

Geophysical Research Letters

RESEARCH LETTER

10.1029/2019GL085905

Key Points:

- We calculate effective radiative forcing by applying model-derived kernels to observational estimates of global mean surface temperature
- Our independent estimate of anthropogenic aerosol forcing suggests substantial cooling due to aerosols in the early part of the twentieth century
- We attribute current temperature change and sea level rise to past decadal emissions using temporal kernels

Supporting Information:

Supporting Information S1

Correspondence to:

E. J. L. Larson, erik_larson@fas.harvard.edu

Citation:

Larson, E. J. L., Portmann, R. W., Solomon, S., & Murphy, D. M. (2020). Decadal attribution of historic temperature and ocean heat content change to anthropogenic emissions. *Geophysical Research Letters*, 47, e2019GL085905. https://doi.org/ 10.1029/2019GL085905

Received 18 OCT 2019 Accepted 4 JAN 2020 Accepted article online 7 JAN 2020

©2020. American Geophysical Union. All Rights Reserved. This article has been contributed to by US Government employees and their work is in the public domain in the USA.

Decadal Attribution of Historic Temperature and Ocean Heat Content Change to Anthropogenic Emissions

E. J. L. Larson^{1,2}, R. W. Portmann¹, S. Solomon³, and D. M. Murphy¹

¹Earth System Research Laboratory, National Oceanic and Atmospheric Administration, Boulder, CO, USA, ²Department of Organismic and Evolutionary Biology, Harvard University, Cambridge, MA, USA, ³Department of Earth, Atmospheric, and Planetary Sciences, Massachusetts Institute of Technology, Cambridge, MA, USA

Abstract We present an alternative method of calculating the historical effective radiative forcing using the observed temperature record and a kernel based on the 5th Climate Model Intercomparison Project (CMIP5) temperature response. This estimate is the effective radiative forcing time series that the average climate model would need to simulate the observed global mean surface temperature anomalies. We further infer the anthropogenic aerosols radiative forcing as a residual using the better-known greenhouse gas radiative forcing. This allows an independent estimate of anthropogenic aerosol radiative forcing, which suggests a cooling influence due to aerosols in the early part of the twentieth century. The temporal kernels are also used to calculate decadal contributions from the dominant forcing agents to present-day temperature, ocean heat content, and thermosteric sea level rise. The current global mean temperature anomaly is dominated by emissions in the past two decades, while current ocean heat content is more strongly affected by earlier decades.

1. Introduction

Earth's climate has changed markedly since the industrial revolution. The global mean 2-m air temperature (GMST) of Earth has risen about a degree Celsius since 1850 and is projected to rise much more (Collins et al., 2013; Karl et al., 2015; Kirtman et al., 2013). Associated with this warming are increased ocean heat content (OHC) and sea level rise (SLR). These climate changes are largely due to anthropogenic emissions of greenhouse gases (GHG), which are partially offset by natural and anthropogenic aerosol emissions (e.g., Bindoff et al., 2013; Charlson et al., 1991; Murphy et al., 2009).

GHGs and aerosols affect the climate by changing the radiative imbalance at the top of the atmosphere, also known as radiative forcing (RF). The RF and response cannot be distinguished by observations; therefore, models are used to estimate it. There are several definitions of RF based on their method of calculation, and each provides a slightly different value (Myhre et al., 2013; see also Forster & Taylor, 2006; Gregory et al., 2004; Hansen et al., 2005; Larson & Portmann, 2016; Shine, et al., 2003). Here we calculate the effective radiative forcing (ERF), which includes rapid adjustments in the stratosphere, tropospheric adjustments of temperature, water vapor, and clouds and some land surface temperature adjustment. We also note that throughout the paper we compare with other definitions of forcing under the assumption that, at least for GHGs, they are not very different from the ERF (Hansen et al., 2005; Smith et al., 2018).

Climate models specify emissions scenarios and calculate variables (GMST, OHC, top of the atmosphere radiation, etc.) which are used to reconstruct the ERF (Forster & Taylor, 2006; Gregory et al., 2004; Larson & Portmann, 2016). This study reverses this direction and uses the observed GMST and a kernel derived from the 5th Climate Model Intercomparison Project (CMIP5) to calculate ERF. The CMIP5-derived kernels have previously been used to diagnose forcing in transient climate simulations (Larson & Portmann, 2016). We apply the method to GMST observations that extend back to 1880 to produce an observationally based estimate of the historical ERF. These kernels allow us to combine multiple climate models, which increases the confidence of the results. While this estimate of the historical ERF is observationally based, it is still dependent on climate models and should be interpreted in the following way: This is the ERF time series that the average CMIP5 climate model would need to simulate the observed GMST anomalies. To the extent that these climate models represent the Earth

system, this is the ERF that the Earth system experienced. Climate models with different sensitives (e.g., Gettelman et al., 2019) would yield different ERF.

Estimates of ERF are important for constraining the climate sensitivity of the Earth system. There is a large range of estimates from models and observations (Armour, 2017; Rohling et al., 2018; Storelvmo et al., 2016), and this uncertainty limits confidence in future projections from climate models. The uncertainty in the direct and indirect aerosol ERF is larger than any other forcing agent (Myhre et al., 2013). We calculate the anthropogenic aerosol ERF as a residual from our historical estimates and the better-known GHG and volcanic forcing.

