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### Key Points:

- Wintertime ammonium nitrate aerosol pollution is closely tied to photochemical ozone production through a common parameter,  $O_{x,total}$
- Box modeling reveals ammonium nitrate formation in the Salt Lake Valley is nitrate-limited but  $NO_x$ -saturated
- Mitigation strategies that focus on  $NO_x$  control in some wintertime-polluted layers may initially increase ammonium nitrate

### Supporting Information:

- Supporting Information S1

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## An Odd Oxygen Framework for Wintertime Ammonium Nitrate Aerosol Pollution in Urban Areas: $NO_x$ and VOC Control as Mitigation Strategies

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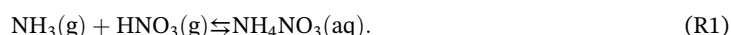
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**Abstract** Wintertime ammonium nitrate aerosol pollution is a severe air quality issue affecting both developed and rapidly urbanizing regions from Europe to East Asia. In the United States, it is acute in western basins subject to inversions that confine pollutants near the surface. Measurements and modeling of a wintertime pollution episode in Salt Lake Valley, Utah, demonstrate that ammonium nitrate is closely related to photochemical ozone through a common parameter, total odd oxygen,  $O_{x,total}$ . We show that the traditional nitrogen oxide and volatile organic compound ( $NO_x$ -VOC) framework for evaluating ozone mitigation strategies also applies to ammonium nitrate. Despite being nitrate-limited, ammonium nitrate aerosol pollution in Salt Lake Valley is responsive to VOCs control and, counterintuitively, not initially responsive to  $NO_x$  control. We demonstrate simultaneous nitrate limitation and  $NO_x$  saturation and suggest this phenomenon may be general. This finding may identify an unrecognized control strategy to address a global public health issue in regions with severe winter aerosol pollution.

**Plain Language Summary** Particulate matter (PM) is dangerous to human health and impacts visibility and climate. In the United States, Europe, and Asia, PM is severe in urban areas in the winter when ammonium nitrate,  $NH_4NO_3$ , comprises an appreciable fraction of the total PM mass. A key control strategy is to reduce emissions of the limiting reagent. Using measurements from a recent field campaign in the Salt Lake Valley, Utah, which experiences high PM levels in winter, we demonstrate that emission control strategies can be evaluated using the same framework commonly used to control ozone, another common pollutant that occurs at high levels in urban areas in the summer. We show that initial control of the  $NO_x$  precursor is ineffective at reducing  $NH_4NO_3$  aerosol in the Salt Lake Valley, while initial control of volatile organic compounds, which are not a direct precursor for either nitrate or ammonium, is effective due to their influence on oxidation cycles. This finding differs from many mitigation strategies in the western United States and may also be relevant to other regions in Europe and Asia which experience high wintertime PM.

## 1. Introduction

Air quality in most of the United States has been steadily improving for decades due to emissions control technologies and regulations (Hand et al., 2014). Ozone ( $O_3$ ), which is a health hazard and greenhouse gas in the troposphere, has decreased markedly (Cooper et al., 2014) and is well understood in terms of its photochemical formation mechanism from nitrogen oxides ( $NO_x = NO + NO_2$ ) and volatile organic compounds (VOCs; Lin et al., 1988; Seinfeld, 1989). In contrast, many urban areas, such as the Salt Lake Valley (SLV) and San Joaquin Valley in the western United States, the Po Valley in Europe, and Beijing, China, in east Asia, experience severe wintertime pollution in the form of particulate matter smaller than 2.5 microns in diameter ( $PM_{2.5}$ ; Green et al., 2015; Figure 1). The SLV in northern Utah regularly experiences episodes of  $PM_{2.5}$  pollution (Silcox et al., 2012), exacerbated by the onset of persistent cold air pools (PCAPs; high-pressure systems that cap colder air within the basin), which prevent the vertical mixing of pollutants away from the surface (Whiteman et al., 2014). The trapped gas-phase pollutants, including  $NO_x$  and VOCs from anthropogenic sources, undergo complex chemical oxidation reactions, forming nitric acid ( $HNO_3$ ) that reacts with gas-phase ammonia ( $NH_3$ ) to form ammonium nitrate aerosol ( $NH_4NO_3$ ), which comprises ~75% of the  $PM_{2.5}$  composition in the SLV during pollution episodes (Baasandorj et al., 2017; Franchin et al., 2018; Kuprov et al., 2014).



