Wintertime CO₂, CH₄ and CO emissions estimation for the Washington DC / Baltimore metropolitan area using an inverse modeling technique

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2	Abstract		
3	Since greenhouse gas mitigation efforts are being mostly implemented in cities, the		
4	ability to quantify emission trends for urban environments is of paramount importance.		
5	However, previous aircraft work has indicated large daily variability in the results. Here		
6	we use measurements of CO_2 , CH_4 and CO from aircraft over five days within an in-		
7	verse model to estimate emissions from the D.C./Baltimore region. Results show good		

agreement with previous estimates in the area for all three gases. However, aliasing 8 caused by irregular spatiotemporal sampling of emissions is shown to significantly im-9 pact both the emissions estimates and their variability. Extensive sensitivity tests allow 10 us to quantify the contributions of different sources of variability and indicate that daily 11 variability in posterior emissions estimates is larger than the uncertainty attributed to 12 the method itself (i.e. 17% for CO₂, 24% for CH₄ and 13% for CO). Analysis of hourly 13 reported emissions from power plants and traffic counts shows that 97% of the daily 14 variability in posterior emissions estimates is explained by accounting for the sampling 15 in time and space of sources that have large hourly variability and, thus, caution must 16 be taken in properly interpreting variability that is caused by irregular spatiotemporal 17 sampling conditions. 18

¹⁹ Introduction

As cities move toward mitigating their carbon footprints, estimating their emissions using atmospheric observations is a valuable way to assess the efficacy of mitigation policies. Recent work¹⁻⁷ has already demonstrated the capability of top-down (atmospheric measurementbased) estimation methods to inform bottom-up inventory methods for some greenhouse gases (GHGs). On regional and urban scales, top-down methods have been shown to be effective at estimating emissions using either tower-based or aircraft-based concentration measurements.⁸⁻¹²

Atmospheric trace gas concentration measurements from airborne platforms have been used extensively to estimate emissions from a region. Both oil and gas basins and urban regions have been studied using mass balance methods,^{13–17} including the Washington D.C./ Baltimore metropolitan area.^{11,12} Researchers have also used aircraft observations with transport models in an inversion framework to estimate emissions at regional,^{18–21} urban^{22,23} and local scales.²⁴

33 Several studies have investigated the source of daily variability in aircraft-based top-down

emissions estimates for a given region. Variability in estimated emission rates has previously 34 been attributed to uncertainty in the mass balance methodology, which would confound or 35 obscure real emissions changes.^{25,26} More recent work using airborne measurements over oil 36 and gas fields has shown that temporal variability in emissions must be considered when 37 interpreting estimates from single-day flights, however. Lavoie et al.²⁷ found significant 38 temporal variability in single source emissions of methane (CH_4) from the Eagle Ford oil and 39 gas production basin in Texas, while Schwietzke et al.²⁸ investigated the effect of episodic 40 CH₄ emissions from natural gas facilities on the regional mass balance estimates in the 41 Fayetteville Shale. 42

In this study, we use observations collected during five aircraft flights over a two-week period in February 2016 within a Bayesian inversion framework to: 1) estimate emissions of CO₂, CH₄ and CO from the cities of Washington D.C. and Baltimore, MD, (Fig. 1), 2) quantify the uncertainty, and its sources, in each day's emissions estimate and, 3) explain the cause for the observed daily variability in the estimated emissions.

To this end, we use an ensemble of inversions where prior emissions, transport model 48 and observation dataset were varied. Ensemble spread and correlations between six trans-49 port models were used to construct the full model-data mismatch covariance matrix, and 50 the background mole fraction was first estimated by using sensitivities to nearby outside 51 sources and then further optimized within the inversion. Additionally, sensitivity tests were 52 conducted investigating the impacts of background choice, omitting correlations in the trans-53 port error covariance matrix and changing the magnitude of the prior emission errors. We 54 use the inversion ensemble and sensitivity tests to quantify the different sources of variabil-55 ity and, thus, understand the uncertainty inherent in the inverse methodology. We then 56 investigate daily variability in estimated emissions and to what extent this variability can 57 be explained by aliasing caused by irregular sampling of spatial and temporal variability in 58 large sources within the study domain. 59