Along with estimating the historical anthropogenic ERF, the temporal kernel method also allows for apportionment of the current GMST, OHC, and thermosteric SLR anomalies to past emissions. The CMIP5 models report thermosteric SLR but not the total SLR. Warming due to CO_2 dominates the energy budget and is realized over long timescales (Myhre et al., 2013; Solomon et al., 2009). Furthermore, the Earth is committed to remain warm even if CO_2 emissions are halted (Archer, 2005; Solomon et al., 2009). A model incorporating both the atmospheric lifetimes of GHGs and aerosols and the climate response to changes in ERF from the emissions is used to apportion the current GMST and OHC anomalies to past decades of emissions.

2. Estimating ERF From Temperature Observations

Historical estimates of RF during the industrial era are usually calculated using climate models from concentrations of forcing agents, such as GHG and aerosols. In this study, we diagnose the historical RF from observed temperature anomalies using a kernel derived from the CMIP5 models (Taylor et al., 2012). This study demonstrates a new application of the temporal kernel method (Larson & Portmann, 2016) to synthesize observations and models.

Good et al. (2011, 2012) showed that the temperature time series (*Y*), and other climate variables, can be approximated by the linear combination of the variable response (*K*) to a step change in forcing (F_0) multiplied by the known changes in forcing from all previous years (ΔF). Their step-response model allows simple calculation of temperature time series from RF time series. Larson and Portmann (2016) demonstrated that the temperature response can be written as a kernel and inverted such that the ERF time series can be calculated from climate model temperature time series using

$$\overrightarrow{\Delta F}/F_0 = \mathbf{K}^{-1} \overrightarrow{Y} \tag{1}$$

The CMIP5 variable responses to the $4xCO_2$ simulations used to create the kernels are shown in the supporting information Figure S1. Thus far, this method has only been applied to model output. Here we apply the temporal kernel method in a novel way to the mean of the GMST anomalies in Figure 1a: NOAA (Vose et al., 2012; Zhang et al., 2017), GISS (GISTEMP Team, 2017; Hansen et al., 2010), Hadcrut (Morice et al., 2012), and BEST (Rohde et al., 2013). The data are normalized to the period between 1880 and 1900. Most of these temperature data sets only go back to 1880, which limits our ability to assess temperature changes before then. This study assumes that the anthropogenic temperature change before 1900 is small compared to the change since, and ignoring it does not greatly affect the results. This assumption creates zero historical RF over the reference period (1880–1900), and thus, the anthropogenic aerosol, which is calculated as a residual, offsets the better-known GHG and volcanic RFs during that period. For comparison, the mean RF relative to 1765 from Meinshausen et al. (2011) during the reference period is 0.04 W/m². Figure 1b shows the mean and standard deviation of these temperature anomalies.

The historical ERF derived from observed temperature anomalies is shown in Figure 1c and compared with the CMIP5 multimodel mean. The forcing has been smoothed with a 5-year filter to remove noise associated with the kernel method. The two forcing estimates are very similar, suggesting that modeled climate response, as captured by the kernel, works for a range of timescales. There are a couple of decade-long temperature anomalies that are not well explained by the CMIP5 models that are reflected in the observationally derived ERF. These include the anomalously warm period around 1940 and the recent warming slowdown in the 2000s. The difference in the1940s may be due to uncorrected biases in sea surface temperature measurements due to changes in instrumentation or possibly other issues with measurements or emissions





Figure 1. (a) Observed annual average temperature anomaly relative to 1880–1900. (b) The mean and standard deviation of the temperature time series along with the CMIP5 multimodel mean. (c) The 5-year smoothed ERF calculated using the mean temperature from panel b compared with the 5-year smoothed CMIP5 multi-model mean ERF.

inventories during World War II (Thompson et al., 2008). Between years 2000 and 2014, the CMIP5 mean temperature anomaly was 0.14 K warmer than the observed temperature anomaly and warmed at a rate of 0.19 K per decade compared with 0.10 K per decade in the observations. This warming difference leads to an ERF difference of several tenths of a watt per meter squared in the early 2010s in our calculation. However, this temperature discrepancy between the observations and CMIP5 models may be due to variability in the response of the Earth system, as opposed to a change forcing as implied by this method. There are several papers discussing the recent warming slowdown and its likely cause, including a changing ocean circulation which redistributed heat into the deep ocean (England et al., 2014; Liu et al., 2016; Meehl et al., 2011) as well as errors in volcanic RF (Santer et al., 2014; Solomon et al., 2011) and other radiative terms (Fyfe et al., 2016; Marotzke & Forster, 2015).

The historical ERF with uncertainty is shown in Figure 2b and compared with the CMIP5 multimodel mean ERF. The uncertainty in the historical ERF comes from two sources, the standard deviation of the temperature data sets and the variation in model kernels. We identify an upper response and a lower temperature response kernel by using the inter–two thirds of model responses as a range of uncertainty. This range is shown in the supporting information Figure S1. To estimate the high (low) end of the uncertainty from these two sources, we calculate the ERF using the GMST plus (minus) one standard deviation and the upper (lower) kernel function.



Figure 2. (a) The adjusted radiative forcing of CO_2 , CH_4 , and N_2O is calculated using the RF formulae from Etminan et al. (2016). RF of the CFCs is calculated using radiative efficiencies (Myhre et al., 2013). (b) The anthropogenic aerosol ERF (red line) calculated as a residual from the total adjusted GHG forcing (green line) and an observationally based estimate of the historical ERF (black line, same as Figure 1c). (c) The total anthropogenic aerosol radiative forcing from this study compared with several other estimates. Gray shading in panels b and c indicate the 1-sigma uncertainty from variation in both the temperature observations and the model responses used in the temporal kernel method calculation.