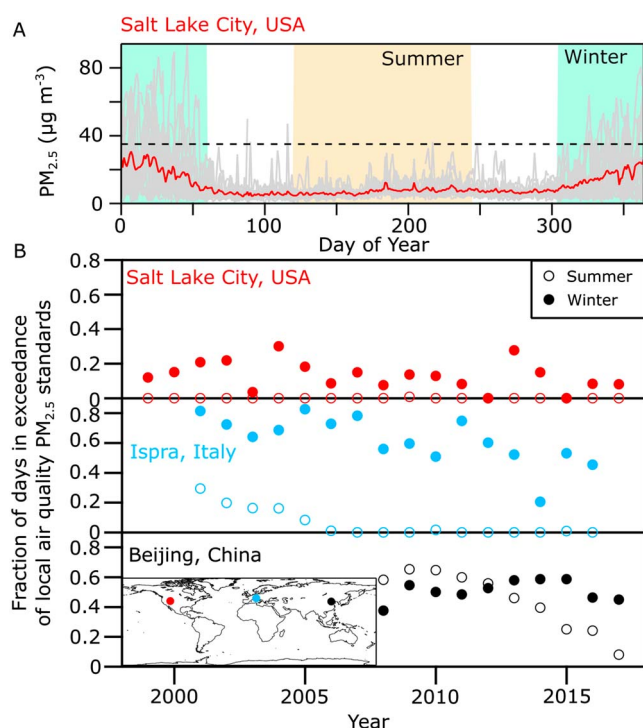
The SLV exceeds the 24-hr U.S. National Ambient Air Quality Standard for  $PM_{2.5}$  ( $35 \mu g/m^3$ ) on an average of 18 days/year (Whiteman et al., 2014), representing an important public health issue (Beard et al., 2012). A better understanding of the chemical processes that lead to the formation of ammonium nitrate and its precursors in the SLV and other polluted areas across the world is required to employ more effective control strategies.

Previous studies have demonstrated that during PCAP-induced pollution events, the valleys in the Salt Lake region typically have an excess of ammonia (Franchin et al., 2018; Kelly et al., 2013; Kuprov et al., 2014), and that the cold temperatures and high relative humidity drive the reversible reaction (R1) to form particulate ammonium nitrate (abbreviated  $pNO_3^-$ ; Blanchard et al., 2000; Franchin et al., 2018; Weber et al., 2016). The recent analysis of Franchin et al. (2018) demonstrates that the observed ratio between gas-phase nitric acid and total nitrate,  $HNO_3/(HNO_3 + pNO_3^-)$ , has a median value of <8% during PCAP episodes, and the calculated aerosol pH curve is insensitive to small changes in pH (supporting information Figure S1). Furthermore, Franchin et al. (2018) show that the initial response of total aerosol mass to reductions in total nitrate ( $HNO_3 + pNO_3^-$ ) is linear but substantially less than linear in its initial response to reductions in total reduced nitrogen ( $NH_3 + NH_4^+$ ). Therefore, the formation rate of  $pNO_3^-$  is mainly limited by the chemical formation rate of nitric acid ( $HNO_3$ ) from oxidation of  $NO_x$ , which is emitted from fossil fuel combustion. This  $HNO_3$  limitation has led typical western U.S. control strategies to focus on emission reductions of  $NO_x$  rather than  $NH_3$  (Franchin et al., 2018; Kelly et al., 2018; Pusede et al., 2016). We demonstrate in this study that ammonium nitrate aerosol pollution may be treated in the same manner as  $O_3$  pollution through a common parameter,  $O_{x,total}$ , and may be most effectively reduced in the SLV by initially controlling VOC emissions rather than controlling emissions of  $NH_3$  or  $NO_x$ .

## 2. Methods

### 2.1. Observations of Wintertime Pollution Episodes in Northern Utah

The Utah Winter Fine Particulate Study (UWFPS) was a 4-week field campaign in the SLV region during the winter of 2016–2017 designed to observe the chemical processes that form ammonium nitrate aerosol during PCAPs (Baasandorj et al., 2018; Bares et al., 2018; Franchin et al., 2018). Ground sites made continuous surface-level measurements of trace gases (including  $O_3$ ,  $NO_x$ , and VOCs) and the chemical composition of  $PM_{2.5}$ , while a Twin Otter light aircraft made periodic measurements aloft with a similar payload. This analysis primarily uses observations from the main SLV site at the University of Utah (UU), with auxiliary measurements from the Hawthorne site on the SLV floor and the Logan site in the nearby Cache Valley (Figure S2). Two major PCAP episodes occurred during the campaign, in which the 24-hr  $PM_{2.5}$  concentrations surpassed the National Ambient Air Quality Standard in at least one of the three major valleys for a



**Figure 1.** Seasonal trends in PM<sub>2.5</sub> nonattainment in three regions with high ammonium nitrate aerosol content. (a) Yearly profiles of PM<sub>2.5</sub> measured in the Salt Lake Valley, United States, between 1999 and 2017, with the average shown in red. Virtually all exceedances of the U.S. National Ambient Air Quality Standard (35 µg/m<sup>3</sup>; dashed line) occur during the winter. (b) The fraction of winter and summer days in nonattainment of local air quality standards for PM<sub>2.5</sub> in the Salt Lake Valley (EPA, 2018), Ispra, Italy in the Po Valley (EMEP, 2018), and Beijing, China (DOS, 2018; see Text S1); these three regions are noted for their poor wintertime air quality and high ammonium nitrate aerosol mass loading. In the Salt Lake Valley and Po Valley, summertime exceedances are rare, while wintertime exceedances are persistent. In China, summertime exceedances have decreased in recent years, while wintertime exceedances remain frequent. PM<sub>2.5</sub> = particulate matter smaller than 2.5 microns in diameter.

total of 8 days. This analysis investigates the mechanisms for the PM<sub>2.5</sub> production that lead to the exceedance during one of the most intense pollution episode of that winter, which occurred between 27 January and 4 February 2017 (Figure S2). These results are compared to observations of trace gas species from the recent Uintah Basin Winter Ozone Study, where a major PCAP in January 2013 led to elevated levels of O<sub>3</sub> (Ahmadov et al., 2015; Edwards et al., 2014). Measurement techniques for both campaigns are described in detail in Text S1 (Lee et al., 2014; Liao et al., 2017; Wild et al., 2014; Wild et al., 2016).

## 2.2. O<sub>x,total</sub> as a Parameter for Describing both HNO<sub>3</sub> and O<sub>3</sub> Formation

There are two mechanisms for HNO<sub>3</sub> formation: daytime production from the gas-phase reaction of OH + NO<sub>2</sub> and nighttime production from N<sub>2</sub>O<sub>5</sub> uptake onto aerosol particles (Jones & Seinfeld, 1983). The full mechanistic details are listed in Text S2 and summarized here. In the daytime, VOCs are photolyzed or oxidized by the hydroxyl radical (OH) to form HO<sub>2</sub> or RO<sub>2</sub> radicals, collectively termed HO<sub>x</sub> radicals (HO<sub>x</sub> = OH + HO<sub>2</sub> + RO<sub>2</sub>). Radical propagation reactions with NO generate an NO<sub>2</sub> molecule with each iteration, while the dominant radical termination reaction of OH with NO<sub>2</sub> generates HNO<sub>3</sub>. The overall HNO<sub>3</sub> production efficiency of this cycle depends on (1) the availability of HO<sub>x</sub> radicals, which are generally less abundant in winter than in summer, and (2) the number of iterations each HO<sub>x</sub> radical makes prior to termination (i.e., chain length), which is determined by the relative abundance of VOC and NO<sub>x</sub> (Kleinman, 2005; Lin et al., 1988). During the day, NO<sub>2</sub> rapidly interconverts with NO and O<sub>3</sub>, and therefore, the same HO<sub>x</sub> cycle that produces HNO<sub>3</sub> is also the dominant mechanism for O<sub>3</sub> production. At night, photolytic production of radicals and O<sub>3</sub> halts, and NO titrates the O<sub>3</sub> to NO<sub>2</sub>, which then oxidizes to form N<sub>2</sub>O<sub>5</sub>, which further reacts heterogeneously with aerosol to form HNO<sub>3</sub> and ClNO<sub>2</sub> (Kelly et al., 2018).

The parameter O<sub>x</sub> (=O<sub>3</sub> + NO<sub>2</sub>) has often been used to quantify the net photochemical formation of O<sub>3</sub> beyond its rapid daytime interconversion with NO<sub>2</sub> (Liu, 1977; Wood et al., 2009). Here we introduce an analogous

parameter, O<sub>x,total</sub>, which is equal to the sum of all gas- and particulate-phase species that contain an odd oxygen (O or O<sub>3</sub>).

$$O_{x,total} = O_3 + NO_2 + 2 \times NO_3 + 3 \times N_2O_5 + ClNO_2 + \text{peroxyacyl nitrates} + 2 \times \text{alkyl nitrates} + OH + 1.5 \times (HNO_3 + pNO_3^-), \quad (R2)$$

where the stoichiometric coefficients indicate the number of odd oxygen species it contains and are derived in Text S2. NH<sub>3</sub> is reduced nitrogen and is therefore not a component of O<sub>x,total</sub>. The coefficient for the sum of gas-phase HNO<sub>3</sub> and pNO<sub>3</sub><sup>-</sup> is denoted here as 1.5 but may be as high as 2, depending on the mechanism that formed it, and therefore, O<sub>x,total</sub> as defined here should be considered a lower bound. O<sub>x,total</sub> may be more broadly defined than (R2) if one considers the products of the reactions of OH, O<sub>3</sub>, and NO<sub>3</sub> with VOCs, for example, but for this analysis, we focus only on the propagation of odd oxygen through nitrogen chemistry.

The nighttime mechanism results in no net change in O<sub>x,total</sub>, as those reactions represent only a repartitioning of its components. The daytime mechanism, on the other hand, generates one NO<sub>2</sub> molecule for each cycle without loss of an O<sub>3</sub> molecule and therefore represents an increase in O<sub>x,total</sub> before terminating in HNO<sub>3</sub> or alkyl nitrate production. Transport of air masses with background concentrations of O<sub>3</sub> and negligible concentrations of the other O<sub>x,total</sub> components into the SLV would deplete the other O<sub>x,total</sub>

components by their stoichiometric coefficients ( $\geq 1$ ) for every  $O_3$  molecule added, leading to a net decrease of  $O_{x,total}$ . Dry deposition of individual species to the surface is also a net loss process. Therefore, growth in  $O_{x,total}$  beyond its background level in unpolluted air is a measure of the role that photochemistry plays in the net production of  $HNO_3 + pNO_3^-$  during the PCAP.

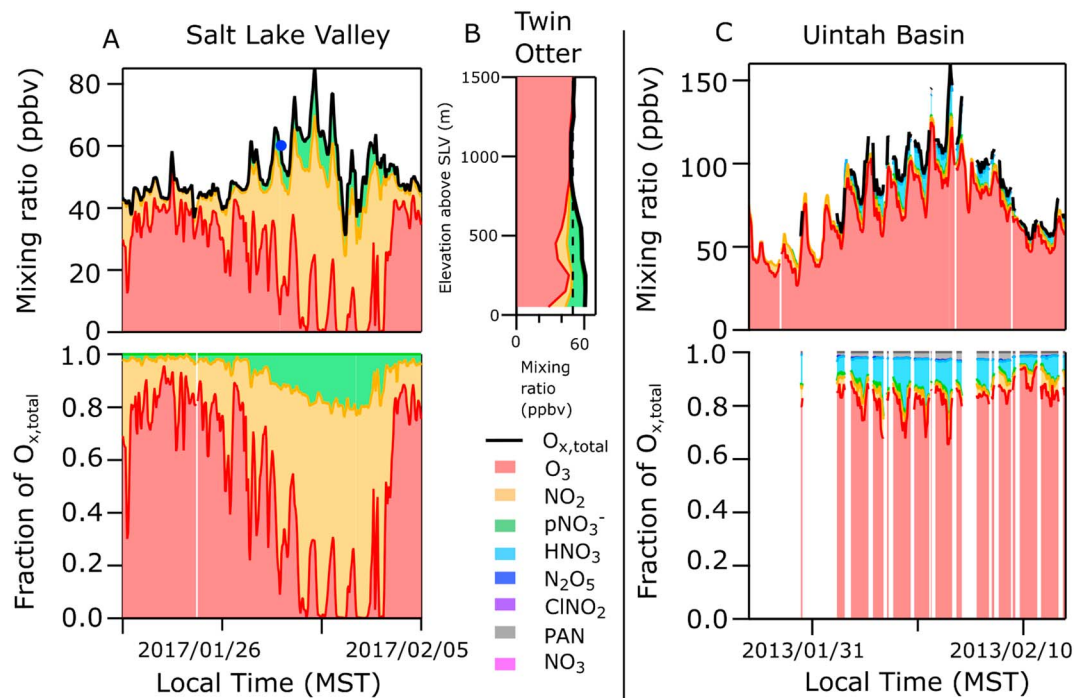
### 2.3. Photochemical Box Modeling

The Dynamically Simple Model for Atmospheric Chemical Complexity (DSMACC) (Emmerson & Evans, 2009), a photochemical zero-dimensional box model, was used to characterize the growth of  $O_{x,total}$  in the SLV during one of the most severe PCAPs sampled between 27 January and 4 February 2017. DSMACC utilizes the Master Chemical Mechanism (v3.3.1; Jenkin et al., 2015), a near-explicit mechanism to characterize the degradation of 143 VOCs by photochemistry and oxidation, using >15,000 reactions and >3,500 intermediate species. A subset of the Master Chemical Mechanism was used here, consisting of the 58 VOCs for which we had experimental constraints for the starting conditions. The Tropospheric Ultraviolet and Visible Radiation Model (v5.2; Madronich et al., 1998) was used to calculate the photolysis rate constants in the chemical mechanism. A zero-dimensional model treats the chemistry as a single system evolving in time and does not consider meteorology or boundary layer dynamics, but the relative simplicity of these models allows the use of a highly detailed chemical mechanism. The stagnant air and constrained meteorology in these valleys make this an appropriate technique for these conditions (Edwards et al., 2014). Further model details are described in Text S3 (de Gouw et al., 2017; Kurtenbach et al., 2001; McDuffie, 2018; Wesely & Hicks, 2000; Wild et al., 2017; Zhang et al., 2012).

