399 the AS are distinguishable between each month of strong and weak ISMs, and these PDF
400 distributions for strong and weak ISMRs are also different from normal ISMRs and all Indian
401 summer monsoon periods, particularly in September. Interestingly, strong winds (> 10 m/s) are
402 present more frequently during strong ISMRs than during weak ISMRs. This suggests that more
403 frequent strong wind events on weather timescales tend to cause strong rainfall in India. The PDF
404 distributions during normal ISMRs are expected to be comparable to those during the all ISMs
405 since 75% (18 of 24) of the ISMRs are normal ISMRs. Interestingly, the PDF shape of each month
406 is different from the other for normal and all ISMRs, implying that each month has its own salient
407 wind speed features in the AS. During June of strong ISMRs, wind speeds have a wider range (4–
408 13 m s^{-1}) than those ($6.5\text{--}12 \text{ m s}^{-1}$) occurring during June of weak ISMRs, however, integration of
409 the PDF distribution along x-axis turns out a similar time-mean value. Since surface wind speed
410 in September is significantly distinguishable between strong and weak ISMs (see Fig. 4n), its

411 significance can be explained by the PDF distribution—more (less) frequent strong wind events
412 and less (more) frequent weak wind events during September of strong (weak) ISMs. Fig. 7 is the
413 same as Fig. 6 except for winds along the SC. Results indicate that large daily winds along the SC
414 occur more frequently during strong than weak ISMRs, similar to winds over the AS. However,
415 some distinctions are also evident between strong and weak ISMRs, particularly in August, during
416 which the range of daily wind speed along the SC ($9\text{--}13\text{ m s}^{-1}$) is narrower than the range of winds
417 over the AS ($6\text{--}12\text{ m s}^{-1}$).

418 Similar to the PDF distribution in the AS, the PDF distribution of daily surface wind speeds in
419 each month in the BoB also differs between strong and weak ISMRs, which is also different from
420 that during normal ISMRs (not shown). The PDF distribution for daily surface wind speeds over
421 the SIO (Fig. 8) is quite different from the distribution in the AS and BoB. First, daily wind speed
422 in the SIO has a very narrow range ($5\text{--}10\text{ m s}^{-1}$) with a peak frequency of $7\text{--}8\text{ m s}^{-1}$. Second, there
423 is no significant difference between monsoon months. And finally, the slightly larger monthly
424 mean wind speed in June and July over the SIO during strong ISMRs is primarily caused by the
425 more frequent stronger winds. The PDF features for daily wind speed over the EIO between strong
426 and weak ISMRs (not shown) are overall similar to those over the SIO except that wind speeds
427 over the EIO have a strong frequency spectrum for low and high winds than those over the SIO.
428 This happens probably because the variability of daily wind speeds over the EIO is stronger than
429 that averaged over the entire SIO (not shown).

430 3.3.2 Daily surface wind convergence

431 The monthly area-averaged wind convergence (denoted by positive values) in the BoB is dominant
432 during the entire summer monsoon seasons (Fig. 9), providing energy for the genesis, development,
433 and maintenance of tropical convective systems in the BoB. Thus, the fluctuations of surface
434 convergence field on weather timescales may be responsible for the extreme rainfall events on
435 these timescales, leading to the remarkable difference in rainfall between strong and weak ISMRs
436 as found by Zheng et al. (2016b). Since the monthly and seasonally mean convergence are overall
437 not statistically different in all months (as seen in Fig. 5), it is likely that the number and strength
438 of extremely stronger convergence events ($> 6 \times 10^{-6}\text{ s}^{-1}$) on short timescales (e.g., up to one week)
439 during strong monsoons produce the differences in Indian rainfall. This indicates that the monthly
440 and seasonally mean values alone are not sufficient to identify the potential links between surface

441 wind convergence in the BoB and rainfall in India. Instead, the daily wind convergence variability
442 in the BoB can be more relevant than its monthly and seasonally mean fields to the strength of
443 ISMRs. This may help explain why rainfall in India adjacent to the BoB is statistically different
444 during September between strong and weak ISMRs (Fig. 2n), while the convergence averaged in
445 September is not statistically significantly different (Fig. 5n).

446 Unlike wind convergence over the BoB, the dominant surface wind convergence in the AS is
447 primarily located in the regions adjacent to the western coast of the Indian subcontinent due to the
448 orographic effects. Additionally, the monthly mean wind convergence are overall not significantly
449 different between strong and weak ISMRs (Fig. 5). However, similar to Fig. 9 for wind
450 convergence over the BoB, the PDF distributions of the daily surface wind convergence area
451 averaged over the AS are also distinct between strong and weak ISMRs in Jun and September (not
452 shown). The distinctions in the PDF of daily wind convergence in June and September partly
453 explain daily rainfall variations along the Western Ghats, underscoring the importance of daily
454 fluctuation of wind convergence over the AS (particularly in the west coast regions) to bring heavy
455 rainfall along the Western Ghats. In fact, a recent study (Varikoden et al. 2019) indicated rainfall
456 patterns over the Western Ghats changed during the period 1931–2015: the average rainfall in the
457 northern Western Ghats increased by 2% per decade while in the southern region it reduced by 3%
458 per decade. The authors further suggested that the change in rainfall patterns in the Western Ghats
459 is associated with a northerly shift of low level jetstream over the Arabian sea.

460 Similar to the narrow range for the PDF of daily wind speed in the SIO (Fig. 8), the PDF range of
461 daily wind convergence over the SIO is also narrow ($0-2 \times 10^{-6} \text{ s}^{-1}$), compared to those over the
462 BoB (Fig. 9) and the convergence is dominant over entire summer monsoon season (not shown).
463 It should be noted that the persistent existence of dominant surface wind convergence in the SIO
464 is critically important for the lower troposphere in the tropics to obtain moisture from the
465 underlying ocean surface. The accumulated moisture is transported to the AS and BoB, acting as
466 important moisture sources for developing convective events/monsoon depressions in the BoB.

467 3.3.3 Daily surface wind curl

468 The energetics of monsoon depressions in the Indian Ocean can be represented by the variability
469 of surface wind curl. While the monthly mean values (derived from daily wind curls) over the BoB

470 are similar for strong and weak ISMRs, the PDF distribution for daily surface wind curl over the
471 BoB is different in each month (Fig. 10). Regardless of similar monthly mean values, more extreme
472 daily surface curls ($> 4 \times 10^{-6} \text{ s}^{-1}$) occur over the BoB during September of strong ISMRs, which
473 partly explain the significantly more rainfall in east of the SPIN (Fig. 2n).

474 Similar to Fig. 10, the PDF analysis of daily surface wind curl over the AS between strong and
475 weak monsoons illustrates the distinct features in surface wind curl on short timescales (not shown).
476 The PDF distributions for daily surface wind curl and convergence over the EIO and SIO are alike
477 for both strong and weak ISMRs (not shown). Although the PDF distributions of daily surface
478 wind curl are distinct to some extent between strong and weak ISMRs, the monthly mean fields
479 are similar. The PDF shape is also similar among months of normal ISMRs.

480 It is worth noting that the above changes in surface wind fields may be associated with the SST
481 distribution, though SST is usually a slow varying variable on short timescales. Surface wind stress
482 divergence along with SST is critical for air-sea interaction and the stability of the marine
483 atmospheric boundary layer, which can cause a change in monsoonal circulation, ultimately giving
484 rise to the rainfall variations in India.

485 The association of surface wind speed, convergence, and curl with regional rainfall on short
486 timescales (e.g., weather scales) will be further discussed in the next section to prove our
487 hypothesis that the short time fluctuations of wind fields play a more important role than monthly
488 and seasonal mean wind fields in controlling the strength of ISMRs.

489 3.4 Lag correlation of daily surface wind speed, convergence, and curl with regional rainfall

490 The analysis in section 3.2 does not consider temporal variability of surface wind fields when
491 discussing the association between surface winds and rainfall in India. By considering daily
492 fluctuations (anomalies), this section presents the simple linear lag-lead correlation analysis
493 between anomalies of daily surface wind fields (speed, convergence, and curl) and anomalies of
494 daily rainfall in the four IMD rainfall zones to establish the basic relationship between Indian
495 Ocean surface winds and regional rainfall in India on weather timescales.

496 3.4.1 Lag correlation between daily surface wind speed and daily regional rainfall

497 The relationships between daily surface wind speed over the SC, AS, BoB, EIO, and SIO and daily
498 rainfall in the four IMD regions and the all-India rainfall are distinct for different categories of
499 ISMRs (Fig. 11). First, the daily surface wind speed over the AS overall has the best correlation
500 with daily rainfall over the entire Indian region except in NEI regardless of ISM strength. The
501 lag/lead pattern of correlation for surface wind speed along the SC are overall akin to those over
502 the AS except during strong ISM years when wind speed over the SC has long persistent lead time
503 with rainfall in CI while the correlation of wind speed over the AS with rainfall in CI drops rapidly
504 (i.e., correlation becomes insignificant when winds in the AS lead rainfall in CI more than seven
505 days). Surface wind speed in the BoB is also highly correlated with rainfall in NWI, CI, and SPIN
506 regardless of ISM strength. Surface wind speed in the EIO and SIO is generally poorly correlated
507 with rainfall in the four regions on weather timescales. It should be noted that daily surface wind
508 speed in the AS is better correlated with daily rainfall in NWI and CI during strong ISMRs than
509 during normal and weak ISMRs. Second, rainfall in NEI is generally poorly correlated with surface
510 wind speed in the AS, BoB, and SIO, implying that the controlling mechanisms for rainfall in NEI
511 differ from the other three homogeneous rainfall zones of India. We postulate that NEI rainfall is
512 likely affected by the variability of the East Asian monsoons and/or monsoons over western north
513 Pacific regions via westward propagation of 10–20-day mode from the western Pacific
514 (Krishnamurti and Ardunay 1980; Chen and Chen 1993; Chatterjee and Goswami 2004; Fukutomi
515 and Yasunari 1999; Annamalai and Slingo 2001). Third, surface wind speeds in the AS and BoB
516 generally lead rainfall in NWI and CI by several days (from one day to one week; i.e., on weather
517 timescales) during strong, weak, and normal ISM years. The high correlation between surface wind
518 speed in the AS and rainfall in NWI and CI is primarily because the prevailing surface wind
519 direction in the AS is directly toward the Indian subcontinent, efficiently bringing abundant
520 moisture to India. The high correlation between surface wind speed in the BoB and Indian rainfall
521 can be misleading without support of physical processes because the prevailing mean surface wind
522 direction in the BoB is almost parallel to the east coast of India and may be only a continuation of
523 airstream from the AS to BoB. However, the surface wind convergence in the BoB is one of the
524 most critical processes for rainfall in India compared to that in the AS and SIO, as discussed in the
525 following subsection.

