

Manuscript Number: MPB-D-20-01038

Title: First report from North America of microplastics in the gastrointestinal tract of stranded bottlenose dolphins (*Tursiops truncatus*)

Article Type: Research Paper

Keywords: microplastics; marine mammals; bottlenose dolphin; estuarine; cetaceans

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Abstract: Microplastics' (MPs) abundance, small size (1 μm - 5 mm), and global distribution render them bioavailable to a variety of organisms directly or by trophic transfer, yet examinations in marine apex predators are currently limited. The present study investigated the occurrence of MPs in the gastrointestinal tract (GIT) of bottlenose dolphins stranded in South Carolina, USA from 2017 to 2018. MPs sized 125 μm - 5 mm were detected in all GITs (n = 7) of stranded bottlenose dolphins. Total MPs ranged between 123 to 422 particles/individual. The most common morphology were fibers (76% of total counts) and common colors were white/clear, black/grey, and blue. This is the first study from North America to quantify MPs in a small coastal cetacean outside Arctic waters and the first specifically in bottlenose dolphins (southeastern United States). Findings and methodology from this investigation can aid future studies examining MP in marine apex predators.

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Highlights

First report from North America of microplastics in the gastrointestinal tract of stranded bottlenose dolphins (*Tursiops truncatus*)

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- Microplastics in all 7 gastrointestinal tracts of bottlenose dolphins examined
- Microplastics may fragment in cetacean digestive tract
- Fibers dominant morphology observed; fragments, films, and foams also present
- Pathways of exposure and potential impacts on dolphins are still poorly understood

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4 **First report from North America of microplastics in the gastrointestinal tract of stranded**
5 **bottlenose dolphins (*Tursiops truncatus*)**
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26 **Abstract**
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28 Microplastics' (MPs) abundance, small size (1 μm – 5 mm), and global distribution render them
29 bioavailable to a variety of organisms directly or by trophic transfer, yet examinations in marine apex
30 predators are currently limited. The present study investigated the occurrence of MPs in the
31 gastrointestinal tract (GIT) of bottlenose dolphins stranded in South Carolina, USA from 2017 to 2018.
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33 MPs sized 125 μm – 5 mm were detected in all GITs (n = 7) of stranded bottlenose dolphins. Total MPs
34 ranged between 123 to 422 particles/individual. The most common morphology were fibers (76% of total
35 counts) and common colors were white/clear, black/grey, and blue. This is the first study from North
36 America to quantify MPs in a small coastal cetacean outside Arctic waters and the first specifically in
37 bottlenose dolphins (southeastern United States). Findings and methodology from this investigation can
38 aid future studies examining MP in marine apex predators.
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48 **Keywords:** Microplastics; marine mammals; bottlenose dolphin; estuarine; cetaceans
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Introduction

As a growing number of publications document the abundance and widespread distribution of plastic in coastal and marine environments, there is mounting concern for the consequential impacts on these ecosystems. Plastic waste can cause physical harm to wildlife both externally, such as suffocation or entanglement, or internally, such as blockages or tears in the gastrointestinal tract (Moore, 2008; Claro *et al.*, 2019). Risks of macroplastic debris have, for instance, been documented for cetaceans (Denuncio *et al.*, 2011; McFee *et al.*, 2014; Currie *et al.*, 2017). Less known are the impacts of smaller, microplastic debris (<5 mm in dimension).

Microplastics (MPs) are categorized by their origin as either primary or secondary. Primary MPs are manufactured small and are used as raw material in industrial processes or as components in production of larger products, while secondary MPs are generated from the fragmentation and degradation of plastic debris in the environment that is exposed to mechanical and chemical abrasion, biological processes, and UV radiation (Cole *et al.*, 2011; Duis and Coors, 2016). Aside from origin, MPs are also described by their shape, color, density, and polymer composition (Galgani *et al.*, 2013), characteristics that are relevant for determining sources, fate, and impacts. MPs are a concern due to their ubiquity, abundance, potential toxicity, and bioavailability to a wide variety of marine organisms (Barnes *et al.*, 2009; Wright *et al.*, 2013; Galloway *et al.*, 2017). Ingestion of MPs has been reported in invertebrates, such as mollusks and crustaceans (*e.g.* Murray and Cowie, 2011; Waite *et al.*, 2018), as well as vertebrates, including fish, sea turtles, seabirds, and marine mammals (*e.g.* Boerger *et al.*, 2010; Lusher *et al.*, 2013; Caron *et al.*, 2018; Duncan *et al.*, 2018; Besseling *et al.*, 2015; Lusher *et al.*, 2015; van Franeker *et al.*, 2018; Hernandez-Gonzalez *et al.*, 2018).

Studies that target MP exposure in cetaceans (*i.e.* whales, dolphins, and porpoises) are very limited due to the large size of these animals, their protected status, and the challenges of obtaining samples in good condition at the time of postmortem analysis. Reports of MPs ingestion in cetaceans have only emerged recently, and only a few individuals from various species are represented (Besseling *et al.*, 2015; Lusher *et al.*, 2015, 2018; van Franeker *et al.*, 2018; Moore *et al.*, 2020). It is thought that the most likely route of exposure to MPs for bottlenose dolphins is through trophic transfer, whereby the MP load is transferred from prey to predator following ingestion. However, recent findings suggest that other incidental ingestion is also a possible route of exposure. Specifically, Xiong *et al.* (2018) and Zhu *et al.* (2019) detected MPs in the gut of a neonate East Asian finless porpoise and a neonate Indo-Pacific humpback dolphin, respectively. These findings suggest that cetaceans may ingest MPs through other behaviors, such as play or exploration of their environment. Determining the extent of exposure is

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4 necessary to begin to assess the potential impacts of MP ingestion by cetaceans like the bottlenose
5 dolphin.
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8 The common bottlenose dolphin, *Tursiops truncatus*, is a flagship species in that they can provide
9 an integrative view of the long term health of entire marine ecosystems (Fossi *et al.*, 2012), and a sentinel
10 species, because monitoring their population can provide an early warning for potential health risks to
11 humans (Wells *et al.*, 2004; Bossart, 2011; Reif *et al.*, 2015). The distribution, behavior, and health of the
12 coastal and estuarine resident stocks of South Carolina are well-studied (Zolman, 2002; Hansen *et al.*,
13 2004; McFee *et al.*, 2006; Houde *et al.*, 2009; Fair *et al.*, 2010; Speakman *et al.*, 2010; Pate and McFee,
14 2012; McFee *et al.*, 2014), and thus they can be extremely valuable tools for assessing the environmental
15 quality of the region. In South Carolina (SC) waters, bottlenose dolphins are apex predators that feed on a
16 variety of fishes and squid. They are the most common cetacean, with resident estuarine and coastal
17 stocks present year-round, as well as migratory stocks that utilize the resources seasonally (Hayes *et al.*,
18 2018). They are also extremely important for ecotourism businesses, particularly in Charleston, SC,
19 Hilton Head, SC, and Myrtle Beach, SC (Green *et al.*, 2010). Dolphins and humans are regularly
20 interacting and sharing resources, and consequently, dolphins are also frequently exposed to plastic in
21 fishing gear, active or derelict, as well as plastic litter from boats or land-based sources (McFee, 2014;
22 Ragland, 2014).
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25 Previous studies have identified estuaries and other coastal areas, particularly urban and industrial
26 coastlines, as MPs hot spots (Isobe *et al.*, 2015; Peters and Bratton, 2016). For instance, recent surveys of
27 Charleston Harbor in SC and the adjacent Cooper, Ashley, and Wando rivers have reported MPs in
28 intertidal sediments, in the sea surface microlayer, and subtidally (Weinstein *et al.*, 2016; Gray *et al.*,
29 2018; Leads and Weinstein, 2019). Payton *et al.* (2020) also detected MPs in zooplankton in Charleston
30 Harbor, with potential for trophic transfer to zooplanktivorous or filter-feeding fishes. A recent
31 investigation of MP ingestion in spotted seatrout, Atlantic menhaden, striped mullet, spot, and bay
32 anchovy from Charleston Harbor found that 98.9% of fish sampled ingested MPs ($\geq 63 \mu\text{m}$) with an
33 overall average of 26.9 ± 4.7 of MPs per fish (Parker *et al.*, in review). Spot, bay anchovy, and menhaden
34 are known prey of bottlenose dolphins in SC coastal and estuarine waters (Pate and McFee, 2012).
35 Therefore, there is reason to believe that the bottlenose dolphins living and feeding in SC waterways are
36 exposed to MPs through their diet.
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39 The objective of the present study was to adapt previous methods by Lusher *et al.* (2015) to
40 quantify MPs in the gut of bottlenose dolphins stranded in South Carolina, USA. Given the overlap of
41 bottlenose dolphins' habitat with coastal urban areas, and the detection of MPs in both the environment
42 and lower trophic levels, we suspected that bottlenose dolphins living and feeding in SC coastal waters
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4 are vulnerable to MP exposure. We hypothesize that MPs will be present in the gastrointestinal tract of
5 stranded bottlenose dolphins and additionally, that the number of MPs will be positively correlated with
6 the total length of the animal (a proxy for age) and the amount of food material in the gastrointestinal tract
7 (an indication of dietary exposure).
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10 11 **Materials and Methods**