## 3. Results

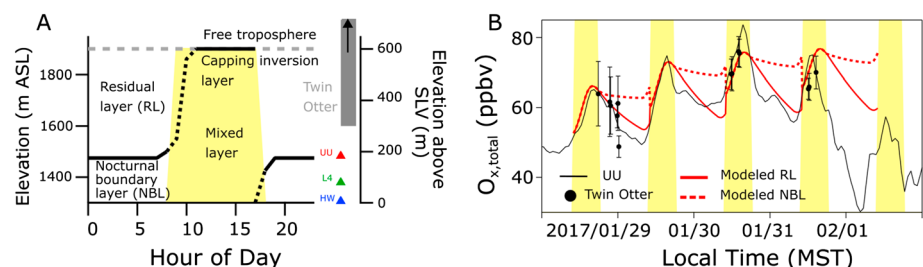
Figure 2a shows the most abundant components of  $O_{x,total}$  observed at the UU sampling site during the UWFPS campaign. As the PCAP progressed,  $O_{x,total}$  increased steadily at a rate of approximately 5.5 ppbv/day, and its composition quickly became dominated by  $NO_2$  and  $pNO_3^-$ . A similar trend is observed in Twin Otter vertical profiles (Figure 2b). These observations of  $O_{x,total}$  growth and partitioning are compared to a recent study in the nearby Uintah Basin that also experiences frequent wintertime PCAPs (Ahmadov et al., 2015). In contrast to SLV, the sparsely populated Uintah Basin in northeastern Utah experiences high wintertime levels of  $O_3$  (UBWOS, 2014) due to photochemical oxidation of VOCs emitted in abundance from local oil and natural gas operations in a relatively  $NO_x$ -poor environment (Edwards et al., 2014). Figure 2c shows a similar growth rate of  $O_{x,total}$  in the Uintah Basin in during a PCAP in January 2013, but unlike the SLV,  $O_{x,total}$  in the Uintah Basin is partitioned nearly entirely to  $O_3$ . The SLV is densely populated and contains no oil and natural gas wells, though it does have significant agricultural and industrial sectors, and  $NO_x$  and VOC emission profiles typical of U.S. urban areas (Figure S3a). The closely related  $O_{x,total}$  growth in Figure 2 is reflective of the same photochemical mechanisms playing important roles in both valleys (Kleeman et al., 2005; Meng et al., 1997; Nguyen & Dabdub, 2002), and the widely divergent partitioning of its components to either  $pNO_3^-$  in the SLV or  $O_3$  in the Uintah Basin derives from their starkly different emission profiles (Figure S3b). The common parameter  $O_{x,total}$  can therefore be used to evaluate mitigation strategies for controlling both criteria pollutants, as demonstrated below.

The production of tropospheric  $O_3$ , frequently the major component of  $O_{x,total}$ , is often evaluated in terms of its nonlinear sensitivity to  $NO_x$  and VOC emissions (Lin et al., 1988; Milford et al., 1989; Seinfeld, 1989; Trainer et al., 1993). Because small changes in the availability of  $NO_x$  can change the relative branching of the  $HO_x$  radical reaction pathways, the  $O_3$  production rate and yield may either increase or decrease with  $NO_x$  availability, depending on the VOC concentration and composition (Lin et al., 1988), which often requires detailed modeling to characterize fully. Edwards et al. (2014) used a zero-dimensional box model to show that  $O_3$  production in the Uintah Basin was close to its maximum efficiency with respect to  $NO_x$  at the prevailing VOC concentrations in winter. Here we develop an analogous model to explain the growth of  $O_{x,total}$  in the SLV. We modified the DSMACC box model to split into two concurrent boxes at night to model the residual layer (RL) and nocturnal boundary layer (NBL) separately, to more accurately model the vertical structure of the PCAP, as shown in Figure 3a.