526 3.4.2 Lag correlation between daily surface wind convergence/curl and regional rainfall

527 Moisture convergence via surface wind convergence in the Indian Ocean is one of the most
528 important processes for the development and maintenance of convective systems in the Indian
529 Ocean. When these systems move toward the Indian subcontinent, they greatly influence the inland
530 rainfall. Fig. 12 presents the lag-lead correlation between the daily surface wind convergence and
531 the regional and total rainfall in India during strong, normal, and weak ISM years. The simple
532 linear relationship shows the distinct roles of daily fluctuation of surface wind convergence over
533 the SC, AS, BoB, EIO, and SIO in rainfall over the different IMD regions during strong, normal,
534 and weak ISM years. Surface wind convergence in the BoB is overall best correlated with all-India
535 rainfall with a lead of 1–10 days, probably because the number and intensity of monsoon
536 depressions associated with surface convergence in the BoB prevail compared to other ocean
537 regions or because a part of the monsoon trough is usually located in the BoB. Thus, the surface
538 convergence over the BoB has a predictive value of a few days for all-India rainfall. Such
539 correlation in the BoB during strong ISM years is better than normal and weak ISM years,
540 particularly with rain in CI. This happens because monsoon low pressure and convective systems
541 affecting Indian rainfall are more robust during strong ISM years and less energetic during the
542 weak ISM years (Goswami et al. 2003) as well as normal ISM years. In addition, a strong (weak)
543 ISMR generally has strong (weak) “active” phases and weak (strong) “break” phases of monsoons
544 (Zheng et al. 2016b). Interestingly, compared to other three IMD regions, surface wind
545 convergence in the BoB is strongly and negatively correlated with rainfall in NEI with a lead of
546 0–2-days. Wind convergence along the SC and in the AS is poorly correlated with Indian rainfall
547 on short timescales.

548 In addition, the surface wind convergence in the EIO and SIO is poorly correlated with Indian
549 rainfall on weather timescales during strong, normal, and weak ISM years, except in CI during
550 strong ISM years where negative correlation dominates. Such negative correlation may be
551 associated with the enhanced surface wind divergence over the SIO as a part of downwelling
552 branch of Hadley circulation during strong ISMRs when meridional monsoonal circulation (i.e.,
553 Hadley circulation) strengthens. It is also clear that the correlations during normal ISMRs are
554 overall the weakest relative to those during strong and weak ISMRs. We hypothesize that
555 anomalous surface wind features in the EIO and SIO may affect Indian rainfall on longer
556 timescales rather than at short timescales (e.g., weather timescales) in several ways.

557 The relationships between wind curl and regional rainfall (not shown) are similar to those between
558 wind convergence and regional rainfall as shown in Fig. 12. For example, surface wind curl in the
559 BoB during strong ISMRs has the best correlation with rainfall in SPIN, CI, NWI with dominant
560 leading days of 2, 6–9, and 10, respectively. Wind curl in the AS and SC is overall poorly
561 correlated with Indian rainfall. It should be pointed out that the correlation between surface wind
562 curl and rainfall in NEI is generally not significant, which is consistent with Zheng et al. (2016b)
563 who found that rainfall in NEI is not primarily determined by the robustness of convective system
564 in the BoB. A recent study (Choudhury et al. 2019) suggested that the decreasing trend of ISMR
565 in NEI during 1979–2014 can be associated with the strong interdecadal variability of the
566 subtropical Pacific Ocean. Table 1 summarize the lead-lag correlations between the daily rainfall
567 over the four IMD regions (and over all India) and the daily fluctuation of wind fields in the three
568 Indian Ocean regions.

569 3.5 Northward propagation of surface wind fields over the BoB

570 Correlation analyses in section 3.4.2 clearly show that the surface wind convergence and curl over
571 the BoB affect the rainfall in India on synoptic timescales. This section provides observational
572 evidence that surface winds over the BoB affect rainfall in India through the northward propagation
573 of surface wind curl and convergence from ocean to land. It is well known that ISMR exhibits
574 prominent intraseasonal variations across a wide spectrum of timescales, mostly on the timescales
575 of 30–60 days and 10–20 days. The 30–60-day mode is represented by a large horizontal scale
576 northward propagation at approximately $1\text{--}2\text{ m s}^{-1}$ and eastward propagation speed of about 6 m
577 s^{-1} that moves from Indian Ocean toward South Asia and East Asia (Kikuchi et al. 2012) and the
578 10–20-day mode is represented by the westward propagation that moves from the western Pacific
579 to about 70°E with a phase speed of about 4.5 m s^{-1} (Krishnamurti and Ardunary 1980; Chen and
580 Chen 1993; Chatterjee and Goswami 2004). The two modes are usually captured by daily outgoing
581 longwave radiation (OLR) and rainfall (Kikuchi et al. 2012). In fact, the northward propagation is
582 also captured by daily surface wind curl over the BoB during strong and weak ISMRs (Fig. 13).
583 The northward propagating signal is clearly seen during the entire monsoon seasons of both strong
584 and weak ISMRs, during which the largest wind curl is found to propagate northwestward during
585 September 2005 and Jun 2007. The northward propagating signal can move either eastward or
586 westward, with a slow westward propagation and a fast eastward propagation. Such features are

587 likely associated with the different phase speeds of dominant modes at intraseasonal (a fast
588 eastward propagating 30–60-day mode) and synoptic (a slow westward propagating 10–20-day
589 mode) scales. It should be noted that the surface wind convergence fields have the similar features
590 (not shown). The above results indicate the prevalent northwestward on intraseasonal timescales
591 and northeastward propagation modes on synoptic timescales captured by convective bands are
592 also well captured by the surface wind fields.

593 **4 Discussion**

594 An MLR approach is used to estimate the anomalous monthly rainfall in India from anomalous
595 monthly wind fields and examine the relative roles of monthly wind fields over the AS, BoB, and
596 SIO in the total Indian rainfall and in the four IMD regions during Indian summer monsoons. We
597 exclude the SC and EIO for MLR analysis because monthly mean wind variability over the SC
598 (EIO) is similar to that over the AS (SIO) (not shown) and surface winds in the AS, BoB, and SIO
599 can represent winds in most of Indian Ocean adjacent to Indian subcontinent that are closely
600 associated with ISMR. Tables 2–6 summarize the MLR results. Tables 2 and 3 indicate that
601 monthly wind speed in the AS and BoB is important to the monthly rainfall in all India and NWI.
602 Table 4 shows that the monthly wind speed in the AS can significantly affect monthly rainfall in
603 CI. Table 5 suggests that monthly wind speed in the BoB and monthly wind convergence in the
604 AS and BoB can significantly affect monthly rainfall in SPIN, and Table 6 reveals that monthly
605 wind fields (speed, convergence, and curl) in the AS, BoB, and SIO do not significantly control
606 the monthly rainfall in NEI. These results suggest that the fluctuations of monthly wind fields in
607 the AS, BoB can affect monthly rainfall in some regions (e.g., in NWI, CI, and SPIN). It should
608 be noted that the fluctuations of monthly wind fields cannot cause a significant change of rainfall
609 over the entire India because the differences in monthly mean wind fields are overall insignificant
610 between strong and weak ISMRs (Figs. 3–5). The results from the five tables imply that the
611 controlling mechanisms by monthly wind fields over Indian Ocean for monthly rainfall in NWI,
612 CI, and SPIN are similar to some degree, but distinct from those for rainfall in NEI, which may be
613 more associated with wind features over the western Pacific.

614 Furthermore, we are able to estimate the monthly rainfall in the entire India from the monthly
615 surface wind fields over the AS, BoB, and SIO using an MLR approach. Fig. 14 shows the time
616 series of the anomalous monthly rainfall (June–September; red curve) estimated from the total and

617 individual components using the MLR model (i.e., Eq. 1) and compared to the actual anomalous
618 monthly rainfall (June–September; black curve) computed from the daily gridded rainfall during
619 the 24 Indian summer monsoon seasons (i.e., 1991–2014). Fig. 14a compares the anomalous
620 monthly Indian rainfall (June–September) with the rainfall estimated from the nine components
621 using MLR. The anomalous monthly rainfall is closely tied to wind fields with the R^2 of 0.31,
622 indicating 31% of the variance of monthly Indian rainfall is explained by the monthly wind fields
623 in three regions (i.e., nine components: X_1 to X_9 in Eq. 1). Furthermore, the extremely large (small)
624 rainfalls in some years (e.g., 2005 and 2009) do not necessarily imply the advent of extreme wind
625 fields in the Indian Ocean.

626 The monthly mean surface wind speeds over the AS in summer months are significantly correlated
627 with the monthly mean rainfalls (the correlation coefficient is 0.36) at the 99% confidence level,
628 which makes the greatest contribution to the variations of monthly rainfall (with the largest linear
629 regression coefficient 1.26, which is significant at the 99% level; see Fig. 14b and Table 2).
630 Monthly wind speed over the BoB is poorly correlated with the monthly rainfall (Fig 14c),
631 although the regression coefficient is significant at the 99% level (Table 2). Variations of monthly
632 wind speed over the SIO cannot induce the variations of monthly Indian rainfall (Fig. 14d). Wind
633 convergence over the AS is significantly correlated with monthly Indian rainfall (Fig. 14e), but
634 has less influence (smaller and insignificant regression coefficient) relative to the wind speed over
635 the AS. However, the change in monthly wind convergence over the BoB (Fig. 14f) is of secondary
636 importance to the variations of monthly rainfall (with the second largest regression coefficient of
637 1.03 and significant at the 95% level, though not significant at the 99% level; Table 2). Monthly
638 wind convergence over the SIO has no correlation with monthly Indian rainfall (Fig. 14g) and the
639 regression coefficient is even insignificant at the 95% level. The insignificant regression
640 coefficient and poor linear correlation between monthly mean wind curls over the BoB (SIO) and
641 monthly Indian rainfall suggest that monthly mean wind curl plays an unimportant role in the
642 strength of monthly Indian rainfall (Fig. 14i–j). Monthly wind curl over the AS is significantly
643 correlated with monthly rainfall, but with a small regression coefficient. Note that surface wind
644 convergence over the AS (Fig. 14e) has a similar correlation and a moderate regression coefficient
645 (0.6) and because the surface wind convergence and surface wind curl are not interdependent:
646 surface wind curl leads to the variations of surface wind convergence in the atmospheric boundary
647 (i.e., surface cyclonic curl induces surface convergence and surface anti-cyclonic curl induces

648 surface divergence), surface wind curl in the AS may play an indirect role in Indian rainfall through
649 inducing variations of surface wind convergence in the AS. Root-mean-square error analysis
650 indicates the monthly rainfall estimated from monthly wind speed and convergence over AS has
651 the least root-mean-square error relative to the actual monthly Indian rainfall, in addition to their
652 best correlation with the total rainfall. In summary, the monthly surface wind speed in the AS and
653 the monthly wind convergence in the BoB play a dominant role in the variations of monthly rainfall,
654 indicating that monthly surface winds in the AS and BoB may influence the monthly rainfall in
655 different ways: monthly surface winds in the AS affect monthly rainfall in India by transporting
656 moisture to India (i.e., surface wind speed matters) and monthly surface winds in the BoB influence
657 monthly rainfall through convection processes charged by the surface moisture convergence (i.e.,
658 surface wind convergence matters). The above hypothesis will be tested in future work using the
659 moisture datasets.

660 The above results also indicate that the strength of monthly wind fields is not the dominating factor
661 in relation to extreme ISMRs. For example, exceptionally weak monthly mean winds are not found
662 during the 2009 extremely weak ISMR period relative to other weak and strong ISMR periods
663 (Fig. 14a).

664 **5 Conclusions**

665 Using the CCMP wind products and the IMD's daily gridded rainfall over the period 1991–2014,
666 this study provides statistical evidence that short-time (i.e., sub-monthly) fluctuations of Indian
667 Ocean surface winds are more related to the strength of ISMR than its long-time (i.e., monthly)
668 fluctuations. Our results suggest that sub-monthly surface wind features could be useful in
669 diagnosing rainfall characteristics. Two hypothetical baseline mechanisms on how short-time
670 fluctuations of surface winds in the Indian Ocean may affect ISMR during strong and weak ISMRs
671 are: (1) winds in the AS region affect ISMR through moisture transport by more frequent strong
672 winds (wind speed) on short timescales during strong ISMRs than weak ISMRs, and (2) winds in
673 the BoB affect ISMR through the northward movement of more frequent convective systems
674 represented by more frequent surface wind curl (vorticity) and convergence in the BoB during
675 strong ISMRs than weak ISMRs. The two hypotheses need validation in a future study.

