

1 **Classification of fish species from different ecosystems using the**
2 **near infrared diffuse reflectance spectra of otoliths**

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8

9 **Abstract**

10

11 Applications of Fourier transform near infrared (FT-NIR) spectroscopy in fisheries science are
12 currently limited. Our analyses of otolith spectral data demonstrate the potential applicability of
13 FT-NIR spectroscopy to otolith chemistry and spatial variability in fisheries science. The
14 objective of this study was to examine the use of FT-NIR spectroscopy as a tool to differentiate
15 among marine fishes in four large marine ecosystems. We examined otoliths from 13 different
16 species, with 3 of these species coming from different regions. Principal component analysis
17 (PCA) described the main directions along which the specimens were separated. The separation
18 of species and their ecosystems may suggest interactions between fish phylogeny, ontogeny, and
19 environmental conditions that can be evaluated using FT-NIR spectroscopy. In order to
20 discriminate spectra across ecosystems and species, four supervised classification model
21 techniques were utilized: soft independent modelling of class analogies (SIMCA), support vector
22 machine discriminant analysis (SVM), partial least squares discriminant analysis (PLSDA),
23 and *k*-nearest neighbor analysis (KNN). This study showed that the best performing model to
24 classify combined ecosystems, all four ecosystems, and species was the KNN model, which had

25 an overall accuracy rate of 99.9%, 97.6%, and 91.5%, respectively. Results from this study
26 suggest that further investigations are needed to determine applications of FT-NIR spectroscopy
27 to otolith chemistry and spatial variability.

28

29 **Keywords**

30 Fish, otolith, near infrared spectroscopy, principal component analysis, soft independent
31 modelling of class analogies, support vector machine discriminant analysis, partial least squares
32 discriminant analysis, *k*-nearest neighbor analysis

33

34 **Introduction**

35

36 Fishery resources in many parts of the world are managed based on population models for which
37 fish age data are an essential source of information. In particular, age data provide information
38 on recruitment, growth, maturity, and resource productivity^{1,2} and form the basis upon which the
39 overfished status of a stock is assessed.^{1,2} Fish age has historically been determined by
40 microscopically counting pairs of annual opaque and translucent growth zones in a number of
41 different hard structures, including scales, vertebrae, opercula, spines, and, most commonly,
42 otoliths.^{3,4}

43 As part of the inner ear of teleost fishes,⁵ otoliths are involved in such functions as
44 balance and hearing.⁶ Some studies link otolith morphology to swimming, feeding, spatial
45 distribution, and acoustic communication.⁷ Although fish have three pairs of otoliths, the largest
46 otoliths, the sagittae, are usually used for age determination and research. Fish otoliths have
47 stimulated scientific interest because these biological structures can be utilized as
48 biochronometers.⁸ Otoliths, which are acellular and metabolically inert, begin forming prior to
49 hatching and continue to grow in three dimensions throughout the life of the fish, even when
50 somatic growth is non-existent.^{9,10} Therefore, these biological structures contain a micro-
51 chemical record of temporally resolved environmental histories of the water properties within
52 which the animal lived throughout its life.¹⁰ They reveal information on stock structure,^{11,12}
53 ontogenetic movement and migration patterns,¹³⁻¹⁵ thermal histories,^{16,17} metabolic activity,¹⁸ and
54 paleoclimate.^{19,20}

55 Otoliths are composed of alternating mineral-rich and protein-rich bands, which are
56 deposited daily.^{21,22} A mineral fraction consists mainly of calcium carbonate and a variety of
57 minor and trace elements.^{13,23} The organic fraction, which ranges from 0.2 to 10% by otolith
58 weight, includes over 380 proteins, glycoproteins, lipoproteins, glycosaminoglycans, and
59 polysaccharides.^{8, 23-26} In otoliths an organic fraction produces absorption bands in the near
60 infrared region. Organic compounds in this region are represented by overlapping overtone and
61 combination bands of a few functional groups, such as C-H (aliphatic), C-H (aromatic), C-O
62 (carboxyl), O-H (hydroxyl), and N-H (amine and amide).²⁷ Although the molecular overtone and
63 combination bands seen in the near infrared region are broad, multivariate calibration and
64 classification techniques are employed to extract the desired chemical information.²⁸ The
65 combination of second derivative followed by standard normal variate (SNV) was shown to be
66 successful in resolving overlapping bands in addition to scattering correction.^{29,30}

67 Fourier transform near infrared (FT-NIR) spectroscopy is widely utilized by agricultural,
68 pharmaceutical, chemical, and other industries due to its rapid and non-destructive testing
69 capabilities. Recently, FT-NIR spectroscopy has been applied in a few studies of biodiversity
70 and ecological physiology studies.^{31,32} Applications of FT-NIR spectroscopy in fisheries science
71 are currently limited, with five published studies having focused on biological structures such as
72 otoliths of marine fishes³³⁻³⁵ or shark vertebrae.^{36,37} Traditional approaches to determine fish age
73 from otoliths are often time-consuming and expensive, and can include observational
74 subjectivity. In contrast, FT-NIR spectroscopy methods have shown the potential to increase the
75 efficiency and improve the repeatability of ageing studies.³⁵

76 FT-NIR spectroscopy has offered new possibility for classification of fish otoliths based on
77 spectral properties. The ability of near infrared radiation to penetrate samples to a great depth³⁸
78 provides unique opportunity for capturing otoliths' capacity as biochronometers. We
79 hypothesized that this technology could provide essential information for ecological studies and
80 may be useful in determining diet composition based on spectral data from otoliths found in
81 stomachs of marine predators. The objective of this study was to examine the use of FT-NIR
82 spectroscopy as a tool to differentiate between 16 marine fishes representing 13 different species
83 from four large marine ecosystems (Table 1, Figure 1). The specific aims were to (1) acquire
84 spectral scans of otoliths, (2) select effective spectral regions, (3) calibrate and validate
85 classification models that discriminate between ecosystems and fish species, and (4) compare the
86 models to select the optimal one by comparing the overall accuracy estimates.