12 13 *Sample Collection*

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16 Stranded bottlenose dolphins, among other marine mammals, were reported by the public and the
17 SC Department of Natural Resources to the SC Marine Mammal Stranding Network. When possible,
18 entire animals were retrieved and transported to the National Oceanic and Atmospheric Administration
19 (NOAA), National Ocean Service (NOS) laboratory in Charleston, SC and a full necropsy was conducted.
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21 On some occasions, necropsy had to be performed at the site of the stranding. In either case, the sample
22 collection for gastrointestinal tracts (GITs) followed the same protocol described below.
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27 Bottlenose dolphins have three gastric chambers that precede the intestine. A description of the
28 GIT can be found in **Supporting Information** (Fig. SI-1). Prior to removal from the abdominal cavity,
29 the GIT was closed off at the cranial and caudal end by tying a cotton string around the distal end of the
30 esophagus ~5 cm above the opening to the forestomach and at the end of the intestine to prevent any
31 contamination or loss of contents. The stomach and intestines were then cut away with a stainless-steel
32 scalpel and immediately transferred to a sealed plastic bag and stored frozen (-20°C) at the NOS
33 Charleston laboratory until it came time for processing. It was assumed that since the contents of the GIT
34 were never in contact with the plastic bag, contamination of samples during storage would not be an
35 issue. For each necropsy, Level A data were collected which included location of the stranding, external
36 and internal observations, total length (cm), sex, decomposition state, body condition, and any evidence
37 of human interaction. Stranded marine mammals are given a condition code 1 through 5 based on the
38 scaling outlined by Geraci and Lounsbury (2005). Only GITs from relatively fresh stranded animals (code
39 2 or early 3) were analyzed for MPs. Animals assigned condition codes late 3 and greater, at which point
40 the decomposition is moderate to advanced and sloughing of the stomach lining is observed, were omitted
41 from this study. Additionally, perinates/neonates were omitted due to the assumption that animals not yet
42 weaned and therefore not ingesting MP-contaminated prey would not be exposed to MPs within the size
43 range of interest. A subset of bottlenose dolphins stranded on the SC coastline and estuaries in the years
44 2017 and 2018 were included in this study.
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57 58 *Gastrointestinal Tract Content Washing and Sieving*

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4 The protocol for GIT washing was based on methods used by Lusher *et al.* (2015) with some
5 modifications. Bottlenose dolphin GITs were processed one at a time. The sealed bag containing the
6 stomach and intestines was removed from the freezer and allowed to thaw for approximately 24 hours at
7 room temperature. Once thawed, the three stomach chambers were separated from the intestines and
8 weighed. Beginning with the forestomach, an incision was made and its contents washed with filtered (63
9 μm mesh screen) tap water into nested stainless steel sieves (12" in diameter, mesh sizes 5 mm, 1 mm,
10 355 μm , and 125 μm) to separate into three size fractions. Material captured by the 5 mm sieve was
11 visually examined for macroplastic, otoliths, and squid beaks, which were collected and archived for
12 separate diet analysis. The remaining size fractions (1 to 5 mm, 355 μm to 1 mm, and 125 to 355 μm)
13 were rinsed with filtered deionized (DI) water into glass jars labeled with the dolphin's field number, the
14 stomach chamber, and size fraction. Due to their similar function for chemical digestion and smaller
15 volumes relative to the forestomach, the fundic and pyloric chambers were combined, and the pooled
16 contents processed as described above. The empty stomach (all three chambers) was weighed again and
17 the mass subtracted from the full stomach to determine the mass of the combined stomach chamber
18 contents. The intestine was processed similarly to the stomach, however it was practical to examine only a
19 subsample (1/8th of the total mass). Weight of stomach and intestine contents in sections analyzed is
20 provided in Table SI-1.
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32 33 *Strong Base Digestion*

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36 Organic material present in the sieved size fractions was eliminated by a strong base digestion
37 using 1M potassium hydroxide (KOH), as recommended by Kuhn *et al.* (2017). A 10M KOH stock
38 solution was prepared by dissolving solid KOH pellets in pre-filtered DI water in a glass container. Under
39 a fume hood, a volume of 10M KOH was added to each size fraction sample to dilute to a 1M solution.
40 Immediately following the addition of KOH, the glass container was covered with aluminum foil, swirled
41 gently by hand, and placed on a hot plate at 55 to 60°C. Digestion times ranged between three to seven
42 days depending on the amount of organic material present in the sample.
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48 *Filtration, Density Separation, and Drying*

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51 Following base digestion, sample jars were removed from the hot plates and each size fraction
52 was re-sieved to reduce any colloidal material that remained. Material captured by the sieves was rinsed
53 with pre-filtered DI water and then filtered onto a gridded mixed cellulose ester filter (0.45 μm , 47 mm
54 diameter) using a glass and stainless-steel filter-holder unit over a side-arm flask attached to a vacuum
55 pump. The top of the filtering apparatus was covered with a glass fiber filter during filtration to avoid
56 deposition of MPs from the air.
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4 For samples that contained sediment, an additional density separation step using a calcium
5 chloride solution, CaCl_2 (1.4 g/cm^3 , saturated solution pre-filtered through Whatman© GF/F filter) in a
6 separatory funnel was required prior to filtering the sample. Details of the density separation technique
7 are provided in **Supporting Information**.
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11 After filtration, filters were placed on aluminum dishes, loosely covered, and dried for (<10
12 minutes at 55°C). Dishes were then removed from the drying oven and covered tightly with aluminum
13 foil. Throughout these steps, the time that sample jars or filters were exposed to air was kept at a
14 minimum by working under a fume hood and keeping samples covered whenever possible.
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18 *Microplastic Analysis*