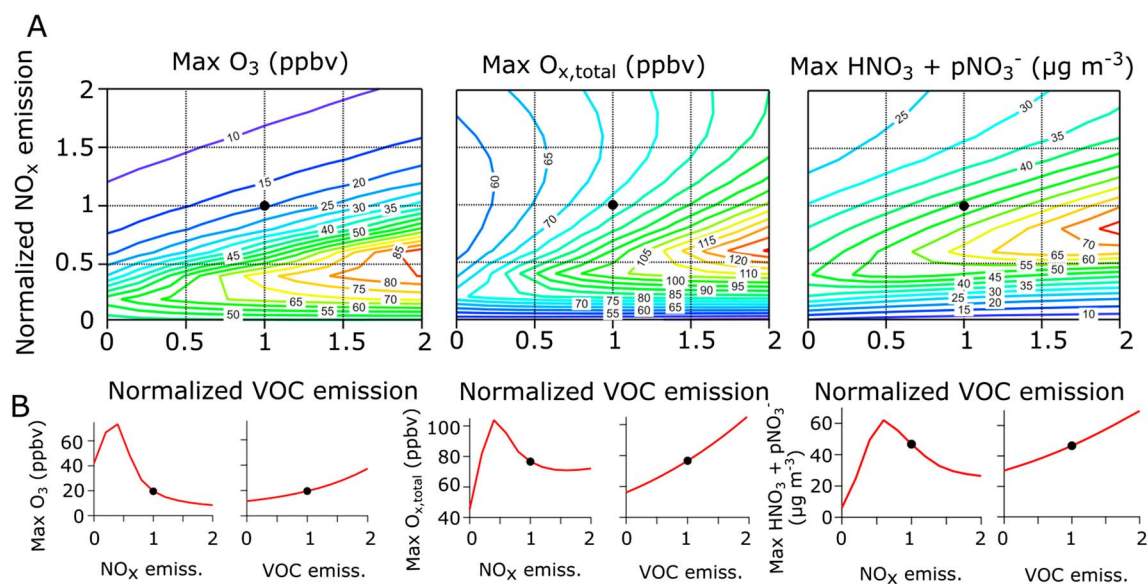


**Figure 2.**  $O_{x,\text{total}}$  measured during the Utah Winter Fine Particulate Study 2017 and Uintah Basin Winter Ozone Study 2013 campaigns. (a)  $O_{x,\text{total}}$  measured at the University of Utah ground site in the Salt Lake Valley during the UWFPSC campaign with the major measured components of  $O_{x,\text{total}}$  in filled colors.  $O_3$  and  $NO_2$  were the only components of  $O_{x,\text{total}}$  directly measured at University of Utah, so  $pNO_3^-$  was calculated from the total particulate matter smaller than 2.5 microns in diameter measurement. The other gas-phase components were estimated to be minor contributors. When the persistent cold air pool (PCAP) begins on 26 January, a steady increase in  $O_{x,\text{total}}$  is observed, along with a change in its partitioning, with  $NO_2$  and  $pNO_3^-$  dominating and  $O_3$  being depleted. (b) A vertical profile of the boundary layer on 28 January (denoted by blue circle in (a) from the Twin Otter. The height of the boundary layer is approximately 800-m above ground level, and  $O_{x,\text{total}}$  remains at 50 ppbv above that height and grows well in excess of that budget beneath it. (c) The  $O_{x,\text{total}}$  measured during the 2013 Uintah Basin Winter Ozone Study campaign in Uintah Basin, with some missing data due to instrument downtime. The PCAP begins on 29 January 2013 and persists for 9 days. In stark contrast to the Salt Lake Valley,  $O_3$  represents approximately 85% of the  $O_{x,\text{total}}$  throughout the PCAP in the Uintah Basin.

Figure 3b shows the base case model compared with the observations. The base case was selected based on daytime agreement between modeled and observed  $O_{x,\text{total}}$ , VOCs, and  $NO_x$ , as detailed in Text S3. The model did not attempt to replicate the partitioning of  $HNO_3$  between the gas and aerosol phase and simply treated the two as the lumped sum  $HNO_3 + pNO_3^-$ . Franchin et al. (2018) showed this partitioning to be >92% to the aerosol phase during UWFPSC PCAP events. The UU site is located at slightly higher elevation



**Figure 3.** Results of the photochemical box model of  $O_{x,\text{total}}$  growth. (a) An idealized representation of the daily evolution of the pollution layer as implemented in the model. The gray dashed line shows the top of the capping inversion layer. The black line shows the nighttime division of the layer into a NBL and a RL. During the day, weak solar heating convectively mixes the two layers (black dashed lines). The elevation of the three ground sites above the SLV floor and the Twin Otter's cruising altitude range are on the right. (b) Comparison between model prediction and the UU observations of  $O_{x,\text{total}}$ . Emission rates were tuned such that the daytime (yellow rectangles) agreement between the modeled and observed  $O_{x,\text{total}}$  was optimized. The location of the UU site on the valley wall is consistent with the nighttime measurement lying between the prediction for the RL and the NBL. SLV = Salt Lake Valley; UU = University of Utah.



**Figure 4.** Isopleths of O<sub>3</sub>, O<sub>x,total</sub>, and HNO<sub>3</sub> + pNO<sub>3</sub><sup>-</sup> formation. (a) Isopleths of the predicted maximum daytime O<sub>3</sub>, O<sub>x,total</sub>, and HNO<sub>3</sub> + pNO<sub>3</sub><sup>-</sup> on the final day of the model in the Salt Lake Valley, as a function of normalized NO<sub>x</sub> and VOC emissions. The black circles indicate the base case, and the contours show the predicted maximum concentration of each species as the normalized emissions are varied between 0 and 2. (b) Cuts through each isopleth at the base case, with normalized NO<sub>x</sub> and VOC emissions of 1. VOC = volatile organic compound.

on the side of the valley wall and may sample from either the NBL or RL at night at different times during the PCAP (see Text S3.1). Additionally, that site may be subject to upslope and downslope canyon flows along the basin walls (Baasandorj et al., 2017). Agreement between the model and UU observations was therefore only expected during daytime hours when the pollution layer is mixed. The split box model successfully captures the overall growth of O<sub>x,total</sub> over the four model days, accurately predicts that there is O<sub>3</sub> depletion in the NBL due to dilution and reaction with NO<sub>2</sub> but not in the RL, and reproduces the observed partitioning of O<sub>x,total</sub> (Figure S4).

The input emission rates may be adjusted from the model base case to determine the effect of various emission control strategies. Isopleths determined in this way are often used to predict the effect of emission controls on O<sub>3</sub> production (Kleinman, 2005; Seinfeld, 1989). VOC controls on PM<sub>2.5</sub> formation have been considered in the past in California (Kleeman et al., 2005; Nguyen & Dabdub, 2002; Pun & Seigneur, 2001). However, VOC controls in the context of the chemical equivalence of O<sub>3</sub> and pNO<sub>3</sub><sup>-</sup> in terms of O<sub>x,total</sub> during weak photochemical environments, such as the SLV, have not been considered. Calculated isopleths of O<sub>3</sub>, O<sub>x,total</sub>, and the sum of HNO<sub>3</sub> + pNO<sub>3</sub><sup>-</sup> are shown in Figure 4. They indicate that the SLV is in a NO<sub>x</sub>-saturated regime, where initial decreases in NO<sub>x</sub> emissions will increase O<sub>3</sub>, O<sub>x,total</sub>, and HNO<sub>3</sub> + pNO<sub>3</sub><sup>-</sup>, absent concurrent VOC reductions.

NO<sub>x</sub> saturation in the SLV is attributed to the modeled average HO<sub>x</sub> chain length or the number of times a HO<sub>x</sub> radical undergoes a propagation reaction cycle generating NO<sub>2</sub> before terminating (Jeffries & Tonnesen, 1994; Mao et al., 2010). The model predicts this value to be 1.07 in the SLV (Figure S5), so HO<sub>x</sub> is near its peak efficiency for HNO<sub>3</sub> production, as over 99% of HO<sub>x</sub> radicals terminate in HNO<sub>3</sub>, rather than an alkyl nitrate or HO<sub>x</sub> self-reaction. This observation is also consistent with the low observed aerosol-phase organic nitrates (Franchin et al., 2018). NO<sub>x</sub> serves to quench the HO<sub>x</sub>-NO<sub>x</sub> cycle, and therefore, reductions in NO<sub>x</sub> increase the HO<sub>x</sub> chain length and drive more efficient production of O<sub>3</sub> and HNO<sub>3</sub>. In the Uintah Basin, on the other hand, the average chain length is 4.3, indicating that HO<sub>x</sub> radicals are highly efficient in generating O<sub>3</sub> before termination largely via self-reaction. The ratio of NO<sub>x</sub> to VOCs (Figure S3b) is a key parameter that dictates the ultimate fate of radicals: High NO<sub>x</sub>/VOCs yields high HNO<sub>3</sub> in the SLV, whereas low NO<sub>x</sub>/VOC yields high O<sub>3</sub> in Uintah Basin.