87

88 **Materials and methods**

89

90 Spectral data acquisition and preprocessing

91 FT-NIR spectra were acquired from the sagittal otoliths (n = 3,703) of 16 marine fishes
92 representing 13 different species sampled from four large U.S. marine ecosystems (Table 1,
93 Figure 1). Otoliths were blotted and air dried prior to scanning. Diffuse reflectance
94 measurements from all otoliths were collected on either Bruker TANGO R or MPA II FTNIR
95 spectrometers with integrating spheres. Each otolith was covered with a gold-coated reflector

96 stamp and scanned at a 90° compass orientation with a concave-up position (Figure 2). Spectra
97 were collected between 11,500 and 4,000 cm⁻¹ at a resolution of 16 cm⁻¹. For each sample, 64
98 scans were co-added and converted to a final absorbance spectrum (Figure 3A). OPUS software
99 v. 7.5 (Bruker Optics, Ettlingen, Germany) was used for spectral acquisition.

100 Multivariate Data Analysis

101 Spectral data were preprocessed with second derivative (Savitzky-Golay method, 2nd order
102 polynomial, 21 points), SNV, and mean centering (Figure 3B-C). Chemometric software Solo
103 v8.7 (Eigenvector Research, Inc., Manson, WA, USA) was used for data preprocessing,
104 exploratory analysis using principal component analysis (PCA), data split, and multivariate
105 classification including soft independent modelling of class analogies (SIMCA), support vector
106 machine discriminant analysis (SVMDA), partial least squares discriminant analysis (PLSDA),
107 and *k*-nearest neighbor analysis (KNN).

108 *Exploratory Data Analysis*

109 In order to learn about the data distribution and grouping, PCA was used to evaluate the extent of
110 spectral variability across species and ecosystems. PCA considers all variables and linearly
111 transforms the original data into new orthogonal latent variables (principal components, PC).
112 Each PC is defined by a loading vector and has maximum variance of the scores.³⁹ For this
113 study, PCA score plots were used to explore the sample distribution and analyze grouping
114 patterns in the data by plotting the first two or three PCs, which often represent most of the
115 variability in the data. PC loadings were used to select optimal wavenumbers for discriminating
116 species and ecosystems.

117 *Data Split*

118 In order to validate classification models, each species data set was split into a calibration and a
119 prediction set using the Kennard-Stone method, which selects a subset of samples that provide
120 uniform coverage over the predictor space and includes exterior samples in the calibration set
121 (Table 1).⁴⁰ The calibration set was used to generate classification models and the prediction set
122 was used to assess the predictive performance of models.

123 *Multivariate Classification*

124 Four supervised classification model techniques, SIMCA, SVMMDA, PLSDA, and KNN, were
125 used for evaluating spectral variability across the data from different species and ecosystems.
126 The main task of the spectra classification analysis is to summarize the multivariate data
127 structure of the groups in order to establish rules for correctly assigning samples with unknown
128 group membership.³⁹ The correct classification rate for each group was reported as the ratio of
129 correctly classified samples with the total number of samples in the prediction set (Ratio) and
130 rate (%) of correctly classified samples (Tables 2 - 4). The overall accuracy estimate was also
131 reported for each model.

132 SIMCA is a classification technique that applies PCA decomposition to each group
133 separately and identifies variables that are important for group assignment.^{39,41} PLSDA is a linear
134 classification method that calculates separate PLS regression vectors and corresponding
135 predicted values to determine group membership.^{28, 42} SVMMDA is a non-linear method that
136 computes an optimal direction to discriminate between groups. It maps the original data into a
137 transformed space where linear boundaries can be constructed in order to maximize the margin

138 between classes, which is the distance between boundary and the nearest data point of each
139 class.^{39,43} KNN is a nonparametric method based on calculating the distance between each
140 sample and its k -nearest neighbors and uses these closest k objects to estimate group membership
141 of a new object.^{28,39,44} For our study $k = 3$ was selected.

142

143 **Results and discussion**

144

145 **Exploratory Data Analysis**

146 All combinations of species were analyzed with four PCs from the PCA. PC loading indicated
147 that the wavenumbers from 7,486.34 cm^{-1} to 6,645.36 cm^{-1} and from 6,035.24 to 4,015.25 cm^{-1}
148 had the largest contributions to PCs. Therefore, these wavenumbers were selected for final data
149 analysis (Figure 4). The selected wavenumbers cover first overtones of N-H, O-H, and C-H
150 bonds, stretching vibrations, and combinations.³⁷

151 Most variation in the spectral data was described by PC1, PC2, and PC3. PC1 (81.48%
152 of captured variance) is the main direction along which the specimens separated (Figure 5A).
153 Two data clusters were clearly separated along the PC1 axis. Eastern Bering Sea and North
154 Pacific Ocean specimens represented most of the samples in two elongated clusters, with similar
155 within-class variance, on the positive side of the PC1 axis (Figure 5A). Gulf of Mexico and
156 North Atlantic Ocean specimens were represented in both an elongated cluster, with similar
157 within-class variance, in the middle of the plot and a small tight cluster on the negative side of

158 the PC1 axis (Figure 5A). The zoomed-in view of the negative-side cluster showed Gulf of
159 Mexico and North Atlantic Ocean clusters overlapping, with Gulf of Mexico species located to
160 the left of North Atlantic Ocean species (Figure 5A-B).

161 PC2 (7.85% of captured variance) and PC3 (5.17% of captured variance) are the main
162 directions along which the specimens separated according to the latitudinal variation of the
163 ecosystems from which species were collected (Figure 5A). All data clusters overlapped along
164 the PC2 and PC3 axes (Figure 5A-B). Eastern Bering Sea and North Pacific Ocean specimens
165 were displaced from each other with the higher scores along the PC2 axis corresponding to the
166 North Pacific Ocean species and the higher scores along the PC3 axis corresponding to the
167 eastern Bering Sea (Figure 5A). Gulf of Mexico and North Atlantic Ocean specimens were also
168 displaced vertically from each other with the lower scores along the PC3 axis corresponding to
169 the Gulf of Mexico (Figure 5B).

170 Clustering of species was observed for all ecosystems. The difference between eastern
171 Bering Sea and North Pacific Ocean species was visible when PCA scores were plotted against
172 the first three PCs (Figure 6A-B). Red snapper from all regions grouped with the eastern Bering
173 Sea and North Pacific Ocean species, while gag from all regions grouped with vermilion snapper
174 and the other North Atlantic Ocean species (Figure 6A-B). Acadian redfish and haddock from
175 the northern part of the North Atlantic Ocean were represented by separate clusters (Figure 6B).
176 The difference between the eastern and western Gulf of Mexico species and North Atlantic
177 Ocean species from the southern U.S. waters of the Atlantic Ocean was small with faint
178 clustering along the PC3 axis (Figure 6B).