The anthropogenic aerosol ERF is calculated as a residual from the historical forcing following the method of Murphy et al. (2009). The residual forcing, which is assumed to predominantly represent anthropogenic aerosol, is the difference between the well-mixed GHG forcing and historical forcing shown in Figure 1c. These forcing time series are shown in Figure 2. Figure 2a shows the well-mixed GHG forcing from CO₂, CH₄, N₂O, and the five most radiatively active chlorinated species (CFC11, CFC12, CFC113, HCFC22, and CCl₄), which we label as CFCs. The adjusted RF of the CO₂, CH₄, and N₂O is calculated using RF formulae from Etminan et al. (2016). RF of the CFCs is calculated with simple radiative efficiencies (Myhre et al., 2013). We assume that adjusted and effective GHG RF are similar enough that differencing the adjusted GHG forcing and effective historical RF results in a meaningful approximation of the residual anthropogenic aerosol ERF. This assumption is supported by Smith et al. (2018) who show that for CO₂

forcing, adjustments other than stratospheric temperature sum to zero. In other words, the stratospherically adjusted RF is equal to the ERF. Figure 2b shows the cumulative GHG RF, historical ERF including the uncertainty, the CMIP5 multimodel mean volcanic RF, and the residual aerosol ERF. The anthropogenic aerosol ERF is presently about -1 W/m^2 and offsets about one third of the GHG RF over the last decade.

Figure 2c compares the anthropogenic residual aerosol RF with several estimates from the literature (Gregory & Forster, 2008; Klimont et al., 2013; Miller et al., 2014; Skeie et al., 2011; Smith et al., 2011, Myhre et al., 2013). Our forcing estimate suggests that the early part of the twentieth century may have had a substantial amount of aerosol emissions. The CMIP5 kernels require an average of -0.4 W/m^2 of ERF from anthropogenic aerosols between 1900 and 1940 to reproduce the temperature observations. However, during the latter part of the twentieth century, our estimate is on the lower end and does not require RF of more than -1 W/m^2 to match the historical ERF. The IPCC AR5 estimate is within the uncertainty of our residual aerosol ERF estimate throughout the twentieth century. Anthropogenic aerosols contribute the largest uncertainty to RF, with error bars spanning 0 for the 2011 ERF in the IPCC AR5 (Myhre et al., 2013). The uncertainty in our observationally based estimate does not span zero for the entire twentieth century except for the period around World War II, in which global temperature may be biased (Thompson et al., 2008).

There are two major limitations of the current implementation of the temporal kernel method. First, it assumes that each forcing agent has the same efficacy, that is, that the climate response for all forcing agents is the same as for CO_2 forcing. This assumption is common when diagnosing RF in transient simulations (Gregory & Forster , 2008; Andrews et al., 2012). It is also supported by Richardson et al. (2019), who use RF step change experiments for multiple forcing agents and find multimodel mean efficacies close to unity. They also find that the location of sulfate aerosol emissions does not change the efficacy. Thus, the historical shift in emissions of anthropogenic aerosols from Europe to Asia has not affected the efficacy of this forcing agent. This implies that our historical anthropogenic aerosol forcing is representative of past emissions and is not complicated by efficacy changes. Furthermore, Richardson et al. (2019) find very little difference in the reconstructed historical temperature with and without including the RF efficacy using a step response model similar to the methods in this paper. Thus, we feel that our assumption of constant efficacy between forcing agents is appropriate.

Second, this method assumes that the response of the Earth system is constant overtime, and thus, all of the change in the temperature record is forced. This includes changes due to natural interannual variability and longer-term variability due to ocean basin oscillations. As mentioned earlier, the warming slowdown during the 2000s was not captured by the CMIP5 models and is likely due to natural variability in the Earth system. Our assumption that anthropogenic aerosols account for the total residual forcing likely overestimates the aerosol forcing between 2000 and 2014. However, the recent GMST increase since 2014 suggests that the long-term behavior of the climate is consistent with model predictions. Two other decades stand out as being influenced by natural variability. The Earth's temperature response to the volcanic aerosol forcing in the late 1800s is weak, either because the efficacy of volcanic forcing is lower than for CO_2 or because the volcanic ERF is over estimated. In either case, our residual anthropogenic aerosol forcing is during World War II. Despite these limitations the temporal kernel method is a useful tool for diagnosing RF and adds a novel estimate of the residual anthropogenic aerosol ERF.

3. Apportioning Current and Future Climate Change by Decadal Emissions

Not only can we diagnose the historical ERF with temporal kernels but we can also use them to attribute past emissions to current climate change. Past emissions affect the climate beyond their atmospheric lifetime by affecting the radiative balance of the Earth and the OHC. The contribution to current climate change such as GMST from past emissions is the convolution of the atmospheric lifetime and climate response to the ERF time series from that emission. The kernels also allow us to calculate the relative contribution of each climate forcing agent over time. Attributing current climate change to individual decades of emissions is interesting, as it demonstrates the importance of the lifetime of the forcing agent. This is well established in the literature (Gleckler et al., 2006; Solomon et al., 2009; Zickfeld et al., 2017); however, this technique allows us to go beyond idealized simulations and attribute the contribution to current and future temperature change (and OHC) from each past decade in a computationally efficient way.

In this section, we apportion how past decades of emissions of GHGs and aerosol have contributed to current climate change. To do this, we first apportion the contribution from past emissions to current concentrations of GHGs. We track the GHGs that have the largest contribution to RF and climate change, specifically CO_2 , CH_4 , N_2O , and the CFCs mentioned above. Starting from observed concentrations (pre-1979 from Meinshausen et al., 2011, 1979 to present from NOAA AGGI, Hofmann et al., 2006), we infer emissions time lines of the major GHGs using their decay functions. We use a top-down approach so that our emissions estimates are consistent with observed concentration and are self-consistent when using the concentrations to calculate RF.