676 There are some important caveats worth mentioning. In this study, only three strong and three
677 weak ISMRs are available for analysis due to the short record of CCMP wind vector analysis
678 product. This may not well represent the strong and weak ISMRs thus we caution readers that the
679 results come from a relatively small number of samples. However, the features in rainfall and wind
680 fields are well consistent with each other and the results shown in this study are supported in a
681 physical sense, reinforcing our confidence that the results from this study are reliable to some
682 degree.

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855 **Figure Captions**

856 **Fig. 1** Evolution of JJAS Indian rainfall (in mm) area-averaged over (a) all India, (b) NWI, (c) CI,
857 (d) SPIN, and (e) NEI during the period 1991–2014 derived from the daily gridded ($1^\circ \times 1^\circ$) rainfall
858 product provided by IMD. The JJAS rainfall averaged over all India and the four IMD regions
859 during strong, weak, and normal monsoon years is denoted in blue, red, and gray, respectively.
860 Strong, weak, and normal ISMRs are identified by the departure of JJAS rainfall area-averaged
861 over all India each year from the JJAS rainfall climatology computed (i.e., 938 mm) computed
862 from the daily gridded rainfall over the period 1951–2014, whose departure values are larger than
863 +10%, smaller than –10%, and within –10% and +10% of the seasonally climatology, respectively.
864 The blue (red) dashed line denotes a value of 110% (90%) of seasonal climatology (i.e., the mean
865 climatology is 938 mm), which is 1031 mm (844 mm). The years of strong and weak ISMRs are
866 denoted by the numbers over the bars in panel (a).

867 **Fig. 2** Geographic distribution of monthly and seasonally averaged rainfall derived from daily
868 rainfall (in mm) during strong and weak ISMRs as well as their corresponding differences (rainfall
869 during strong ISMR years minus rainfall during weak ISMR years) between strong and weak
870 ISMR years. The statistically significant differences at the 99% confidence level are shaded. The
871 left (right) color bar is for time mean (difference) values.

872 **Fig. 3** Same as Fig. 2 except for surface wind vector in the Indian Ocean. AS (57°E – 72°E , 10°N –
873 22°N), BoB (83°E – 95°E , 10°N – 18°N), SC (50°E – 55°E , 5°N – 10°N), EIO (80°E – 100°E , 15°S – 0°N),
874 and SIO (50°E – 100°E , 15°S – 0°N) are delimited by a black, red, green, cyan, and blue rectangle,
875 respectively. Unit: m s^{-1} .

876 **Fig. 4** Same as Fig. 3 except for surface wind speed. The left (right) color bar is for temporal mean
877 (difference) values. Unit: m s^{-1} .

878 **Fig. 5** Same as Fig. 4 except for surface wind convergence. Positive (negative) value on the left
879 two panes denotes surface convergence (divergence). The left (right) color bar is for temporal
880 mean (difference) values. Unit: 10^{-6} s^{-1} .

881 **Fig. 6** PDF distribution of daily surface wind speed over the Arabian Sea during strong, weak,
882 normal ISMR years, and across all years (1991–2014). All counts are normalized to 100 for

883 comparison purposes among the months of each ISMR category, so that the integration of the y
884 values over the axis represents the occurring probability (in %) for each surface wind range.

885 **Fig. 7** Same as Fig. 6 except for daily surface wind speed along the Somali coast (SC).

886 **Fig. 8** Same as Fig. 6 except for daily surface wind speed over the Southern Indian Ocean.

887 **Fig. 9** Same as Fig. 8 except for daily surface wind convergence over the Bay of Bengal.

888 **Fig. 10** Same as Fig. 9 except for daily surface wind curl over the Bay of Bengal.

889 **Fig. 11** Lag correlation between daily surface wind speed over the AS, BoB, SIO, SC, EIO and
890 daily rainfall over (a) NWI, (b) CI, (c) SPIN, (d) NEI, (e) all-India during strong ISM years. (f)–
891 (j) and (k)–(o) is the same as (a)–(e) but for normal and weak ISM years, respectively. “+” (“–”)
892 days in x-axis denote surface wind speed leads (lags) rainfall.

893 **Fig. 12** Same as Fig. 11 except for lag correlation between daily surface wind convergence and
894 daily rainfall.

895 **Fig. 13** Time-latitude plot of the daily surface wind curl ($\times 10^{-6} \text{ s}^{-1}$) averaged between 83°E and
896 95°E (top panel in every year) and time-longitude plot of the daily surface wind curl averaged
897 between 5°N and 10°N (bottom panel in every year) over the Bay of Bengal during strong (left)
898 and weak (right) ISMRs. Plots show only positive values of surface wind curl (representing
899 cyclonic vorticity).

900 **Fig. 14** Anomalous monthly rainfall (in red) in June through September estimated from wind speed,
901 wind convergence, and wind curl in the AS, BoB, and SIO using multiple linear regression model
902 was compared to the actual rainfall (in black). (a) Rainfall estimated from the total nine
903 components; (b) (c), (d) Rainfall estimated from wind speed in the AS, BoB, and SIO, respectively;
904 (e), (f), (g) Rainfall estimated from wind convergence in the AS, BoB, and SIO, respectively; and
905 (h), (i), (j) Rainfall estimated from wind curl in the AS, BoB, and SIO, respectively. Correlation
906 coefficient and root-mean-square error (rmse) for each component are denoted at the left bottom
907 of each panel.

908

909 **Table Captions**

910 Table 1 Summary of lead-lag correlations between daily rainfall over the four IMD regions and
 911 over all-India and daily fluctuation of wind fields (i.e., wind speed (wspd), wind convergence
 912 (conv), and win curl (curl)) in the three Indian Ocean regions (i.e., AS, BoB, SIO) for strong and
 913 weak ISMRs. Whether the correlation of wind fields leading rainfall up to one week is
 914 significant at a 99% confidence level is denoted by “Yes” or “No”.

915

		AS			BoB			SIO		
		wspd	conv	curl	wspd	conv	curl	wspd	conv	curl
Strong ISMRs	NWI	Yes	No	No	Yes	Yes	Yes	No	No	No
	CI	Yes	Yes	No	Yes	Yes	Yes	No	No	No
	SPIN	Yes	No	No	Yes	Yes	Yes	No	No	No
	NEI	Yes	No	Yes	No	No	No	No	No	No
	all-India	Yes	No	No	Yes	Yes	Yes	No	No	No
Weak ISMRs	NWI	Yes	No	No	Yes	Yes	No	No	No	No
	CI	Yes	Yes	Yes	Yes	Yes	Yes	No	No	Yes
	SPIN	Yes	Yes	Yes	Yes	Yes	Yes	No	No	Yes
	NEI	Yes	No	No	No	Yes	Yes	No	No	Yes
	all-India	Yes	Yes	No	Yes	Yes	Yes	No	No	Yes

916

917 Table 2 Summary of multiple linear regression for anomalous monthly Indian rainfall and
 918 anomalous monthly wind fields in three ocean regions ($R^2 = 0.31$).

919

Components	Coefficients	Significance at 95% level	Significance at 99% level
Wind speed in AS (X_1)	1.26	Yes	Yes
Wind speed in BoB (X_2)	-0.85	Yes	Yes
Wind speed in SIO (X_3)	0.29	No	No
Wind conv in AS (X_4)	0.60	No	No
Wind conv in BoB (X_5)	1.03	Yes	No
Wind conv in SIO (X_6)	0.90	No	No
Wind curl in AS (X_7)	0.01	No	No
Wind curl in BoB (X_8)	-0.33	No	No
Wind curl over SIO (X_9)	0.23	No	No

920

921 Table 3 Summary of multiple linear regression for anomalous monthly Indian rainfall in NWI and
 922 anomalous monthly wind fields in three ocean regions ($R^2 = 0.21$)

Components	Coefficients	Significance at 95% level	Significance at 99% level
Wind speed in AS (X_1)	1.36	Yes	Yes
Wind speed in BoB (X_2)	-1.17	Yes	Yes
Wind speed in SIO (X_3)	0.35	No	No
Wind conv in AS (X_4)	0.17	No	No
Wind conv in BoB (X_5)	0.71	No	No
Wind conv in SIO (X_6)	0.34	No	No
Wind curl in AS (X_7)	0.13	No	No
Wind curl in BoB (X_8)	-0.27	No	No
Wind curl in SIO (X_9)	0.11	No	No

923

924 Table 4 Summary of multiple linear regression for anomalous monthly Indian rainfall in CI and
 925 anomalous monthly wind fields in three ocean regions ($R^2 = 0.38$)

Components	Coefficients	Significance at 95% level	Significance at 99% level
Wind speed in AS (X_1)	2.04	Yes	Yes
Wind speed in BoB (X_2)	-0.61	No	No
Wind speed in SIO (X_3)	0.65	No	No
Wind conv in AS (X_4)	1.55	No	No
Wind conv in BoB (X_5)	1.21	No	No
Wind conv in SIO (X_6)	1.62	No	No
Wind curl in AS (X_7)	-0.11	No	No
Wind curl in BoB (X_8)	-0.30	No	No
Wind curl in SIO (X_9)	0.46	No	No

926

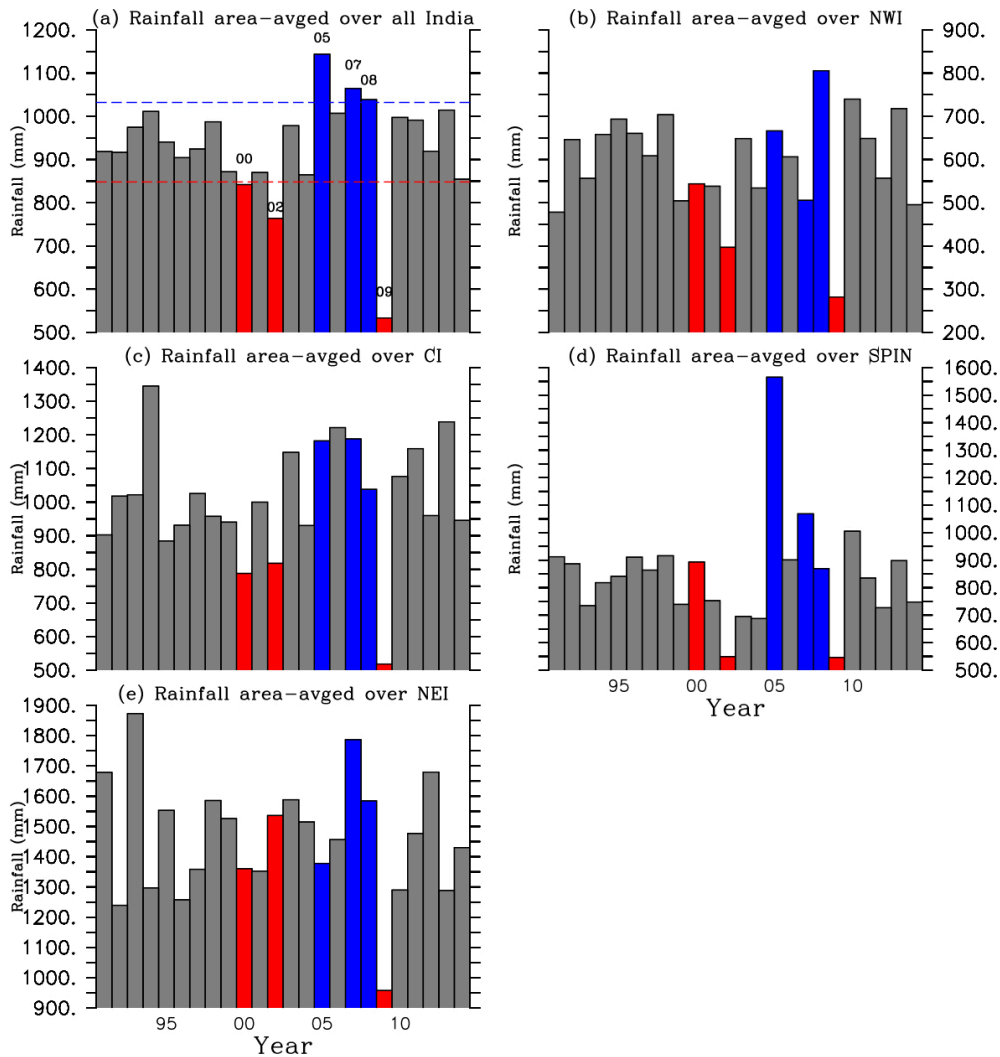
927 Table 5 Summary of multiple linear regression for anomalous monthly Indian rainfall in SPIN and
 928 anomalous monthly wind fields in three ocean regions ($R^2 = 0.18$)