179

180 Multivariate Classification

181 Classification models were applied to discriminate between species grouped by the ecosystems.
182 Classification by combined ecosystems was the most successful. Discrimination between the
183 group that included eastern Bering Sea and North Pacific Ocean specimens and the group that
184 included Gulf of Mexico and North Atlantic Ocean specimens showed high overall accuracy for
185 all models (Table 2). After examining the four classification models, KNN and SVMMDA were
186 the best performing models with the KNN model (99.9% accuracy) slightly outperforming the
187 SVMMDA model (99.8% accuracy). Classification success by combined ecosystems can be
188 explained by species genetic divergence. The transarctic interchange of marine organisms
189 between the northern Pacific and Atlantic oceans happened about 3.5 million years ago during
190 the middle and late Pliocene.⁴⁵ Significant genetic distances between modern populations of
191 marine fish in the two oceans are thought to take millions of years to develop.⁴⁵

192 Classification by four ecosystems had various degrees of success for different models and
193 predicted group membership (Table 3). The accuracy of predictions for eastern Bering Sea and
194 North Pacific Ocean specimens for all four models ranged from 98.6% to 100%. The accuracy of
195 predictions for Gulf of Mexico specimens for all four models ranged from 64.7% to 98.2%.
196 North Atlantic Ocean specimens were the hardest to predict, with accuracy of prediction being
197 26.1% for SIMCA, 60.5% for PLSDA and SVMMDA, and 96.6% for KNN. After examining the
198 four classification models, KNN was the best performing model with the overall accuracy of
199 97.6%.

200 These classification results are consistent with environmental variations in all four
201 ecosystems that may be due to the general direction of ocean currents. The southward-flowing

202 California Current and the northward-flowing Alaska Current receive different volumes and
203 flows of warm water from the same source, eastward-flowing North Pacific Current (Figure
204 1).^{46,47} The Alaska Current continues into the Alaskan Stream, which transports water into the
205 Bering Sea.^{46,47} Difference of the eastern Bering Sea group from North Pacific Ocean specimens
206 can be explained by annual sea ice formation and melt events, which have strong impacts on
207 marine biogeochemical cycles in the Bering Sea.^{46,48} In the Gulf of Mexico, the Loop Current,
208 which brings warm water from the Caribbean, dominates oceanographic features (Figure 1).⁴⁹
209 The Loop Current leaves the Gulf and continues as the Gulf Stream parallel to the Atlantic coast
210 (Figure 1).^{49,50} The Gulf Stream separates from the shelf edge near Cape Hatteras and creates a
211 boundary between two oceanographic regimes where some members of a southern warm-
212 temperate fauna and a northern cold-temperate fauna are known to move between two regimes.⁵⁰

213 Classification models were also applied to discriminate between the species.
214 Classification by species had various degrees of success for different models and predicted group
215 membership (Table 4). Three models (SIMCA, KNN, and SVMMDA) obtained good
216 discrimination rates for species from the eastern Bering Sea, North Pacific Ocean, and the
217 northern part of the North Atlantic Ocean. PLSDA was the worst performing model for these
218 species with an accuracy of prediction of 82% for walleye pollock from the eastern Bering Sea
219 and 55.6% for haddock from the northern part of the North Atlantic Ocean. All four models
220 could not correctly classify all species from the Gulf of Mexico and the southern part of the
221 North Atlantic Ocean. Vermilion snapper was correctly classified by both KNN and SVMMDA but
222 not by PLSDA (50% accuracy) and SIMCA (75% accuracy). The accuracy of predictions for gag
223 grouper from the Gulf of Mexico for all four models ranged from 48% by PLSDA to 99.3% by
224 SIMCA. The accuracy of predictions for gag grouper from the southern part of the North

225 Atlantic Ocean ranged from 6.3% by PLSDA to 100% by SVMDA. While red snapper from the
226 eastern part of Gulf of Mexico was predicted with a higher degree of accuracy (74.7% to 97.3%),
227 the prediction of red snapper from the western part of the Gulf of Mexico had worse accuracy
228 rates for all models (3.3% to 56.7%). The accuracy of predictions for North Atlantic red snapper
229 ranged from 12.8% by SIMCA to 95.7% by KNN. After examining the four classification
230 models, KNN was determined to be the best performing model to discriminate between species,
231 with an overall accuracy estimate of 91.5%. Most of the misclassifications for KNN happened
232 between the same species from different geographic areas (e.g. red snapper and gag grouper) or
233 different species from the same geographic area (e.g. walleye pollock, Pacific cod, and yellowfin
234 sole) (Figure 7).

235 Although precise environmental and biological factors contributing to spectral variability
236 of otoliths are unknown at this time, our study suggests that for the most part species that are
237 taxonomically and regionally closer share similar molecular constituents activated by near
238 infrared light. Taxonomic differences may reflect phylogenetic relationship, functional role, and
239 structure of otoliths.⁵¹ Thomas et al.⁸ revealed that most otolith proteins are highly conserved
240 across taxa. The authors also suggested that the diversity of otolith proteins reflect development
241 and physiological change over an individual's lifetime.⁸ Regional differences are related to
242 environmental differences between different geographic locations.⁵¹ The structure of some otolith
243 proteins is influenced by such external physical factors as temperature,^{22,52} which affects protein
244 synthesis.⁵³ Essential amino acids in otoliths are related to fish diet and trophic food web
245 structure.⁵⁴ Therefore, it is possible that habitat, environment, and diet composition in different
246 ecosystems may interact with fish phylogenetic and ontogenetic development to influence
247 spectral differences in otoliths. FT-NIR spectroscopy of otoliths may prove to be a useful tool

248 not only for distinguishing the residence of fishes among habitats but also for investigating the
249 effects of warming ocean water on the food web.