Figure 1: Computational domain (0.03° resolution) showing the inversion domain (black rectangle) and the outer domain (entire map) used to account for nearby outside sources. Flight tracks, Census-designated urban areas (gray shaded regions), the Marcellus, Devonian (Ohio) and Utica shale plays in the Appalachian basin and locations of the geometric center (centroid) of the oil and gas fields are also shown.²⁹ Total emissions are reported here within the accounting box (red polygon) defined by the corners: (39.80°N, 76.60°W), (39.00°N, 78.00°W), (38.25°N, 77.25°W) and (39.20°N, 76.00°W).

$_{60}$ Methods

61 Observations

⁶² Trace gas observations from two airborne platforms were used in this study: Purdue Univer-

⁶³ sity's Beechcraft Duchess, housing the Airborne Laboratory for Atmospheric Research, or

⁶⁴ ALAR, (Purdue) and the University of Maryland's Cessna 402B research aircraft (UMD).

The two aircraft flew simultaneously for 5 days, mostly during afternoon hours, collecting trace gas mole fraction and meteorological data along transects at different altitudes that covered the full depth of the PBL (Fig. 1 and SI for further details). To determine the effect of withholding observations from the inversion system, we alternatively used CO_2 and CH_4 observations from both aircraft, the UMD aircraft alone, or the Purdue aircraft alone, as part of the ensemble of inversions. Purdue did not measure CO, thus the CO inversions used only UMD observations.

72 Bayesian Inversion Framework

⁷³ We estimate trace gas emissions using a Bayesian inverse analysis^{30,31} as in Lopez-Coto et ⁷⁴ al.³² Optimum posterior estimates of fluxes are obtained by minimizing the cost function J:

$$J(\boldsymbol{x}) = \frac{1}{2} \left[\left(\boldsymbol{x} - \boldsymbol{x}_b \right)^T \mathbf{P}_b^{-1} \left(\boldsymbol{x} - \boldsymbol{x}_b \right) + \left(\mathbf{H} \boldsymbol{x} - \boldsymbol{y} \right)^T \mathbf{R}^{-1} \left(\mathbf{H} \boldsymbol{x} - \boldsymbol{y} \right) \right]$$
(1)

⁷⁵ where \boldsymbol{x}_b is the first guess or a priori state vector, \mathbf{P}_b the *a priori* error covariance ⁷⁶ matrix which represents the uncertainties in our *a priori* knowledge about the fluxes and **R** ⁷⁷ the error covariance matrix, which represents the uncertainties in the observation operator ⁷⁸ **H** and the observations \boldsymbol{y} , also known as model-data mismatch. The observation operator ⁷⁹ **H** is constructed using the sensitivity of observations to surface fluxes, or footprints (units: ⁸⁰ ppm μ mol⁻¹ m² s) generated with a transport model. Here we modify the formulation to ⁸¹ include optimization of the background in the inversion (see SI for details).

⁸² Transport Models

In order to generate an ensemble of transport models and therefore better represent the uncertainties, NOAA's Hybrid Single-Particle Lagrangian Integrated Trajectory dispersion model (HYSPLIT)³³ was driven with 5 different meteorological products: the High Resolution Rapid Refresh (HRRR) NOAA operational forecast product³⁴ and 4 configurations of the Weather Research and Forecasting model (WRF³⁵) provided by the National Center for Atmospheric Research (NCAR) that included 4 different PBL parametrizations, 2 sources of initial and boundary conditions and the inclusion of the Building Energy Parameterization (BEP) urban canopy model in one of the configurations. In addition, the vertical mixing option in HYSPLIT also varied (Table S1 and SI for details).

