

1 **Lateral dispersion of dye and drifters in the center of a very large lake**

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35 **Abstract**

36 To better understand lateral dispersion of buoyant and non-buoyant pollutants within the surface
37 waters of large lakes, two lateral dispersion experiments were carried out in Lake Michigan during
38 the stratified period: (1) a dye tracking experiment lasting one day; and (2) a drifter tracking
39 experiment lasting 24 days. Both the dye patch and drifters were surface-released at the center of
40 Lake Michigan's southern basin. Near-surface shear induced by near-inertial Poincaré waves in
41 enhancing lateral dispersion explains elevated dye dispersion rates ($1.5 - 4.2 \text{ m}^2\text{s}^{-1}$). During the
42 largely windless first 5 days of the drifter release, the drifters exhibited nearly scale-independent
43 dispersion ($K \sim L^{0.2}$), with an average dispersion coefficient of $0.14 \text{ m}^2 \text{ s}^{-1}$. Scale-dependent drifter
44 dispersion ensued after 5 days, with $K \sim L^{1.09}$ and corresponding dispersion coefficients of $0.3 -$
45 $2.0 \text{ m}^2 \text{ s}^{-1}$ for length scales $L = 1500 - 8000 \text{ m}$. The largest drifter dispersion rates were found to
46 be associated with lateral shear-induced spreading along a thermal front. Comparisons with other
47 systems shows a wide range of spreading rates for large lakes, and larger rates in both the ocean
48 and the Gulf of Mexico, which may be caused by the relative absence of submesoscale processes
49 in offshore Lake Michigan.

50

51 **Introduction**

52 Accurate predictions of lateral dispersion in large enclosed and semi-enclosed water bodies are
53 important for a wide range of applications including contaminant spills (Olascoaga and Haller
54 2012), algal blooms (Rowe et al. 2016), larval fish advection (Beletsky et al. 2007), invasive
55 species (Beletsky et al. 2017) and microplastics (Hoffman and Hittinger 2017). With the
56 increasing application of particle tracking models to simulate dispersion, direct measurements of
57 dispersion in aquatic systems are becoming essential because the data provide a baseline against
58 which these simulations can be compared, in turn allowing for model validation, calibration, and
59 improvement. Additionally, direct measurements of dispersion can highlight linkages between
60 dispersion and specific underlying physical processes, and these linkages can guide model
61 refinement, leading to improved predictions. Despite the importance of dispersion for modelling
62 many aquatic processes, there is a paucity of studies that constrain the magnitude of the dispersion
63 processes within large lakes, or that distinguish between the dispersion of buoyant versus non-
64 buoyant pollutants within the surface waters of lakes.

65 The focus of this work is on the lateral near-surface, offshore dispersion observed in Lake
66 Michigan, USA (Figure 1), one of the Laurentian Great Lakes, which shares dynamical
67 characteristics with many very large enclosed lakes and semi-enclosed ocean basins that are
68 strongly influenced by the earth's rotation, largely free of tidal influence, primarily wind-driven,
69 and density-stratified during most of the year. Very large basins (>100 km horizontal scale) that
70 share these characteristics include the other Laurentian Great Lakes (Lakes Erie, Huron, Superior,
71 and Ontario), Lake Baikal, Lake Victoria, Great Slave Lake, Great Bear Lake, Lake Winnipeg, the
72 Caspian Sea, the Black Sea, the Mediterranean Sea, the Baltic Sea, and the Gulf of Mexico.

73 Estimating a lateral dispersion rate K is one key objective of dispersion studies in oceans and large
74 lakes. It has important implications for the modeling and prediction of transport and mixing,
75 particularly when it can be linked with the necessary mixing coefficients for numerical models
76 (Peeters and Hoffman 2015, hereafter PH2015). In this paper we follow an unambiguous definition
77 of the instantaneous dispersion rate K as the time rate of change of the lateral variance of the cloud
78 or cluster σ^2 (exact definition follows later; see PH2015 for a comprehensive discussion on the
79 relative merits of various dispersion coefficients). For molecular diffusion, K is invariant with
80 time, producing linear variance growth $\sigma^2 \sim t$, but dispersion in natural waters generally exhibits
81 “super-diffusion” for which the effective dispersion rate K increases with the size of the cloud,
82 and therefore time as well. There are several established mechanisms that lead to a length scale
83 dependence of the dispersion coefficient.

84 Drifter and dye experiments (Okubo 1971; Murthy 1976; Koszalka et al. 2009; Lumpkin and Elipot
85 2010; Poje et al. 2014) have supported the celebrated oceanic scale-dependent parameterization
86 for K , Richardson’s 4/3 power law (Richardson 1926), for which $K \sim \sigma^{4/3}$, and an associated
87 cluster variance that grows as $\sigma^2 \sim t^3$. The 4/3 power law is expected to hold in homogeneous,
88 isotropic stationary turbulence when the velocity (energy) spectrum exhibits a well-defined -5/3
89 decay in the inertial subrange and the cloud size falls within the inertial subrange scales (Batchelor
90 1950).

91 The presence of background horizontal and vertical shear can also elevate lateral dispersion rates;
92 this shear can also lead to scale-dependent lateral dispersion (Fischer et al. 1979). Drifter and dye
93 studies carried out in lakes have linked horizontal and vertical shear to observed size-dependent
94 dispersion (Lawrence et al. 1995; Peeters et al. 1996; Stocker and Imberger 2003; Choi et al., 2015;
95 PH2015), and shear may be the dominant spreading mechanism in the surface waters of lakes, for

96 which the lateral turbulence field is unlikely to be well-developed given the ephemeral nature of
97 wind forcing. Recent work has shown wind-induced vertical shear within 1 m of the water surface
98 to greatly enhance lateral spreading of near-surface substances, even in very light winds (Laxague
99 et al., 2017).

100 Recent oceanic drifter studies have highlighted linkages between surface dispersion and
101 submesoscale currents (Poje et al. 2014; Lumkin and Elipot 2010). Submesoscale currents are
102 defined as motions having length scales of ~100 m - 10 km and time scales of hours to days,
103 respectively, and are often associated with lateral buoyancy gradients and fronts (Thomas et al.
104 2008; McWilliams 2016). Submesoscale features have not been identified or examined in large
105 lakes, such as the Laurentian Great Lakes, although eddy- and front-like features are sometimes
106 observed in satellite synthetic aperture radar (SAR) imagery (McKinney et al. 2012; Ralph 2002)
107 and in the patterns of resuspension plumes (Lee et al. 2007; Eadie et al. 2008) and chlorophyll-a
108 plumes (Kerfoot et al. 2008).

109 We are not aware of any dispersion measurements performed outside of the coastal boundary layer
110 in lakes with sizes comparable to the largest Laurentian Great Lakes (basin widths ≥ 100 km);
111 importantly, without such measurements, it is unclear whether the magnitude of offshore
112 dispersion in lakes of such size is more similar to smaller lakes, enclosed and semi-enclosed seas,
113 or the open ocean.

114 In this paper we present measurements of drifter and dye dispersion from experiments carried out
115 in the surface waters at the center of Lake Michigan's southern basin during the stratified period.
116 The dye patch was surface-released and tracked for approximately one day; 6 drifters were co-
117 released and tracked for 24 days, during which they remained in the interior waters of the basin.

118 The main research questions addressed in this work are 1) what dispersion rates are observed in
119 the interior surface waters of a very large lake, and how do they compare with other observations?
120 2) are there differences between the dispersion of dye and drifters? and 3) how do these
121 observations relate to resolvable physical processes? This paper is outlined as follows: in the
122 Methods section we describe the experiments and dispersion quantification techniques; in the
123 Results section we present the observed dispersion rates as well as the physical conditions during
124 the experiment; and in the Discussion section we relate our observations to resolvable physical
125 processes and other lake and ocean observations.

126 **Methods**

127 We collected and analyzed a set of field measurements taken in Lake Michigan, from June-August
128 of 2013 (Figure 1). The location for all of these measurements was the center of Lake Michigan's
129 135 km wide southern basin, where water depths reach 153 m, and near-inertial waves dominate
130 surface currents during the stratified period (Choi et al. 2012). The measurements consisted of:
131 (1) water column velocities and temperatures from an acoustic Doppler current profiler (ADCP)
132 and a thermistor string; (2) surface wave and meteorological observations from nearby NDBC
133 Buoy 45007; (3) a surface dye release near this same location, which was tracked for slightly more
134 than 1 day; (4) a simultaneous release of a drifter cluster that was subsequently tracked for ~100
135 days. For this manuscript, we focus on measurements from the 24 day-period DOY 195-219 (14
136 July 2013 – 7 August 2013), during which the drifter cluster remained in the interior of the lake,
137 and outside the coastal boundary layer.

138 Water currents and temperatures were measured continuously at a mid-lake mooring (42° 42' 30"
139 N, 87° 3' 52" W) that was deployed from DOY 160-256 of 2013. This mooring included a RDI

140 Workhorse 307.2 kHz ADCP in an up-looking configuration that sampled currents in 1 m bins
141 every 20 min, between 4.9 and 39.9 m depth. Subsurface temperatures were measured by a dense
142 array of thermistors, with 37 temperature loggers (Sea Bird SBD-56 and RBR TR-1060) located
143 between 11 and 41 m depth. During the dye release experiment, high resolution CTD casts were
144 performed to quantify near-surface thermal structure and possible overturning. Wind, wave, and
145 surface temperature data was obtained from NDBC Buoy 45007, which was located 5.6 km from
146 our mooring (Figure 1).

147 A dye release experiment was conducted on 14 July 2013 (DOY 195) near the mooring location
148 during a R/V Blue Heron cruise that took place from 14 July 2013 to 18 July 2013. A dye mixture
149 was prepared using 11 kg Rhodamine WT, 70% ethanol alcohol, and *in situ* surface water. The
150 density of the dye mixture was measured with a benchtop densimometer (Mettler Toledo DE45)
151 to be 997.1 kg m^{-3} , which was slightly less dense than the lake surface water, which had an
152 estimated density of 999.9 kg m^{-3} .

153 To inject the dye into the surface waters of the lake, the dye mixture was pumped from a barrel
154 into the surface water for 8 minutes through a surface diffuser. The surface diffuser was a 0.5 m
155 long floating section of 15 cm diameter plastic pipe with several hundred 2 mm diameter holes.
156 The dye was pumped through the diffuser while the ship drifted, approximately 30 m distant from
157 the diffuser. The resulting initial dye patch was an elongated dye streak approximately 200 m long
158 and 30 m wide. Following the completion of the dye injection, the ship drifted away from the dye
159 patch without engaging the propellers in order to avoid disturbing the patch.

160 The dye concentration was spatially mapped by traversing the ship at 3.6 ms^{-1} through the dye
161 patch, without engaging the propellers, and measuring the surface water dye concentrations with

162 a calibrated Turner 10-AU fluorometer connected to the ship's underway water system (2 m
163 depth). The estimated detection level of the fluorometer is $0.01 \mu\text{gL}^{-1}$, which restricted the dye
164 experiment duration to approximately one day, after which the dye patch could not be detected.
165 We have limited information on the vertical extent of the dye patch due to the very weak vertical
166 mixing during the release; our towed fluorometer, which was towed as shallow as 3 m, did not
167 detect any dye, which at least confirmed the surface-trapped location of the plume.

168 One hour following the dye release, 6 GPS-based drifters were released from the ship into the
169 center of the dye patch during one of the measurement transects through the patch (Supplemental
170 Material, Animation S1). The drifters were designed after the "Eddie" type drifter described by
171 NOAA's Northeast Fisheries Science Center
172 (<https://www.nefsc.noaa.gov/epd/ocean/MainPage/lob/driftdesign.html>). They are a spar type
173 drifter with the buoyancy concentrated near the top of the spar and an overall length of 1.2 m. A
174 cruciform drogue of approximately 1 m^2 area is attached to the spar. This design is similar to
175 CODE-type drifter, which performs virtually in the same manner with newly designed CARTE
176 drifters (Lumpkin et al. 2017). At the very top is a 0.1 m by 0.18 m platform with an attached
177 North Star TrackPack GPS. These units have horizontal positioning accuracy of less than 5 m and
178 hourly position updates. The main buoyancy is comprised of 4 small floats of about 0.9 kg of
179 buoyancy each and 3.6 kg of lead ballast attached near the base of the spar. The total mass of the
180 drifter in air is about 5 kg. Six drifters remained in Lake Michigan's southern basin for 3 months,
181 but we restrict the discussion here to data associated with the first 24 days of the drifter experiment,
182 during which the drifters remained offshore before being entrained into the coastal boundary layer.

183 The drifter cluster size was quantified using standard definitions of position variance. The variance
184 of the drifter displacements was quantified as $\sigma_{ij}^2 = 2\sigma_i\sigma_j$, where σ_i and σ_j are standard deviations

185 of drifter positions in major and minor axes, respectively, which were determined by principal axis
186 analysis (Okubo 1971). Drifter velocities were calculated using the time derivatives of the drifter
187 horizontal positions, and for the dye release we estimated the bulk velocity shear over the top 5m
188 of the water column by taking the difference between the average drifter (surface) velocities and
189 the ADCP measurement at 4.9 m depth.

190 For the dye plume, ordinary Kriging interpolation was used to estimate the spatial distribution of
191 the dye plume concentrations from the ship-based fluorometer measurements $c(x, y)$, from which
192 the variance of the dye concentration distribution was calculated as $\sigma_{ij}^2 = 2\sigma_i\sigma_j$. Here σ_i and σ_j
193 are the standard deviations the dye distribution along major and minor plume axes, respectively,
194 which were estimated following the covariance matrix eigenvalue technique described in Peeters
195 et al. (1996). We have chosen to analyze the period 6-20.6 h following dye release in order to
196 avoid any potential errors associated with either ship-induced mixing (early times) or sparsely –
197 mapped distributions (late times), following suggestions from reviewers.

198 The instantaneous dispersion rate for both dye and drifters is defined as $K = \frac{1}{4} \frac{d\sigma_{ij}^2}{dt}$, which we
199 choose as our metric of dispersion because it avoids issues with the unknown initial cluster size,
200 time origin, and the integration of different phases of dispersion into a single coefficient (PH2015).

201 The overall cluster/plume size is defined as $L = 3\sigma_{ij}$.

202 To further examine the role of vertical shear in the enhancement of the lateral dye dispersion, we
203 performed data-driven particle tracking to simulate the growth of the dye cloud (see Choi et al.
204 2015 for further details on the technique). For the simulations, the lateral diffusion coefficient was
205 set to the measured, approximately constant value experienced by the drifters during the first 5
206 days of the experiment ($0.14 \text{ m}^2\text{s}^{-1}$). The vertical shear was specified according to the combined

207 drifter-ADCP estimate, and the vertical diffusivity held constant. The initial condition for the
208 simulations was taken to be the measured dye cloud variance several hours after release, as a
209 precaution to ensure that any ship-induced mixing of the dye cloud was not considered.

210 **Observations**

211 **Background conditions**

212 The wind stress, currents, and thermal structure measured by the mooring and NDBC Buoy 45007
213 during DOY 195-220 in 2013 are highlighted in Figure 3. During the first five days of the drifter
214 deployment (DOY 195-DOY 200), which includes the day-long dye release experiment (DOY
215 195-196), winds were calm, with a mean estimated stress of 0.017 Pa (the mean June-July wind
216 stress is 0.03 Pa for Buoy 45007, for comparison). The largest wind event of the 24 day period
217 was an event on DOY 205, which had a maximum stress of 0.4 Pa; this event created significant
218 wave heights in excess of 3 m and significantly deepened the mixed layer (Figure 3c). The mean
219 wind stress for the entire 24 day period was 0.056 Pa, but quite variable with a standard deviation
220 of 0.062 Pa, as can be seen in Figure 3.

221 The stratification of surface waters evolved during the start of the experiment in response to
222 changing winds. Initially there was from a weakly stratified system, which changed to a well-
223 formed mixed layer following the large wind event just described (Figure 3c). The buoyancy
224 frequency, a measure of density stratification, over the top 15 m of the water column is calculated
225 as $N = 1 \times 10^{-3} \text{ rads}^{-1}$ ($0.58 \text{ }^\circ\text{Cm}^{-1}$) from DOY 190 – 205, and $N = 9 \times 10^{-5} \text{ rads}^{-1}$ (0.05
226 $^\circ\text{Cm}^{-1}$) from DOY 205 – 220. During the dye release (DOY 205), stratification extended to within
227 1 m of the lake surface (Figure 4), suggesting very weak vertical mixing (discussed later).

228 Lake Michigan surface water temperatures obtained from satellite imagery
229 (<https://coastwatch.glerl.noaa.gov/>) showed that during the measurement period the southern basin
230 had a strong north-south temperature gradient with warmer southern waters, with an average of
231 1.05 °C higher temperature at a location of 50 km to the south of the drifter release location.
232 Associated with this persistent north-south gradient in lake surface temperature was a strong
233 thermal front that we highlight later as potentially playing a role in the observed drifter trajectories
234 and spreading.

235 Measured currents from both the drifters and the ADCP show the dominance of near-inertial
236 energy in near-surface and surface currents (Figures 3c, 4). Near-inertial surface currents
237 experienced by the drifters nearly reached 0.5 ms^{-1} , rotating clockwise at near-inertial period (~ 18
238 h), as we have shown previously for this location in Lake Michigan (Choi et al. 2012, 2015). The
239 largely near-inertial current field is also seen to be non-stationary, which is a product of the
240 temporal structure of the wind forcing (Figure 3a). The drifters maintained more than 80%
241 coherence at the inertial frequency for the duration of the period shown (Figure 4), which confirms
242 the large spatial scale associated with the dominant internal near-inertial Poincaré wave (Ahmed
243 et al., 2012), and the lateral uniformity of the near-inertial currents.

244 **Conditions during the dye release**

245 The surface conditions during the dye release were very calm, with mean wind stress of 0.004 Pa
246 and a mean wave height of 0.1 m (Figure 5). Thermal stratification extended to 1 m below the
247 surface, our shallowest measurement depth. The strength of this near-surface stratification
248 between 1 and 7 m depth was $N = 2.7 \pm 0.5 \times 10^{-2} \text{ rads}^{-1}$ during the 21 hour experiment. Shear
249 estimated at 2.5 m depth is clearly dominated by near-inertial waves (Figure 5), which is consistent

250 with the surface velocities (Figures 3c,4). The corresponding Richardson numbers estimated at
251 2.5 m depth did not fall below 1 during the dye release.

252 Analysis of the micro-temperature profiles measured by the SCAMP (Self Contained Autonomous
253 Microstructure Profiler) taken during the dye release, and the several days following the release
254 (which had a similar lack of wind forcing), revealed that Thorpe overturn scales (L_t) between 1
255 and 7 m depth were less than our minimum detection scale of 2 cm on average. A mixing
256 efficiency approach (Scotti 2015) yields a vertical mixing coefficient of $K_z \approx 3 \times 10^{-6} \text{ m}^2\text{s}^{-1}$ as
257 a generous upper bound on the vertical mixing coefficient between 1 and 7 m depth. This low
258 level of mixing below 1 m is consistent with the consistent presence of stratification during the
259 dye release, and with Richardson numbers > 1 estimated at 2.5 m depth.

260 Within 1 m of the water surface we do not have direct measurements of thermal microstructure or
261 velocity shear. However, if we assume that the weak winds were the cause of any turbulence
262 within 1 m of the water surface, then a parabolic distribution for the turbulent coefficient yields
263 $K_z \approx \frac{u_* \kappa h}{6} = 1.3 \times 10^{-4} \text{ m}^2\text{s}^{-1}$ as an estimate of the average vertical mixing rate within 1 m. Here
264 $u_* = 0.002 \text{ ms}^{-1}$ is the water side friction velocity associated with the wind stress (0.004 Pa), $\kappa =$
265 0.4 is von Karman's constant, and $h = 1 \text{ m}$ is the layer thickness over which the stress is assumed
266 to decay (since the water column was strongly stratified to at least 1 m depth). This is likely an
267 overestimate of the average near-surface mixing rate because (1) the layer thickness over which
268 the wind stress was acting (assumed 1 m) may have been even smaller; and (2) some portion of
269 the wind stress is expected to have gone into the development and growth of the wave field since
270 waves were not developed during the dye release.

271 **Dispersion observations**

272 During the first day of the dye release, the drifter and dye clouds were observed to move in a
273 clockwise trajectory consistent with the looping near-inertial currents, with a net center of mass
274 displacement of 4 km over 21.6 h (Figure 6). The dye cloud exhibited nearly continuous growth,
275 but the drifter cluster size was nearly constant, even decreasing, for the first 18 hours of the
276 experiment (Figure 7). After 20.6 h, the dye cloud scale was $L = 3\sigma_{ij} = 2900$ m, whereas the
277 drifter cluster size was only $L = 374$ m (Figures 6, 7), in spite of their similar initial cloud sizes
278 and release times. The two distributions overlapped one another for the duration of the dye
279 mapping experiment (Figure 6).

280 The dye cloud exhibited scale-dependent spreading, with spreading rates ranging from $K = 1.5 -$
281 $4.2 \text{ m}^2\text{s}^{-1}$ for times of 6 - 21 h following release, respectively, with an approximate scale
282 dependency of $K \sim L^{0.97}$ (Table 1). In contrast, the drifter spreading over the first five days of the
283 experiment was nearly scale-independent, with variance growth $K \sim L^{0.2}$, which is reasonably
284 approximated with a scale-independent (constant) lateral dispersion coefficient of $K = 0.14 \text{ m}^2\text{s}^{-1}$.
285 After five days, the drifter cluster size was still only $L = 3\sigma_{ij} = 1460\text{m}$. As discussed
286 previously, the first five days of the experiment had very low winds (Figure 3, Table 1).

287 The longer term drifter trajectories illustrate the “inertial waltzes” caused by the combination of
288 low-frequency currents and clockwise-spiraling near-inertial currents (Mortimer 2004;
289 Supplemental Material Animation S1 and Figure 8). These pathlines vary between nearly closed
290 orbits (e.g. DOY 201 to 207) and straight lines (e.g. DOY 208), depending on the strength of near-
291 inertial currents relative to non-rotating currents. The inertial circles become absent once the
292 drifters reach the edge of the coastal boundary layer at the end of the period shown, since the

293 coastal boundary layer is a location with strong alongshore flow and diminished near-inertial
294 energy (DOY 219 - 220, Figure 8).

295 For experiment days 5-24, the drifter cluster grew according to $\sigma_{ij}^2 \sim t^{2.2}$, which is suggestive of
296 scale-dependent super-diffusion ($\sigma_{ij}^2 \sim t^{>1}$). It cannot be determined whether this change to scale-
297 dependent dispersion at $t = 5$ days occurred due to the cluster reaching a critical size threshold or
298 due to the increased winds experienced for the period $t > 5$ days. The corresponding scale-
299 dependent relation for the dispersion rate during this period is $K \sim L^{1.09}$, with a maximum value of
300 $2.0 \text{ m}^2\text{s}^{-1}$ after 24 days when $L = 8000 \text{ m}$ (Table 1).

301 **Discussion**

302 In addition to the direct quantification of lateral dispersion rates in a very large lake, the dye and
303 drifter observations highlight several important features about near-surface dispersion
304 characteristics in offshore waters of large lakes, including linkages to physical processes. We
305 characterize the dispersion in terms of vertical shear, an observed thermal front, and scale-
306 dependency relative to other systems.

307 **Importance of vertical shear**

308 Firstly, a comparison between the dye and drifter spreading rates (K) during the first day of the
309 experiment provides additional evidence for the importance of near-surface vertical shear in
310 enhancing lateral dispersion, differentiating surface drifter dispersion from near-surface dye
311 dispersion, particularly for times immediately following release when scale-dependent dispersion
312 has not yet occurred. Particle tracking calculations (Figure 10) show that vertical shear is a
313 plausible mechanism to partially explain the enhanced, scale-dependent spreading experienced by

314 the dye (Figure 10). While the particle tracking calculations do not entirely reproduce the larger
315 variance growth experienced by the dye cloud, these calculations likely underestimate the shear
316 effect as they are driven by a shear estimate averaged over the top 5 m of the water column (Figure
317 5), and therefore do not capture the enhanced near-surface, cm to m scale shear that Laxague et al.
318 (2017) showed to greatly enhance near-surface spreading of dissolved substances even under weak
319 winds. Because the resolved shear driving our calculations is primarily near-inertial (Figure 5), the
320 most direct conclusion to be drawn from the particle tracking results is that near-inertial vertical
321 shear can cause enhanced scale-dependent spreading of dissolved near-surface substances. The
322 near-inertial spreading mechanism was previously examined in Choi et al. (2015), and operates in
323 the absence of direct forcing from the wind, since the inertial waves have a decay time scale of
324 approximately 10 days for Lake Michigan (Choi et al. 2012). Future studies measuring the near-
325 surface spreading of dissolved substances should aim to also quantify the concurrent vertical shear
326 as close to the water surface as possible.

327 **Dispersion along a thermal front**

328 A significant growth in the cluster size was associated with the travel of the drifters along a strong
329 thermal front, which occurred during days 14-19 of the experiment (Figures 11, 12). Sea surface
330 temperature (SST) imagery revealed that during this period, the drifters were traveling across a
331 strong thermal front aligned in a northwest-southeast orientation. Based on SST imagery, the
332 thermal front separated a large, warmer water mass in the southwestern part of the southern basin
333 from a warmer mass to the north. At its strongest, the front was approximately 10 km wide, and
334 cross-front thermal gradients ranged from 0.01-0.07 °Ckm⁻¹ (Days 15-19; Figure 12). The drifters
335 converged to the front, and then traveled southeast along the front until they reached and were
336 entrained into the coastal boundary layer (Day 20). The orientation of the front was consistent but

337 it migrated southward during the period when the drifters traveled along it (Figures 11,12), and a
338 simple thermal wind dynamical balance applied to the front is consistent with the observed frontal
339 speeds inferred from the drifters, i.e. 11 km in 4 days = 0.03 ms⁻¹.

340 The increase in the drifter cluster size seen during the frontal activity is a result of elongation along
341 the major cluster axis, which suggests that shear associated with the frontal velocity field was the
342 cause of the cluster elongation (Figure 12). In rotational systems, convergent thermal fronts are
343 associated with convergence of surface waters and strong along-front velocities in the form of a
344 jet that spans the location of the front (Cushman-Roisin and Beckers 2011, p. 592). In the northern
345 hemisphere, the expected along-front velocity is in a direction such that cold water is on the left in
346 the frame of the moving fluid, which is consistent with the front observed here (McWilliams 2016).
347 Dynamically, the flow near fronts is typically explained (to lowest order) using a geostrophic
348 balance and the thermal wind equation, where the cross-front pressure gradient provided by
349 buoyancy balances the Coriolis force (McWilliams 2016). In addition to a strong magnitude of
350 along-front flow (termed a “baroclinic jet”), fronts can be regions of strong cross-front and vertical
351 shear, which to our knowledge has not been examined in the context of shear-enhanced dispersion.

352 The problem of along-front dispersion by cross-front shear is analogous to the classic problem of
353 unbounded shear flow dispersion (Fischer et al. 1979; Saffman 1962). In true shear flow
354 dispersion, longitudinal dispersion is enhanced in the flow direction as transverse diffusion allows
355 fluid and substances to “sample” different velocities in the sheared profile (Fischer et al. 1979).
356 For fronts that are not dynamically unstable, transverse diffusion across the front may be limited,
357 and transverse motions further constrained by convergent velocities that return water to the front
358 (for convergent fronts). As such, the process of enhanced dispersion along stable fronts may be
359 best thought of as simple differential advection, analogous to the longitudinal spreading of fluid

360 parcels over time on distinct conveyer belts that are traveling at different speeds. This is a limiting
361 case of shear flow dispersion (negligible transverse diffusion), and actually the most dispersive
362 according to basic theories. Without further knowledge of the cross-front shear in the baroclinic
363 jet, it is difficult to quantify this effect further. However, if the drifter cluster was distributed
364 evenly across the baroclinic jet and being advected differentially, in the four days during which
365 the drifters travelled along the front, the expected differential advection experienced would be
366 $\Delta x \sim \Delta v \Delta t \sim 5$ km, which shows that even the modest front observed in the present Lake Michigan
367 study is sufficiently strong to substantially impact dispersion (due in large part to the low levels of
368 dispersion experienced otherwise). As such, models seeking to faithfully represent surface
369 dispersion in lakes with significant lateral extent should aim to correctly resolve thermal fronts
370 resulting from differential heating.

371 **Scale dependency and comparison to other systems**

372 It is important to discuss the results in the context of the limited measurements available for the
373 offshore regions of other large lakes and oceanic basins, for the purpose of extrapolating the results
374 to other systems. As points of comparison we include the Lake Ontario dye data of Murthy (1976),
375 recent Lake Constance drifter data from PH2015, the classic collected ocean dye dataset of Okubo
376 (1971), and data from the recent GLAD drifter experiment from the Gulf of Mexico (Poje et al.
377 2014; <https://data.gulfresearchinitiative.org/>). The Gulf of Mexico was selected for comparison
378 because while it is much larger than Lake Michigan, the two basins share important dynamical
379 similarities, having weak tidal influence and strong near-inertial energy that dominates mixed layer
380 currents. In order to facilitate comparison with the Lake Michigan drifters, we have re-computed
381 GLAD S2 spreading statistics for 22 individual clusters of 4 drifters that had initial drifter
382 separations less than 300 m. Lake Constance was also chosen although it is much smaller than

383 Lake Michigan because it is large enough to contain near-inertial energy that potentially affects
384 the dispersion.

385 Figure 13 and Table 1 show the scale dependencies exhibited by the different systems and
386 experiments, from which several observations can be made. Firstly, surface dye releases from
387 Lake Ontario, Lake Michigan, and the ocean have larger dispersion rates than drifter data, which
388 would seem to be additional confirmation of the vertical shear effect, since vertical shear affects
389 dissolved substances but not floating objects. All of the dye data also show scale dependence of
390 the dispersion coefficient even at small plume scales, which is consistent with the effect of vertical
391 shear on spreading.

392 A comparison of our Lake Michigan drifter data with the results from PH2015 for the smaller Lake
393 Constance also highlights some interesting features. Firstly, the Lake Constance data shows scale
394 dependence at smaller scales (10^2 - 10^3 m) than the Lake Michigan data (10^3 m), in spite of the
395 elevated overall surface energy level in Lake Michigan (LM surface velocities approaching 0.5
396 ms^{-1} , Figure 4, as opposed to 0.1ms^{-1} for Lake Constance). One key difference between the
397 experiments is the season during which they were conducted: the Lake Constance experiments
398 were carried out when the water column was very weakly stratified (Feb, March), whereas our
399 own experiments were conducted when the lake was strongly stratified (July). The two sets of
400 data had similarly low wind speeds, averaging $\lesssim 5\text{ms}^{-1}$, but stratified Lake Michigan is known to
401 very efficiently absorb wind energy into the fundamental near-inertial internal seiche, to the point
402 where velocities are nearly tide-like in their periodicity (Choi et al., 2012, shown herein in Figure
403 4). In contrast, wind will be more efficiently transferred to dispersion-enhancing surface eddies
404 in an unstratified lake, potentially leading to scale-dependent spreading at smaller plume scales.

405 It may also be that in smaller lake, lateral shear is elevated due to the diminished basin size, where
406 the nearshore boundary layer occupies a larger fraction of the lake area.

407 Perhaps most importantly, the comparison in spreading rates between the Lake Constance drifter
408 experiments and our present Lake Michigan data show that that there is no universal “diffusion
409 diagram” for large lakes, or even a single lake; this is best proved by examining the Lake Constance
410 data on its own, which shows four very distinct curves for very similar forcing and background
411 conditions. Beyond seasonal differences, this variability is largely a function of the high degree
412 of non-stationarity associated with lakes, which are driven by highly variable winds, in contrast to
413 larger ocean basins. As such, the key elements causing dispersion – vertical/lateral shear and
414 turbulent eddies – are more highly variable in space and time. This variability also means that any
415 one large lake dispersion experiment should be viewed as merely one possible realization of many
416 possible experiments, and even a single experiment can sample different dispersion regimes, as
417 can be seen by comparing the spreading behavior for our drifters between the largely windless first
418 five days and the remainder of the experiment.

419 In spite of the dynamical similarities between Lake Michigan and the Gulf of Mexico, the Gulf
420 drifter spreading rates are an order of magnitude larger than Lake Michigan, and also exhibit scale
421 dependence at smaller scales. With the Lake Constance versus Lake Michigan comparison
422 dispelling the notion that “larger lakes have larger dispersion rates”, it may still be correct that
423 (larger) semi-enclosed ocean basins have larger dispersion rates than lakes. One hypothesis to
424 explain this idea is that large lakes with energetic near-inertial waves lack the energetic
425 submesoscale motions that have been shown to play an important role in oceanic lateral dispersion
426 (Poje et al. 2014, and Lumkin and Elipot 2010). Submesoscale structures have length scales from
427 about 100 m to 10 km, and are generated by mixed layer instability, lateral shear, lateral buoyancy

428 gradients, and other mechanisms (McWilliams 2016). They can enhance lateral dispersion both
429 directly and indirectly, as they feed energy to larger scale motions through an inverse energy
430 cascade (LaCasce 2008).

431 Submesoscale features have not been examined in large lakes, although many of the necessary
432 precursors to their existence – including fronts, as seen in the present experiment – are present.
433 Submesoscale activity is generally larger for larger surface buoyancy gradients, and while Lake
434 Michigan lacks a substantial riverine input during the summer, onshore-offshore and north-south
435 thermal gradients can exist in surface waters due to gradients in water depth and meteorological
436 forcing. Additionally, upwelling events can generate lateral buoyancy gradients along upwelling
437 fronts. Without more detailed measurements it is difficult to assess whether the thermal front seen
438 in our Lake Michigan experiment was unstable, but the observed low rates of cross-front cluster
439 spreading seems to suggest that the front was not unstable. Thus, while some of the necessary
440 precursors to submesoscale activity seem to be present in large lakes, further work is necessary to
441 quantify the possible generation and existence of submesoscale motions in large lakes.

442 **Conclusions**

443 The data presented here have important implications for the modeling and prediction of lateral
444 surface transport and dispersion in the offshore waters of large lakes and enclosed basins. The data
445 have highlighted several physical mechanisms important to lateral dispersion, as well as
446 similarities and differences between oceanic dispersion – for which much more is known – and
447 large lake dispersion. In particular our results of dye and drifter experiments suggested that the
448 dispersion rate for dissolved substances is augmented in the presence of near-inertial, near-surface
449 shear, and that very near surface shear may contribute additional enhancement, following recent

450 findings by Laxague et al. (2017). Lateral shear from a thermal front was also found to enhance
451 lateral spreading, and these observations suggest the need to resolve both vertical and lateral shear
452 in models aiming to accurately simulate the lateral dispersion of substances in lakes, which is
453 consistent with earlier ideas from PH2015 and Choi et al. (2015).

454 Our results herein help to span an important observational gap related to the offshore dispersion
455 of substances in very large lakes (basin scales $> 10^2$ km) and observations in both smaller lakes
456 and larger oceans. Our observed Lake Michigan dispersion rates fall closer to those observed in
457 smaller lake (Lake Constance, PH2015), and exhibit neither the magnitude nor the robust scale-
458 dependence seen in ocean and Gulf of Mexico observations. We hypothesize that this is due in
459 part to the ephemeral, non-stationary nature of wind forcing in lakes, as well as a related consistent
460 lack of submesoscale energy. These hypotheses deserve attention in future studies.

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466 instrumentation and field work. Several anonymous reviewers provided constructive feedback for
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468

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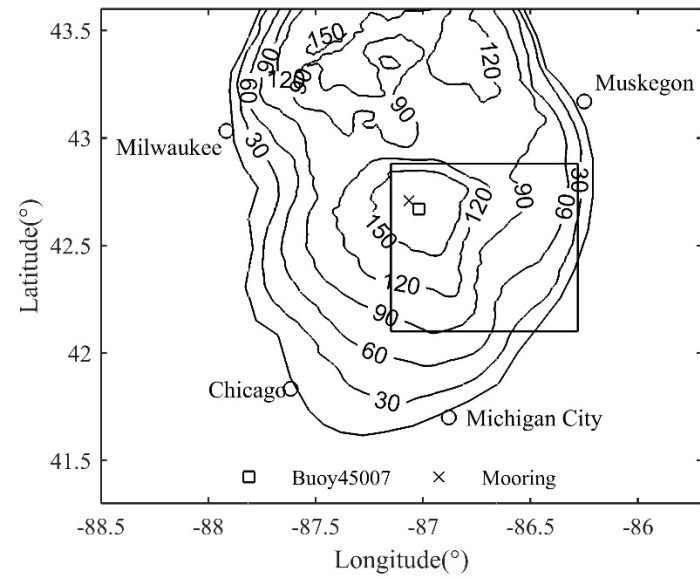
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561

562 **Table**

563 Experiment,	Surface	Fit dispersion coefficient,	Scale range (<i>L</i>, m)	K range (m²s⁻¹)
564 time after	conditions	<i>K</i> (m²s⁻¹) vs. <i>L</i> (m)		
565 release				
566 Dye, 6-21 hours 567 (present)	Very calm; strongly stratified; NI shear	$K = (2.0 \times 10^{-3})L^{0.97}$	950-2900	1.5 - 4.2
568 Drifters, 0-5 days 569 (present)	Calm; stratified; NI motions	$K = (3.5 \times 10^{-2})L^{0.2}$	190-1,460 Avg: 0.14 m ² s ⁻¹	0.10-0.15
571 Drifters, 5-24 days 572 (present)	Variable; wind episodes; NI motions	$K = (1.1 \times 10^{-4})L^{1.09}$	1,460-8,000	0.3 – 2.0
573 Lake Constance 574 Drifters, 3-4 days 575 (PH 2015)	Weakly stratified	$K = (1.27 \times 10^{-4})L^{1.10}$ $K = (0.11 \times 10^{-4})L^{1.61}$ $K = (1.92 \times 10^{-4})L^{1.09}$ $K = (1.08 \times 10^{-4})L^{1.01}$	200-1300 130-3700 100-2000 30-620	0.043-0.33 0.027-5.93 0.027-0.76 0.027-0.07
576 Lake Ontario 577 Dye (hypolimnion), ~4days 578 (Murthy, 1976)		$K = (6.65 \times 10^{-4})L^{1.22}$	324-15261	0.76-83
579 Oceans 580 Dye, ~24 days 581 (Okubo 1971)	Variable	$K = (3.7 \times 10^{-4})L^{1.20}$	64-110,000	0.054-390
582 Gulf of Mexico 583 Drifter, ~24 days 584 (Poje et al., 2014)	Variable; NI Motions	$K = (2.68 \times 10^{-4})L^{1.20}$	430-76,000	0.39-190

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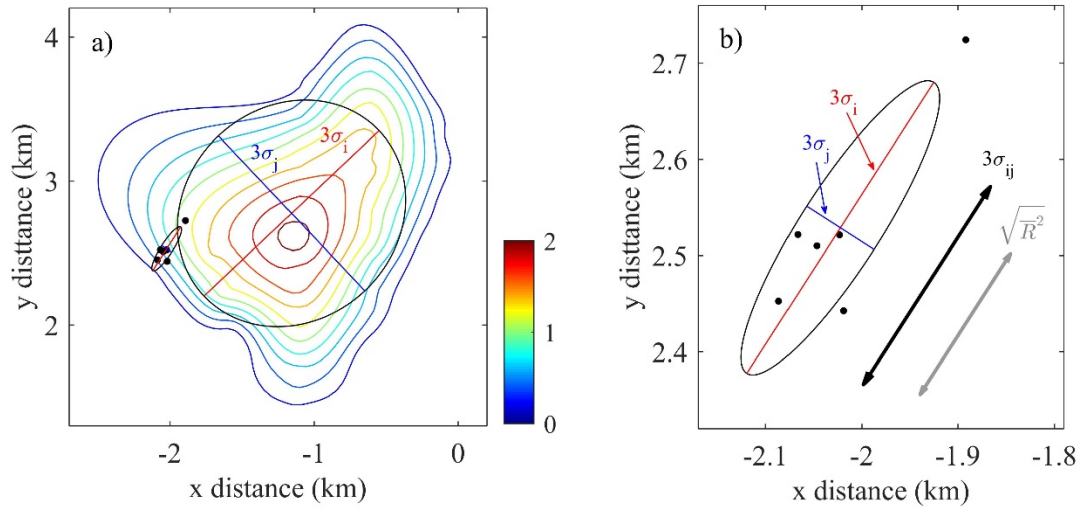


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595 Figure 1. The southern basin of Lake Michigan showing depth contours (m), locations of ADCP and temperature mooring ('x'), and NDBC
596 (National Data Buoy Center) Buoy 45007 ('□'). The dye and surface drifters were released within 1 km of the mooring location ('x').

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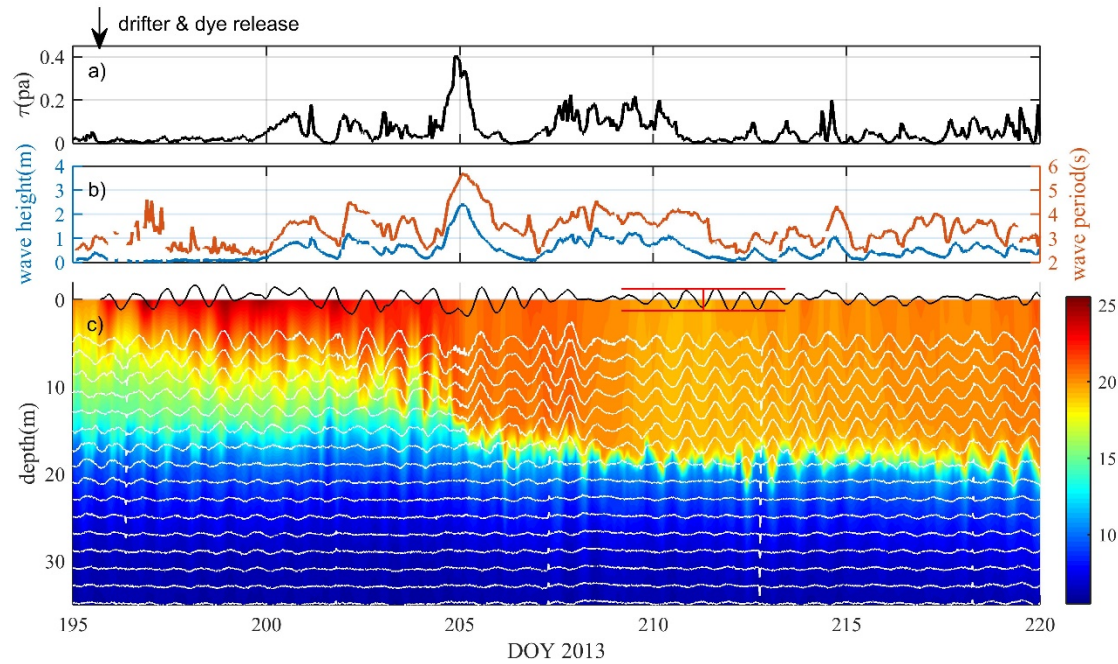


598

599 Figure 2. Illustrated definitions of dye patch and drifter cluster dimensions 19 hours after release. a) Concurrent dye patch (contours) and drifter
 600 cluster (dots), showing ellipse major ($3\sigma_i$) and minor ($3\sigma_j$) axes dimensions for each. c) Ellipse fitted in drifters shown in b). The length of black
 601 and gray lines indicate $3\sigma_{ij}$ and $\sqrt{R^2}$, respectively. Contour lines in (a) are contours of dye concentration in ppb ranging from 0.2 to 2, in
 602 increments of 0.2.

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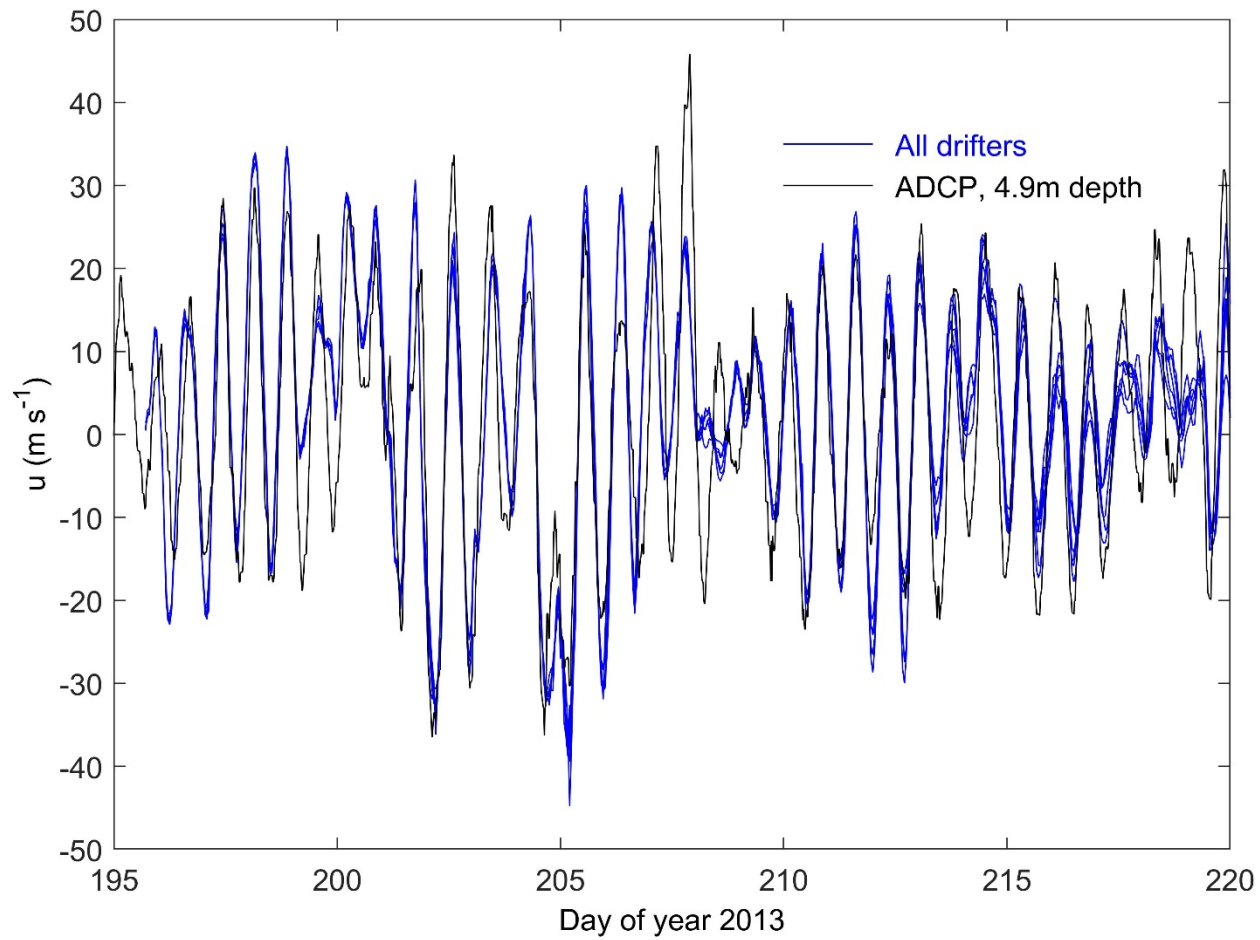
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605

606 Figure 3. Observations from mid-lake mooring and NDBC Buoy 45007. Shown are (a) wind stress at water surface, (b) wave height and average
607 wave period, and (c) water column currents and temperatures. In plot (c), the east component of ADCP-measured currents is shown as white lines
608 centered at the depths where measured, with 2.5 m of deflection corresponding to 0.5 ms^{-1} indicated by red lines. Also shown at the surface as a
609 black line in (c) is the mean east drifter velocity, obtained by differentiating the mean drifter position with respect to time. Temperatures between 0
610 - 11 m depths are linearly interpolated.

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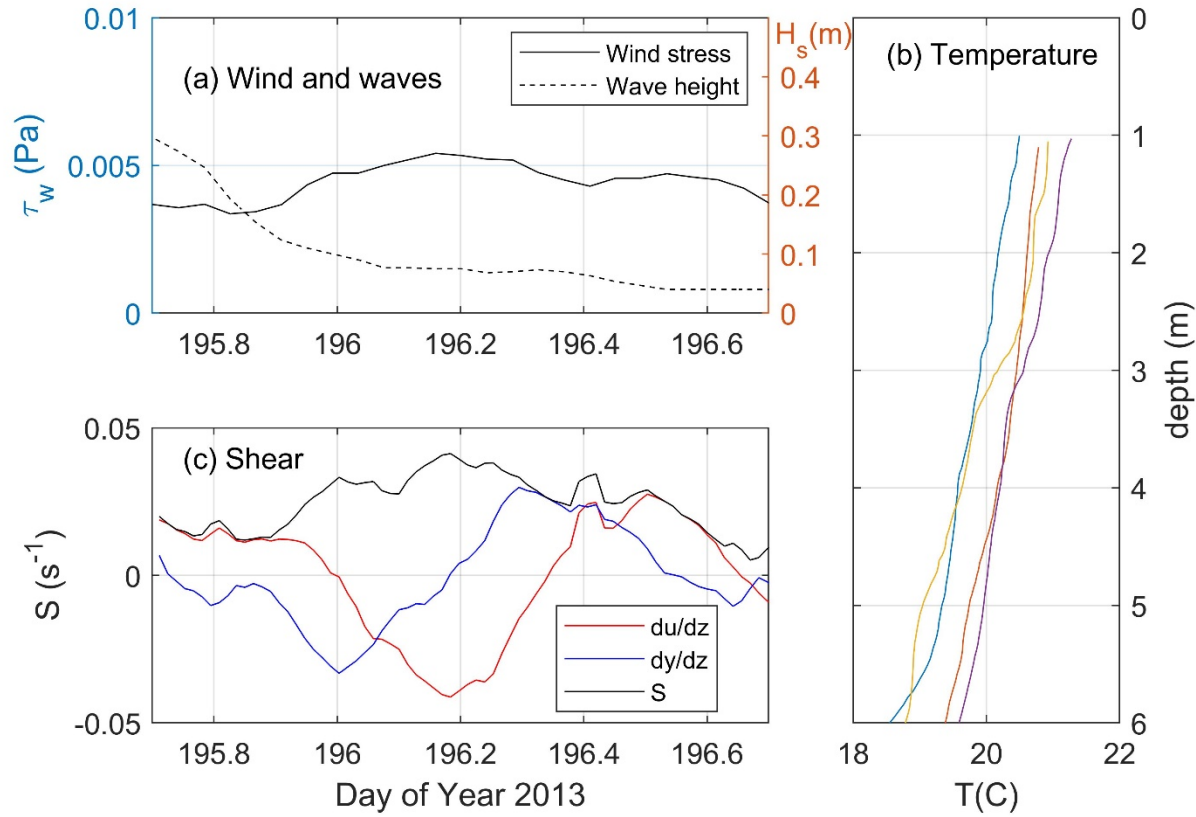
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613 Figure 4. Near-surface ADCP and drifter velocities. Shown are the eastward velocities for all 6 drifters and the nearest-to-surface ADCP
614 measurement (4.9 m depth).

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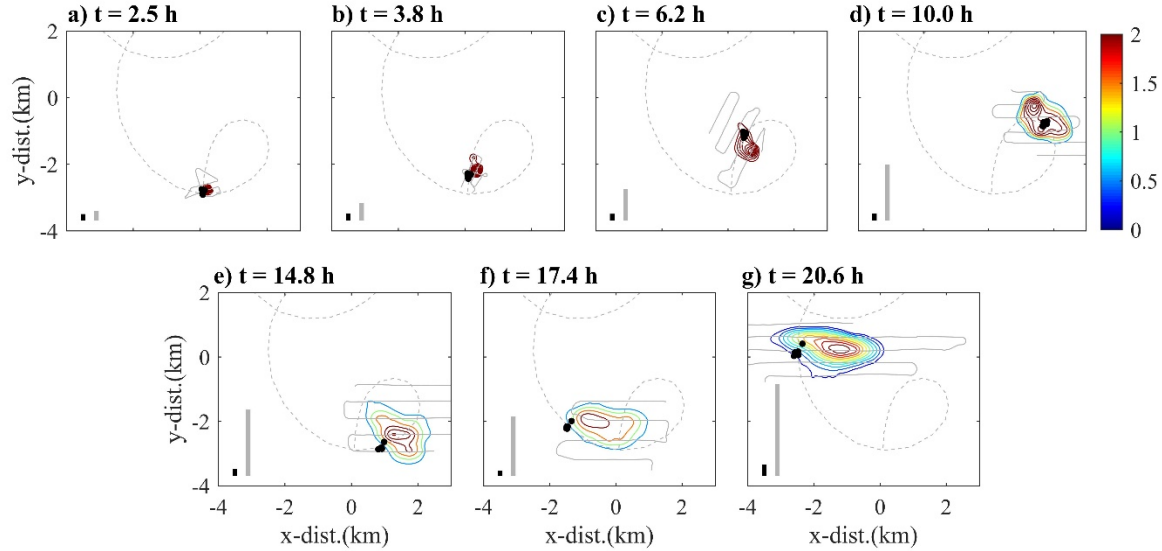
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619 Figure 5. Conditions during the dye release. Shown are (a) estimated wind stress and wave height; (b) near-surface temperature profiles; and (c)
620 estimated shear at depth 2.5 m.

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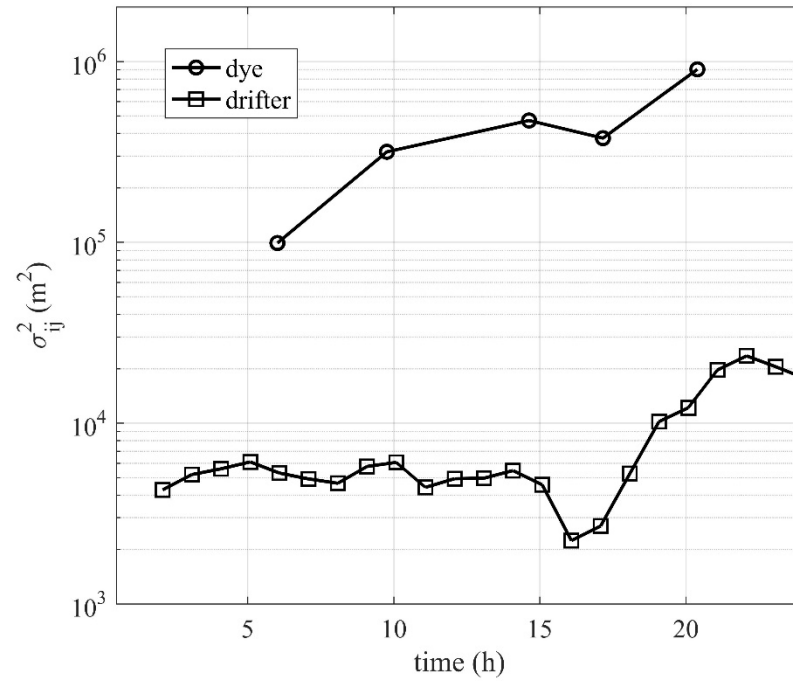


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623 Figure 6. Dye concentration contours at 2 m depth during the 21 h following release. Also shown are ship tracks for particular surveys (gray solid
 624 lines) and mean drifter cluster trajectory (gray dashed lines), with drifter positions shown as black circles. Bar graphs at the lower left show
 625 corresponding plume lengths $3\sigma_{ij}$ for the drifter cluster (gray) and dye patch (black) inferred from the distributions.

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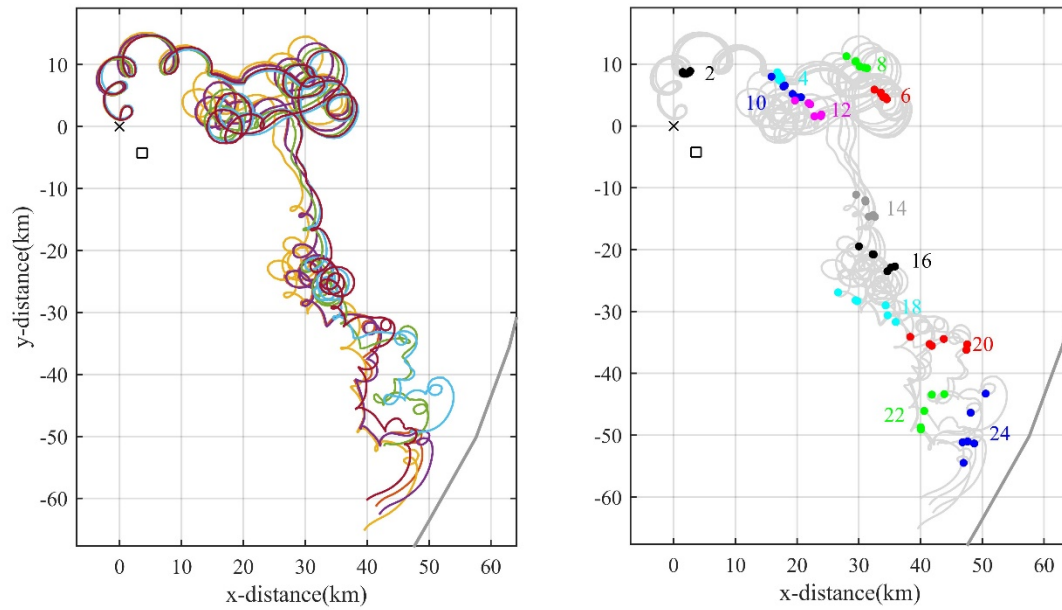
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629 Figure 7. Short-term dispersion during the day-long dye release experiment. Shown are the total variance for dye plume and drifter cluster during
 630 the first 24 hours of the experiment following release.

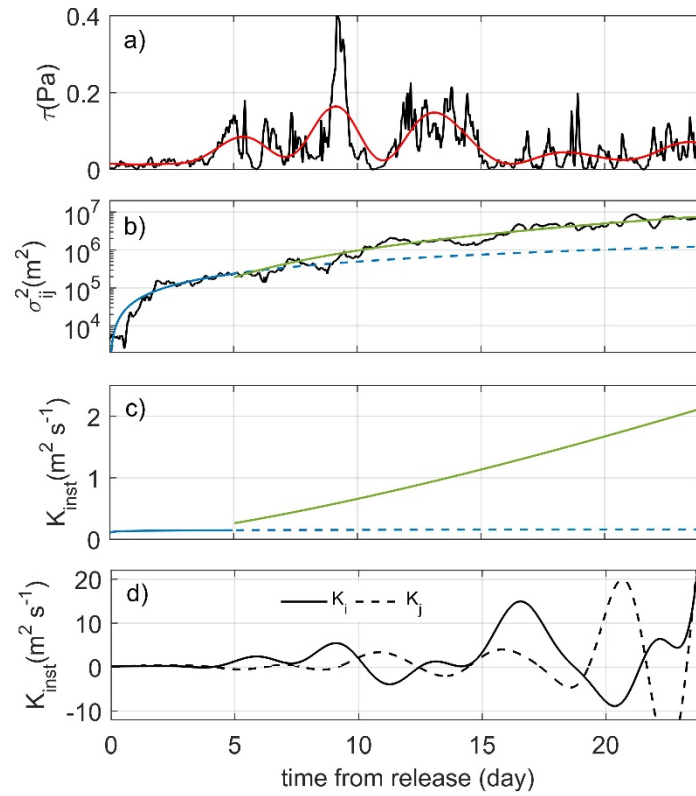
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633 Figure 8. Drifter trajectories for first 25 days of drifter release. Shown are (a) individual drifter trajectories, each with a different color; (b)
 634 individual trajectories with markers indicating drifter positions every two days (solid circles with DOY label colored similarly).

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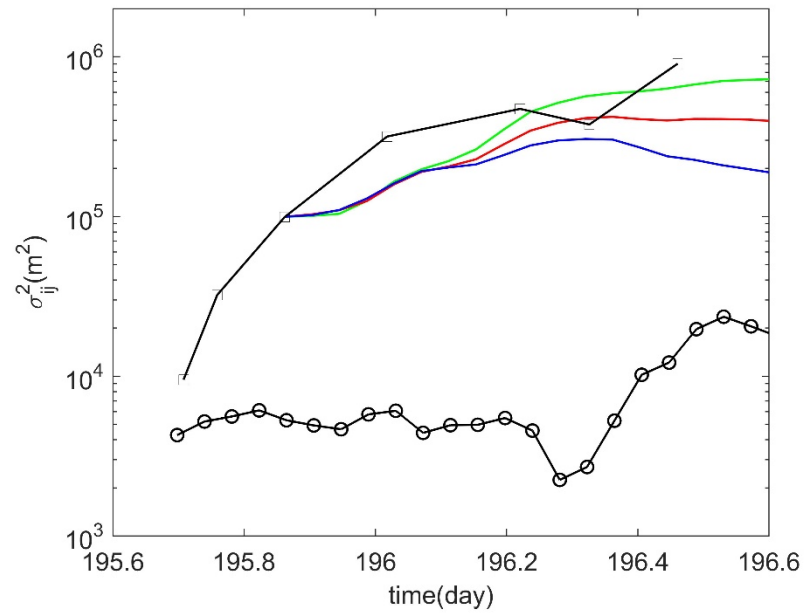
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637 Figure 9. Time series of (a) raw wind stress τ and low-pass filtered (>3 days) wind stress; (b) Drifter cluster variance σ_{ij}^2 . Best fit power law fits
 638 correspond to lines provided in text. (c) Instantaneous dispersion rate $K_{inst} = \frac{1}{4} \frac{d\sigma_{ij}^2}{dt}$ using, fitted lines in b); (d) Instantaneous dispersion rates in
 639 major (K_i) and minor (K_j) directions.

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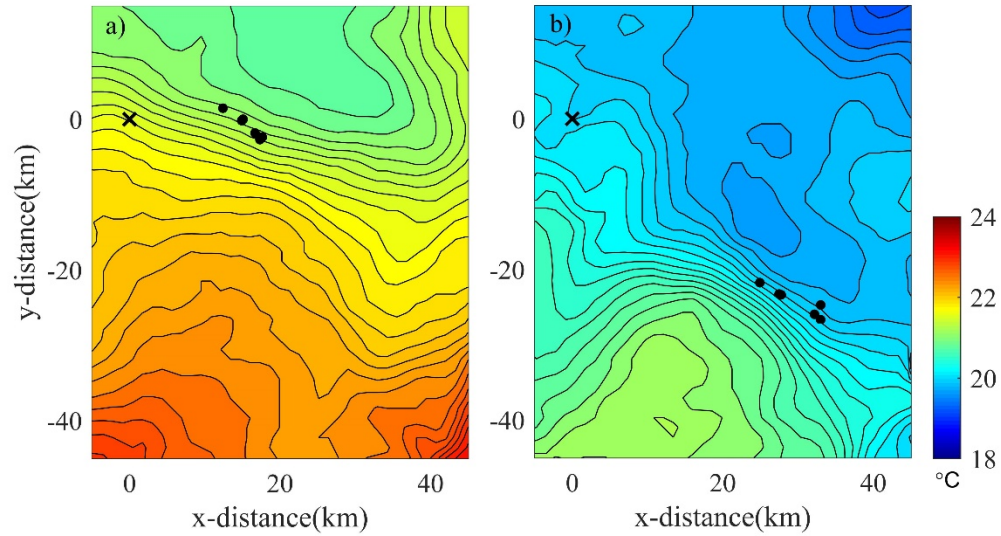


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644 Figure 10. Particle tracking calculations showing potential effect of near-surface vertical shear, relative to measured drifter dispersion (black,
645 circles) and dye dispersion (black, squares). Shown are calculations associated with three vertical mixing rates: $10^{-6} \text{ m}^2\text{s}^{-1}$ (blue); $10^{-5} \text{ m}^2\text{s}^{-1}$ (red);
646 $10^{-4} \text{ m}^2\text{s}^{-1}$ (green). The calculation proceeds from the third measurement of dye variance to avoid potential effects from ship-induced mixing.

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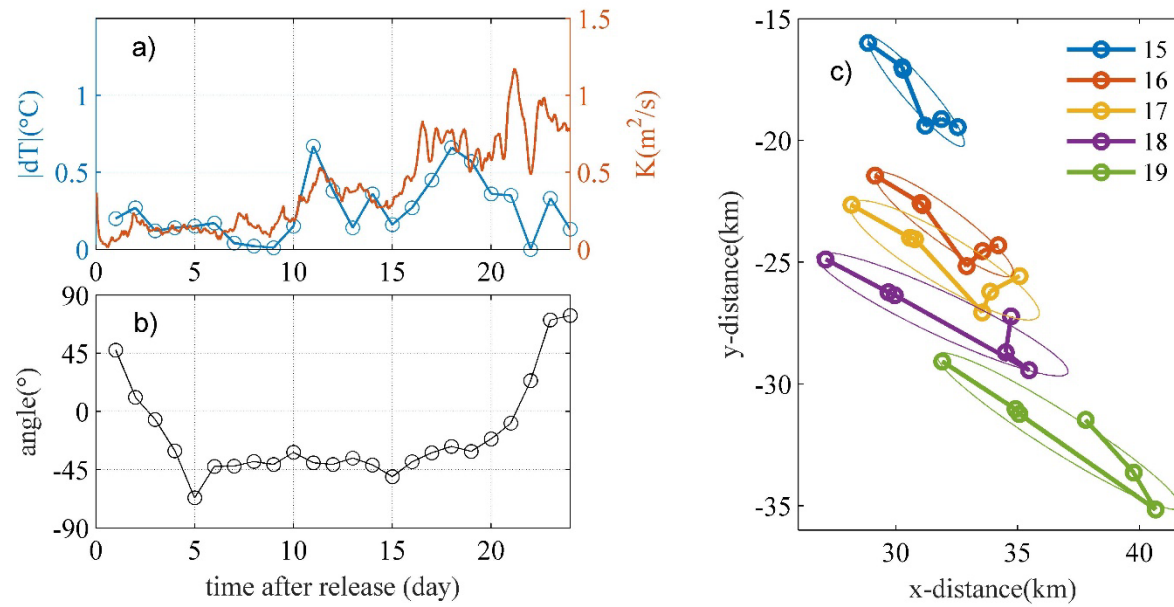
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650 Figure 11. Drifter locations (black dots) embedded in GLSEA SST contour (<https://coastwatch.glerl.noaa.gov/>) at a) day 11 and b) day 18 from
651 release when thermal front was strong. Interval of contour lines is 0.1°C. Gray lines are drifter trajectories. 'x' indicates the location of release
652 adjacent to a mooring.

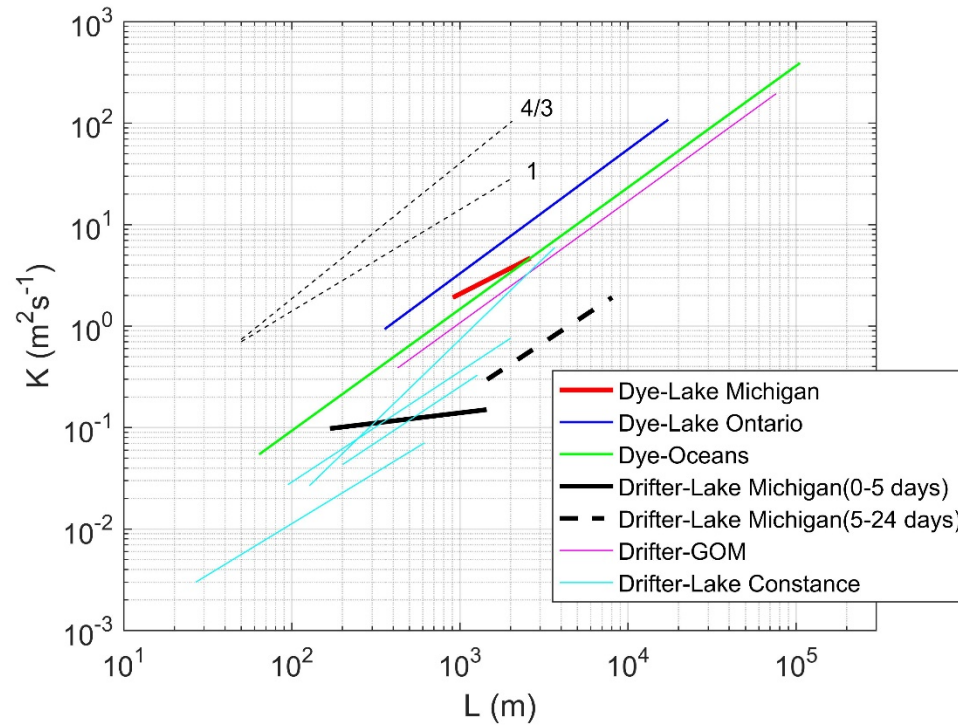
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655 Figure 12. a) Temperature difference ($|dT|$) at thermal front and drifter dispersion coefficient K . dT is defined by temperature difference between
 656 two points at edges of 10km transect, centered at center of cluster, perpendicular to major axis; b) angle of major axis produced by 6 drifters
 657 respect to E-W axis; c) 18 hours time-averaged drifter locations. All lines are connecting drifters in the same sequence. The lengths of major and
 658 minor axes in ellipse are $3\sigma_i$ and $3\sigma_j$.

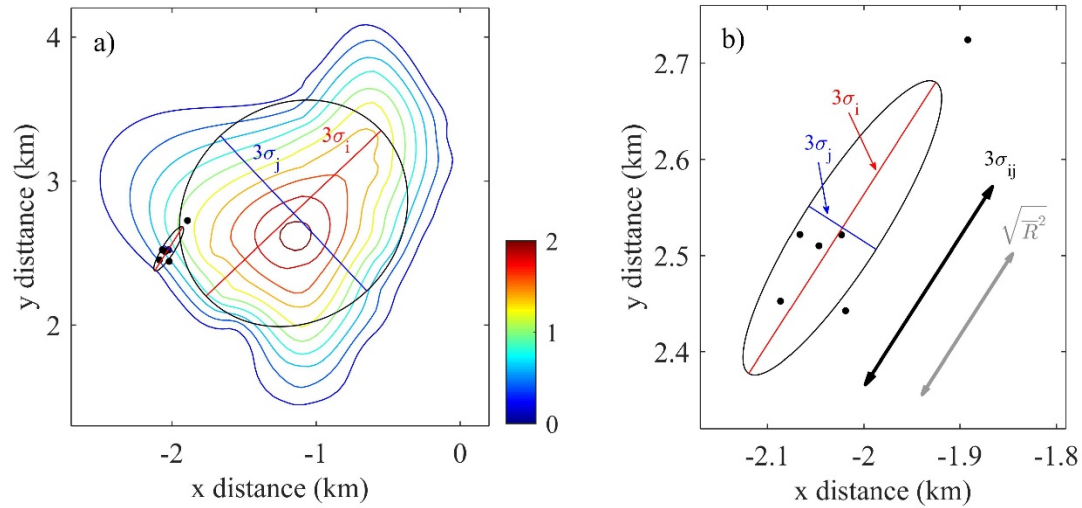
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661 Figure 13. Near-surface dispersion rates vs. cloud size for various systems. Shown are data from Lake Ontario (Murthy 1976), Lake Constance
 662 (PH2015), oceans (Okubo 1971), the Gulf of Mexico (GOM) (Poje et al. 2014), and our current results from Lake Michigan.

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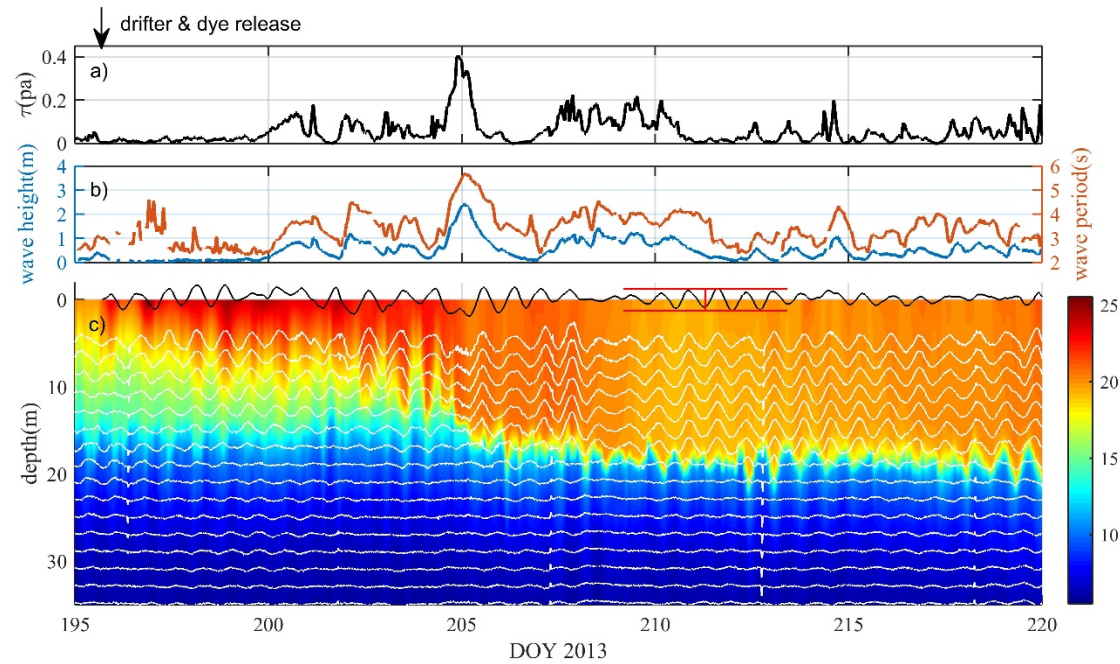
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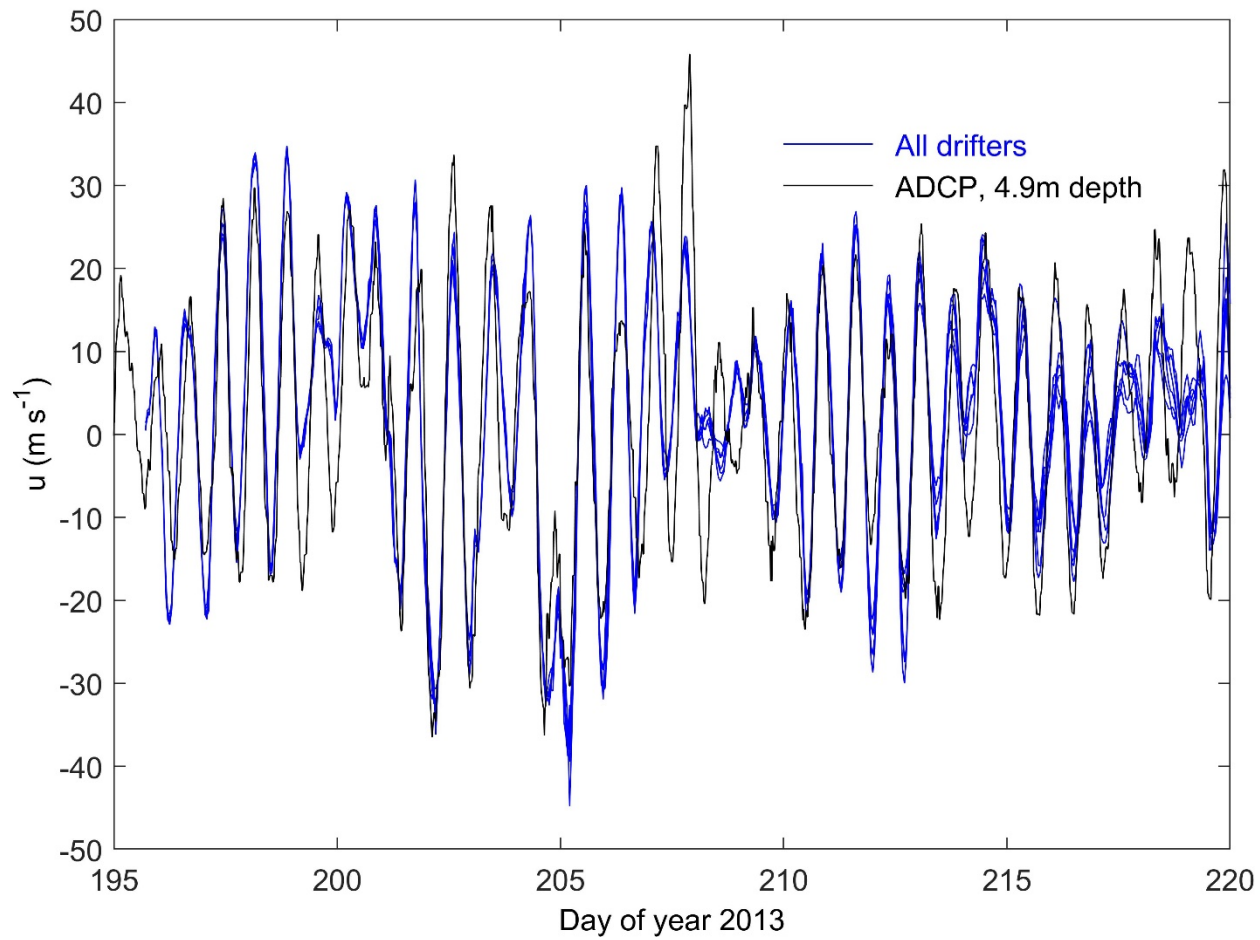
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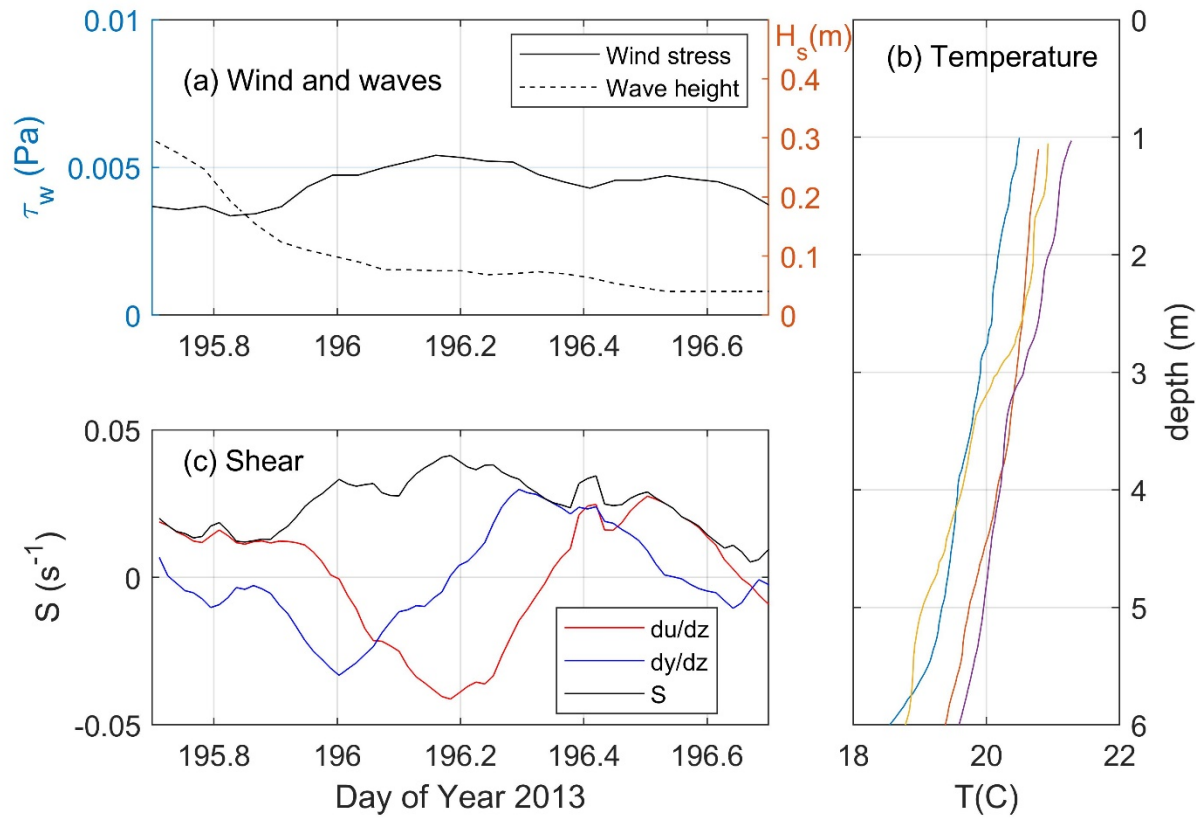
679

680 Figure 4. Near-surface ADCP and drifter velocities. Shown are the eastward velocities for all 6 drifters and the nearest-to-surface ADCP
681 measurement (4.9 m depth).

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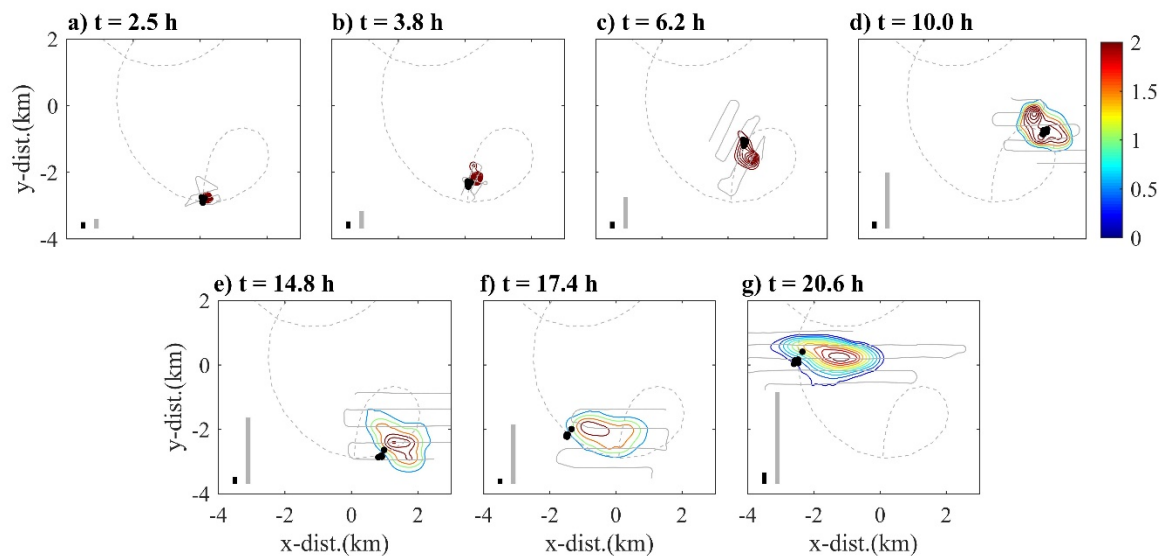
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685

686 Figure 5. Conditions during the dye release. Shown are (a) estimated wind stress and wave height; (b) near-surface temperature profiles; and (c)
687 estimated shear at depth 2.5 m.

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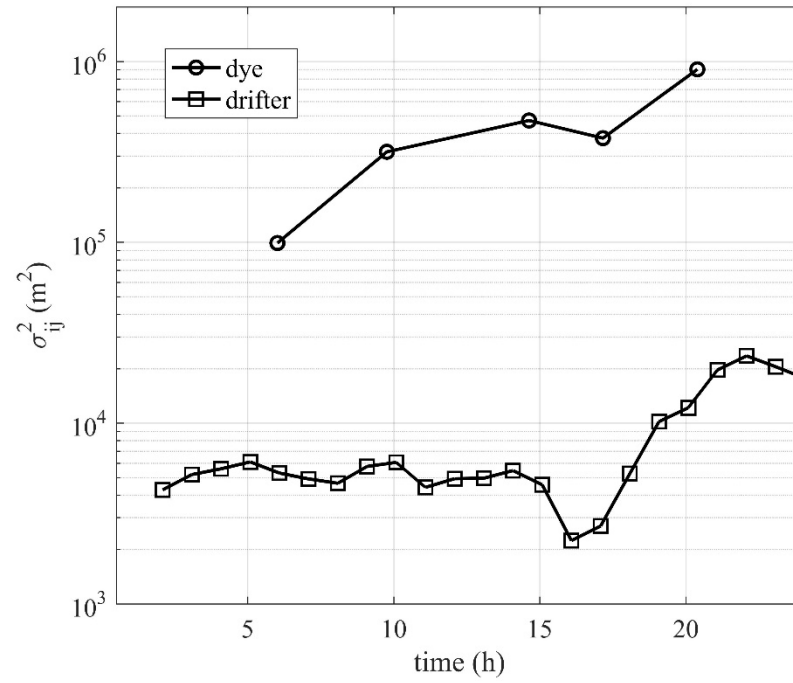


689

690 Figure 6. Dye concentration contours at 2 m depth during the 21 h following release. Also shown are ship tracks for particular surveys (gray solid
 691 lines) and mean drifter cluster trajectory (gray dashed lines), with drifter positions shown as black circles. Bar graphs at the lower left show
 692 corresponding plume lengths $3\sigma_{ij}$ for the drifter cluster (gray) and dye patch (black) inferred from the distributions.

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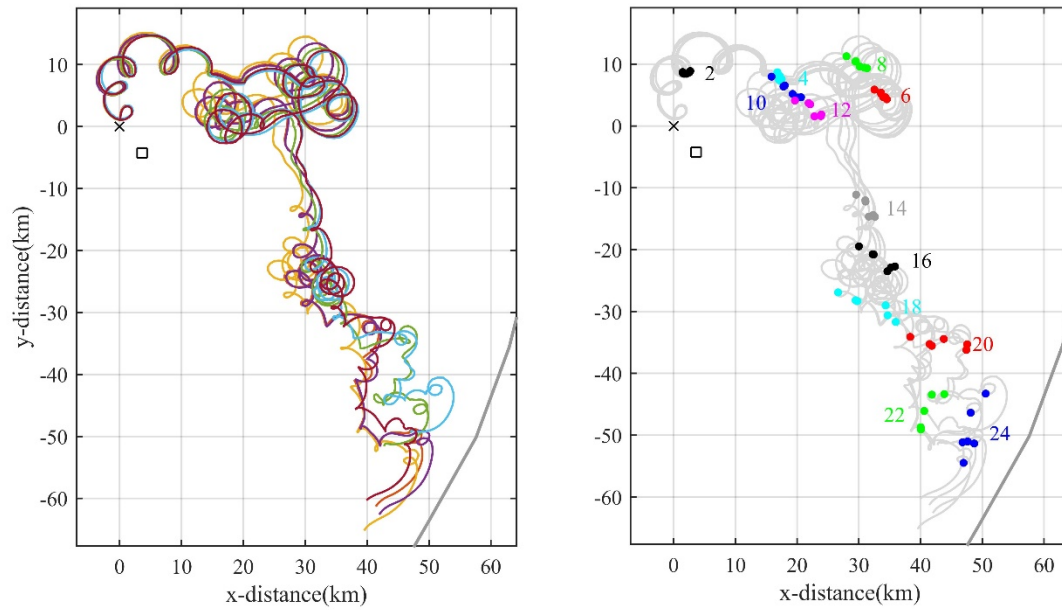
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696 Figure 7. Short-term dispersion during the day-long dye release experiment. Shown are the total variance for dye plume and drifter cluster during
 697 the first 24 hours of the experiment following release.

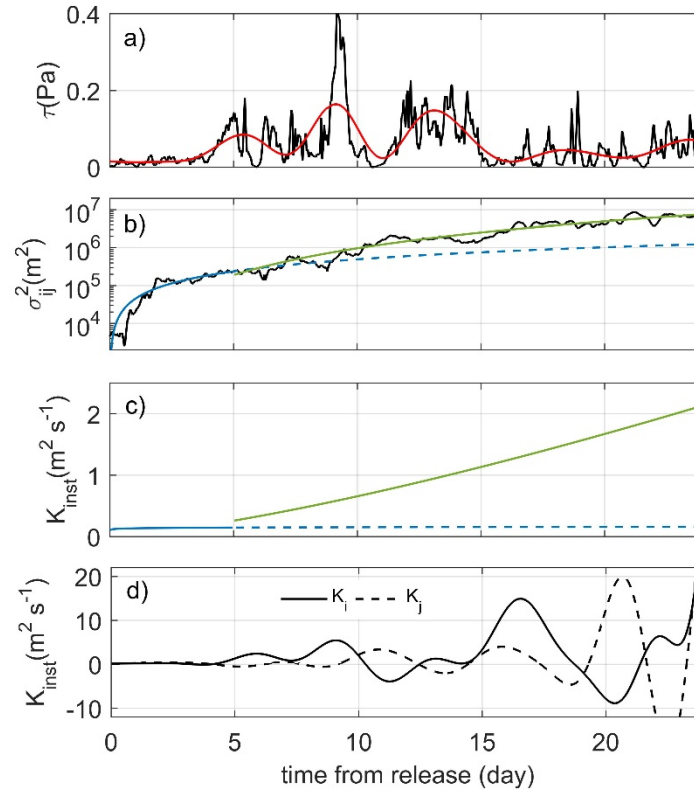
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700 Figure 8. Drifter trajectories for first 25 days of drifter release. Shown are (a) individual drifter trajectories, each with a different color; (b)
 701 individual trajectories with markers indicating drifter positions every two days (solid circles with DOY label colored similarly).

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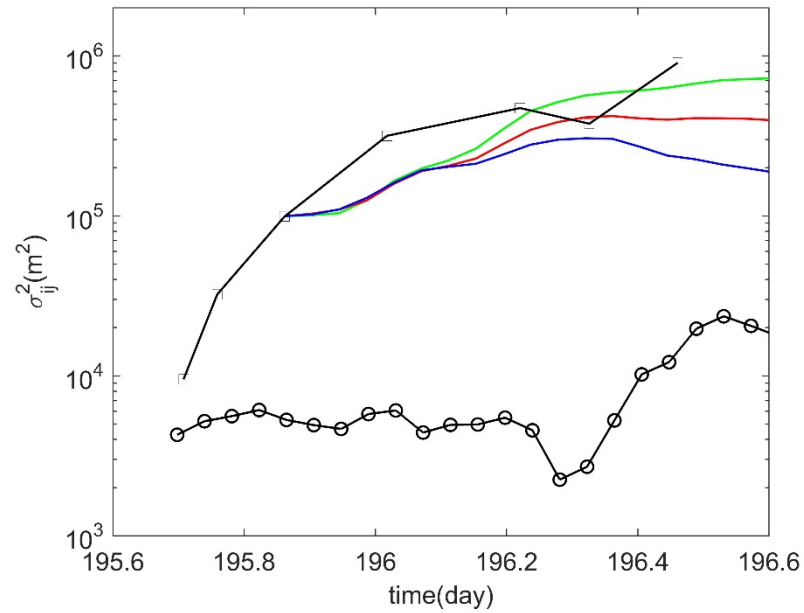
704

705 Figure 9. Time series of (a) raw wind stress τ and low-pass filtered (>3days) wind stress; (b) Drifter cluster variance σ_{ij}^2 . Best fit power law fits

706 correspond to lines provided in text. (c) Instantaneous dispersion rate $K_{inst} = \frac{1}{4} \frac{d\sigma_{ij}^2}{dt}$ using, fitted lines in b); d) Instantaneous dispersion rates in
 707 major (K_i) and minor (K_j) directions.

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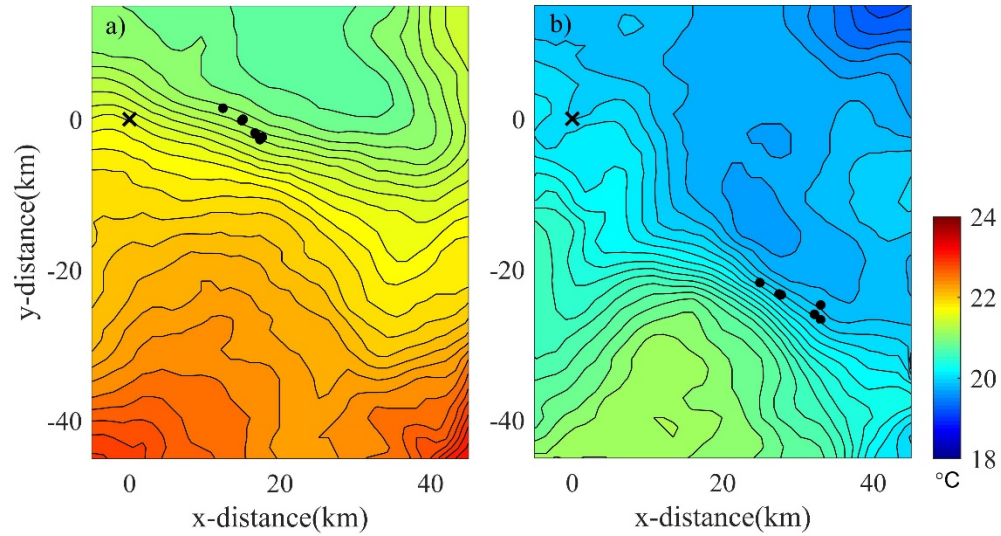
710

711 Figure 10. Particle tracking calculations showing potential effect of near-surface vertical shear, relative to measured drifter dispersion (black,
 712 circles) and dye dispersion (black, squares). Shown are calculations associated with three vertical mixing rates: $10^{-6} \text{ m}^2\text{s}^{-1}$ (blue); $10^{-5} \text{ m}^2\text{s}^{-1}$ (red);
 713 $10^{-4} \text{ m}^2\text{s}^{-1}$ (green). The calculation proceeds from the third measurement of dye variance to avoid potential effects from ship-induced mixing.

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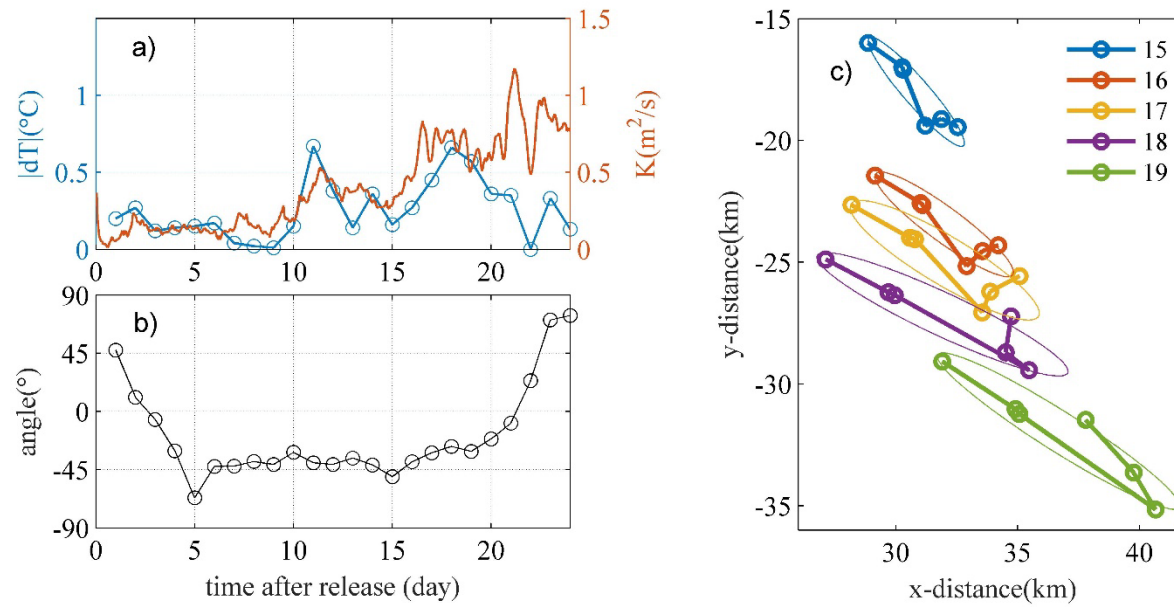
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717

718 Figure 11. Drifter locations (black dots) embedded in GLSEA SST contour (<https://coastwatch.glerl.noaa.gov/>) at a) day 11 and b) day 18 from
719 release when thermal front was strong. Interval of contour lines is 0.1°C . Gray lines are drifter trajectories. 'x' indicates the location of release
720 adjacent to a mooring.

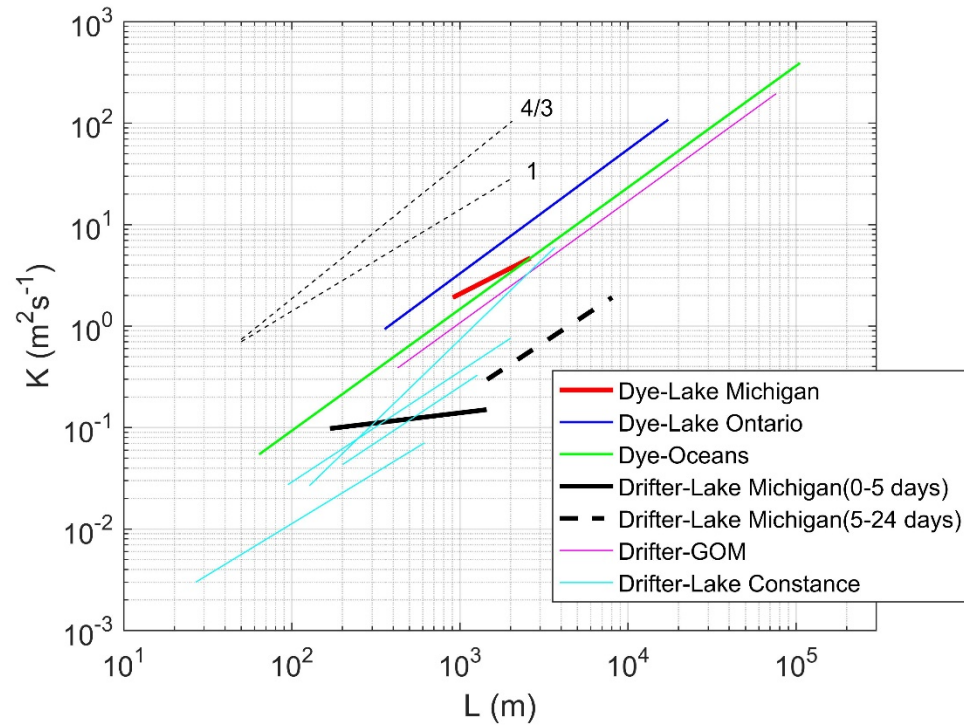
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722

723 Figure 12. a) Temperature difference ($|dT|$) at thermal front and drifter dispersion coefficient K . dT is defined by temperature difference between
 724 two points at edges of 10km transect, centered at center of cluster, perpendicular to major axis; b) angle of major axis produced by 6 drifters
 725 respect to E-W axis; c) 18 hours time-averaged drifter locations. All lines are connecting drifters in the same sequence. The lengths of major and
 726 minor axes in ellipse are $3\sigma_i$ and $3\sigma_j$.

727



728

729 Figure 13. Near-surface dispersion rates vs. cloud size for various systems. Shown are data from Lake Ontario (Murthy 1976), Lake Constance
 730 (PH2015), oceans (Okubo 1971), the Gulf of Mexico (GOM) (Poje et al. 2014), and our current results from Lake Michigan.

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