250 The results of this study show potential for providing a fast and reliable method of
251 identifying fish species and populations. It is less time-consuming than otolith shape analysis,
252 otolith microstructure analysis, or genetic research. In addition to saving time, FT-NIR
253 spectroscopy does not require otolith destruction. It leaves otoliths intact and usable for other
254 analyses. To strengthen the case for using FT-NIR spectroscopy for taxonomic classification of
255 otoliths, further research is needed to determine an optimal otolith set with all possible spectral
256 variability among specimens and within each age class. Future research may determine if
257 scanning otoliths with FT-NIR spectroscopy can assist with differentiating fish species that are
258 of similar appearance and difficult to taxonomically identify. Perhaps additional studies can also
259 make inquiries into other fish structures like skin or muscle⁵⁵ to validate our findings of
260 differentiation between fish species and populations.

261

262 **Conclusion**

263

264 Our analyses of otolith spectral data collected from 13 different marine fish species from four
265 marine ecosystems demonstrate the potential applicability of FT-NIR spectroscopy to fisheries
266 science. The separation of ecosystems and species by longitude and latitude may suggest
267 interactions between phylogenetics, ontogeny, and environmental conditions that can be
268 evaluated using FT-NIR spectroscopy. This study showed that the best performing model to

269 classify combined ecosystems, all four ecosystems, and species was a KNN model. Results from
270 this study clearly suggest that further investigations are needed to determine applications of FT-
271 NIR spectroscopy to otolith chemistry and spatial variability. FT-NIR spectroscopy of otoliths
272 may prove to be a useful tool not only for distinguishing residence among ecosystems but for
273 investigating the effects of warming ocean waters and on the food web.

274

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Table 1. Ecosystems, fish species, and sample size.

Ecosystems	Map Key	Species	Cal. SN	Pred. SN
Eastern Bering Sea (EBS)	EBS.1	walleye pollock (<i>Gadus chalcogrammus</i>)	150	150
	EBS.2	Pacific cod (<i>Gadus macrocephalus</i>)	150	150
	EBS.3	yellowfin sole (<i>Limanda aspera</i>)	150	150
North Pacific Ocean (NPO)	NPO.1	Chinook salmon (<i>Oncorhynchus tshawytscha</i>)	150	150
	NPO.2	North Pacific hake (<i>Merluccius productus</i>)	150	150
	NPO.3	gopher rockfish (<i>Sebastes carnatus</i>)	100	13
	NPO.4	Pacific sardine (<i>Sardinops sagax</i>)	100	12
	NPO.5	Pacific mackerel (<i>Scomber japonicus</i>)	150	109
U.S. Gulf of Mexico (GOM)	GOM.1	red snapper (<i>Lutjanus campechanus</i>) – W GOM	150	150
	GOM.2	gag grouper (<i>Mycteroperca microlepis</i>)	150	150
	GOM.3	red snapper (<i>Lutjanus campechanus</i>) – E GOM	150	150
North Atlantic Ocean (NAO)	NAO.1	vermilion snapper (<i>Rhomboplites aurorubens</i>)	150	4
	NAO.2	red snapper (<i>Lutjanus campechanus</i>)	150	47
	NAO.3	gag grouper (<i>Mycteroperca microlepis</i>)	100	16
	NAO.4	haddock (<i>Melanogrammus aeglefinus</i>)	150	9
	NAO.5	Acadian redfish (<i>Sebastes fasciatus</i>)	150	43

Cal. SN – calibration set sample number, Pred. SN – prediction set sample number.
 E GOM – Eastern U.S. Gulf of Mexico, W GOM – Western U.S. Gulf of Mexico.

Table 2. Classification by combined ecosystems comparing performance of four models: soft independent modelling of class analogies (SIMCA), partial least squares discriminant analysis (PLSDA), support vector machine discriminant analysis (SVMDA), and *k*-nearest neighbor analysis (KNN).

Classification by combined ecosystems	Model											
	SIMCA			PLSDA			SVMDA			KNN		
	Ratio	%	Overall accuracy estimate	Ratio	%	Overall accuracy estimate	Ratio	%	Overall accuracy estimate	Ratio	%	Overall accuracy estimate
EBS and NPO	884/884	100	94.6%	871/884	99.7	98.5%	884/884	100	99.8%	884/884	100	99.9%
GOM and NAO	490/569	86.1		560/569	98.4		566/569	99.5		568/569	99.8	

EBS – Eastern Bering Sea, NPO – North Pacific Ocean, GOM – U.S. Gulf of Mexico, NAO – North Atlantic Ocean.

Table 3. Classification by ecosystems comparing performance of four models: support vector machine discriminant analysis (SVM DA), partial least squares discriminant analysis (PLSDA), soft independent modelling of class analogies (SIMCA), and *k*-nearest neighbor analysis (KNN).

Classification by ecosystems	Model											
	SVM DA			PLSDA			SIMCA			KNN		
	Ratio	%	Overall accuracy estimate	Ratio	%	Overall accuracy estimate	Ratio	%	Overall accuracy estimate	Ratio	%	Overall accuracy estimate
EBS	450/450	100	85.8%	450/450	100	85.9%	448/450	99.6	93%	450/450	100	97.6%
NPO	433/434	99.8		428/434	98.6		430/434	99.1		434/434	100	
NAO	72/119	60.5		72/119	60.5		31/119	26.1		115/119	96.6	
GOM	291/450	64.7		298/450	66.2		442/450	98.2		419/450	93.1	

EBS – Eastern Bering Sea, NPO – North Pacific Ocean, NAO – North Atlantic Ocean, GOM – U.S. Gulf of Mexico.

Table 4. Classification by fish species results comparing performance of four models: partial least squares discriminant analysis (PLSDA), soft independent modelling of class analogies (SIMCA), support vector machine discriminant analysis (SVMDA), and *k*-nearest neighbor analysis (KNN).