Components	Coefficients	Significance at 95% level	Significance at 99% level
Wind speed in AS (X_1)	0.998	No	No
Wind speed in BoB (X_2)	-1.20	Yes	No
Wind speed in SIO (X_3)	0.12	No	No
Wind conv in AS (X_4)	1.65	Yes	No
Wind conv in BoB (X_5)	2.06	Yes	No
Wind conv in SIO (X_6)	0.54	No	No
Wind curl in AS (X_7)	-0.62	No	No
Wind curl in BoB (X_8)	-0.44	No	No
Wind curl in SIO (X_9)	-0.08	No	No

929 Table 6 Summary of multiple linear regression for anomalous monthly Indian rainfall in NEI and
930 anomalous monthly wind fields in three ocean regions ($R^2 = 0.10$)

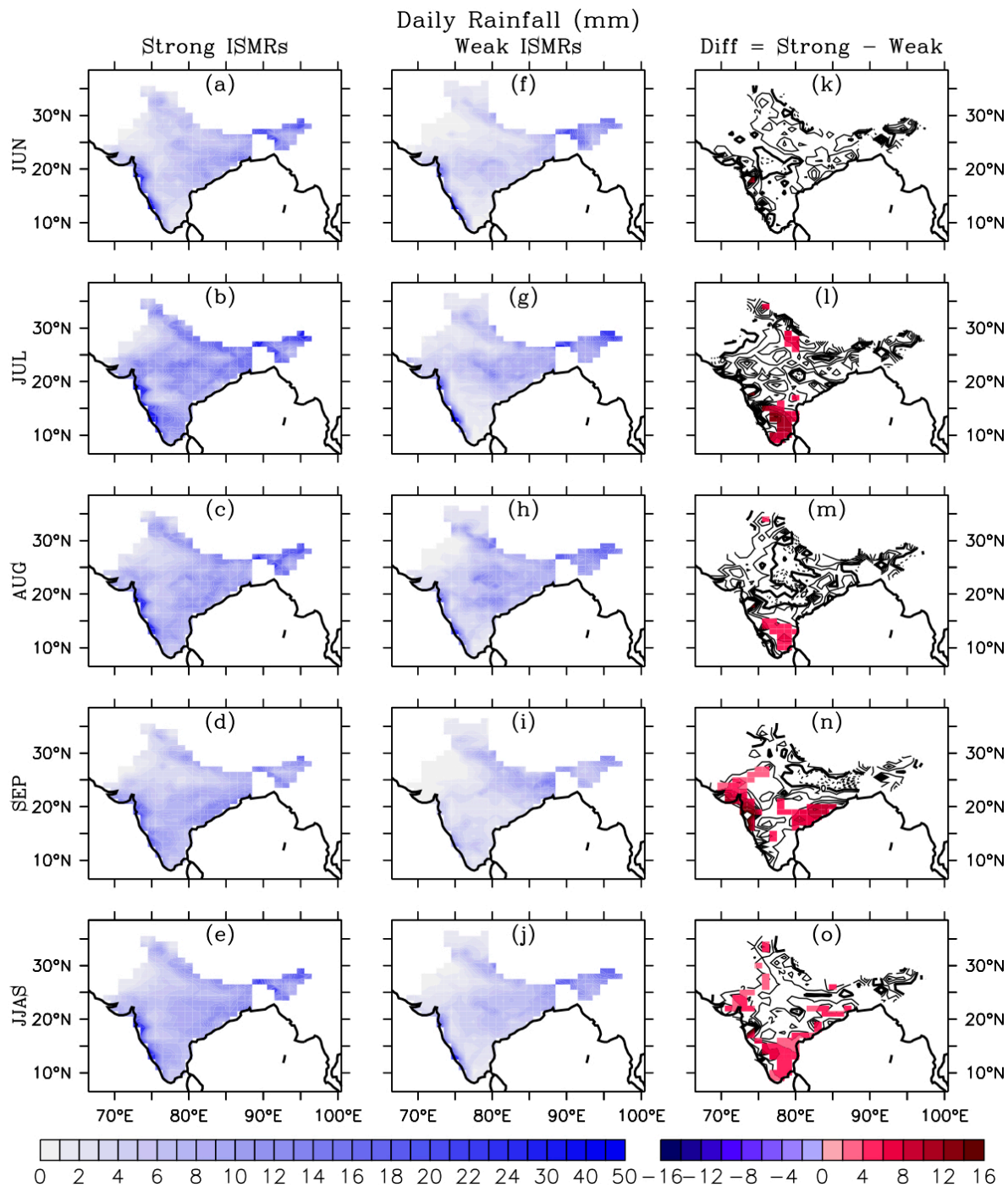
Components	Coefficients	Significance at 95% level	Significance at 99% level
Wind speed in AS (X_1)	0.20	No	No
Wind speed in BoB (X_2)	-0.31	No	No
Wind speed in SIO (X_3)	-0.13	No	No
Wind conv in AS (X_4)	-1.42	No	No
Wind conv in BoB (X_5)	0.06	No	No
Wind conv in SIO (X_6)	1.03	No	No
Wind curl in AS (X_7)	0.74	No	No
Wind curl in BoB (X_8)	-0.34	No	No
Wind curl in SIO (X_9)	0.41	No	No

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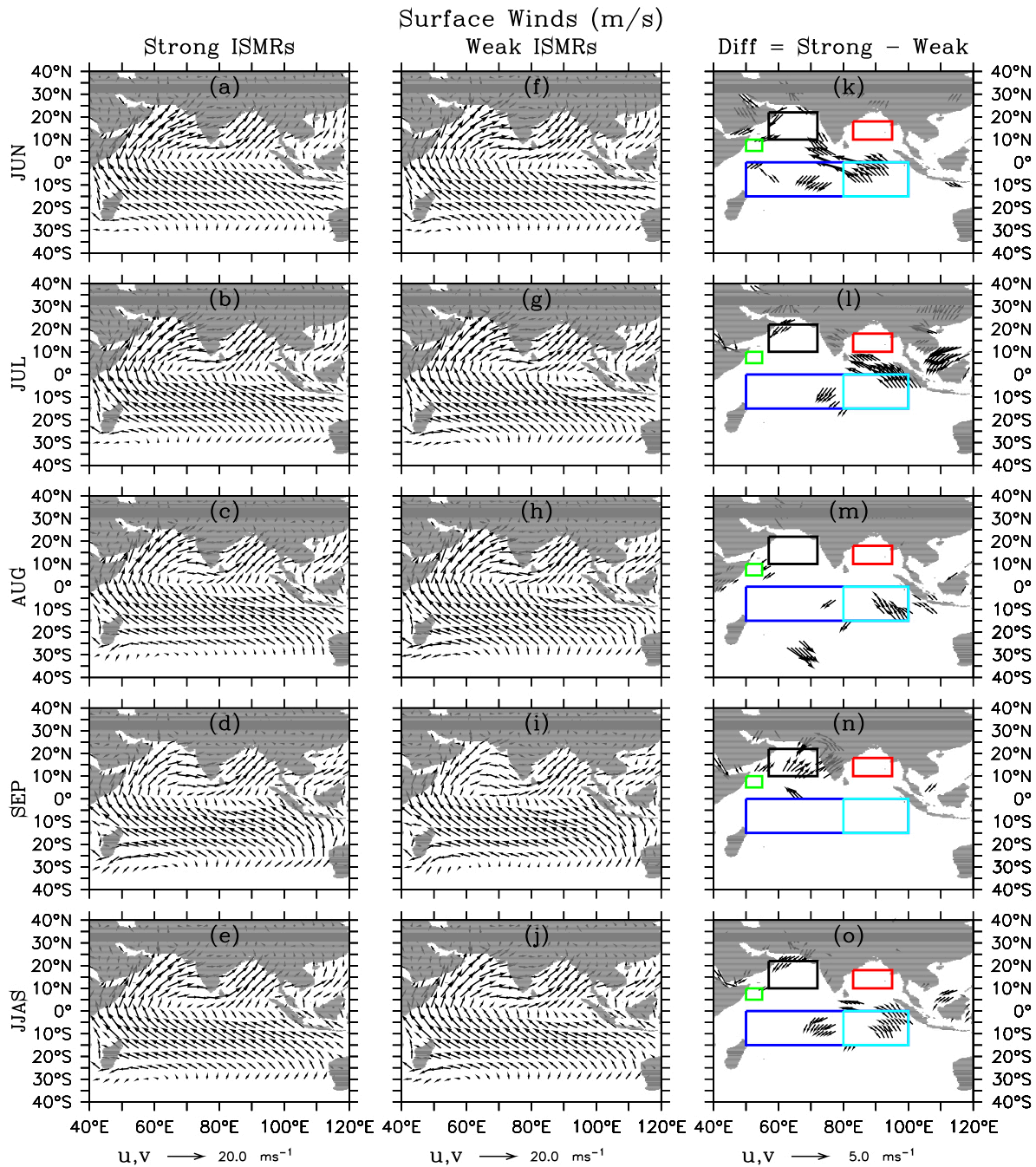
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938 **Fig. 1** Evolution of JJAS Indian rainfall (in mm) area-averaged over (a) all India, (b) NWI, (c) CI,
 939 (d) SPIN, and (e) NEI during the period 1991–2014 derived from the daily gridded ($1^{\circ} \times 1^{\circ}$) rainfall
 940 product provided by IMD. The JJAS rainfall averaged over all India and the four IMD regions
 941 during strong, weak, and normal monsoon years is denoted in blue, red, and gray, respectively.
 942 Strong, weak, and normal ISMRs are identified by the departure of JJAS rainfall area-averaged
 943 over all India each year from the JJAS rainfall climatology computed (i.e., 938 mm) computed
 944 from the daily gridded rainfall over the period 1951–2014, whose departure values are larger than
 945 +10%, smaller than -10%, and within -10% and +10% of the seasonally climatology, respectively.
 946 The blue (red) dashed line denotes a value of 110% (90%) of seasonal climatology (i.e., the mean
 947 climatology is 938 mm), which is 1031 mm (844 mm). The years of strong and weak ISMRs are
 948 denoted by the numbers over the bars in panel (a).