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21 MPs in each size fraction were visually identified under a stereomicroscope (Leica 1500, Wetzlar,
22 Germany) equipped with digital camera and image analysis software (Jenoptik, Progres Gryphax
23 V.1.1.8153). The microscope set-up was enclosed in a metal cubicle with roof to minimize airborne
24 contamination from the rest of the lab room. Counting was done methodically using the filter's grid for
25 spatial reference. Suspected MP particles were photographed and the number, color, and morphology
26 (*i.e.*, fiber, fragment, film, foam) of suspected MPs were recorded, using criteria proposed by Hidalgo-
27 Ruz *et al.* (2012) as a guide. Visual criteria are often unreliable for smaller MPs depending on
28 magnification range of microscopes employed. Therefore, each suspected MP was subjected to the hot
29 needle test before it was counted. The hot needle test is a quick, easy, and cost-effective method that has
30 previously been used to identify MPs in biological samples (Vandermeersch *et al.*, 2015). The test
31 consists of heating a stainless-steel hypodermic needle with a flame until it is red hot and then
32 immediately bringing it close to a suspected MP particle. When approached with the hot needle, plastic
33 particles will melt at the edges or curl, while non-plastic material will not (Barrows *et al.*, 2017). A subset
34 of the larger MPs (>1 mm) that were recovered from samples and blanks were analyzed onsite by Fourier-
35 Transform Infrared spectroscopy with Attenuated Total Reflectance (FT-IR-ATR; Bruker Alpha) and
36 another subset delivered to a laboratory for Raman microspectroscopy (Renishaw inVia confocal Raman).
37 Polymer type was identified by matching samples to reference spectra in the instrument library package
38 or Open Specy, an open source polymer spectroscopy library (Cowger *et al.*, 2020). Further details are
39 available in **Supporting Information**.
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54 In order to examine the effectiveness of the sieving process, a subsample of MPs ($n = 291$) from
55 GITs were measured using image analysis software. Details can be found in **Supporting Information**. A
56 high proportion of measured MPs were found to be outside their intended size fraction since fibers, with
57 width less than the smallest mesh size, can be washed through sieve openings (Table SI-2). As a result,
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4 the size fractions were pooled into a single size fraction (125 µm to 5 mm) for reporting results of MP
5 counts in each GIT section.
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7 8 *Contamination Control and Procedural Blanks* 9

10 Several precautions were taken to minimize contamination while working on processing GIT
11 samples for MP analysis. Before beginning work on a GIT, all equipment was cleaned with natural fiber
12 brushes, rinsed with DI water and rinsed again with acetone. Sample containers were always kept sealed
13 with a screw top lid or covered with aluminum foil. All laboratory surfaces were wiped down with 70%
14 ethanol and all personnel were required to wear a 100% cotton lab coat while in the lab. Water used for
15 washing, rinsing, and preparing chemical reagents was pre-filtered. Preliminary testing to assess the
16 contributions of various potential contamination sources is described in **Supporting Information**.
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23 Procedural blanks were generated and processed alongside each GIT sample. A similar volume of
24 tap water was passed through the clean nested sieves and each sieve rinsed with an equal volume of
25 filtered DI water as its corresponding sample into glass jars. Blank jars were treated to all subsequent
26 processing steps in the same manner as samples and counts in blanks were subtracted based on
27 morphology and color from their corresponding sample. Airborne blanks were created for each dolphin
28 by placing a gridded mixed cellulose ester filter on an aluminum dish and leaving it exposed to the air on
29 the lab bench alongside sample processing. While executing the protocols for this study, there was
30 potential for airborne contamination during two steps: (1) while washing the GIT in the lab sink and (2)
31 while counting MPs under the microscope. Samples were otherwise covered with either aluminum foil or
32 a glass fiber filter. MPs from airborne blanks were counted under the stereomicroscope and subjected to
33 the hot needle test for verification. MPs observed in airborne blanks were not subtracted from samples
34 because procedural blanks inherently captured any potential input from this source; rather airborne blanks
35 served to inform that the contamination contribution from the air was relatively stable on the different
36 days that the washes/counts took place.
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47 *Statistical Analysis* 48 49

50 At the onset of this investigation, standard protocols for quantifying MPs in the gut of stranded
51 stranded cetaceans were absent in the literature, with only a couple of studies to use as reference (*i.e.*
52 Lusher *et al.*, 2015; Besseling *et al.*, 2015). As considerable time was spent in the early stages of this
53 study on consolidating and adapting various methods in the literature, sample size for the present study
54 was limited to GITs from seven individuals (n = 7). Total counts were reported for each individual
55 dolphin as well as for each section of GIT for each dolphin. Mean, median, and range for each MP
56 morphology, and percent composition were reported for MPs belonging to nine broad color categories:
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4 white/clear, black/grey, blue, red/pink, yellow, orange, green, purple, and brown/tan. Kendall's Tau was
5 calculated (RStudio, R 3.6.0) to test for a correlation between total number of MPs and total length,
6 number of MPs in the stomach and mass of the stomach contents, and number of MPs in the intestine
7 subsample and mass of the intestine subsample contents. Wilcoxon ranked sum tests were calculated
8 (RStudio, R 3.6.0) to compare the number of MPs in each GI section.
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13 **Results**

14 *Bottlenose Dolphin Sample Characteristics*

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18 Seven dolphin GITs (n = 7) were analyzed for MPs in this study, and are listed in Table 1 by field
19 number with information on stranding location and date, sex, length, and stranding code. The seven
20 dolphins selected are among the 101 bottlenose dolphins that stranded on the SC coast between 2017 and
21 2018 (48 and 53, respectively) of which 68 dolphins were assigned codes 2-3 (fresh dead and moderate
22 decomposition) and thus could be considered for MP analysis. Four GITs were from animals stranded
23 within an estuarine habitat, and three GITs were from animals stranded on a coast facing the Atlantic
24 Ocean (Fig. 1). Dorsal fin pictures were submitted to the National Marine Mammal Foundation (NMMF)
25 for possible identification in *FinBase* (Adams *et al.*, 2006), but none matched known individuals in the
26 database. No evidence of human interaction for any of the dolphins was observed at the time of necropsy.
27 No macroplastics (>5 mm) were observed in any of the stomach and intestine samples from bottlenose
28 dolphins. Some dietary items were found in samples, however detailed diet analysis was outside the scope
29 of the current work. Otoliths and squid beaks were manually removed from samples for future diet
30 analysis, but polychaete jaws were also observed when samples were analyzed under the microscope.
31 Kuhn *et al.* (2017) reported that polychaete jaws were among the natural materials digested by KOH,
32 however they seemed to be resistant to the processing in the present study. It is thought that
33 concentration, time, and heat applied to samples played a role, but this was not further investigated.
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45 *Procedural Blanks*

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48 MP counts reported in the results of this study are adjusted counts yielded from subtracting MPs
49 in procedural blanks from their corresponding sample. Despite the precautions taken to minimize
50 contamination, MPs were found in all procedural blanks. The largest source identified was tap water used
51 to wash GIT contents onto sieves (see **Supporting Information**). A double-layer stainless steel screen
52 was inserted into the sink faucet aerator to reduce this source of contamination, but some fibers may pass
53 through. Level of suspected MPs in tap water was in the range of concentrations reported elsewhere
54 (Koelmans *et al.*, 2019). In a few cases, MP counts by morphology and color in blanks were greater than
55 what was found in the sample, leading to a count of -1 or -2 and sample counts in this case were reported
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4 as zero. A total of 55 to 119 MPs were counted across blanks when GI compartments and size fractions
5 are combined for individuals. The number of MPs counted in blanks can be reported as a percentage of
6 the total counts in corresponding samples in order to compare the level of blank contamination to
7 recommendations by Provencher *et al.* (2017) that blank counts should ideally be less than 10% of sample
8 counts. In the present study, total blank counts were calculated to be 16% to 37% of total sample counts
9 (mean \pm SD = 22.8 \pm 7.3%). MPs observed in blanks belonged to only two morphologies: fibers and
10 fragments, with fibers being the vast majority (98%). There were no films or foams observed in blanks.
11 Only seven of nine color groups were observed in blanks, with orange and brown/tan not represented
12 (Fig. SI-1). White/clear was the most frequently observed color in procedural blanks (62%).
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20 *Total Number of Microplastics*

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22 MPs were present in all dolphin GITs and in every stomach and intestine section analyzed in this
23 study (100% occurrence). The number of MPs within an individual, blank-corrected, ranged from 123 to
24 422 and on average there were 280.6 \pm 113.0 MPs per dolphin (Table 2). An estimated 3600-4500 pieces
25 of white foam were found in the forestomach sample from dolphin field number SC1820. These pieces
26 likely originated from larger pieces of foam that may have broken apart during digestion while the
27 dolphin was alive, or during postmortem processing of the stomach for MP analysis, and thus is
28 represented by “1” for analyses.
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35 There were no significant differences in number of MPs among the three GIT sections ($p > 0.1$,
36 Fig. 4A, 4B). The number of MPs within the forestomach ranged from 16 to 151, while the number of
37 MPs in the fundic+pyloric stomachs ranged from 47 to 245 (Table 2). The number of MPs within the
38 intestine sample (1/8th of total mass) ranged from 45 to 134 (Table 2). Neither the total length of the
39 animal (Fig. SI-6) nor the mass of stomach contents (Figure 3; $z = 0.7596$, $p = 0.4475$, $\tau = 0.2928$)
40 explained the variance among MP counts. However, there was a significant positive correlation between
41 number of MPs and the mass of contents in the intestine subsample (Figure 3; $z = 2.2787$, $p = 0.0227$, τ
42 = 0.7807).
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49 *Microplastic Morphology, Color, and Polymer Identification*