We calculate the decay of these gases in the atmosphere using exponentials. CO_2 is modeled using a sum of exponential fits based on a multimodel analysis (Joos et al., 2013) with the following coefficients and lifetimes; 21.7% of CO_2 having a lifetime of 10,000 years, 22.4% 394.4 years, 28.2% 36.5 years, and 27.6% 4.3 years. Other GHGs (N₂O, CH₄, CFC11, CFC12, CFC113, HCFC22, and CCl₄) are modeled using single exponentials with lifetimes of 121, 12.4, 45, 100, 85, 11.9, and 26 years, respectively (Myhre et al., 2013 [IPCC Table 8.A.1]). Anthropogenic aerosols are included in the forcing calculations, and emissions are modeled as emitted in the year the forcing took place with no persistence to later years. Supporting information Figure S2 displays the cumulative emissions and anthropogenic concentration over the historical period.

By tracking the contributed concentration from each year's emissions while considering their atmospheric decay, we can apportion the contribution of each past year's emission to current and future concentrations. Supporting information Figure S3 shows the decadal contributions to present and future concentrations of radiatively important GHGs. The decadal contribution of past emissions to current and future GHG concentrations does not directly correspond to the contributions to current and future temperature (Figure S4) and sea level changes due the memory of the Earth system. The oceans, which warm due to Earth's RF (Murphy et al., 2009), give the Earth system a memory on timescales relevant to ocean circulation. An increase in OHC due to GHG emissions takes centuries to equilibrate meaning that forcing agents that have been removed from the atmosphere are still contributing to climate change including thermosteric SLR and GMST (Solomon et al., 2009; Zickfeld et al., 2017). Aerosols, for example, have a relatively short atmospheric lifetime; however, volcanic eruptions affect OHC for centuries (Gleckler et al., 2006; Gregory et al., 2013).

To calculate how past emissions map to GMST and OHC, we calculate the ERF time series associated with the concentration from emissions in each year. We approximate the ERF (F_j in equation (2)) of CO₂, CH₄, and N₂O using the RF formulae from Etminan et al. (2016). This formula produces slightly larger RF for methane compared with the IPCC AR5 (Myhre et al., 2013; Ch.8 supplement, Table 8.SM.1). The ERF is calculated relative to the preindustrial concentration for the remaining GHG concentration due to emissions in each year. The contributions to the forcing are then scaled to the total forcing for that year based on the fraction of GHG remaining from previous years emissions. This step is necessary because the forcing calculated for a GHG perturbation depends on the background concentration that changes with time. The GHG emissions in each year are given equal weighting (i.e., a 1 parts per million (ppm) perturbation gives the same forcing despite the background concentration in which it was emitted; see below). RF for CFCs is calculated using radiative efficiencies of each CFC multiplied by the concentration. The weights are the same as used to calculate the lifetimes. Anthropogenic aerosol ERF is calculated as described above and shown in Figure 2. The equation for calculating the change in forcing for CO₂, CH₄, and N₂O is

$$\Delta F_{ij} = \frac{F_j C_{ij}}{\sum_{i=0}^j C_{ij}} \tag{2}$$

where *i* is the year in which a GHG was emitted, *j* is the year for which the forcing is calculated, $C_{i,j}$ is the concentration of the GHG emitted in year *i* that is remaining in year *j*, F_j is the forcing in year *j* due to the GHG, and $\Delta F_{i,j}$ is the forcing in year *j* due to the GHG emitted in year *i*. The sum of $C_{i,j}$ equals the concentration in year *j* minus the reference period, which is the preindustrial in this case.

As mentioned above, the ERF perturbation in future decades depends on the background concentration for some GHGs (CO_2 and CH_4). In other words, the RF of an individual CO_2 molecule decreases as the CO_2



Figure 3. CMIP5 derived decadal contributions to year 2017 (a) GMST and (b) Δ OHC due to anthropogenic GHG and aerosol emissions. The GHG contributions to the 2010s are scaled by 10/8 to make them a full decade of influence. The aerosol contribution was not scaled due to its short lifetime.

concentration increases. We are left with a choice when attributing current ERF to past decades, whether to calculate an ERF perturbation relative to the CO_2 concentration in which the GHG was emitted or relative to the current GHG concentration. Here is a short example illustrating this issue. A kilogram of CO_2 is emitted in 1960 when the background concentration is 318 ppm. After 50 years, about half of that kilogram of CO_2 remains in the atmosphere. During those 50 years the background concentration went up to 390 ppm. The RF of each molecule of CO_2 is about 20% lower in 2010 than in 1960. How should we compare a half kilogram of CO_2 emitted in 2010 year with the half kilogram remaining from an emission in 1960? Although the 1960 CO_2 was emitted into a lower background, we have decided not to penalize the earlier emissions by calculating their ERF perturbation relative to 318 ppm, but instead, weight all CO_2





Figure 4. (a) GMST anomaly due to past anthropogenic emissions of GHGs and aerosols. (b) Global Δ OHC and thermosteric SLR anomaly due to past anthropogenic emissions of GHGs and aerosols. Note that the black lines do not match current Δ T and Δ OHC, as this does not account for the natural component.

molecules equally, regardless of when they were emitted. Thus, the forcing perturbation in 2010 from the half kilogram emitted in that year and the half kilogram remaining from 1960 is the same. We consider this the best interpretation and method for attribution of past emissions contributing to current climate change. We note that this choice about ERF attribution may have policy implications if nations decide to impose penalties or regulations for climate change, such as a retroactive carbon tax.