⁹² Emissions Inventories

Nine CO_2 emissions inventories were used in the inversion to investigate the resultant vari-93 ability in the posterior emissions (Table S2). Four of them (Vulcan (VU³⁶), ODIAC (OD³⁷), 94 FFDAS (FF³⁸) and ACES (AC³⁹) are existing anthropogenic CO_2 inventories but for a 95 different year; one provided only on-road emissions (DARTE (DA⁴⁰)); one is the mean of 96 the previous five (EB); and the rest (flat (FL) and simple (SP^{32})) are constructed here to 97 complement the ensemble of prior fluxes. In addition, we use the ACES mean for February 98 between 12 - 19 EST (AC2). Since DARTE only provided on-road emissions, a simple calcu-99 lation of urban emissions was used to complement it. CH₄ prior emissions were represented 100 using EPA's gridded inventory (EP) for 2012,⁴¹ EDGAR v4.3.2⁴² for 2012 (EG), the mean 101 of the previous two (EB), and a flat prior (FL). For CO we use EDGAR v4.3.2 (EG),⁴³ 102 the National Emissions Inventory (NEI) for 2011 from EPA (NI,⁴⁴), the annual mean ACES 103 inventory (AC as in the CO₂ case) scaled using the mean observed $\Delta CO:\Delta CO_2$ ratio (6.18) 104 ppb/ppm) and, again, a flat prior (FL). 105

¹⁰⁶ Background Determination

Properly accounting for the background is critical for the inversion as the flux correction is based on the observed enhancements above the background value. The impact of upwind sources can be important especially in areas such as the one under study here, where multiple sources exist in the surroundings (Fig. 1). Thus, we estimated the contribution from outside the domain using a Lagrangian approach by convolving footprints from a reduced set of our ensemble of transport models and prior fluxes. We extended the domain to the full extent shown in Fig. 1. The full background was then represented as the ensemble mean of the contribution from outside of the domain of interest (y_{oc} , time-varying along the track) plus the long-range background (y_{lr} , constant for a given flight). This methodology provided a time varying *a priori* background that included uncertainties that was then further optimized in the inversion (SI).

118 Error Covariances

i) Prior Flux Error Covariance

The prior flux error covariance represents the uncertainties in the prior estimation of the 120 fluxes. Although bottom-up CO_2 emissions estimates are made on global and national scales 121 with small uncertainties, considerable errors are introduced when the emissions are disaggre-122 gated to grid cells, due to the usage of proxies to spatially distribute emissions.⁴⁵ Reported 123 errors at grid cell levels range from 4% to more than 190%, averaging about 120%.⁴⁶ For CH₄ 124 and CO it is likely that the errors at grid cell levels are even larger than for CO_2 because 125 of the less well-known characteristics of these species' sources. Given these reported uncer-126 tainties at grid cell levels, we use a value of 100% of the grid cell emissions as uncertainty in 127 this work for all the prior inventories and gases with the exception of FFDAS where we use 128 a scaled up version of the provided uncertainties and the EB case for CO_2 where we use the 129 standard deviation of the ensemble at each pixel to represent the uncertainties. In all cases, 130 a covariance exponential model in space was assumed. (See SI for details) 131

¹³² ii) Outside Contribution (background) Prior Error Covariance

¹³³ We consider a double exponential model, in space and time, to represent the error covari-¹³⁴ ance of the outside contribution (y_{oc}) along the track. The diagonal is populated with the ¹³⁵ uncertainty of the initial guess outside contribution based on the variance from the different ¹³⁶ transport models and prior fluxes (SI).

¹³⁷ iii) Model Error

The model-data mismatch error covariance was assumed to have three independent con-138 tributions: 1) uncertainty in the observations, 2) uncertainty in the long-range background 139 concentration and 3) uncertainty in the transport model representation. The uncertainties in 140 the observations have their origin in the measurement uncertainties and the representativity 141 of the assigned mean to the averaging period (one minute in our case). This contribution is 142 not correlated and thus the covariance was considered diagonal. The long-range background 143 (y_{lr}) determination also introduces uncertainty into the system. This contribution was also 144 assumed to be uncorrelated. Lastly, the transport model uncertainty is complex with several 145 previously published methods for its determination. Here we tested two methods, both based 146 on the ensemble of transport models. First, we tested a diagonal covariance populated with 147 the inter-model variance simulated using the same surface fluxes (the prior emissions in each 148 inversion case) in all the transport models similar to Engelen et al.⁴⁷ and Desroziers et al.⁴⁸ 149 As stated in Engelen et al.,⁴⁷ this estimate can be too large for some models and too small 150 for other models, thus, in order to better represent the fidelity of each model and for each ob-151 servation, we weighted the inter-model standard deviation with the relative error computed 152 by using the wind measurements from the aircraft. This definition of the transport model 153 error covariance assumes there are no correlations in space and time which is unlikely to be 154 true. Therefore, for the second method, which was used in the main ensemble of inversions, 155 we computed the correlations between the different transport models and included them in 156 the covariance matrix, leaving the first method as a sensitivity test (see SI for details). 157

¹⁵⁸ Sensitivity Analysis

As described in the previous sections, the main inversion ensemble was composed by different prior emissions (9 for CO_2 , 4 for CH_4 and 4 for CO), 6 transport models and 3 combinations of the observations for 5 flight days, totaling 1,290 inversions (810 + 360 + 120). This inversion ensemble was configured with the background and prior and transport error covariances

choices that are most reasonable for the analysis. However, in order to additionally test 163 the sensitivity of the posterior estimates to inversion setup choices that might not be as 164 appropriate, we also investigated the effects of changing the background determination, the 165 transport error covariance, and the prior flux error covariance, separately from the main 166 inversion ensemble. Specifically, for the background test, we performed the inversion 1) 167 without optimizing the Lagrangian background, 2) scaling the Lagrangian background, and 168 3) selecting a single constant value along the track as background defined by the 1st, 5th 169 or 10th percentile, to compare with our base case of optimizing the background (OBC1). 170 For the scaled background case, a single scaling factor for each flight was applied to the 171 background time series. This scaling factor was the ratio of posterior to prior emissions for 172 the inversion case where the background was not optimized or scaled. We also tested the 173 impact of using only a diagonal transport error covariance as well as reducing and increasing 174 the uncertainty in the prior fluxes (50%, 100% and 200%). This sensitivity test resulted in 175 a total of 12 cases with 15,480 individual inversions, (Table S3). 176

Both the main inversion ensemble and the sensitivity test were analyzed in the same fashion, grouping by cases (prior, transport, day, observation dataset or sensitivity case) and then computing the mean and quantiles as shown in Figs. 2, S7, S10, S13 and S16. The variability associated with each grouping was then computed as the standard deviation among each case's mean value.

¹⁸² Normalized Observed Emissions

We construct an analysis to investigate whether the hourly variability of the energy generation and traffic sectors' emissions, combined with the specific flight pattern on a given day, can explain the daily variability in the posterior CO₂ estimates. Both of these sources have publicly available data at the hourly level: Continuous Emissions Monitoring System (CEMS⁴⁹) data for power plants and Travel Monitoring Analysis System (TMAS⁵⁰) data for traffic counts. First, we sum all the power plant emissions and traffic counts within the

footprint (we use the ensemble mean footprint as a mask) of each observation used in the 189 inversion and within the defined accounting box. We match the hourly power plant emissions 190 and traffic counts with the observation time, accounting for transport time to the point of 191 the observation at hourly temporal resolution. Then we average this value (the sum of all 192 traffic counts or powerplant emissions within each footprint) over all observations in each 193 flight for each of the five flights. Using an average allows us to account for the difference 194 in the number of observations per flight. Because traffic counts and power plant emission 195 rates are in different units, we define the normalized observed emissions (nOE), allowing for 196 the combination of the two sectors. We normalize counts and power plant emissions each to 197 their respective campaign mean so that the campaign mean is equal to one. Furthermore, 198 we use the relative contribution of the different sectors in the ACES 2011 annual mean³⁹ 199 within the defined accounting box to construct the normalized observed emissions (nOE) for 200 each flight as follows: 201

$$nOE_i = f_e \frac{CEMS_i}{\langle CEMS \rangle} + f_r \frac{TMAS_i}{\langle TMAS \rangle} + 1 - (f_e + f_r)$$
⁽²⁾

In the above definition, i is the index indicating the flight, f_e is the contribution of the electricity production sector (16%) and f_r is the contribution of the traffic emissions (46%) in ACES. The last term of Eq. 2 represents the remainder of anthropogenic CO₂ emission sectors. By this construction, the mean nOE for the campaign is also equal to 1.

206 Results

In the following subsections we present the main results of the analysis and discuss the variability and uncertainty of the emissions estimates. In this context, the terms variability and uncertainty are not used as synonyms. Rather, we use the term variability to describe how a property (posterior total emissions for the most part) changes (varies) with respect to different variables like time, space or model choices. The term uncertainty refers to the ²¹² ability of the inverse method to represent the measurand, and it combines all sources of ²¹³ variability for a single day's estimate.

214 Emissions Rates

Our mean estimates for the defined accounting box are 87 ± 28 kmol s⁻¹ for CO₂, 0.42 ± 0.12 215 kmol s⁻¹ for CH₄ and 0.59 \pm 0.16 kmol s⁻¹ for CO (mean \pm 1- σ) where the bounds presented 216 here represent the posteriors' daily variability. Ren et al.¹¹ using a mass balance method, 217 estimated emission rates of 96 kmol s⁻¹ for CO₂, 0.57 ± 0.28 kmol s⁻¹ for CH₄ and $0.55 \pm$ 218 $0.27~\rm kmol~s^{-1}$ for CO using the same flight observations as this study. In addition, Salmon et 219 al.¹² estimated a CO emission rate (also using a mass balance method) of 0.54 \pm 0.47 kmol 220 s⁻¹ in February 2015. Our estimates are consistent with these within 1- σ uncertainties for 221 both methods. 222

The applied inversion methodology corrected the prior inventories (Fig. 2a,c,e) by quite 223 different amounts leading to consistent results in the posterior emissions, with variability due 224 to choice of prior of 11%, 13% and 6% (or 9.6, 0.055 and 0.035 kmol s⁻¹) for CO_2 , CH_4 and 225 CO respectively $(1-\sigma)$, significantly lower than the variability of the prior values themselves 226 (flat prior included), 41%, 65% and 87% (or 20.8, 0.097 and 0.38 kmol s⁻¹). The flat (FL) 227 prior led to the largest range and IQR for all of the three gases due to the loose constraint it 228 imposed on the inversion. For CO_2 , the FFDAS³⁸ prior (FF) resulted in the lowest posterior 229 estimates as well as the lowest range and IQR due to the low prior uncertainty assigned. 230 making it hard for the inversion to deviate from the prior values. For CH_4 , the inversions 231 using the 2012 EPA gridded inventory⁴¹ (EP) as a prior provided the lowest estimates, 232 probably due to the lower prior emissions allocated into the urban areas and, therefore, 233 lower prior uncertainties, making it harder to correct those areas. For CO, the scaled ACES 234 inventory (AC) led to the lowest estimates. Variability due to transport model choice was 235 15% for CO₂, 13% for CH₄ and 16% for CO (1- σ), (Figs. S7c, S10c and S13c). We note 236 that HR and MY2 provided the highest and lowest estimates respectively, while MY and BL 237

had the most variable results. The observation dataset choice impacted the results the least, 238 with only a 6 % standard deviation of the mean for CO₂ and 10% for CH₄ with very similar 239 range and IQR for each of the three cases (Figs. S7d, S10d). In contrast to the relatively 240 small effect of varying these three model choices (prior, transport model, and observation 241 dataset), the daily variability of the estimates was 33% for CO_2 and 28% for CH_4 and CO 242 $(1-\sigma)$ (Figs. 2b,d,f). The mean estimates for each day do not overlap with the IQR of the 243 other days and while the CO_2 and CO estimates follow a very similar pattern (as they have 244 similar sources), they differ from that of CH_4 . In addition, the coefficient of determination 245 between the daily emission estimates for the three gases is $r^2=0.90$ for CO vs CO₂, $r^2=0.40$ 246 for CO_2 vs CH_4 and $r^2=0.19$ for CO vs CH_4 . This suggests that the inversion is actually 247 providing different estimates for each day, and that the posterior differences between days 248 are not only the result of choices in the model set up. 249

The spatial distribution of the averaged CO_2 posterior emissions for each prior case 250 shows that most of the emissions are coming from the urban areas, even in the flat prior 251 case (Fig. S8). The results show that the roads (traffic emissions) and fine spatial scale 252 features are only resolved in modeling results when high resolution inventories are used as 253 the prior emissions. The inversion was able to spatially differentiate between the cities of 254 Baltimore and Washington DC, correcting their emissions differently (Fig. S9): emissions 255 from Washington, DC were adjusted upward in all cases while those from Baltimore were 256 corrected downward in the cases of AC, AC2 and VU and only slightly upward for the rest. 257 The spatial distribution of the averaged CH_4 posterior emissions (Fig. S11) indicates that 258 while some emissions are from urban areas, significant emissions occur NNE and NNW of the 259 Washington - Baltimore metropolitan area as well, which is different than for CO_2 . All the 260 CH₄ priors were corrected upwards indicating an overall underestimation of emissions in the 261 existing inventories (Fig. S12), with the strongest corrections applied to point sources outside 262 urban areas. However, the urban areas were also corrected upward, with this correction being 263 larger for EP than for EG or EB cases. Our posterior mean ratio to the 2012 EPA gridded 264



Figure 2: Boxplots of the total CO₂, CH₄ and CO estimated emission rate within the accounting box grouped by: (a,c,e) the different inventories used as priors and (b,d,f) the different research flights. The grey bar in panels (a,b) are the values provided by ACES, scaled to totals of 2016, for February between 12 - 19 EST (referred as REF). Blue bars indicate the 25th to 75th range, whiskers the range up to 1.5 times the IQR, x's the outliers (> 1.5 x IQR), red line the median, square markers the mean and the dotted line the posterior mean. The circled pluses in panel (a,c,e) represent each prior's total emissions. (See methods section and Tables S1 and S2 for abbreviations)

inventory (EP), 41 2.73 ± 0.76, is in very good agreement with Ren et al.'s 11 estimate of 2.8 times the EPA values for the same region.

The spatial distribution of the mean posterior CO fluxes (Fig. S14) indicates that the 267 CO emissions largely originate in the urban areas, as they do for CO_2 . In addition, the 268 correction (Fig. S15) is mostly applied in the urban cores, increasing the fluxes for AC, FL 269 and EG while strongly decreasing the emissions for NI case. Due to the construction of AC 270 for CO (using the ACES CO₂ inventory scaled by mean observed $\Delta CO:\Delta CO_2$ ratio), power 271 plant emissions were present in the prior, while we expect the power plants ratio to be small 272 compared to other sources. The inversion was able to correct down at least a few of them 273 (blue dots in Fig. S15a). The NEI CO prior case was strongly corrected down over all urban 274 areas, even in Philadelphia, indicating that the inversion is able to correct underestimation 275 as well as overestimation in the prior. The NEI CO overestimation has been extensively 276 reported in the literature; 22,23,51 specifically in the DC/Baltimore region a close to 50 % 277 overestimation of the NEI CO inventory has been reported, ^{11,12} similar to our result of 58%. 278

279 Sensitivity Analysis

For all three gases, the diagonal model-data mismatch error covariance (EDC1) provided 280 larger emissions estimates than the equivalent full covariance case (C1) (Fig. S16). In addi-281 tion, the range and IQR within each case was larger with the diagonal covariance indicating 282 that the off-diagonal terms played an important role in limiting the number of possible so-283 lutions. The background selection impacted both the mean estimates and the range and 284 IQR indicating that incorrect background specification can bias the estimation results. The 285 prior flux error sensitivity test showed that posterior emissions estimates were larger when 286 prior uncertainties were doubled, and the range and IQR within each case was also larger 287 indicating a potential over-fitting problem. When prior uncertainties were halved from the 288 base case, the estimates were lower and less variable, indicating the solutions were more 289 constrained by the prior fluxes than by the observations. This effect was similar to the FF-290

²⁹¹ DAS prior case for CO_2 , for which the prior uncertainties were likely too small. Despite the ²⁹² differences described above, the variability of the mean across the sensitivity analysis cases ²⁹³ remained relatively low, at 11% for CO_2 , 17% for CH_4 and 8% for CO.

²⁹⁴ Special Case: Flat Prior

The inversions using a spatially flat prior (FL) were able to provide mean totals close to those 295 in which an inventory prior was used for all three gases (Fig. 2). This result demonstrates the 296 potential for using aircraft measurements to estimate an overall city-wide emission rate for 297 a location where a spatially explicit inventory or other emissions information is unavailable. 298 However, we have also shown that the range and IQR in the flat prior case was the greatest 299 among all the prior cases, implying that when using a flat prior sampling more time periods 300 (i.e. using more observations) is required to provide confidence in the estimates. The spatial 301 distribution of the campaign-averaged posterior fluxes for CO_2 , CH_4 and CO (Fig. S17) is 302 consistent with the results obtained with the other priors as well. For example, CO_2 and CO303 show very similar spatial distributions with most of the emissions originating in the urban 304 areas while CH₄ shows a broader spatial distribution. Note that these spatial patterns are a 305 result of a campaign of 5 days with winds coming from different directions (Fig. S1), leading 306 to a good triangulation of the source locations. 307

³⁰⁸ Discussion: Uncertainty and Sources of Variability

³⁰⁹ Method Combined Uncertainty

We were able to disentangle and quantify the different sources of variability present in the inversion-based emissions estimates and found that the largest source of variability in the retrieved emissions is the daily variability. In the following analysis, we omit the daily variability because the goal is to understand the uncertainty we expect in each day's estimate and whether the daily variability is likely to be caused by general uncertainty in the method.

Here we assume each source of variability is independent of the others, so that the variances 315 can be summed to estimate the method uncertainty in each day's estimate. We note that the 316 assumption of independence is not likely to be true and therefore this uncertainty estimation 317 might be biased due to not considering the correlations among them. In addition, the 318 ensemble construction (transport, priors, observation dataset, covariances and background) 319 might impact the ensemble spread and therefore might not be the true uncertainty in the 320 method but it does, however, provide an indication of the likely variability introduced by 321 the different model choices. 322

Three different cases are shown in Table 1 for estimating combined uncertainties. The 323 Combined Uncertainty 1 case considers all sources of variability tested in the inversion. 324 However, we believe that two transport models are outliers that suffer from improper mixing 325 and resulted in biased estimations. The highest retrieved fluxes are obtained consistently 326 using the HR configuration, suggesting that this configuration is too dispersive, although 327 more research is needed to be more certain. The lowest posterior estimates consistently 328 correspond to the configuration including the experimental vertical mixing parametrization 329 (MY2), indicating that this method may under-predict vertical mixing. Removing these two 330 outlier configurations reduces the variability due to transport model choice to 7% for CO_2 , 331 10% for CH₄ and 8% for CO; these are used to calculate the Combined Uncertainty 2 case. 332 Because the flight tracks are different for each aircraft, the variability due to the observation 333 dataset may also be affected by the spatial and temporal distribution of the sources being 334 measured, so we remove this variability to also calculate the Combined Uncertainty 3 case. 335

³³⁶ Daily Variability in Estimated Emissions: Aliasing

The daily variability in our posterior emissions from the inversion ensemble was 33% for CO₂ and 28% for CH₄ and CO (Table 1). This variability is larger than each individual source of variability as well as the three cases of the combined uncertainties as shown above, although for CH₄ the two are comparable. In order to better understand the origin of this variability

Source of uncertainty	$\epsilon \operatorname{CO}_2(\%)$	$\epsilon \operatorname{CH}_4(\%)$	$\epsilon \operatorname{CO} (\%)$	
daily	33	28	28	
prior	11	13	6	
transport	15	14	16	
transport no outliers	7	10	8	
observation dataset	6	10	6^a	
sensitivity	11	17	8	
Combined Uncertainty 1				
(prior, transport,				
dataset and sensitivity)	22	27	20	
Combined Uncertainty 2				
(prior, transport no outliers,				
dataset and sensitivity)	18	26	14	
Combined Uncertainty 3				
(prior, transport no outliers				
and sensitivity)	17	24	13	

Table 1: Sources of variability and combined uncertainty.

^aCO variability due to the observation dataset is assumed to be the same as for CO₂.

in the estimates, we conducted an analysis of the temporal variability and spatial sampling 341 of the two largest sources of CO_2 in the accounting box, according to the ACES inventory: 342 energy generation and on-road traffic.³⁹ Thirteen power plants and 87 counting stations were 343 used within the accounting box (Fig. S18). Both of these sources have significant variability 344 throughout a single day, with traffic counts in the area varying by up to a factor of 20 between 345 night time and evening rush hour depending on the location (Fig. S19, S20), and individual 346 power plant reported emissions varying up to a factor of two within a single day, but even 347 more between days as they sometimes shut down completely (Fig. S21). If daily means 348 of these emissions are investigated, neither the average daily mean of powerplant emissions 349 nor the average daily mean of traffic counts correlates with the daily mean emissions from 350 our inversion posterior. However, the daily variability in the posterior estimates can indeed 351 be explained using an analysis that considers the hourly variability of these two sectors' 352 emissions, combined with the specific flight pattern on a given day. We define each day's 353 normalized observed emissions (nOE, Eq. 2) using powerplant and traffic count data to 354 conduct this analysis. 355



Figure 3: Estimated CO_2 emission rates (kmol s⁻¹) for each research flight as a function of the normalized observed emissions (nOE) computed using CEMS and TMAS hourly data. Errors bars correspond to 25th and 75th percentiles of the ensemble of inversions for each day. Red line indicates the linear fit.

Fig. 3 shows the daily mean estimated CO₂ emissions from the inversion as a function of the *normalized observed emissions* (nOE), with error bars representing the 25th and 75th percentiles of the ensemble of inversions for each day. The correlation between the two is nearly perfect ($r^2 = 0.97$), implying that the daily variability observed by the inversion is caused by irregular spatiotemporal sampling (aliasing) of the rapidly changing underlying emissions.



 CO_2 sources can explain 97% of the variability in our CO_2 emissions estimate, suggest that similar spatiotemporal variability in CO and CH_4 sources could explain the variability in our estimates for those gases as well. We note that for CH_4 this is less clear due to the larger estimated uncertainty in the posterior emissions, but it is plausible given that large temporal variability in CH_4 source emissions has been reported in oil and gas production fields, ^{27,52} and likely exists in urban areas as well.

369 Path Forward

Flight campaigns are extremely useful for greenhouse gas (GHG) and pollutant emissions 370 estimation because of the fast deployment and large spatial coverage that is provided by 371 a moving platform. However, they are limited by the reduced temporal coverage as well 372 as the difficulty of measuring all the areas at the same time. We have shown that this 373 irregular sampling (in time and space) generates aliasing of the emissions impacting both 374 the emissions estimates and the variability of those estimates. Therefore, moving forward, 375 multiple flights over a region over different hours, days, months and seasons are recommended 376 as well as multiple aircraft flying together with well-coordinated flight plans based on forecast 377 back-trajectories so that the coverage of the cities can be maximized at all times. Addition 378 of measurements from every platform (surface, aerial or from space) available should also 379 help reduce the aliasing of emissions. This aliasing of emissions is likely not exclusive to 380 aircraft campaigns but rather a ubiquitous problem to all monitoring systems based on 381 spatiotemporally discrete sampling (aircraft, cars, polar orbiting satellites as well as sparse 382 tower networks) and it must be considered when designing the measurements and interpreting 383 the results. 384

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³⁸⁹ Supporting Information Available

supplemental-information.pdf: Detailed methodology and supplemental tables and fig ures

³⁹² This material is available free of charge via the Internet at http://pubs.acs.org/.

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609 Graphical TOC Entry