Classification by species	Map Key	Model											
		PLSDA			SIMCA			SVMDA			KNN		
		Ratio	%	Overall accuracy estimate	Ratio	%	Overall accuracy estimate	Ratio	%	Overall accuracy estimate	Ratio	%	Overall accuracy estimate
walleye pollock	EBS.1	123/150	82	66.6%	143/150	95.3	84.9%	145/150	96.7	88.2%	142/150	94.7	91.5%
Pacific cod	EBS.2	145/150	96.7		146/150	97.3		145/150	96.7		148/150	98.7	
yellowfin sole	EBS.3	135/150	90		146/150	97.3		148/150	98.7		148/150	98.7	
Chinook salmon	NPO.1	150/150	100		150/150	100		150/150	100		150/150	100	
North Pacific hake	NPO.2	150/150	100		148/150	98.7		150/150	100		150/150	100	
gopher rockfish	NPO.3	13/13	100		13/13	100		13/13	100		13/13	100	
Pacific sardine	NPO.4	12/12	100		12/12	100		12/12	100		12/12	100	
Pacific mackerel	NPO.5	109/109	100		107/109	98.2		109/109	100		109/109	100	
red snapper - W GOM	GOM.1	13/150	8.7		5/150	3.3		22/150	14.7		85/150	56.7	
gag grouper	GOM.2	72/150	48		144/145	99.3		136/150	90.7		147/150	98	
red snapper - E GOM	GOM.3	143/150	95.3		146/150	97.3		139/150	92.7		112/150	74.7	
vermilion snapper	NAO.1	2/4	50		3/4	75		4/4	100		4/4	100	
red snapper	NAO.2	11/47	23.4		6/47	12.8		40/47	85.1		45/47	95.7	
gag grouper	NAO.3	1/16	6.3		12/16	75		16/16	100		12/16	75	
haddock	NAO.4	5/9	55.6		9/9	100		9/9	100		9/9	100	
Acadian redfish	NAO.5	39/43	90.7	43/43	100	43/43	100	43/43	100				

E GOM – East U.S. Gulf of Mexico, W GOM – West U.S. Gulf of Mexico.

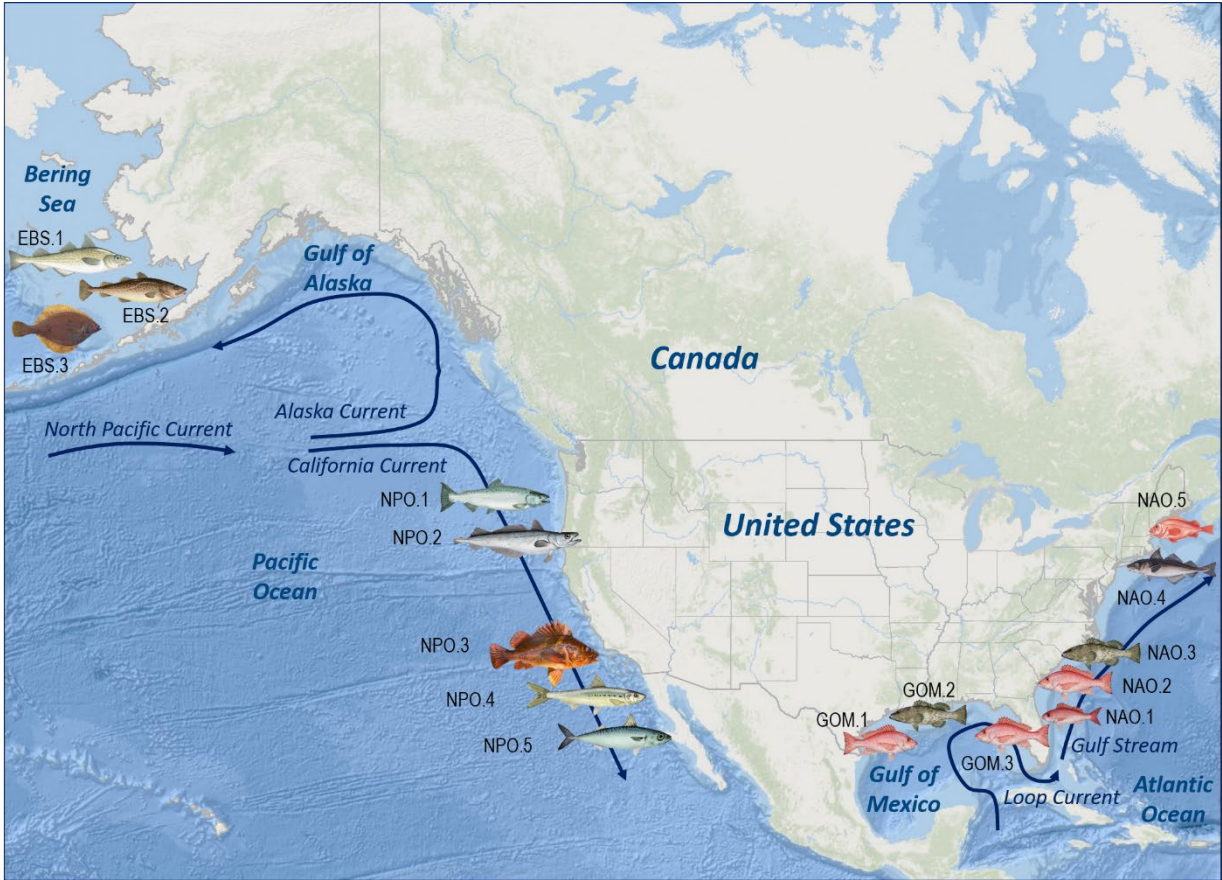


Figure 1. Location of fish collection sites. Complete list of species is shown in Table 1.

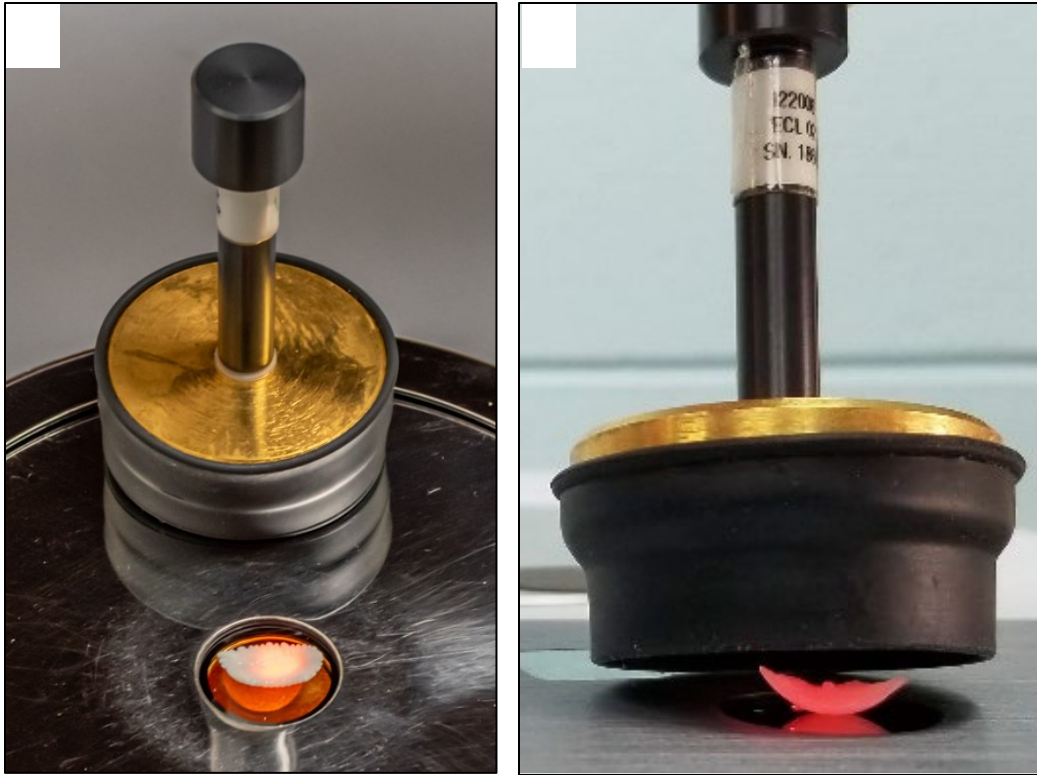


Figure 2. (A) Top and (B) side view of an otolith placed on the integrating sphere at a 90° compass orientation with a concave-up position. The gold-coated reflector stamp covers the sample during scanning to reduce stray light infiltration.

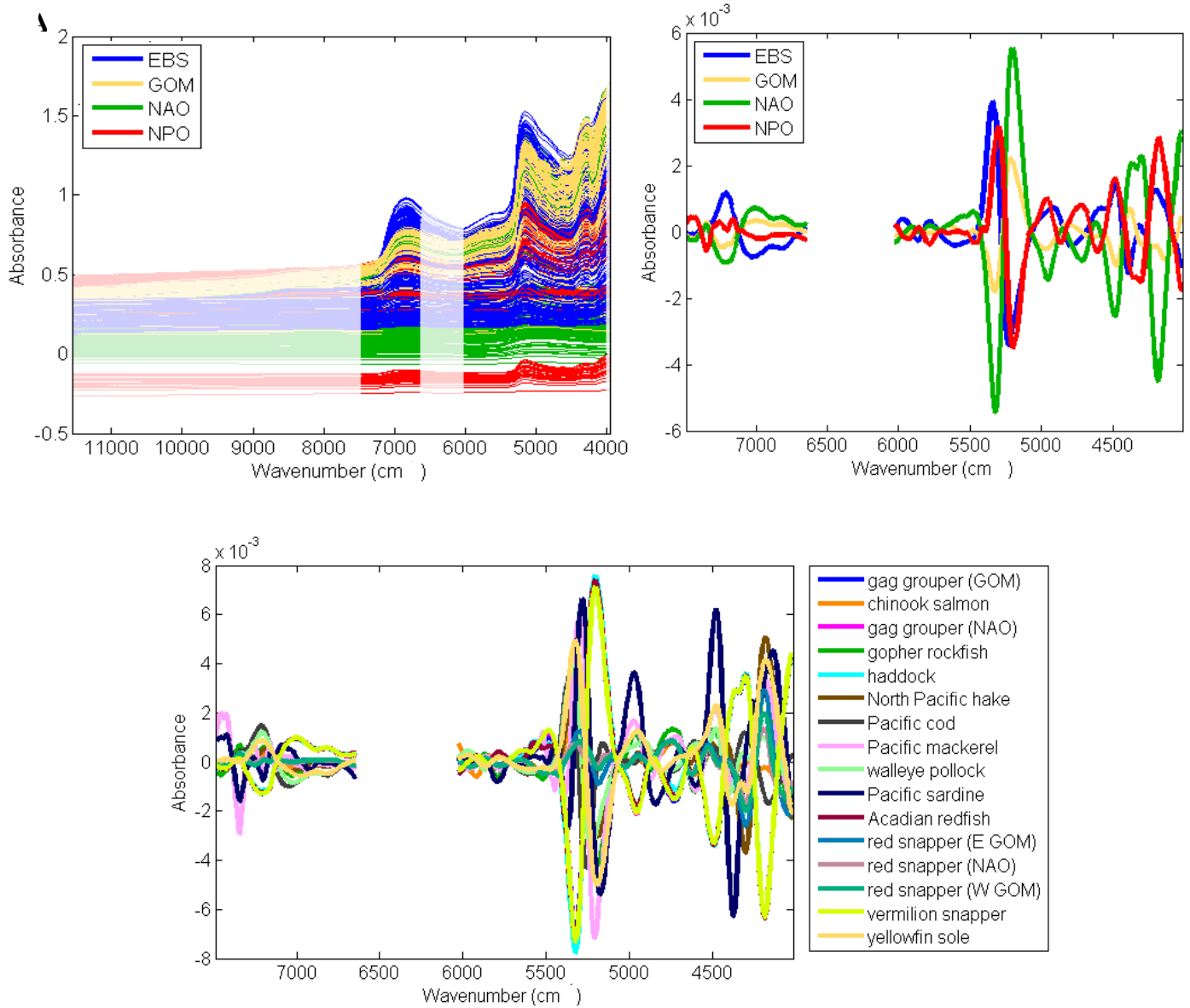


Figure 3. (A) Raw otolith FT-NIR spectra colored by regions. Whited-out areas indicate spectral regions that were excluded from consideration in final data analysis. (B) Second derivative and SNV-transformed mean spectra colored by regions. (C) Second derivative and SNV-transformed mean spectra colored by species. GOM – U.S. Gulf of Mexico, NPO – North Pacific Ocean, NAO – North Atlantic Ocean, EBS – Eastern Bering Sea, E GOM – East U.S. Gulf of Mexico, W GOM – West U.S. Gulf of Mexico.

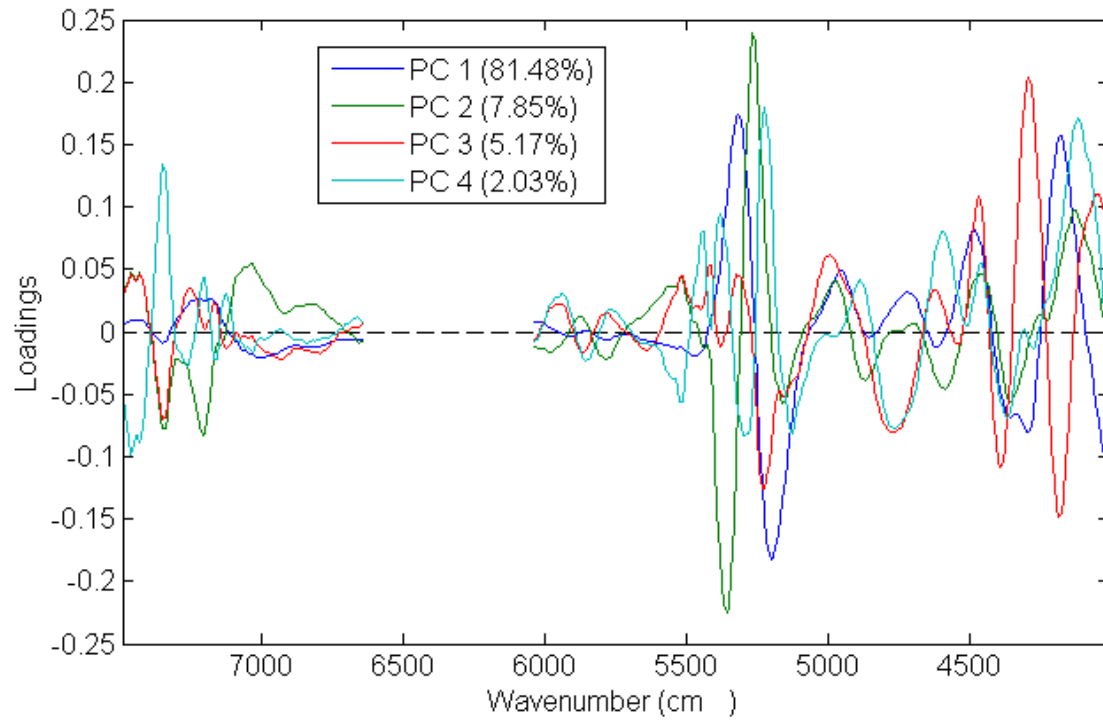


Figure 4. Principal component (PC) loadings.

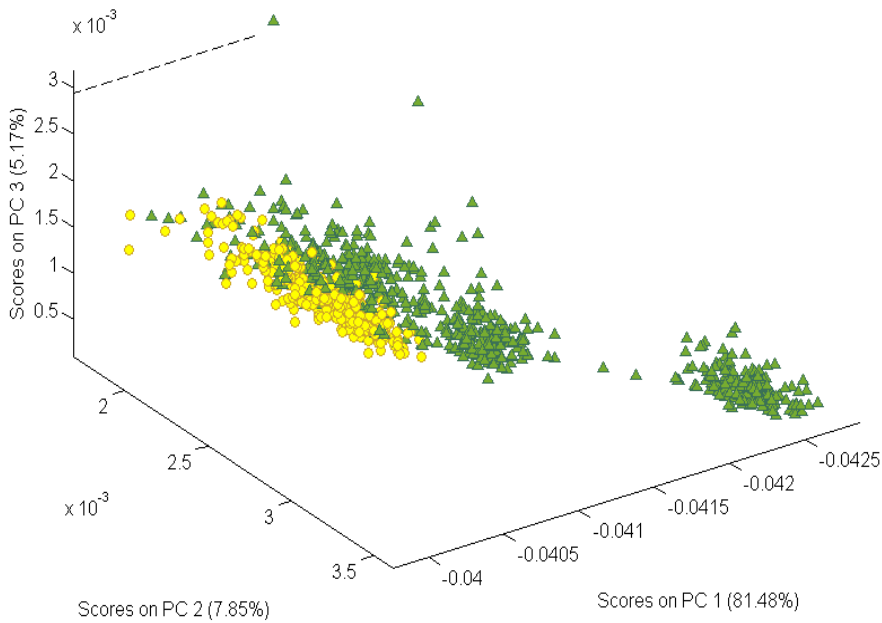
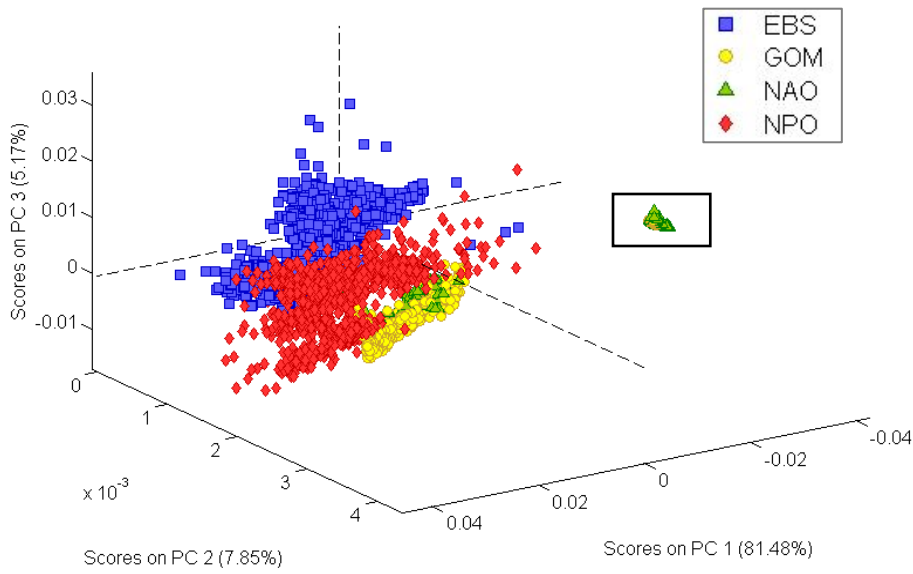


Figure 5. (A) 3D view of the PCA scores of otolith FT-NIR spectra colored by regions and (B) zoomed-in view of the black rectangular area of the plot. EBS – Eastern Bering Sea, GOM – U.S. Gulf of Mexico, NAO – North Atlantic Ocean, NPO – North Pacific Ocean.

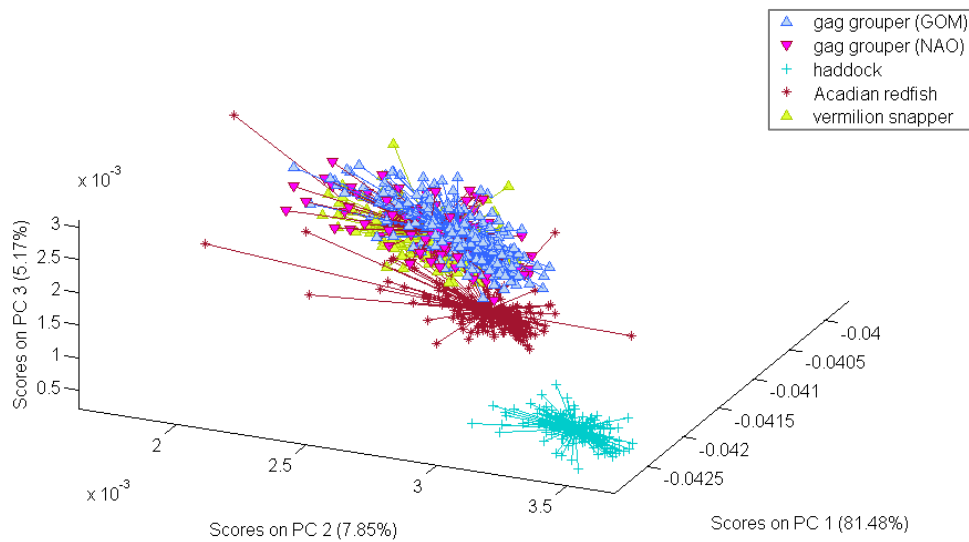
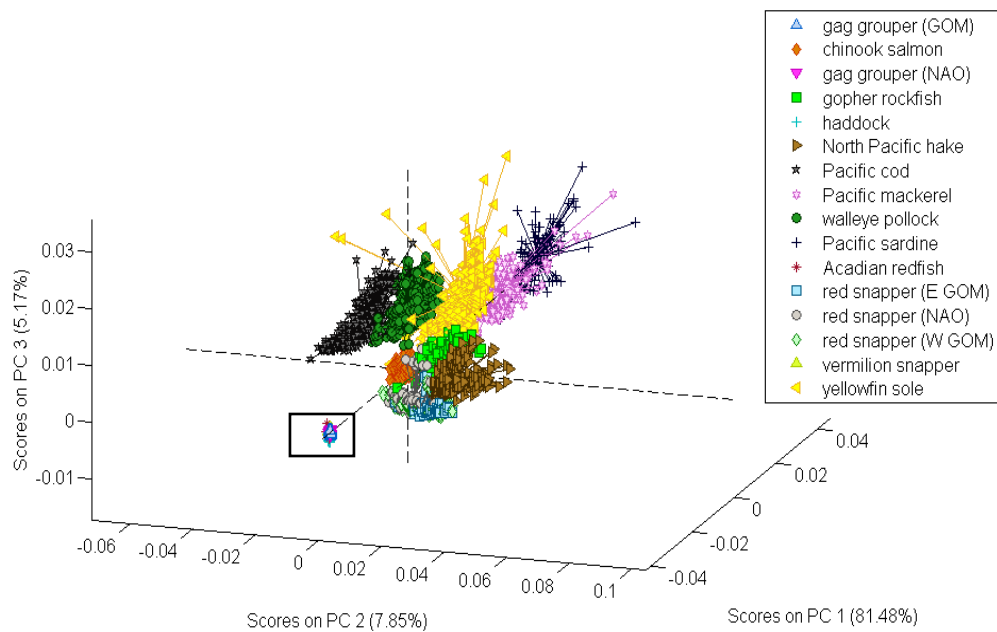


Figure 6. (A) 3D view of the PCA scores of otolith FT-NIR spectra colored by species and (B) zoomed-in view of the black rectangular area of the plot. GOM – U.S. Gulf of Mexico, NAO – North Atlantic Ocean, E GOM – East U.S. Gulf of Mexico, W GOM – West U.S. Gulf of Mexico.

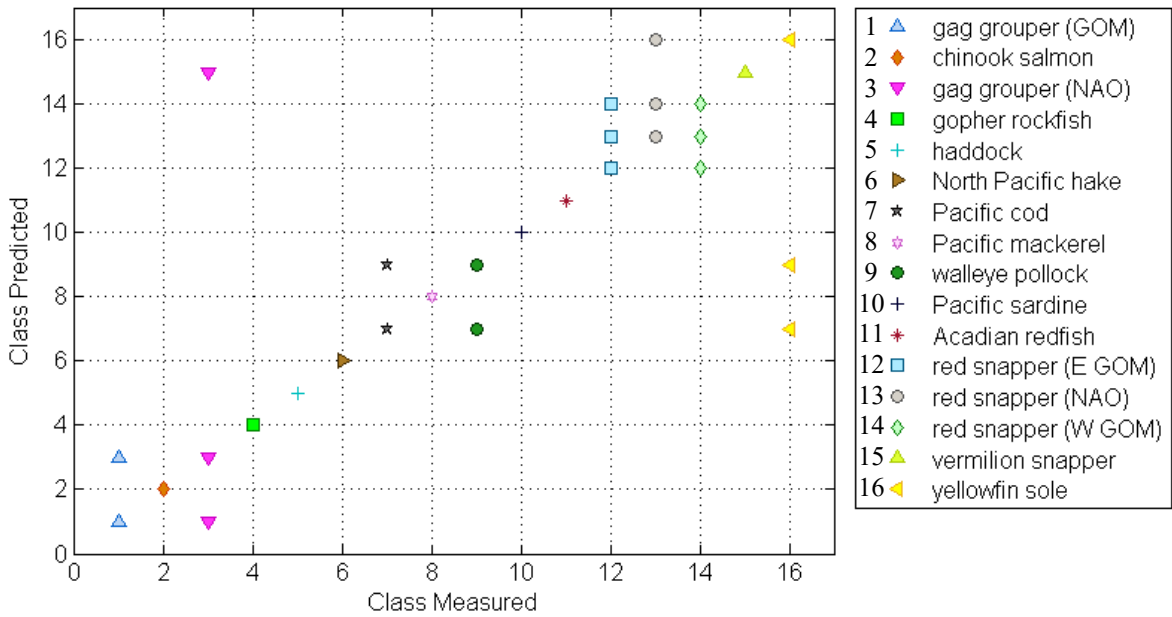


Figure 7. Species classification by k -nearest neighbor (KNN) modeling results.