The kernel method is applied in the forward direction, that is, specify forcing to calculate climate variables. In this direction, the kernel method is equivalent to the step response model of Good et al. (2011, 2012). Here, we calculate the response of the GMST, OHC, and thermosteric SLR to the ERF calculated above. We bin the yearly variable responses into decadal contributions to current and future climate change. Figure 3 shows the decadal contributions to present-day GMST anomaly (a) and ΔOHC (b) since the preindustrial. Decadal contributions to thermosteric SLR look very similar to those of OHC and are not shown in the figure. The current decade's (2010–2017) temperature change and ∆OHC contribution from the GHGs have been scaled by 10/8 to account for the partial years in the decade. The aerosol component was not scaled due to the short lifetimes of aerosols. The linear scaling is a rough estimate of the total decadal contribution from the 2010s; however, not scaling the decade gave the misleading impression of a recent reduction in temperature change contribution. The bars in Figure 3 represent the contribution of present-day warming (year 2017) due to emissions in that decade. The large anthropogenic aerosol signal in temperature since 2010 is not due to a change in aerosol emissions but due to the short lifetime of aerosols in the atmo-

sphere. Most of the GMST impact from aerosols occurs in the decade they are emitted. OHC, however, is impacted more by the integrated RF, and the bar graph reflects that aerosol emissions produce a lasting effect on OHC. This lasting effect is due to the slow response of the oceans to thermally equilibrate. Figure 3 represents the convolution of the lifetime of an emission in the atmosphere with the climate response to that emission's RF. The impact of aerosols emitted in this decade will quickly diminish due to their short lifetime, whereas CO_2 persists in the atmosphere and continue to impact temperature for decades and centuries. Short-lived gasses, such as CH_4 with a 12-year lifetime, also continue to affect the GMST and OHC after the emission has been removed from the atmosphere. Looking back, past decades of anthropogenic aerosol emissions have a relatively small total effect (~ -0.2 K) on the current temperature anomaly compared with this decade's aerosol emissions (-0.35 K).

The change in OHC is roughly proportional to cumulative ERF, and thus, past emissions have a larger effect on current anomalies as seen in Figure 3b. Emissions of GHGs and aerosols from the 1970s and 1980s have the largest contributions to the current OHC anomaly, despite global CO_2 -equivalent emissions increasing every decade since, simply because those emissions have remained in the atmosphere longer (Heede, 2014).

Figure 4 shows the relative contribution of past GHG and aerosol emissions to the temperature and OHC anomalies since 1880. The solid line is total temperature anomaly due to anthropogenic forcing agents, which is the observed without the effects of volcanoes. This figure is the cumulative total of the convolution the lifetime of atmospheric emissions with the temperature and OHC responses from the CMIP5 models. CO_2 is the largest contributor to temperature change and OHC, followed by anthropogenic aerosols, CH_4 , CFCs, and N_2O . Anthropogenic aerosols offset about one third of today's potential GMST and OHC anomalies. Future attempts to reduce aerosol pollution will come at the cost of increasing GMST and OHC.

4. Conclusions

The temporal kernel method (Larson & Portmann, 2016) allows for calculations between ERF and any climate variable time series. This method can be applied in either direction with computational efficiency. We applied the method to the historical GMST record to calculate an observationally based historical ERF. The historical ERF is broadly consistent with purely model-based estimates (Forster et al., 2013; Larson & Portmann, 2016; Meinshausen et al., 2011) and reflects the ERF that the average climate model would need to recreate the historical temperature record. Although this method relies heavily on model-derived kernels, it leverages the best historical climate record available to estimate the forcing.

Anthropogenic aerosol ERF is calculated as a residual from the historical ERF and the relatively well known GHG RF. This ERF suggests that anthropogenic aerosol RF was several tenths of a W/m^2 in the early part of the twentieth century, consistent with a large aerosol indirect effect. The aerosol ERF is consistent with the estimate from the IPCC AR5 and is approximately $-1 W/m^2$ in the 2010s. Furthermore, the uncertainty in this estimate is less than in the AR5. One limitation of this method is that natural variability in the temperature signal gets mapped onto the residual aerosol forcing. For instance, the temperature slowdown in the 2000s manifests as large aerosol forcing, when it is likely due to a change in ocean mixing (England et al., 2014; Liu et al., 2016; Meehl et al., 2011).

Understanding how GHG and aerosol emissions contributed to climate change requires accounting for the lifetime of the emissions as well as how the change in forcing from the emitted species is manifest as temperature change or OHC. The current GMST anomaly has large contributions from CO_2 emissions over the past century and more recent other GHGs. However, much of the current potential warming is offset by more recent aerosol emissions over the past two decades. By contrast, OHC and thermosteric SLR are affected by cumulative RF and the current anomalies have large contributions from both GHGs and aerosols in past decades.

This difference of timescale has important policy implications. For example, if GHG emissions were reduced to zero, OHC and SLR will still be higher in 2100 than at present, but the GMST would be slightly lower. Thus, SLR is irreversible over the next century without active carbon sequestration or climate modification.