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51 Four MP morphologies were observed in samples: fibers comprised the greatest percentage of
52 MPs, followed by fragments, films, and foams (example photographs of each type are provided in Figures
53 SI-3-5). Size analysis of MPs across GIT compartments in one individual, SC1730, found that the longest
54 dimension was significantly smaller ($p < 0.0001$) in the intestine than the forestomach and fundic+pyloric
55 compartments (Tukey’s pair-wise comparison; see **Supporting Information**). Nine color categories were
56 identified based on the MPs counted in samples. Overall, the greatest proportion of MPs were white/clear
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4 (66.6%), followed by black/gray (12.6%), and blue (9.1%). The remaining four colors were observed in
5 less abundance: red/pink (3.4%), yellow (3.4%), orange (1.8%), brown/tan (1.7%), green (1.0%) and
6 purple (0.4%) (Fig. 4A). White/clear was not only the most common color observed overall but was also
7 consistently the most common color observed across individuals (Figure 4B).
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11 Twelve MPs ($\geq 500 \mu\text{m}$) were selected from various samples for analysis by FT-IR-ATR
12 spectroscopy with useful spectra only acquired for five due to relatively low instrument sensitivity. A
13 white/clear film and a brown fragment produced spectra resembling polyamine powdered resin glue used
14 as a weather and water-resistant wood glue, a white foam resembled low-density polyethylene foam
15 mixed with a paraffin wax, a yellow fragment matched to polypropylene, and a white fiber matched to
16 polyethylene. A black fiber from dolphin field number SC1732 produced a spectrum resembling that of
17 carbon black (a component of tires and other rubber materials) as seen in the study by Leads and
18 Weinstein (2019), but there was no match in the instrument spectral library. Additionally, fifteen
19 suspected MPs isolated from the intestine of SC1820 in the 1-5 mm size fraction and four suspected MPs
20 from the corresponding blank were analyzed by Raman microspectroscopy. All white/clear fibers
21 analyzed (five in sample and two in blank) and one black fiber in the blank were identified as
22 polyethylene terephthalate (PET). The other spectra, all for colored fibers or fragments, were not resolved
23 due to fluorescence masking. Details on match quality, including spectra and photographs of analyzed
24 particles are provided in the **Supporting Information**.
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35 **Discussion**

36 *Comparison of Microplastics Abundance and Size to Other Studies*

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38 The present study confirmed that bottlenose dolphins are exposed to MPs and the number of MPs
39 ranged from 123 to 422 per individual. MPs were observed throughout the GIT, marked by their presence
40 in all sections (forestomach, fundic+pyloric, and intestine). This suggests that MPs can travel through the
41 digestive tract of cetaceans and be egested. The identification of MPs throughout the digestive tract has
42 also been reported by other recent studies in marine mammals (Lusher *et al.*, 2018; Nelms *et al.*, 2019;
43 Moore *et al.*, 2020). While macroplastic debris was not observed in GITs, there was evidence to suggest
44 that plastics were fragmenting. One such example is the thousands of pieces of polyethylene foam found
45 in one individual (Fig. SI-6). In addition, MPs in the intestine sample of dolphin field number SC1730
46 were significantly smaller than those found in the forestomach and fundic+pyloric sections. Although a
47 preliminary observation, this result may infer that digestive processes break MPs down further into
48 smaller pieces. Besseling *et al.* (2015) also remark on the possibility of fragmentation of particles
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4 occurring during passage of the gastrointestinal tract or sample processing. Further research is needed to
5 understand how non-digestible particles such as plastics traverse the GIT of cetaceans.
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8 Studies specific to cetaceans that can be compared to the results in the present study are currently
9 limited (Table 3). A common finding across all studies, present included, is the presence of MPs in the
10 GIT of all individuals examined. The finding that MPs are ingested by cetaceans of various species and
11 inhabiting different bodies of water illustrates the widespread MP contamination of coastal marine food
12 webs.
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17 The number of MPs enumerated in GITs of individual cetaceans varies among published studies,
18 however, all previous studies observed notably fewer MPs than the present study. Lusher *et al.* (2018)
19 examined the GITs from 21 cetaceans (stranded or bycatch between 1990 and 2015) from Ireland for
20 MPs, including two bottlenose dolphins, and plastic particles (0.3 to 16.7 mm) ranging from 1 to 88
21 MPs/individual. Moore *et al.* (2020) provided the first measure of MP ingestion in the beluga whale
22 (*Delphinapterus leucas*). From sampling the stomach, intestine, and feces, they estimated abundance
23 ranged from 18 to 147 MPs/individual. To date, this is the only study aside from the present originating
24 from North American waters. Previous studies that only analyzed part of the GIT (i.e. stomach or
25 intestine only) also showed lower detections than the present study when the same GI section is
26 compared. Hernandez-Gonzalez *et al.* (2018) examined the stomach contents of 35 common dolphins
27 (*Delphinus delphis*) stranded on the Galician coasts of Spain from 2005-2010 and found 3 to 41
28 MPs/individual. The present study found a range of 67 to 304 MPs/individual in stomach contents. Xiong
29 *et al.* (2018) investigated MP ingestion in seven bycaught East Asian finless porpoises (*Neophocaena*
30 *asiaeorientalis sunameri*) from 2015 in the intersection between the Yellow Sea and Bohai Sea of China
31 by examining their intestinal contents and found 10 to 32 MPs/individual. Again, the total and range was
32 less than what was measured in the intestine for bottlenose dolphins in this study (45 to 134
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4 behavior that may also help explain the results. For example, difference in marine debris ingestion was
5 found in two species of dolphins depending on feeding niche at the sea bottom versus in near-surface
6 habitat (Di Benedetto and Ramos, 2014). Bottlenose dolphins move freely throughout the SC coastal
7 waterways, including the harbors, bays, and sounds and the rivers that feed into them (Gubbins, 2002). As
8 a result, they are feeding and socializing in areas characterized by high commercial and recreational
9 boating and fishing, tourist activities, and land and urban development (McFee, 2014; Ragland, 2014),
10 encountering plastic pollution, equipment, and structures. Although dolphins do not drink seawater, they
11 may be exposed to MPs from point sources upriver, such as wastewater effluent (Conley *et al.*, 2019),
12 MPs in run-off from roads, such as tire wear particles (Leads and Weinstein, 2019), or MPs generated
13 from the breakdown of plastic litter in the environment (Weinstein *et al.*, 2016). Even members of the
14 coastal migratory stock are in proximity to sources as they pass within two kilometers of the coast.
15 Furthermore, dolphins are generalist predators that feed on a wide variety of prey. In a recent study on
16 five fish species commonly found in Charleston, SC, researchers found that 99% of sampled fish had MPs
17 in their gut (Parker *et al.*, in review). All five species included in the study are common prey items of
18 bottlenose dolphins feeding in the area based on diet analysis from stranded animals (Pate and McFee,
19 2012). The work that has been conducted in recent years in South Carolina, mainly in Charleston, has
20 contributed to our understanding of the distribution and abundance of MP pollution in the area and the
21 exposure to various species at different trophic levels, which aids in understanding the exposure in the
22 ecosystem's top level predators, such as the bottlenose dolphin.