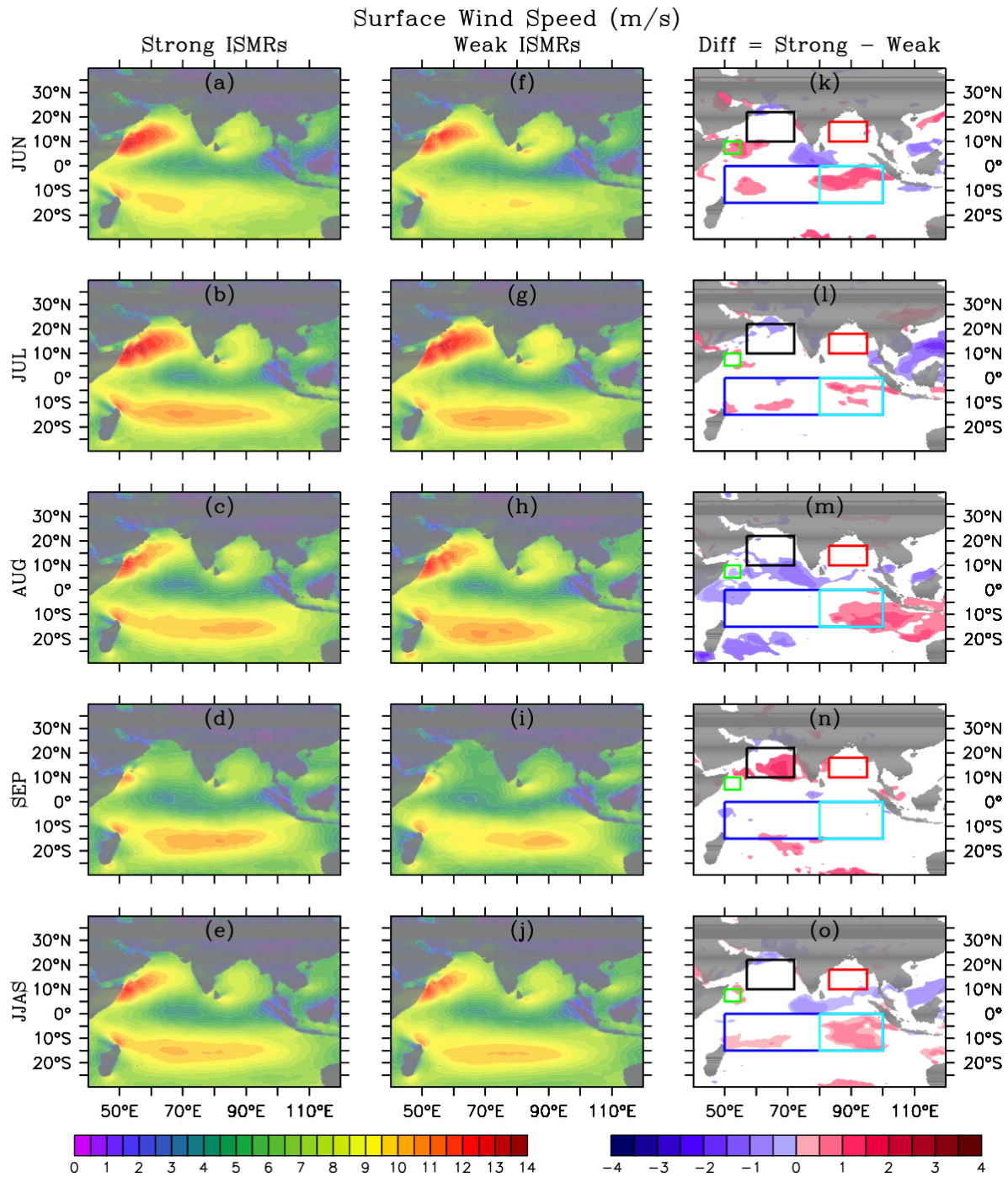
949

950 **Fig. 2** Geographic distribution of monthly and seasonally averaged rainfall derived from daily
 951 rainfall (in mm) during strong and weak ISMRs as well as their corresponding differences (rainfall
 952 during strong ISMR years minus rainfall during weak ISMR years) between strong and weak
 953 ISMR years. The statistically significant differences at the 99% confidence level are shaded. The
 954 left (right) color bar is for time mean (difference) values.



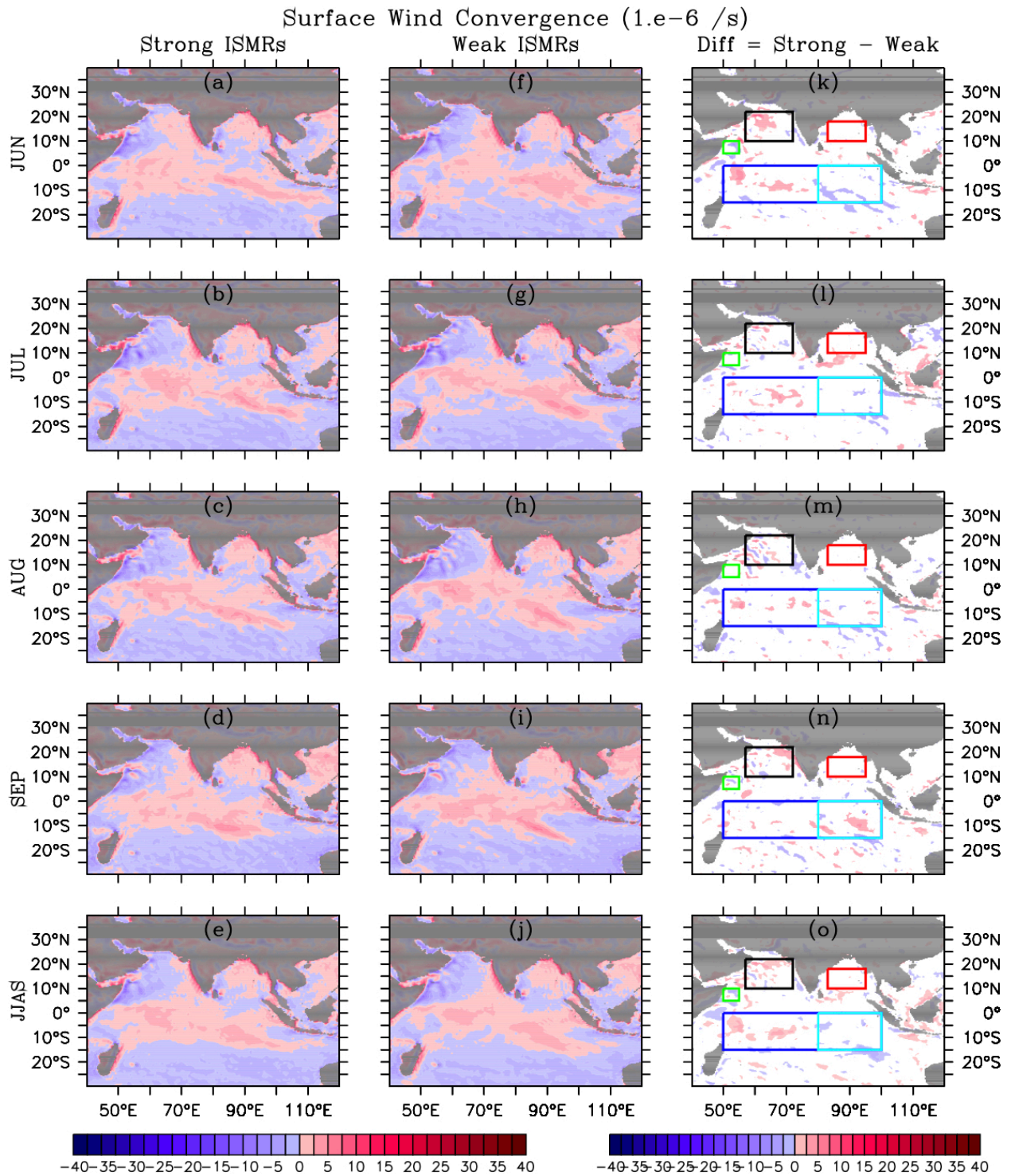
955

956 **Fig. 3** Same as Fig. 2 except for surface wind vector in the Indian Ocean. AS (57°E–72°E, 10°N–
 957 22°N), BoB (83°E–95°E, 10°N–18°N), SC (50°E–55°E, 5°N–10°N), EIO (80°E–100°E, 15°S–0°N),
 958 and SIO (50°E–100°E, 15°S–0°N) are delimited by a black, red, green, cyan, and blue rectangle,
 959 respectively. Unit: m s^{-1} .



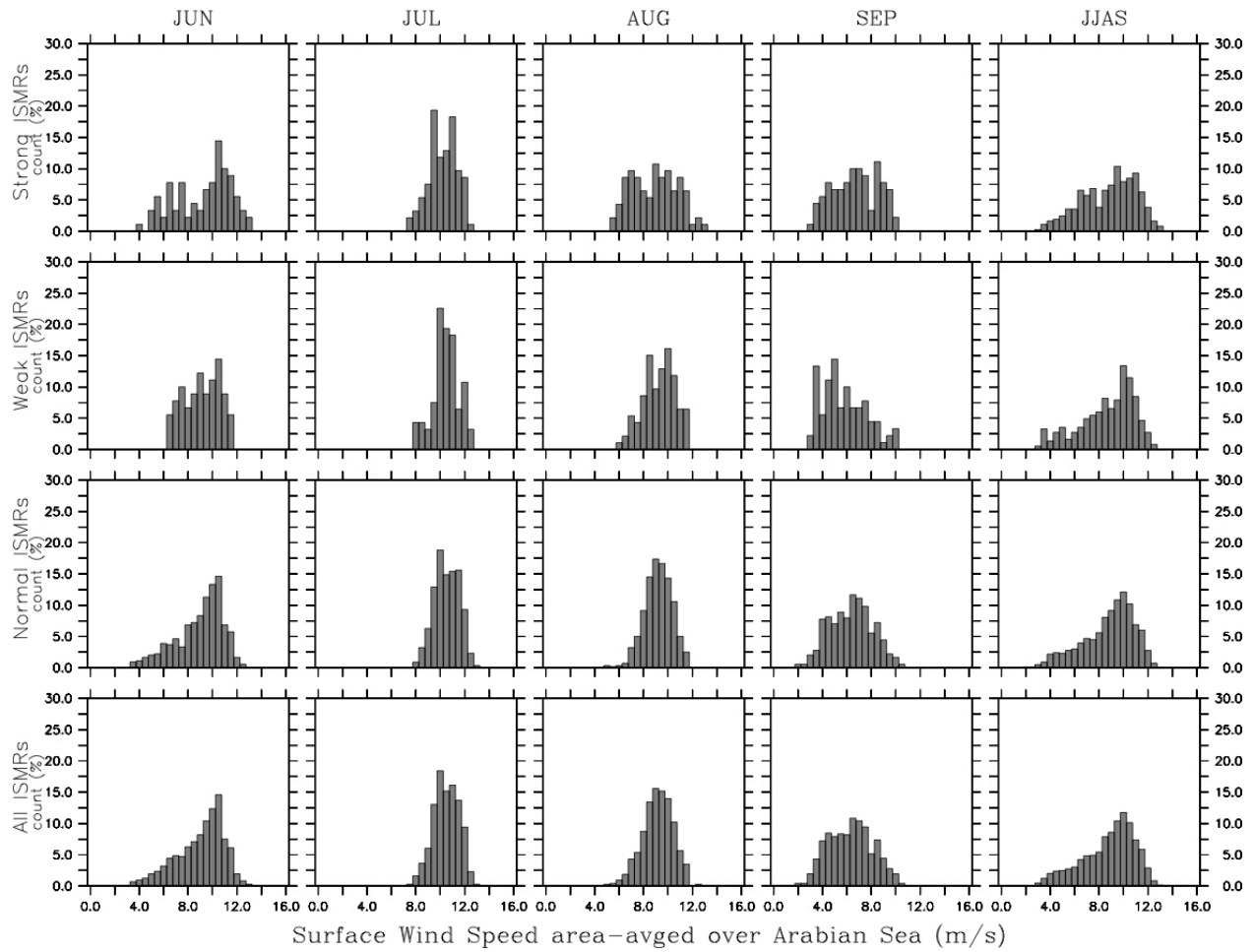
960

961 **Fig. 4** Same as Fig. 3 except for surface wind speed. The left (right) color bar is for temporal mean
 962 (difference) values. Unit: m s^{-1} .