It is often assumed that when pNO<sub>3</sub><sup>-</sup> production is HNO<sub>3</sub>-limited (as opposed to NH<sub>3</sub>-limited), HNO<sub>3</sub> production must be NO<sub>x</sub>-limited (Guo et al., 2018; Wen et al., 2018), and previous studies in California's San

Joaquin Valley have found that >50% NO<sub>x</sub> reductions would reduce pNO<sub>3</sub><sup>−</sup> (Kelly et al., 2018; Pusede et al., 2016). However, Figure 4 demonstrates that the SLV is HNO<sub>3</sub>-limited and NO<sub>x</sub>-saturated simultaneously (see also Pun & Seigneur, 2001). Our finding implies a counterintuitive control strategy to initially reduce VOC emissions could be more effective in controlling ammonium nitrate aerosol exceedances in the NO<sub>x</sub>-rich SLV, though a combination of NO<sub>x</sub> and VOC control will eventually be necessary for reduction below U.S. air quality standards. The model can also elucidate the relative roles of the daytime and nighttime chemical mechanisms for forming HNO<sub>3</sub>. We derive a 43% contribution to total HNO<sub>3</sub> formation by nighttime N<sub>2</sub>O<sub>5</sub> uptake on aerosol (Figure S6), with nighttime HNO<sub>3</sub> production occurring primarily in the RL, where there are no NO<sub>x</sub> emissions.

#### 4. Conclusions

O<sub>x,total</sub> is a parameter that describes both O<sub>3</sub> and pNO<sub>3</sub><sup>−</sup> production, demonstrating that they are different outcomes of the same chemical cycle, driven by different NO<sub>x</sub>/VOC emission ratios, and may be considered chemically equivalent. We have therefore modeled the predicted response of O<sub>x,total</sub> to changes in NO<sub>x</sub> and VOC emissions in the same manner that O<sub>3</sub> production is normally considered. Although this conceptual model is simplified, its conclusions are robust with respect to the underlying assumptions, as detailed in sensitivity tests in Text S4 (Li et al., 2014; Stemmler et al., 2006; Zhou et al., 2011). We find that this framework is an effective way of describing the response of ammonium nitrate aerosol to emission controls in an urban wintertime environment that is sensitive to HNO<sub>3</sub>. Furthermore, a more general three-component analysis which considers NO<sub>x</sub>, VOCs, and NH<sub>3</sub> could describe ammonium nitrate formation under any conditions in the context of O<sub>x,total</sub>. We find that the SLV is likely to be well within a NO<sub>x</sub>-saturated regime, and therefore, control strategies that initially focus on VOCs rather than NO<sub>x</sub> or NH<sub>3</sub> would be most effective, contrary to the view that NO<sub>x</sub> reductions are most effective in HNO<sub>3</sub> (rather than NH<sub>3</sub>) limited systems. The current understanding of U.S. VOC emissions and the cost and feasibility of their reduction continues to evolve (McDonald et al., 2018). Further detailed evaluation of the unique and changing biogenic and anthropogenic VOC profiles in the SLV and other valleys would be required to formulate an effective VOC emission control strategy.

Recent work has noted that summertime O<sub>3</sub> and PM<sub>2.5</sub> in the United States have steadily declined (Cooper et al., 2014; Hand et al., 2014) in response to emissions controls, but wintertime PM<sub>2.5</sub>, nitrate aerosol in particular, has not decreased as strongly (Green et al., 2015; Shah et al., 2018). Our analysis further suggests that these more gradual decreases in western U.S. PM<sub>2.5</sub> may be attributable to recent reductions in VOC rather than NO<sub>x</sub> emissions and that NO<sub>x</sub> reductions may in fact have had the counterintuitive effect of slowing the rate of decrease of PM<sub>2.5</sub> in areas dominated by ammonium nitrate. This analysis may also be relevant to both Europe and East Asia (Figure 1), where nitrate is increasingly a major component of wintertime PM<sub>2.5</sub> (Xu et al., 2018), NO<sub>x</sub> concentrations are high (Guo et al., 2014; Wang et al., 2017, 2018; Wen et al., 2018), and ammonia is typically in excess (Guo et al., 2018). Mitigation strategies have normally focused on NO<sub>x</sub> control (Guo et al., 2018), though recent work has indicated that PM<sub>2.5</sub> in northern China has a negative sensitivity to NO<sub>x</sub> (Zhao et al., 2017). Chinese emission inventories show recent reductions in NO<sub>x</sub> but increase in VOCs nationwide, a trend that could serve to exacerbate the severity of nitrate aerosol (Wang et al., 2017). Although the NO<sub>x</sub> and VOC emission profiles in European and Chinese cities may differ substantially from valleys in northern Utah, this framework for modeling aerosol suggests new and promising avenues for improved control strategies.

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