33 *Correlation of Microplastic Abundance to Total Length and Digestive Contents*

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39 Although sample size in the present study was limited to seven individuals, we preliminarily
40 tested for associations between MP abundance and dolphin size and digestive contents. Total length,
41 measured from the tip of the upper rostrum to the fluke notch, is used as a proxy for determining age
42 class. Denuncio *et al.* (2011) and Puig-Lozano *et al.* (2018) both found that occurrence of marine debris
43 ingestion was higher in younger animals. However, length of animal may also be associated with more GI
44 volume or surface area to retain MP. MP abundance in the GIT of stranded bottlenose dolphins was not
45 found to have a correlation with total length. Hernandez-Gonzalez *et al.* (2018) also found no correlation
46 between MPs in stomach contents and total length in the common dolphin. In the present study,
47 neonate/perinate bottlenose dolphins were purposely excluded because it was assumed that animals not
48 hunting for prey would not be exposed to MPs. Interestingly, the study by Xiong *et al.* (2018) included
49 one neonatal (<73 cm) porpoise, whose intestines contained comparable numbers of MPs, comprised of
50 fibers, fragments, and foams, to intestines of adults the study. Furthermore, Zhu *et al.* (2019) also detected
51 MPs within a humpback dolphin calf. These findings put into question the validity of the assumption that
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4 newborns still not yet weaned do not ingest MPs, and suggests other incidental ingestion sources. A larger
5 sample size of stranded dolphins that includes all age classes such as neonate/perinate, juvenile, subadult,
6 and adult (as distinguished by total length or other aging techniques) along the southeastern U.S. coast
7 may provide more insight to this question.
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11 Furthermore, it was hypothesized that the number of MPs within the stomach and intestine are
12 correlated with the wet weight of the contents, assuming that MPs are obtained primarily from diet. While
13 the mass of stomach contents was not correlated with its MP abundance, the mass of the intestine
14 subsample contents was found to have a positive correlation. This may simply be due to random chance
15 resulting from a limited sample size of seven animals, since the correlation was not particularly strong, or
16 it could be a result of digestion of contents leading to a lower water content and therefore stronger
17 relationship between mass and number of MPs.
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23 24 *Comparison of Microplastic Morphology and Color to Other Studies*

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27 MPs within the GIT of stranded bottlenose dolphins in the present study were of four different
28 morphologies: fibers, fragments, films, and foams. A fifth morphology that has been observed in some
29 other studies is spheres (*e.g.*, Hernandez-Gonzalez *et al.*, 2018, Moore *et al.*, 2020); however, spheres
30 were not observed in any of the seven dolphin GITs in this study.
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34 Consistent with findings in other marine biota, including cetaceans, microfibers were in highest
35 relative abundance (76.1% of MPs). A review of studies on MPs in aquatic organisms by de Sá *et al.*
36 (2018) found that fibers and fragments are the most commonly reported types in field surveys. In
37 cetaceans, Lusher *et al.* (2018) found that fibers were the most common (83.6%) while the remaining
38 items were classified as fragments (16.4%). Interestingly, white fibers, which were by far the most
39 abundant type of MP observed in the present study, were found by Lusher *et al.*, (2018) to be a tiny
40 proportion of both total fibers (0.6%) and total MPs (0.5%). However, this may be a result of their
41 method of using a white glass microfiber filter, which can hinder visual detection of transparent or white
42 fibers. Furthermore, Hernandez-Gonzalez *et al.* (2018) found that 96.6% of MPs recovered from the
43 stomach contents of common dolphins in Spain were fibers. Microfibers made up the largest percentage
44 of total MPs in the intestines from East Asian finless porpoises (Xiong *et al.*, 2018) and humpback
45 dolphins (Zhu *et al.*, 2019) from China coastlines (70% and 70.3%, respectively), which is consistent with
46 the findings in the present study, however by contrast, microfibers were not the dominant morphology in
47 all individuals of East Asian finless porpoises (range 43.8 to 93.3%). Finally, fibers comprised about half
48 (49%) of the morphology observed in beluga whales sampled by Moore *et al.* (2020). Additionally, fibers
49 were the dominant morphology found in fish species known to be common prey items for bottlenose
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4 dolphins in coastal SC waters (Parker *et al.*, in review). Fibers in coastal waters may originate from
5 treated or untreated wastewaters, stormwaters, or from the degradation of plastics in the environment
6 (Conley *et al.*, 2019; Weinstein *et al.*, 2016; Naik *et al.*, 2020).
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10 The color categories observed in this study were very similar to the nine different colors of MPs
11 identified by Lusher *et al.* (2018) but differed in that gray was a separate color from black and brown/tan
12 was not observed. Of the nine color categories for MPs identified in the present study, white/clear was by
13 far the most observed color, even after blank-correction, although white/clear fibers were also the most
14 abundant MP observed in procedural blanks. This finding is not unique to this study; in fact, this has led
15 some investigators to omit white fibers from consideration altogether (Dekiff *et al.*, 2014; Goldstein and
16 Goodwin, 2013; van Cauwenberghe *et al.*, 2013; Hernandez-Gonzalez *et al.*, 2018). White/clear is
17 commonly reported as the most or one of the most abundant colors in MP studies, such as in northern fur
18 seals (Donohue *et al.*, 2019), southern ocean fur seals (Eriksson and Burton, 2003), north Pacific pelagic
19 predatory fish (Choy and Drazen, 2013), riverine fish (Roch and Brinker, 2017), and estuarine fish in
20 Charleston Harbor, SC (Parker *et al.*, in review). However, it should be considered that some reagents
21 used for digestion methods, such as KOH used in this study and nitric acid by other studies (Caron *et al.*,
22 2018) can cause discoloration of some polymers possibly resulting in overrepresentation of white/clear
23 MPs in the sample. At the low concentration (1M) utilized by this study, Kuhn *et al.* (2017) found that a
24 wide range of polymer types in different shapes and forms were not affected. However, it is uncertain
25 how degradation in the environment as well as in the digestive tract of bottlenose dolphins can affect
26 these results. As noted by Caron *et al.* (2018), other factors that affect whether color is retained include
27 the chemical composition of color agents in the plastic particles and the manufacturing technique with
28 which these color agents were incorporated (i.e. dispersion vs. dissolution).
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42 Aside from white/clear, black and blue were the other most commonly observed colors in the
43 present study (12.6% and 9.1%, respectively). The most commonly observed colors by Lusher *et al.*
44 (2018) in cetaceans were blue (29.3%), grey (18.2%), and black (16.9%). If black and grey are combined
45 as they are in the present study, black/grey becomes the second most abundant color, before blue, just as
46 the present study found. In the study by Hernandez-Gonzalez *et al.* (2018) on common dolphins (white
47 omitted), blue (45.3%) and black (24.6%) also appeared more frequently than other colors. Finally, the
48 most prevalent color for MPs found in finless porpoises (Xiong *et al.*, 2018) was reported to be blue,
49 while blue was the third most abundant color found in bottlenose dolphins, after white/clear and black.
50 Color of MPs is important to note because it may provide insight as to what organisms feeding directly on
51 MPs are likely to detect or select (Provencher *et al.*, 2017), and thus which colors (and therefore also
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4 additive dyes) are more likely to enter the food web and potentially be transferred to apex predators like
5 the bottlenose dolphin.
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7 8 *Polymer Identification* 9

10 A shortcoming of the primary methods used in this study (visual identification and hot needle
11 test) is that the polymer type is not revealed. Polymer type is an important quality to report because it
12 gives insight into the potential sources of contamination as well as the physical and chemical properties of
13 the particles, such as density and chemical additives, which dictate their fate and impacts in marine
14 ecosystems. Furthermore, these techniques can confirm whether a particle identified as plastic through
15 visual detection is in fact plastic (avoid false-positives) or identify MPs that may elude visual detection
16 (avoid false-negatives). In the investigation by Moore *et al.* (2020) in beluga whales, of the 350 suspected
17 MP particles identified via visual detection (but not using hot needle test), 81 (23%) were confirmed
18 plastic through FT-IR and approximately 192 (55%) were determined semi-synthetic. Natural materials,
19 such as rubber or cotton, can be blended with plastics (e.g. textiles) or used as starting materials to create
20 semi-synthetic polymers. Semi-synthetic MPs may also be a concern in the environment (Remy *et al.*,
21 2015). Natural and semi-synthetic materials should not react under hot needle test employed in the present
22 study. More research is needed to evaluate how polymer typing can contribute to a greater understanding
23 of pathways of exposure and potential risks to aquatic ecosystems.
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34 35 **Conclusion** 36