The utility of kernels to calculate between effective forcing and climate variables in either direction is demonstrated by our ability to apportion current and future climate change by decadal emissions. The temporal kernels are also computationally efficient, especially compared to climate models. This paper also demonstrates a method in which one could specify future emissions and calculate the associated ERF, GMST, and SLR. These estimates would reflect the average CMIP5 climate model responses to the specified emissions. These kernels have wide application in both diagnosing model simulations and calculating RF from observations, both of which can be used to inform policy decisions.

Acknowledgments

The CMIP5 temperature response kernels to the 4xCO2 forcing experiments are publicly available online (https://github.com/larsonei/ CMIP5_kernels). The authors would like to thank the respective agencies that collected and compiled the surface air temperature data. S. S. gratefully acknowledges partial support under NSF Grant 1848863. The temperature time series data can be obtained from the respective agencies: GISS (https:// data.giss.nasa.gov/gistemp/), NOAA (https://www.esrl.noaa.gov/gmd/aggi/ aggi.html), Hadley (https://crudata. uea.ac.uk/cru/data/temperature/), and Berkeley (http://berkeleyearth.org/ data/).

References

- Andrews, T., Gregory, J. M., Webb, M. J., & Taylor, K. E. (2012). Forcing, feedbacks and climate sensitivity in CMIP5 coupled atmosphereocean climate models. *Geophysical Research Letters*, 39, L09712. https://doi.org/10.1029/2012GL051607
- Archer, D. (2005). Fate of fossil fuel CO₂ in geologic time. Journal of Geophysical Research, 110, C09S05. https://doi.org/10.1029/ 2004JC002625
- Armour, K. C. (2017). Energy budget constraints on climate sensitivity in light of inconstant climate feedbacks. *Nature Climate Change*, 7, 331–335. https://doi.org/10.1038/nclimate3278
- Bindoff, N. L., Stott, P. A., AchutaRao, K. M., Allen, M. R., Gillett, N., Gutzler, D., et al. (2013). Detection and attribution of climate change: From global to regional. In T. F. Stocker, D. Qin, G.-K. Plattner, M. Tignor, S. K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex, & P. M. Midgley (Eds.), *Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* (Chap. 10, pp. 867–952). Cambridge, United Kingdom and New York, NY, USA: Cambridge University Press.
- Charlson, R. J., Langner, J., Rodhe, H., Leovy, C. B., & Warren, S. G. (1991). Perturbation of the northern hemisphere radiative balance by backscattering from anthropogenic sulfate aerosols. *Tellus A: Dynamic Meteorology and Oceanography*, 43(4), 152–163. https://doi.org/ 10.3402/tellusa.v43i4.11944
- Collins, M., Knutti, R., Arblaster, J., Dufresne, J.-L., Fichefet, T., Friedlingstein, P., et al. (2013). Long-term climate change: Projections, commitments and irreversibility. In T. F. Stocker, D. Qin, G.-K. Plattner, M. Tignor, S. K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex, & P. M. Midgley (Eds.), Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change (Chap. 12, pp. 1029–1136). Cambridge, United Kingdom and New York, NY, USA: Cambridge University Press.
- England, M. H., McGregor, S., Spence, P., Meehl, G. A., Timmermann, A., Cai, W., et al. (2014). Recent intensification of wind-driven circulation in the Pacific and the ongoing warming hiatus. *Nature Climate Change*, 4(3), 222–227. https://doi.org/10.1038/NCLIMATE2106
 Etminan, M., Myhre, G., Highwood, E. J., & Shine, K. P. (2016). Radiative forcing of carbon dioxide, methane, and nitrous oxide: A significant

revision of the methane radiative forcing. *Geophysical Research Letters*, 43, 12,614–12,623. https://doi.org/10.1002/2016GL071930 Forster, P. M., Andrews, T., Good, P., Gregory, J. M., Jackson, L. S., & Zelinka, M. (2013). Evaluating adjusted forcing and model spread for historical and future scenarios in the CMIP5 generation of climate models. *Journal of Geophysical Research: Atmospheres*, 118, 1139–1150. https://doi.org/10.1002/jgrd.50174 Forster, P. M., & Taylor, K. E. (2006). Climate forcings and climate sensitivities diagnosed from coupled climate model integrations. Journal of Climate, 19, 6181–6194.

Fyfe, J. C., Meehl, G. A., England, M. H., Mann, M. E., Santer, B. D., Flato, G. M., et al. (2016). Making sense of the early-2000s warming slowdown. Nature Climate Change, 6(3), 224–228. https://doi.org/10.1038/nclimate2938

Gettelman, A., Hannay, C., Bacmeister, J. T., Neale, R. B., Pendergrass, A. G., Danabasoglu, G., et al. (2019). High climate sensitivity in the Community Earth System Model Version 2 (CESM2). *Geophysical Research Letters*, 46, 8329–8337. https://doi.org/10.1029/ 2019GL083978

- GISTEMP Team, (2017), GISS surface temperature analysis (GISTEMP). NASA Goddard Institute for Space Studies. Dataset accessed 2017-08-17 at https://data.giss.nasa.gov/gistemp/.
- Gleckler, P. J., Wigley, T. M., Santer, B. D., Gregory, J. M., AchutaRao, K., & Taylor, K. E. (2006). Krakatoa's signature persists in the ocean. *Nature*, 439, 675.
- Good, P., Gregory, J. M., & Lowe, J. A. (2011). A step-response simple climate model to reconstruct and interpret AOGCM projections. Geophysical Research Letters, 38, L01703. https://doi.org/10.1029/2010GL045208
- Good, P., Ingram, W., Lambert, F. H., Lowe, J. A., Gregory, J. M., Webb, M. J., et al. (2012). A step-response approach for predicting and understanding non-linear precipitation changes. *Climate Dynamics*, 39(12), 2789–2803. https://doi.org/10.1007/s00382-012-1571-1

Gregory, J. M., Bi, D., Collier, M. A., Dix, M. R., Hirst, A. C., Hu, A., et al. (2013). Climate models without preindustrial volcanic forcing underestimate historical ocean thermal expansion. *Geophysical Research Letters*, 40, 1600–1604. https://doi.org/10.1002/grl.50339

Gregory, J. M., & Forster, P. M. (2008). Transient climate response estimated from radiative forcing and observed temperature change. Journal of Geophysical Research, 113, D23105. https://doi.org/10.1029/2008JD010405

Gregory, J. M., Ingram, W. J., Palmer, M. A., Jones, G. S., Stott, P. A., Thorpe, R. B., et al. (2004). A new method for diagnosing radiative forcing and climate sensitivity. *Geophysical Research Letters*, 31, L03205. https://doi.org/10.1029/2003GL018747

- Hansen, J., Ruedy, R., Sato, M., & Lo, K. (2010). Global surface temperature change. Reviews of Geophysics, 48, RG4004. https://doi.org/ 10.1029/2010RG000345
- Hansen, J., Sato, M. K. I., Ruedy, R., Nazarenko, L., Lacis, A., Schmidt, G. A., et al. (2005). Efficacy of climate forcings. Journal of Geophysical Research, 110, D18104. https://doi.org/10.1029/2005JD005776
- Heede, R. (2014). Tracing anthropogenic carbon dioxide and methane emissions to fossil fuel and cement producers, 1854-2010. *Climate Change*, *122*, 229–241.
- Hofmann, D. J., Butler, J. H., Dlugokencky, E. J., Elkins, J. W., Masarie, K., Montzka, S. A., & Tans, P. (2006). The role of carbon dioxide in climate forcing from 1979-2004: Introduction of the annual greenhouse gas index. *Tellus B*, 58B, 614–619. https://www.esrl.noaa.gov/ gmd/aggi/aggi.html [accessed 8/17/2017]
- Joos, F., Roth, R., Fuglestvedt, J. S., Peters, G. P., Enting, I. G., von Bloh, W., et al. (2013). Carbon dioxide and climate impulse response functions for the computation of greenhouse gas metrics: A multi-model analysis. *Atmospheric Chemistry and Physics*, 13, 2793–2825. https://doi.org/10.5194/acp-13-2793-2013
- Karl, T. R., Arguez, A., Huang, B., Lawrimore, J. H., McMahon, J. R., Menne, M. J., et al. (2015). Possible artifacts of data biases in the recent global surface warming hiatus. *Science*, 348(6242), 1469–1472. https://doi.org/10.1126/science.aaa5632
- Kirtman, B., Power, S. B., Adedoyin, J. A., Boer, G. J., Bojariu, R., Camilloni, I., et al. (2013). Near-term climate change: Projections and predictability. In T. F. Stocker, D. Qin, G.-K. Plattner, M. Tignor, S. K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex, & P. M. Midgley (Eds.), *Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* (Chap. 11, pp. 953–1028). Cambridge, United Kingdom and New York, NY, USA: Cambridge University Press.
- Klimont, Z., Smith, S. J., & Cofala, J. (2013). The last decade of global athropogenic sulfur dioxide: 2000-2011 emissions. Environmental Research Letters, 8, 014003. https://doi.org/10.1088/1748-9326/8/1/014003
- Larson, E. J. L., & Portmann, R. W. (2016). A temporal kernel method to compute effective radiative forcing in CMIP5 transient climate simulations. Journal of Climate, 29, 1497–1509. https://doi.org/10.1175/JCLI-D-15-0577.1

Liu, W., Shang-Ping, X., & Lu, J. (2016). Tracking ocean heat update during the surface warming hiatus. Nature Communications, 7, 10,926. https://doi.org/10.1038/ncomms10926

Marotzke, J., & Forster, P. M. (2015). Forcing, feedback and internal variability in global temperature trends. Nature, 517(7536), 565–570. https://doi.org/10.1038/nature14117

- Meehl, G. A., Arblaster, J. M., Fasullo, J. T., Hu, A., & Trenberth, K. E. (2011). Model-based evidence of deep-ocean heat uptake during surface-temperature hiatus periods. *Nature Climate Change*, 1, 360–364. https://doi.org/10.1038/NCLIMATE1229
- Meinshausen, M., Smith, S. J., Calvin, K., Daniel, J. S., Kainuma, M. L. T., Lamarque, J.-F., et al. (2011). The RCP greenhouse gas concentrations and their extensions from 1765 to 2300. *Climatic Change*, 109, 213. https://doi.org/10.1007/s10584-011-0156-z

Miller, R. L., Schmidt, G. A., Nazarenko, L. S., Tausnev, N., Bauer, S. E., Del Genio, A. D., et al. (2014). CMIP5 historical simulations (1850-2012) with GISS ModelE2. *Journal of Advances in Modeling Earth Systems*, 6, 441–477. https://doi.org/10.1002/2013MS000266

- Morice, C. P., Kennedy, J. J., Rayner, N. A., & Jones, P. D. (2012). Quantifying uncertainties in global and regional temperature change using an ensemble of observational estimates: The HadCRUT4 dataset. *Journal of Geophysical Research*, 117, D08101. https://doi.org/ 10.1029/2011JD017187, https://crudata.uea.ac.uk/cru/data/temperature/
- Murphy, D. M., Solomon, S., Portmann, R. W., Rosenlof, K. H., Forster, P. M., & Wong, T. (2009). An observationally based energy balance for the Earth since 1950. Journal of Geophysical Research, 114, D17107. https://doi.org/10.1029/2009JD012105
- Myhre, G., Shindell, D., Bréon, F.-M., Collins, W., Fuglestvedt, J., Huang, J., et al. (2013). Anthropogenic and natural radiative forcing. In T. F. Stocker, D. Qin, G.-K. Plattner, M. Tignor, S. K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex, & P. M. Midgley (Eds.), Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change (Chap. 8, pp. 659–740). Cambridge, United Kingdom and New York, NY, USA: Cambridge University Press.

Richardson, T. B., Forster, P. M., Smith, C. J., Maycock, A. C., Wood, T., Andrews, T., et al. (2019). Efficacy of climate forcings in PDRMIP models. Journal of Geophysical Research: Atmospheres, 124, 12,824–12,844. https://doi.org/10.1029/2019JD030581

- Rohde, R., Muller, R. A., Jacobsen, R., Muller, E., Perlmutter, S., Rosenfeld, A., et al. (2013). A new estimate of the average Earth surface land temperature spanning 1753 to 2011. *Geoinfor Geostat: An Overview*, 1, 1. https://doi.org/10.4172/2327-4581.1000101, http://berkeleyearth.org/data/ accessed 8/17/2017
- Rohling, E. J., Marino, G., Foster, G. L., Goodwin, P. A., Von der Heydt, A. S., & Köhler, P. (2018). Comparing climate sensitivity, past and present. Annual Review of Marine Science, 10, 261–288. https://doi.org/10.1146/annurev-marine-121916-063242
- Santer, B. D., Bonfils, C., Painter, J. F., Zelinka, M. D., Mears, C., Solomon, S., et al. (2014). Volcanic contribution to decadal changes in tropospheric temperature. *Nature Geoscience*, 7(3), 185–189. https://doi.org/10.1038/ngeo2098

- Shine, K. P., Cook, J., Highwood, E. J., & Joshi, M. M. (2003). An alternative to radiative forcing for estimating the relative importance of climate change mechanisms. *Geophysical Research Letters*, 30(20). https://doi.org/10.1029/2003gl018141
- Skeie, R. B., Bernsten, T. K., Myhre, G., Tanaka, K., Kvalevag, M. M., & Hoyle, C. R. (2011). Anthropogenic radiative forcing time series from pre-industrial times until 2010. *Atmospheric Chemistry and Physics*, *11*, 11,827–11,857. https://doi.org/10.5194/acp-11-11827-2011
 Smith, C. J., Kramer, R. J., Myhre, G., Forster, P. M., Soden, B. J., Andrews, T., et al. (2018). Understanding rapid adjustments to diverse forcing agents. *Geophysical Research Letters*, *45*, 12,023–12,031. https://doi.org/10.1029/2018GL079826
- Smith, S. J., van Aardenne, J., Klimont, Z., Andres, R. J., Volke, A., & Delgado Arias, S. (2011). Anthropogenic sulfur dioxide emissions: 1850–2005. Atmospheric Chemistry and Physics, 11, 1101–1116. https://doi.org/10.5194/acp-11-1101-2011
- Solomon, S., Daniel, J. S., Neely, R. R. III, Vernier, J.-P., Dutton, E. G., & Thomason, L. W. (2011). The persistently variable "background" stratospheric aerosol layer and global climate change. *Science*, 333(6044), 866–870. https://doi.org/10.1126/science.1206027

Solomon, S., Plattner, G.-K., Knutti, R., & Friedlingstein, P. (2009). Irreversible climate change due to carbon dioxide emissions. Proceedings of the National Academy of Sciences, 106(6), 1704–1709. https://doi.org/10.1073/pnas.0812721106

- Storelvmo, T., Leirvik, T., Lohmann, U., Phillips, P. C. B., & Wild, M. (2016). Disentangling greenhouse warming and aerosol cooling to reveal Earth's climate sensitivity. *Nature Geoscience*, 9(4), 286–289. https://doi.org/10.1038/NGEO2670
- Taylor, K. E., Stouffer, R. J., & Meehl, G. A. (2012). An overview of CMIP5 and the experiment design. Bulletin of the American Meteorological Society, 93, 485–498. https://doi.org/10.1175/BAMS-D-11-00094.1
- Thompson, D. W. J., Kennedy, J. J., Wallace, J. M., & Jones, P. D. (2008). A large discontinuity in the mid-twentieth century in observed global-mean surface temperature. *Nature*, 453(7195), 646–649. https://doi.org/10.1038/nature06982
- Vose, R. S., Arndt, D., Banzon, V. F., Easterling, D. R., Gleason, B., Huang, B., et al. (2012). NOAA's merged land-ocean surface temperature analysis. *Bulletin of the American Meteorological Society*, 93, 1677–1685. https://doi.org/10.1175/BAMS-D-11-00241.1
- Zhang, H.-M., Huang, B., Lawrimore, J., Menne, M., Smith, T. M.(2017). NOAA Global Surface Temperature Dataset (NOAAGlobalTemp), Version 4.0. NOAA National Centers for Environmental Information. doi:https://doi.org/10.7289/V5FN144H [accessed 8/17/2017]
- Zickfeld, K., Solomon, S., & Gilford, D. M. (2017). Centuries of thermal sea-level rise due to anthropogenic emissions of short lived greenhouse gasses. Proceedings of the National Academy of Sciences, 114(4), 657–662. https://doi.org/10.1073/pnas.1612066114