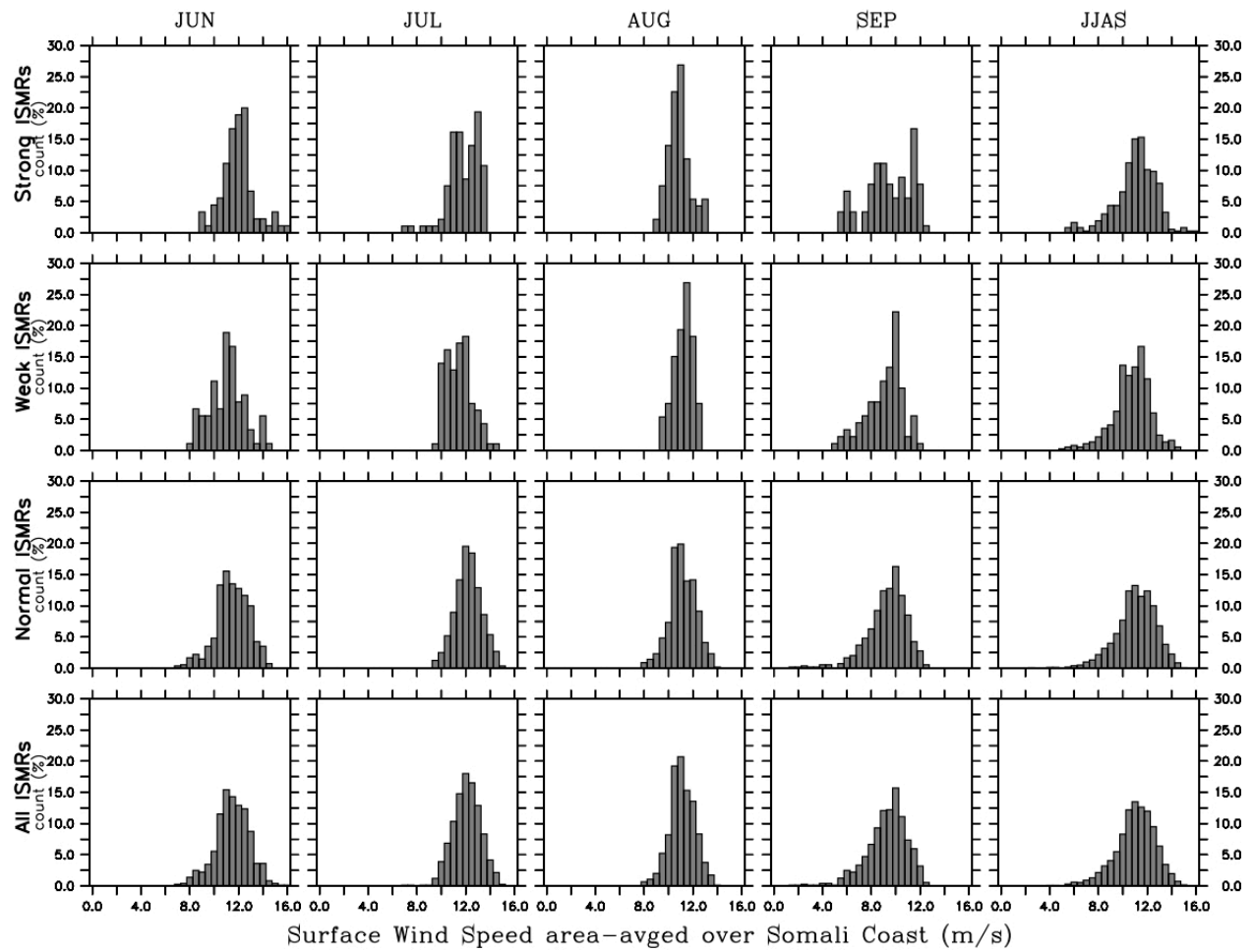
963

964 **Fig. 5** Same as Fig. 4 except for surface wind convergence. Positive (negative) value on the left
 965 two panes denotes surface convergence (divergence). The left (right) color bar is for temporal
 966 mean (difference) values. Unit: $10^{-6} s^{-1}$.



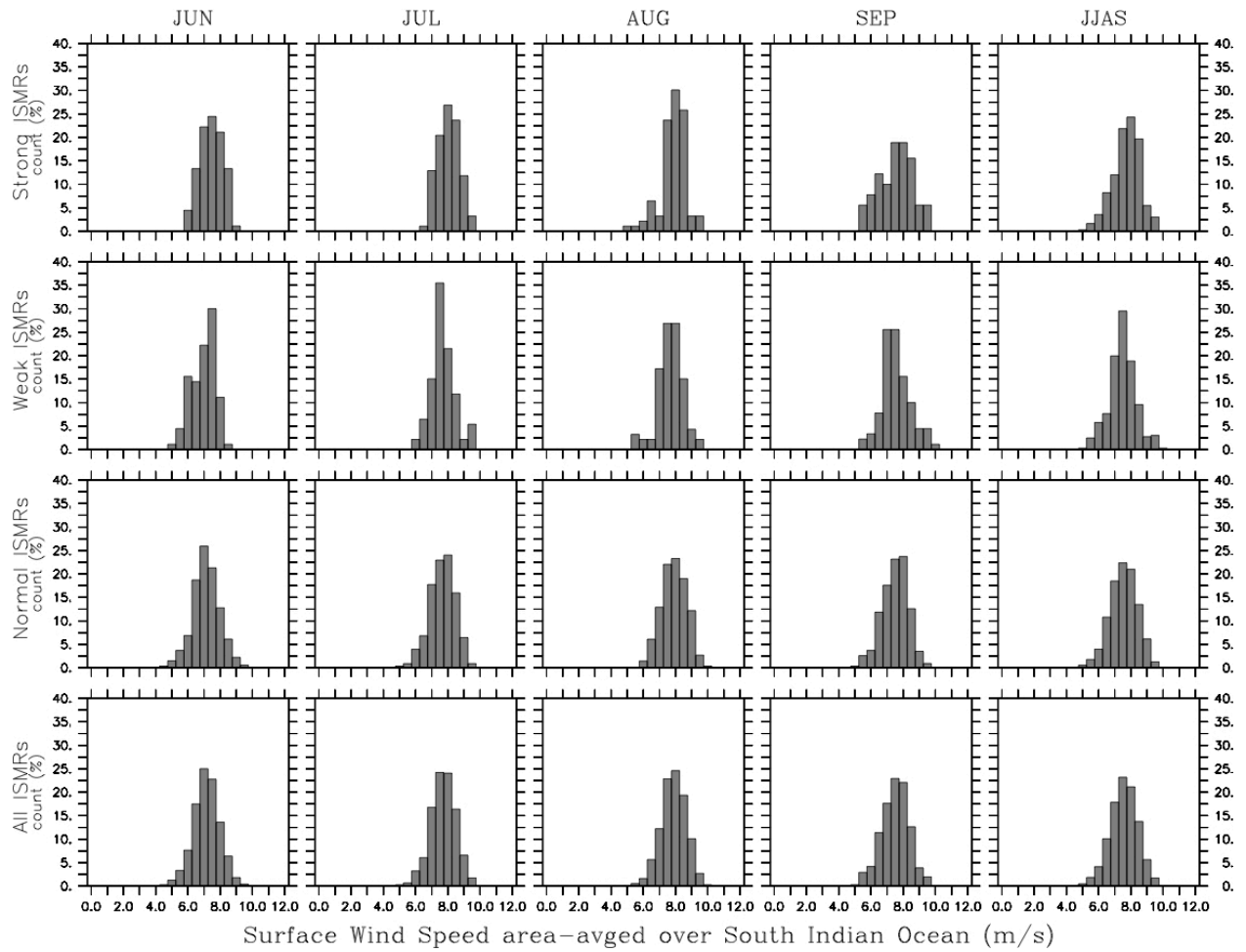
968

969 **Fig. 6** PDF distribution of daily surface wind speed over the Arabian Sea during strong, weak,
 970 normal ISMR years, and across all years (1991–2014). All counts are normalized to 100 for
 971 comparison purposes among the months of each ISMR category, so that the integration of the y
 972 values over the axis represents the occurring probability (in %) for each surface wind range.



973

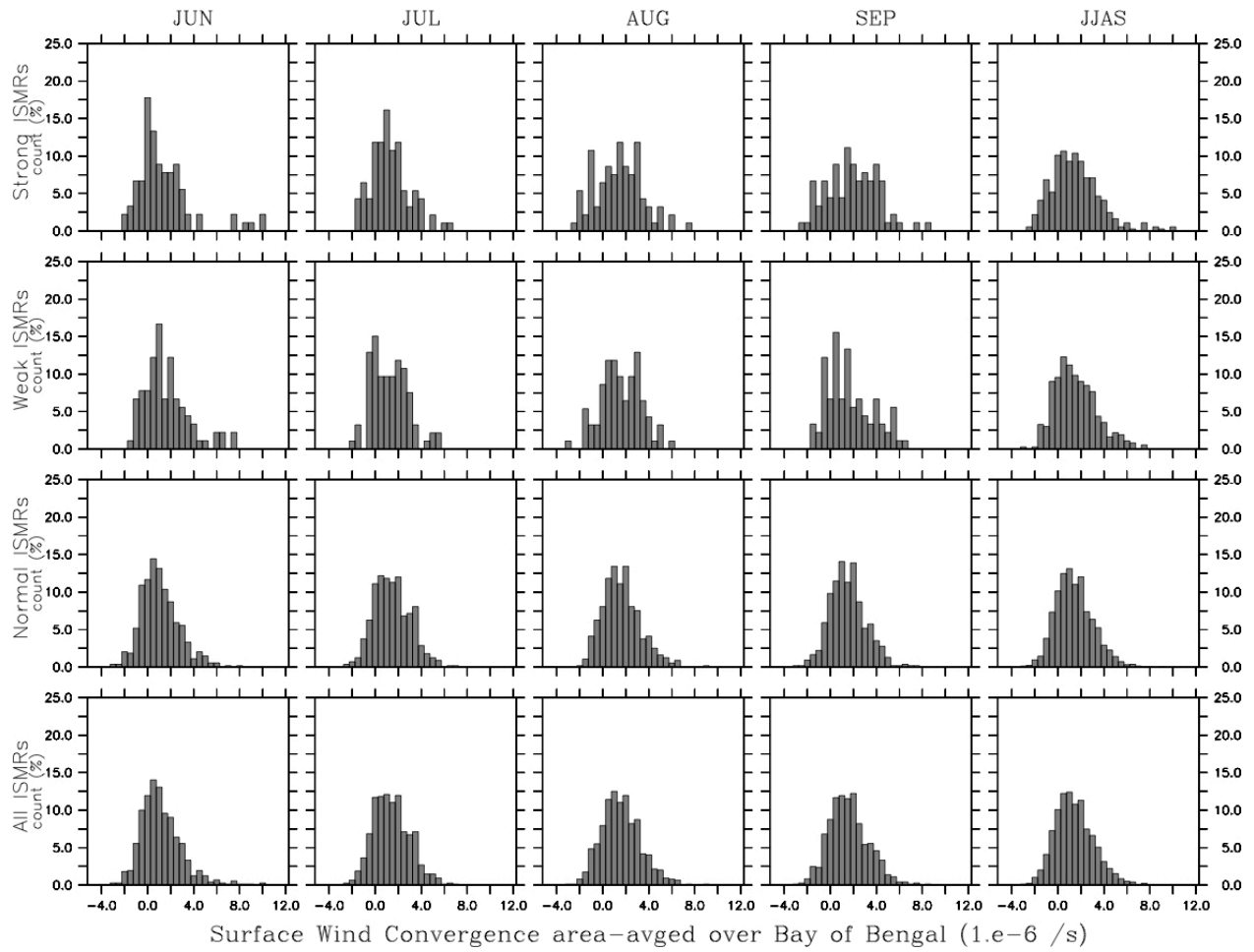
974 **Fig. 7** Same as Fig. 6 except for daily surface wind speed along the Somali coast (SC).



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976 **Fig. 8** Same as Fig. 6 except for daily surface wind speed over the Southern Indian Ocean.

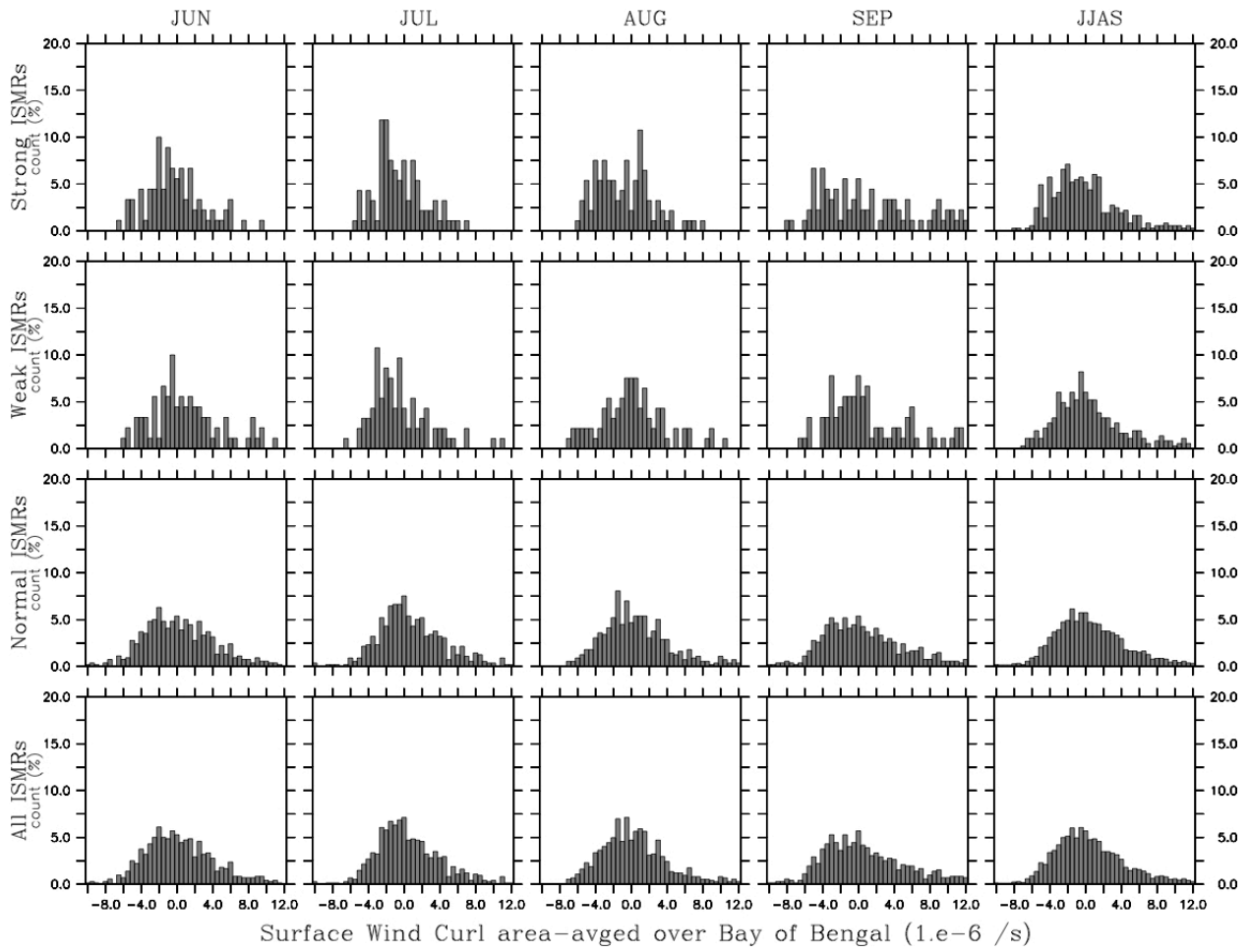
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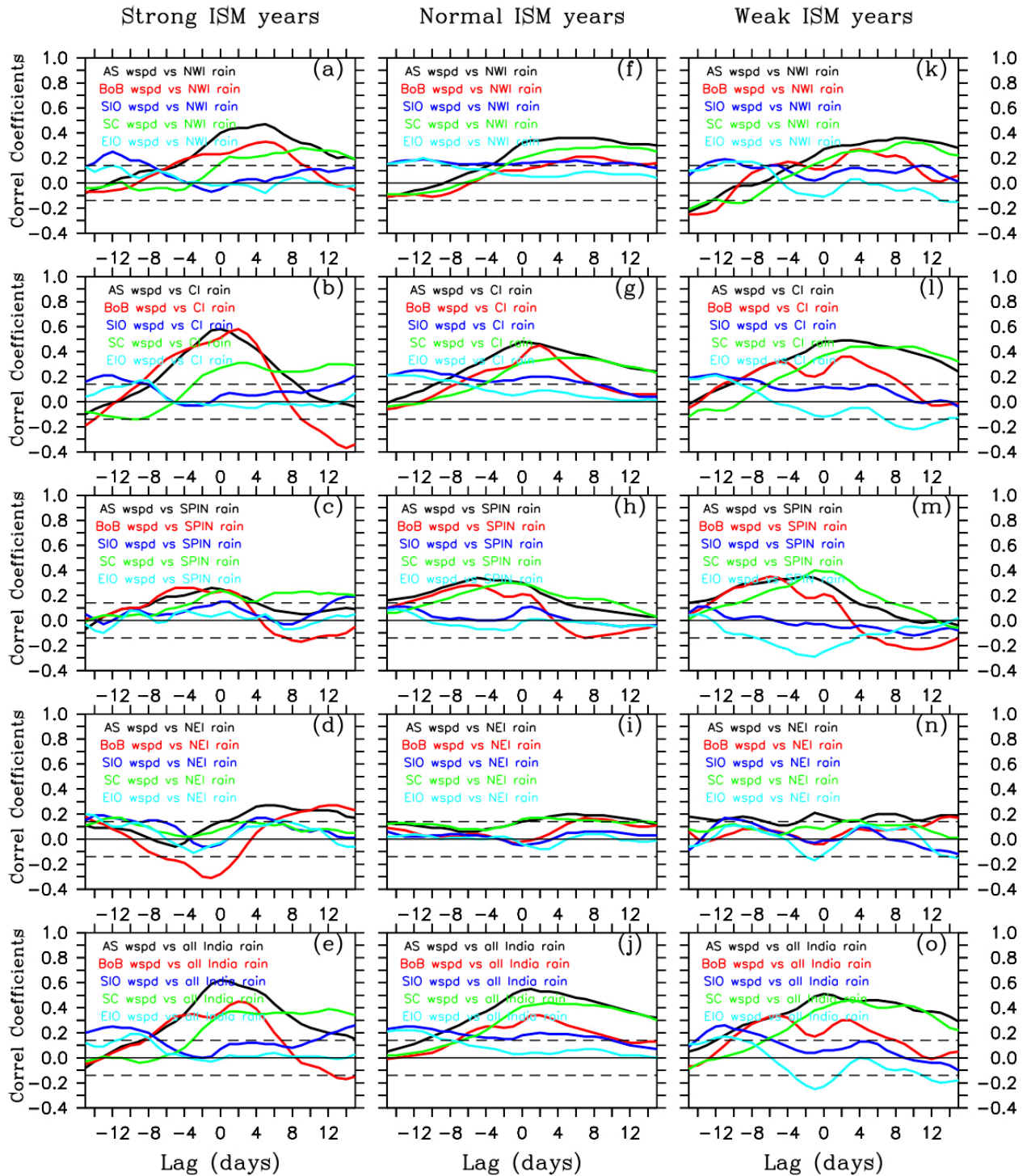
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979 **Fig. 9** Same as Fig. 8 except for daily surface wind convergence over the Bay of Bengal.

980

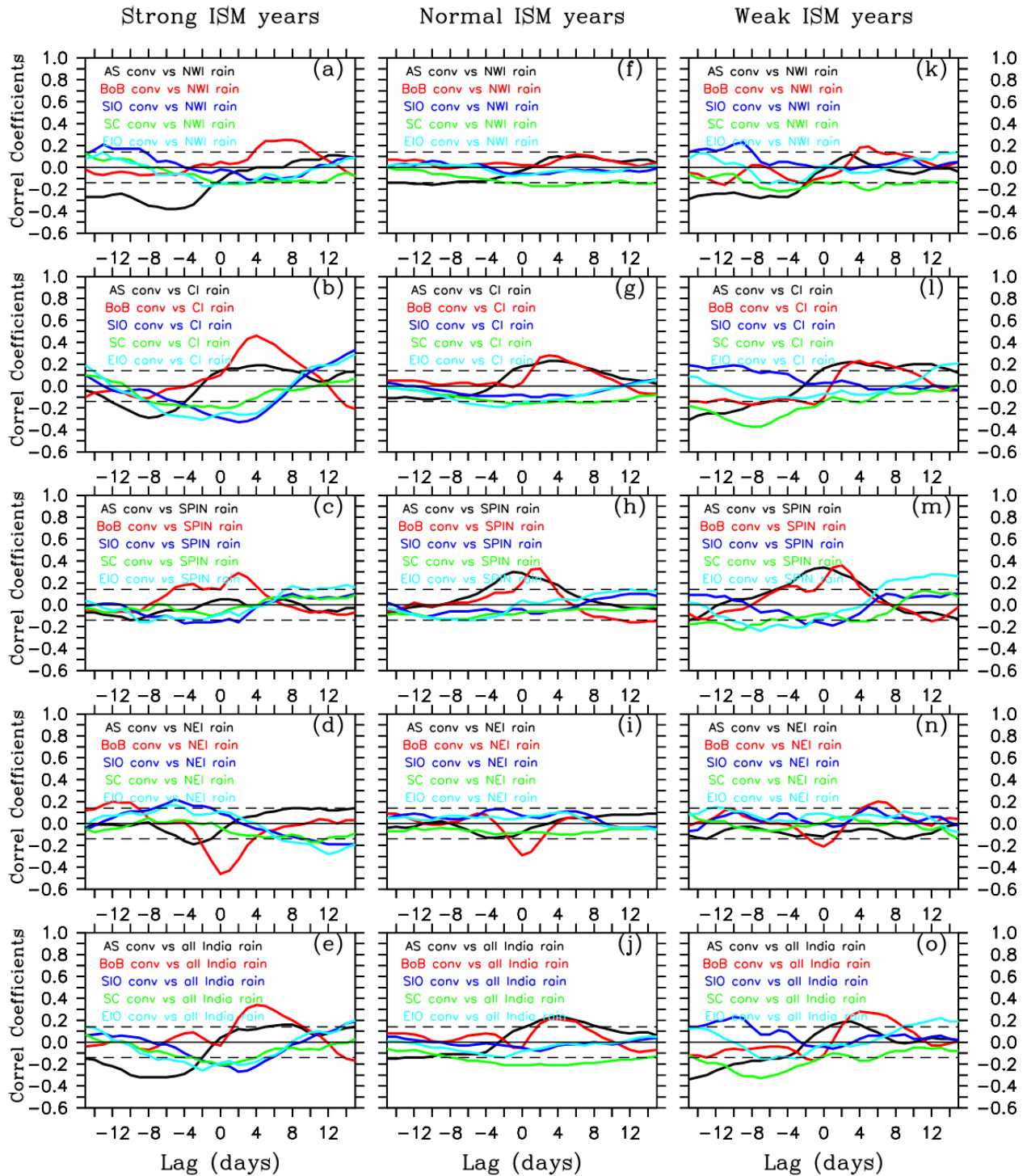


982 **Fig. 10** Same as Fig. 9 except for daily surface wind curl over the Bay of Bengal.



983

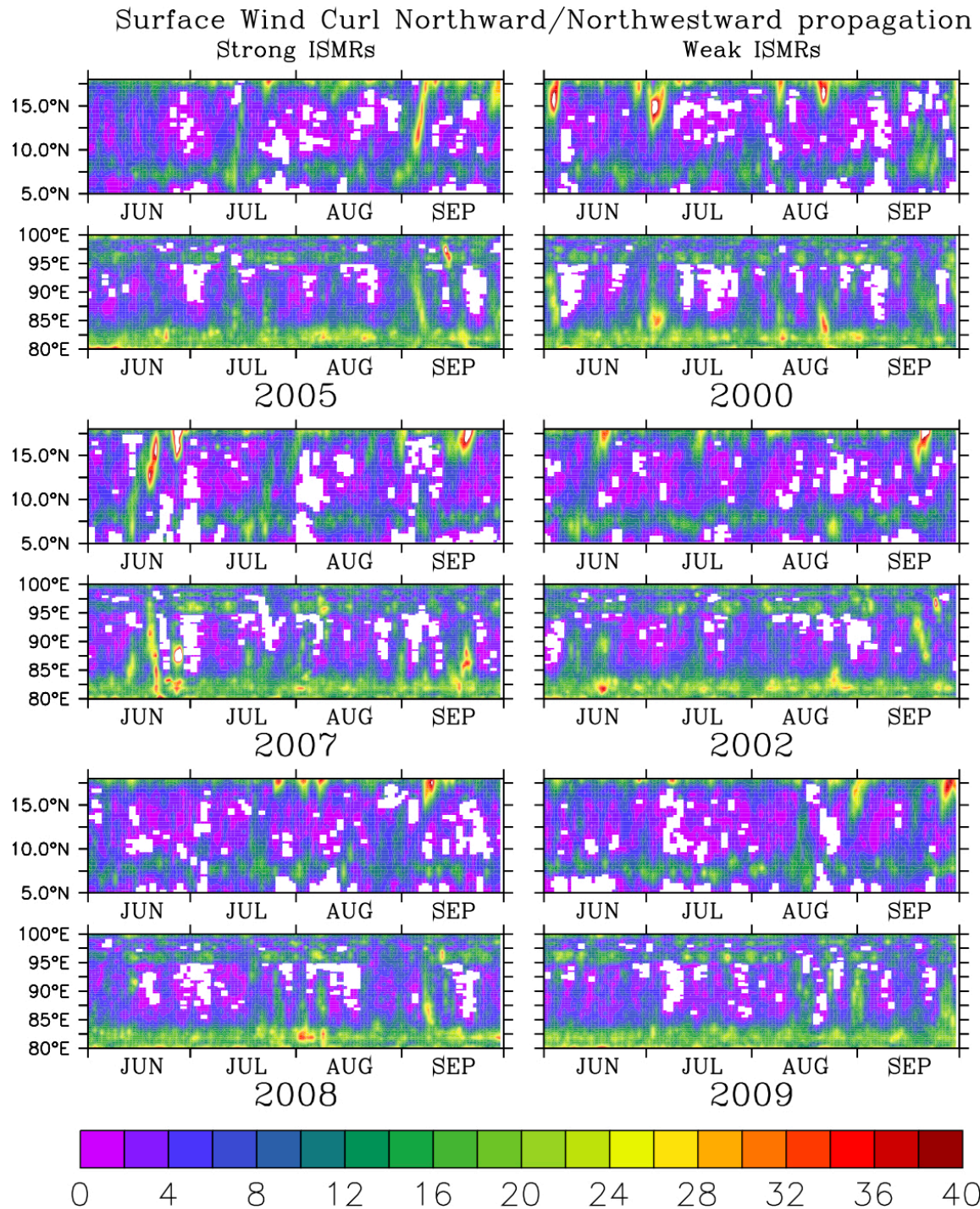
984 **Fig. 11** Lag correlation between daily surface wind speed over the AS, BoB, SIO, SC, EIO and
 985 daily rainfall over (a) NWI, (b) CI, (c) SPIN, (d) NEI, (e) all-India during strong ISM years. (f)–
 986 (j) and (k)–(o) is the same as (a)–(e) but for normal and weak ISM years, respectively. “+” (“–”)
 987 days in x-axis denote surface wind speed leads (lags) rainfall.



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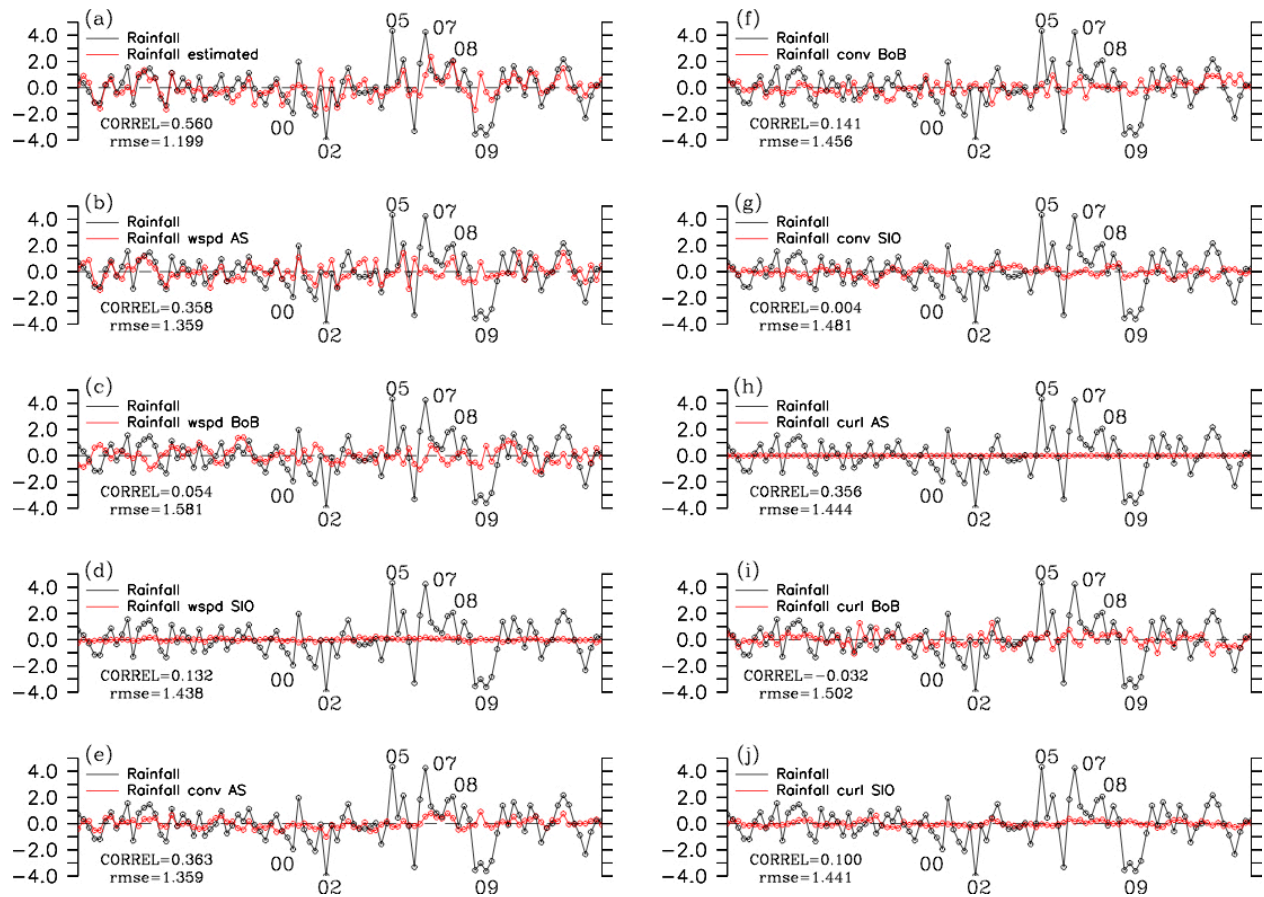
989 **Fig. 12** Same as Fig. 11 except for lag correlation between daily surface wind convergence and
 990 daily rainfall.

991



992

993 **Fig. 13** Time-latitude plot of the daily surface wind curl ($\times 10^{-6} \text{ s}^{-1}$) averaged between 83°E and
 994 95°E (top panel in every year) and time-longitude plot of the daily surface wind curl averaged
 995 between 5°N and 10°N (bottom panel in every year) over the Bay of Bengal during strong (left)
 996 and weak (right) ISMRs. Plots show only positive values of surface wind curl (representing
 997 cyclonic vorticity).



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999 **Fig. 14** Anomalous monthly rainfall (in red) in June through September estimated from wind speed,
 1000 wind convergence, and wind curl in the AS, BoB, and SIO using multiple linear regression model
 1001 was compared to the actual rainfall (in black). (a) Rainfall estimated from the total nine
 1002 components; (b) (c), (d) Rainfall estimated from wind speed in the AS, BoB, and SIO, respectively;
 1003 (e), (f), (g) Rainfall estimated from wind convergence in the AS, BoB, and SIO, respectively; and
 1004 (h), (i), (j) Rainfall estimated from wind curl in the AS, BoB, and SIO, respectively. Correlation
 1005 coefficient and root-mean-square error (rmse) for each component are denoted at the left bottom
 1006 of each panel.

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