37 Bottlenose dolphins are long-lived marine apex predators in South Carolina coastal and estuarine
38 ecosystems. MPs are widely distributed globally and are increasingly being recognized as an emerging
39 contaminant of concern. Only a handful of studies have been conducted to document MP exposure by
40 higher trophic level biota and are mainly restricted to European (*e.g.*, Lusher *et al.*, 2015, 2018;
41 Hernandez-Gonzalez *et al.*, 2019; Nelms *et al.*, 2019) and Chinese (Xiong *et al.*, 2018; Zhu *et al.*, 2019)
42 waters. Lusher *et al.* (2018) call for more international data on MP ingestion by marine mammals. To our
43 knowledge, the present study is the first originating from the western Atlantic Ocean and southeastern
44 United States. Consistent with previous findings elsewhere in small coastal cetaceans, MPs, primarily
45 microfibers, were detected in the GIT of all individuals and GI sections examined, but the number of MPs
46 per individual was greater than what has been previously observed. At this time, a lack of standard
47 methods for extracting, isolating, and enumerating MPs in the gut of cetaceans hinders study cross-
48 comparisons (Provencher *et al.*, 2017). Although methods were developed contemporaneously, the
49 present study does agree with the protocols recommended for wider adaptation in Claro *et al.* (2019).
50 Harmonization for future monitoring work as well as research into potential toxicological concerns will
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4 improve understanding of whether MPs pose a threat to marine apex predators such as bottlenose
5 dolphins.
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10 **CRedit authorship contribution statement**

11 **Francesca Battaglia:** Conceptualization, Methodology, Formal Analysis, Investigation, Visualization,
12 Writing- Original draft preparation, Funding Acquisition. **Barbara Beckingham:** Conceptualization,
13 Methodology, Resources, Writing – Review & Editing, Supervision. **Wayne McFee:** Conceptualization,
14 Methodology, Resources, Data Curation, Writing- Review & Editing, Supervision
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16
17 **Declaration of competing interest**

18
19 None.
20

21 **Acknowledgements**

22
23 The authors would like to acknowledge Dr. Robert Young at Coastal Carolina University, the SC
24 Stranding Network Coordinator, and the volunteers of the SC Marine Mammal Stranding Network, as
25 well as the SC Department of Natural Resources for response, post-mortem analyses, and the collection of
26 samples and data for the dolphins utilized in this study. Dr. Carlos Carrero and Dr. Jorge Moncada at
27 Auburn University are thanked for Raman microspectroscopy analysis. In addition, the authors would like
28 to acknowledge Dr. Leslie Hart at the College of Charleston and Dr. John Kucklick at the National
29 Institute of Standards and Technology for assistance with conceptualization and writing the Master’s
30 thesis from which this study draws. Thanks to Todd Speakman at the National Marine Mammal
31 Foundation for attempting photo-identification of the dolphins examined in this study. NOAA Disclaimer:
32 The scientific results and conclusions, as well as any opinions expressed herein, are those of the authors
33 and do not necessarily reflect the views of NOAA or the Department of Commerce. The mention of any
34 commercial product is not meant as an endorsement by the Agency or Department. This research was
35 partially funded by the College of Charleston, the NOS/NOAA Marine Debris Program, and Coastal
36 Carolina University. Funding was provided by the Graduate Program of Marine Biology, The Graduate
37 Student Association, and The Graduate School at the College of Charleston.
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TABLES

Table 1. Descriptions of stranded *T. truncatus* from South Carolina with GITs analyzed for microplastics.

Field #	Stranding Date (MM/DD/YY)	Location	Sex	Total Length (cm)	Condition Code*
SC1704	01/18/17	Isle of Palms, Charleston County	F	245	2
SC1730	06/07/17	Daniel Island, Berkeley County	M	210	3
SC1732	06/16/17	Seabrook Island, Charleston County	F	194	3
SC1751	12/17/17	Isle of Palms, Charleston County	F	235	2
SC1802	01/10/18	Chechessee River, Beaufort County	F	222	3
SC1820	03/29/18	Kiawah Island, Charleston County	M	167	3
SC1828	04/25/18	Seabrook Island, Charleston County	M	256	3

*Code 2 = fresh dead; code 3 = moderately decomposed

Table 2. Total numbers of microplastics by morphology as measured for each section of individual bottlenose dolphin GITs and descriptive statistics for combined GI counts. Numbers are blank-corrected.

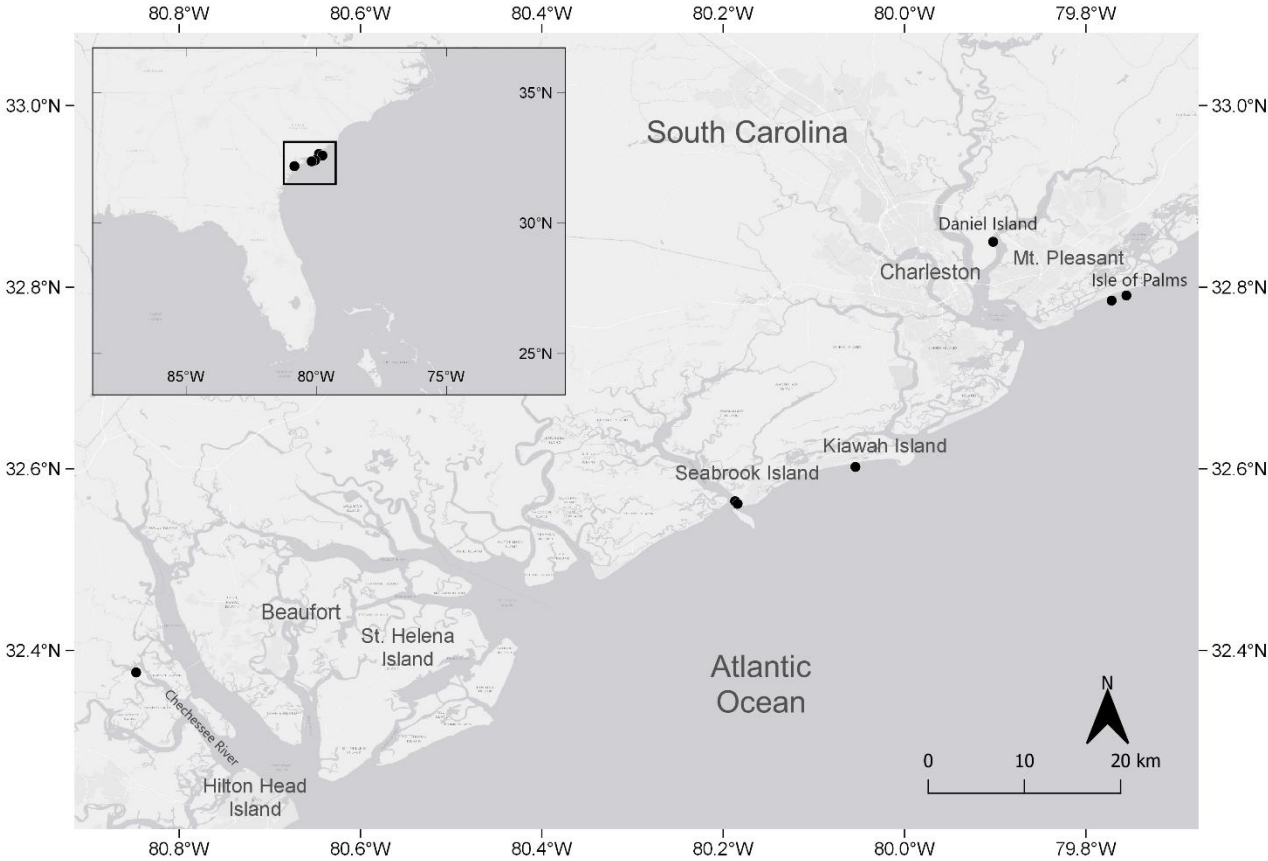
Field #	GI Tract Compartment	Total MPs	Fibers	Fragments	Films	Foams
SC1704	Forestomach	77	64	5	0	8
	Fundic+Pyloric	59	52	5	1	1
	Intestine	120	94	13	13	0
	Combined GI	256	210	23	14	9
SC1730	Forestomach	95	83	10	2	0
	Fundic+Pyloric	207	206	1	0	0
	Intestine	101	101	0	0	0
	Combined GI	403	390	11	2	0
SC1732	Forestomach	31	24	4	2	1
	Fundic+Pyloric	47	46	0	1	0
	Intestine	45	45	0	0	0
	Combined GI	123	115	4	3	1
SC1751	Forestomach	57	47	10	0	0
	Fundic+Pyloric	245	13	7	225	0
	Intestine	120	115	0	5	0
	Combined GI	422	175	17	230	0
SC1802	Forestomach	16	14	2	0	0
	Fundic+Pyloric	51	49	2	0	0
	Intestine	134	129	2	2	1
	Combined GI	201	192	6	2	1
SC1820	Forestomach	70	65	0	4	1*
	Fundic+Pyloric	80	46	10	6	18
	Intestine	59	54	5	0	0
	Combined GI	209	165	15	10	19
SC1828	Forestomach	151	120	4	27	0
	Fundic+Pyloric	153	82	23	48	0
	Intestine	46	46	0	0	0
	Combined GI	350	248	27	75	0
Mean ± S.D.		280.6 ± 113.0	213.6 ± 87.9	14.7 ± 8.5	48.0 ± 84.4	4.3 ± 7.3
Median		256	192	15	10	1
IQR		201-403	165-248	6-23	2-75	0-9

*An estimated 3600-4500 pieces of white foam were found in forestomach of SC1820. These pieces likely originated from larger pieces of foam that may have broken apart during digestion while the dolphin was alive, or during post-mortem processing of the stomach for microplastic analysis, and thus is represented by "1" for analyses.

Table 3. Summary of findings regarding microplastic ingestion in toothed-whales (odontocetes). Some studies only measured within the stomach (S) or intestine (I), and thus the total number and range for the current study are broken down into S and I for easier comparison. The entire GIT was analyzed by Lusher *et al.* (2015, Lusher *et al.* (2018), and Moore *et al.* (2020).

Study	Species	N	Range	Size Range	Morphologies Observed	% of Total MPs
Present	Bottlenose dolphin (<i>T. truncatus</i>)	7	123 – 422 (S: 67 – 304; I: 45 – 134)	125 µm – 5 mm	Fibers Fragments Films Foams	76.1 5.3 17.1 1.5
Lusher <i>et al.</i> (2015)	True's beaked whale (<i>M. mirus</i>)	1	NA	118 µm – 1 mm	Fibers Fragments Films	79.5 18.2 2.3
Lusher <i>et al.</i> (2018)	Various odontocetes (including 2 <i>Tr</i>)	21	1 - 88	300 µm – 16.7 mm	Fibers Fragments	83.6 16.4
Hernandez-Gonzalez <i>et al.</i> (2018)	Common dolphin (<i>D. delphis</i>)	35 (S)	3 - 41	290 µm – 4.92 mm	Fibers Fragments Beads	96.6 3.16 0.24
Xiong <i>et al.</i> (2018)	East Asian finless porpoise (<i>N.a. sunameri</i>)	7 (I)	10 - 32	125 µm – 5 mm	Fibers Fragments Sheets Foams	70.1 13.4 14.9 1.5
Zhu <i>et al.</i> (2019)	Humpback dolphin (<i>S. chinensis</i>)	3 (I)	2 - 45	200 µm – 4.8 mm	Fibers Fragments Flakes	70.3 ~20 ~10
Moore <i>et al.</i> (2020)	Beluga (<i>D. leucas</i>)	7	18 - 147	<5 mm	Fibers Fragments (Included one sphere)	49 51

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4 **FIGURES**
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38 Figure 1. Map of stranding locations for bottlenose dolphins (n = 7).
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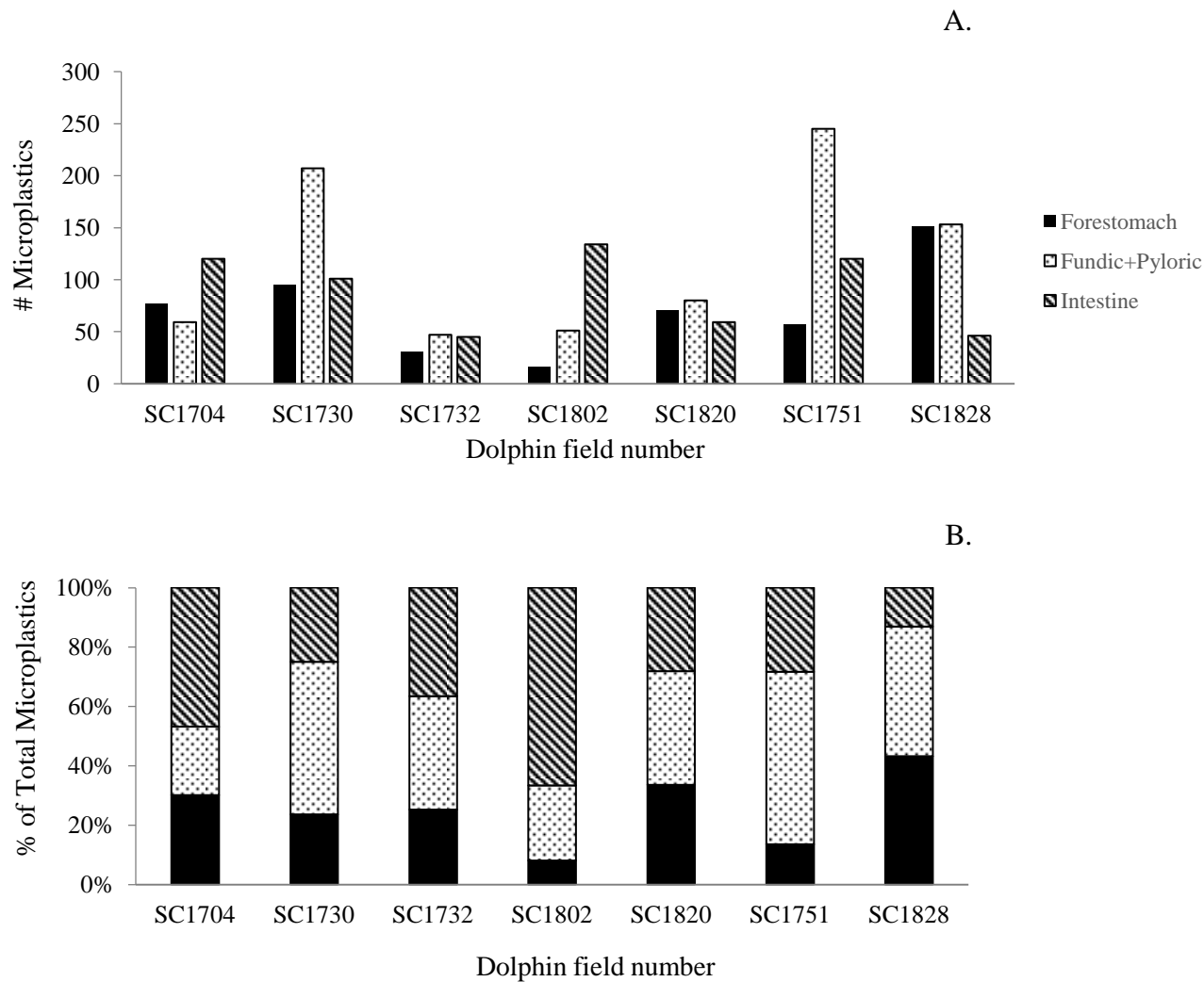


Figure 2. Total number of microplastics for individual stranded bottlenose dolphins in GI section (A); Relative percentages of total microplastics for each GI section within individual dolphins (B). Intestine data represents only 1/8th of the total intestine mass.

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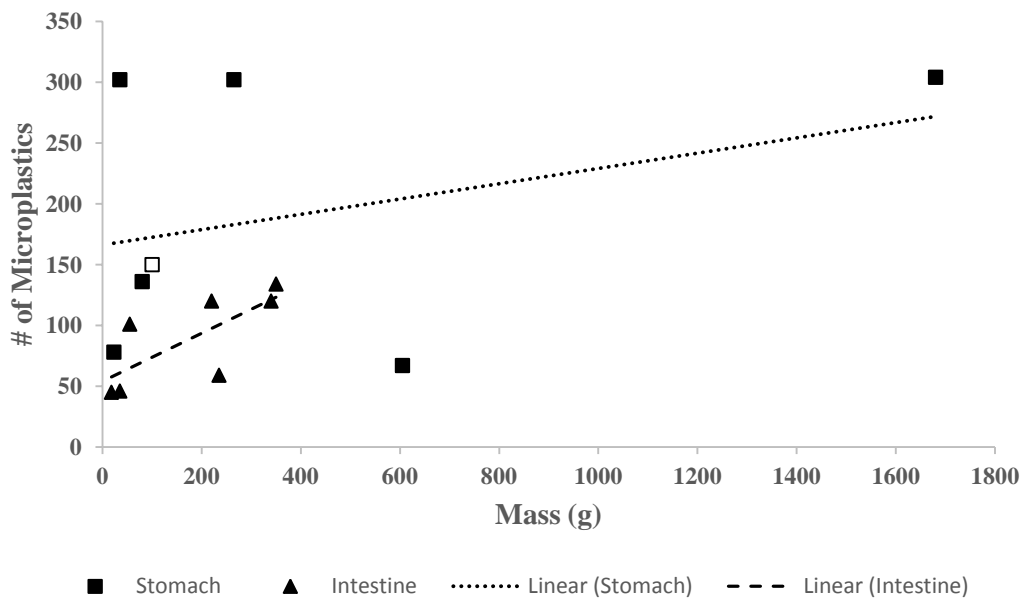


Figure 3. Total number of microplastics as a function of mass of GI compartment contents. A significant correlation was found between the number of microplastics and the digestive contents of the intestine subsample ($p = 0.0227$). The unfilled point denotes the uncertainty in the microplastic count for SC1820 stomach due to a large abundance of foam pieces.

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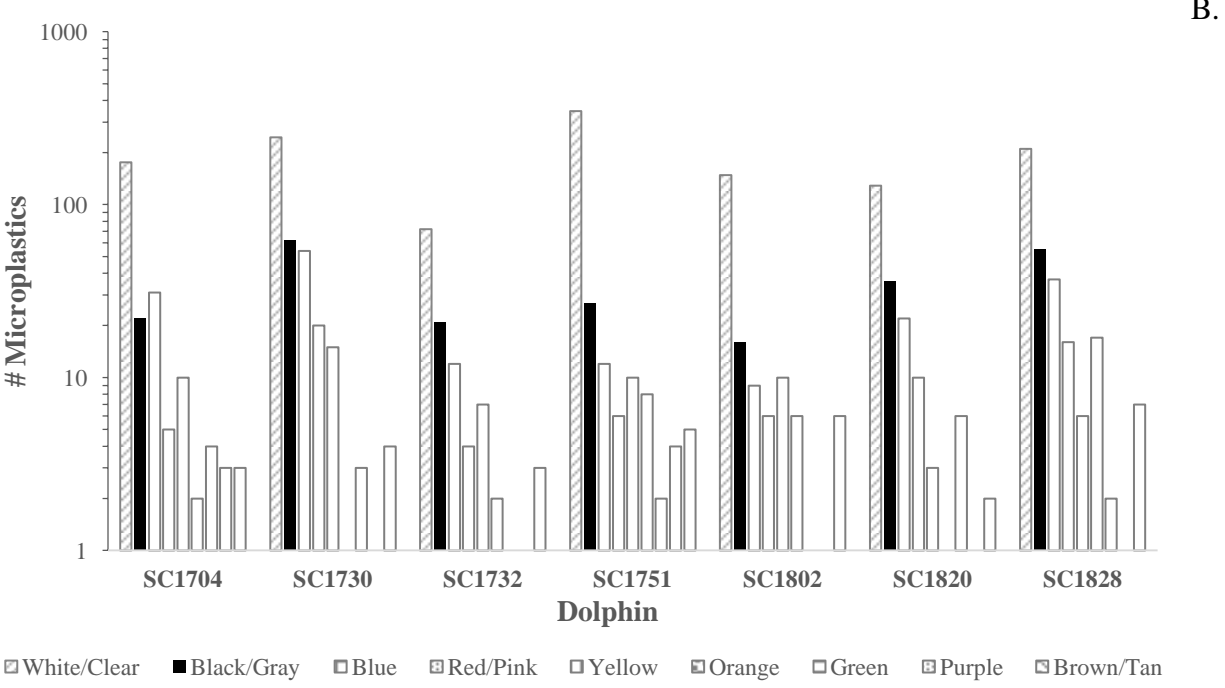
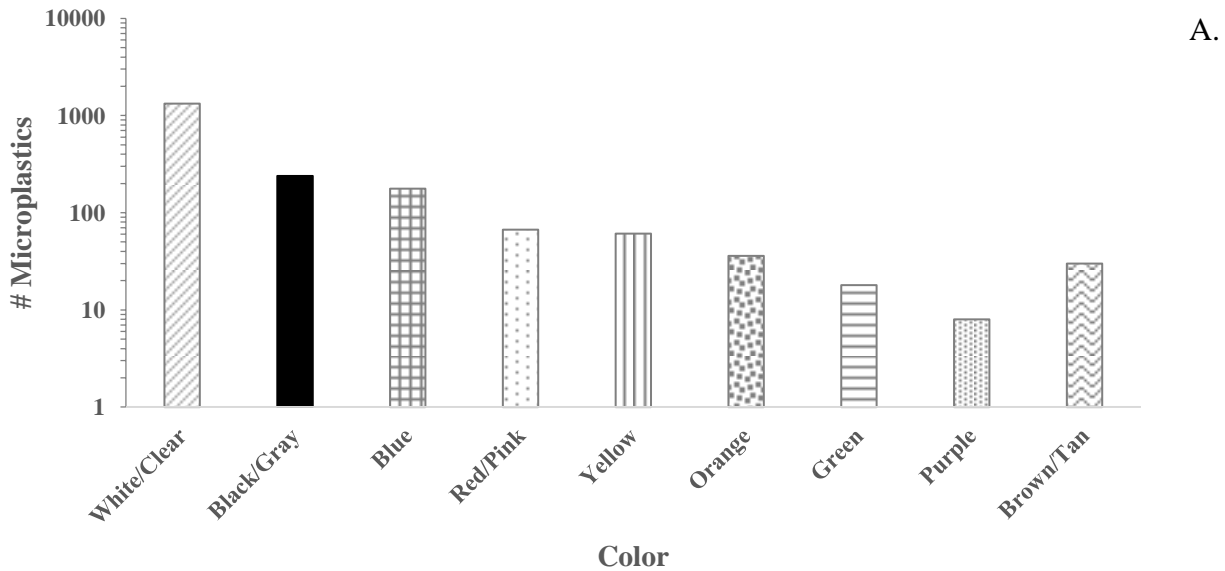


Figure 4. Total numbers of microplastics (n = 1,964) split into nine color categories (A) and total numbers of microplastics from each color category as observed in individual dolphins (B). Note log y-axis scales.

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Supporting Information

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: