

1 **Winter mixing preconditioning of the spring phytoplankton bloom in**
2 **the Bay of Biscay**

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Abstract

The spring phytoplankton bloom plays a key role in the dynamics of temperate and polar seas. Nevertheless, the mechanisms and processes behind these blooms remain a subject of considerable debate. We analyzed the influence of deep mixing during winter on the spring phytoplankton bloom in the Cantabrian Sea (southern Bay of Biscay). To this end, we combined long-term physical and biogeochemical in situ data (1993-2012) and satellite observations (1997-2012). Deeper winter mixing led to higher nitrate and chlorophyll concentrations through the water column during the spring bloom. However, this effect was modified by short time-scale variability in near-surface stratification in spring. Winter mixing preconditioning also influenced different spring bloom metrics: deeper and later mixing in winter was followed by later blooms with a larger peak. In these enhanced blooms, nitrate was taken up at faster rates, indicating higher rates of phytoplankton production. Winters with weaker mixing (that led to weaker spring blooms) were associated with warmer surface temperatures. This relationship suggests that the multi-decadal trend towards warmer surface temperatures in the Bay of Biscay may promote a decrease in the magnitude of the spring bloom, which could impact upper trophic levels and also deep carbon export in the future.

Introduction

Every year, the spring phytoplankton bloom reappears in temperate and polar seas, turning surface waters green. This phenomenon has fascinated researchers since the early days of oceanography in the 19th century (Banse 1992; Fischer et al. 2014). In the last decades, satellite images of surface chlorophyll concentration led to a renewed interest in phytoplankton blooms by revealing their ubiquity and large spatial extent (Parsons and Lalli 1988; Yoder et al. 1993; McClain 2009). Vernal phytoplankton blooms drive the pumping of important amounts of atmospheric carbon into deep oceanic waters, making them a key component of biogeochemical cycles (Longhurst and Harrison 1989; Falkowski et al. 1998; Sarmiento and Gruber 2006). At the same time, these blooms support a large proportion of the annual productivity of higher trophic levels, including many exploited species (Hjort 1914; Cushing 1990; Townsend et al. 1994).

The North Atlantic spring phytoplankton bloom stands out as the most pronounced bloom in open ocean waters (Yoder et al. 1993), although its characteristics vary substantially in space and time (Ueyama and Monger 2005; Racault et al. 2012; González Taboada and Anadón 2014). Interannual changes in the timing and magnitude of phytoplankton blooms can lead to a trophic match-mismatch that modulates the survival of upper trophic levels, including commercially fished stocks (Cushing 1990; Platt et al. 2003; Durant et al. 2007; Koeller et al. 2009; Kristiansen et al. 2011). With such important impacts, there is a growing interest in understanding the factors that promote interannual variability in the characteristics of the spring phytoplankton bloom, especially in the context of global climate change (Racault et al. 2012). Different hypotheses have been proposed to explain the mechanisms that trigger the onset of

spring blooms, leading to an intense and ongoing debate (Behrenfeld and Boss 2014; Lindemann and St. John 2014; Chiswell et al. 2015; Ferreira et al. 2015). Most of the drivers involved in these mechanisms also influence the magnitude of the bloom (Follows and Dutkiewicz 2002; Henson et al. 2006; Henson et al. 2009).

Among the physical processes that influence bloom development, deep mixing in winter stands out due to its crucial role in preconditioning the environment for a phytoplankton bloom in the next spring. During winter, deep convective mixing leads to the replenishment of near-surface nutrients (Williams and Follows 2003). Inorganic nitrogen compounds, such as nitrate, are usually among the most limiting for phytoplankton productivity (Falkowski et al. 1998; Moore et al. 2013) and thus, their availability is an important factor controlling the development of spring blooms (see for example Sambrotto et al. 1986; Sieracki et al. 1993; D'Ortenzio et al. 2014). As winter progresses towards spring, nutrients in surface layers become suddenly isolated from deeper waters with the onset of seasonal stratification. This sets an upper bound on the amount of nutrients available for phytoplankton in spring because, for many species, the access to nutrients below the seasonal thermocline is very limited. Deep mixing also constrains phytoplankton growth and the density of seeding populations by reducing the residence time of individual phytoplankters in the euphotic layer (Sverdrup 1953), although it simultaneously decreases encounter rates with grazers due to dilution effects (Yoshie et al. 2003; Behrenfeld 2010; Behrenfeld and Boss 2014).

With such a variety of effects operating together, the question that arises is: how and to what extent does winter mixing influence the spring phytoplankton bloom? Several studies have investigated the role of winter mixing in determining the magnitude of spring blooms (e.g. Follows and Dutkiewicz 2002; Henson et al. 2009; Martinez et al.

2011), but we are not aware of any analyses of how winter mixing affects other characteristics such as bloom timing and duration. In addition, phytoplankton blooms are usually analyzed either in terms of changes in surface or in depth-integrated chlorophyll, ignoring potential changes in vertical structure (Chiswell et al. 2015). Indeed, phytoplankton community structure varies consistently across vertical gradients in the water column, with marked changes in physiological, ecological and taxonomic patterns (Reynolds 2006).

A proper characterization of spring phytoplankton blooms demands high frequency sampling to cope with rapid changes in phytoplankton biomass (Rantajärvi et al. 1998). This imposes a major constraint on analyses of change in phytoplankton phenology. The availability of daily satellite ocean color measurements only partially alleviates this problem, given the lack of data during cloudy periods and the limitation of measurements to surface waters (McClain 2009). On the other hand, traditional approaches based on in situ sampling allow the collection of information at different depths, but sustained sampling based on recurrent oceanographic cruises spanning many years is limited to lower frequency sampling (Karl 2010; Church et al. 2013).

We combined long-term, monthly, in situ data (1993-2012) with quasi-daily satellite observations (1997-2012) to analyze the influence of deep winter mixing on the spring phytoplankton bloom in the central Cantabrian Sea, southern Bay of Biscay. In this temperate sea, nutrient supply to upper layers is largely driven by deep mixing processes during winter (Llope et al. 2007; Hartman et al. 2013), leading to a well-developed spring bloom that is a major feature of the seasonal cycle of phytoplankton (Varela 1996). First, we examined the relationship of winter mixing with nitrate and phytoplankton concentrations through the water column during the spring bloom,

107 considering also short-term variability in the stability of the upper layer. Next, we
108 assessed how inter-annual changes in winter mixing modulate the timing, duration and
109 intensity of the surface expression of the spring bloom. Finally, we explored how
110 changes in surface temperature impacts the development of the spring phytoplankton
111 bloom through its influence on winter mixing. Through these analyses, we assess the
112 relative importance of winter mixing on the interannual variability of the spring
113 phytoplankton bloom in temperate seas.

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Material and methods

The central Cantabrian Sea (southern Bay of Biscay, Fig. 1) has been sampled intensively during the last two decades as part of the Spanish long-term monitoring network RADIALES (www.seriestemporales-ieo.com, Valdés et al. 2002; Valdés et al. 2007). Here, we used samples collected monthly between 1993 and 2012 at station E3, the most oceanic station of the Cudillero transect. Station E3 is located close to the shelf break, but over the Avilés Canyon (06°10'W, 43°46'N, depth 870 m), and it reflects oceanic conditions typical of a temperate sea. A detailed description of the station and information about the protocols used can be found in Llope *et al.* (2006). We combined these in situ samples with daily satellite data for surface chlorophyll *a* concentration ([Chl *a*]_{SAT}) and sea surface temperature (SST) averaged over a 0.25° quadrangular pixel centered at 6.125°W and 43.875°N (Fig. 1).

In situ oceanographic observations

We used 500-m CTD profiles (SeaBird-25) to assess the intensity of winter mixing between 1993 and 2012. We estimated mixed layer depth (hereafter MLD_{0.5}) as the depth where the temperature decreases 0.5°C with respect to the temperature at 10 m depth (Monterey and Levitus 1997). Then, we determined annual maximum winter mixing depths (WMD_{max}) from MLD_{0.5} estimates in winter months (January, February or March), before the spring [Chl *a*]_{SAT} maximum (see *Statistical analysis and spring bloom metrics* section). Incomplete profiles or those showing a thermal inversion were rejected to avoid under- or over-estimating MLD_{0.5}, respectively. Thermal inversions were identified in profiles where temperatures exceeded the 10-m-depth temperature by 0.1°C or more over at least 25 m. It is important to note that, due to the monthly

sampling frequency, the deep mixing process leading to the measured WMD_{max} could have occurred days or weeks before the monthly sampling date, or that later events could lead to deeper mixing before sampling the next profile.

Short-term changes in near-surface stratification can mask the influence of winter mixing on spring bloom characteristics. To control for this masking effect, we included the estimated depth of near-surface stratification in our analysis. We estimated the depth of the near-surface stratification (hereafter $MLD_{0.1}$) on each sampling date from the depth at which temperature is $0.1^{\circ}C$ lower than at 4 m depth (or at 6 m depth, if 4 m was missing; see Dever et al. 2006; Chiswell 2011; Houpert et al. 2015). Daily variations in the near-surface stratification depth affect phytoplankton vertical distribution and the local conditions experienced by phytoplankton cells during the spring bloom (Chiswell 2011; Chiswell et al. 2015; Franks 2015). Heating of surface water is the primary source of stratification, which suppresses near-surface turbulence (Franks 2015). Therefore, the depth of thermal stratification largely controls how far phytoplankton cells can be moved away from the surface and thus determines the amount of light received by phytoplankton.

We examined changes in observed nitrate and chlorophyll *a* (Chl *a*) concentrations in the upper 200 m to analyze the potential bottom-up effects of winter mixing on spring phytoplankton blooms. Nitrate is the most limiting nutrient of phytoplankton growth in the area (Llope et al. 2007), while Chl *a* data were used as a proxy for phytoplankton biomass. Both nitrate and Chl *a* samples were collected at eight depths (~ 0, 10, 20, 30, 40, 50, 75, 100, 150, 200 m) at station E3 using 5-L Niskin bottles. Nitrate samples were frozen and stored at $-20^{\circ}C$ before measuring nitrate concentrations using a Technicon AAII Autoanalyser (Industrial Method 158-71 W/A) and a Skalar SANplus

(Skalar Analytical B.V.). Post-cruise nitrate concentrations were converted from $\mu\text{mol L}^{-1}$ to $\mu\text{mol kg}^{-1}$ using water density estimates at average laboratory conditions (22.5°C and 98.6 kPa at 232 m.a.s.l.). Chl *a* concentrations were estimated by filtering samples through GF-F filters (25 mm diameter), using 200 mL for low-Chl *a* samples, and 100 mL for high-Chl *a* samples. These filters were subsequently frozen at -20°C. Then, Chl *a* was extracted in 10 mL of 90% acetone during 24 h in darkness at 4°C. Chl *a* concentrations were measured using a Turner Designs 10 fluorometer following the method of Yentsch and Menzel (1963).

We explored seasonal and interannual variability in Chl *a* and nitrate concentrations through the water column using contour plots based on kriging interpolation (Nychka et al. 2015). Before kriging, we used linear interpolation to ensure that the shape of vertical profiles was locally preserved in the contour plots (i.e. by estimating concentrations every two meters). We also used linear interpolation to estimate missing Chl *a* and nitrate concentrations in the profile of a given date before calculating depth-integrated values. Linear interpolation was preceded by a \log_{10} transformation of Chl *a* concentrations. To avoid unreliable estimates, we discarded profiles containing only one data point. We calculated depth-integrated Chl *a* and nitrate using the trapezoidal rule. Depth-integrated Chl *a* was calculated for ~0 to 200 m depth and regarded as a proxy of total phytoplankton biomass in the water column. Nitrate was integrated from ~ 0 to 50 m depth, just below the nitracline depth during the seasonal stratification (see Figs. 2 and 3). Nitrate in this upper layer is extensively exploited by phytoplankton during the spring bloom. The nitracline was defined by a threshold concentration of $1 \mu\text{mol kg}^{-1}$, following Cullen and Eppley (1981).

Remote sensing data

We used a time series of remotely sensed surface Chl *a* concentration ([Chl *a*]_{SAT}) to characterize the development of the spring phytoplankton bloom. In temperate latitudes, [Chl *a*]_{SAT} provides a reliable proxy of phytoplankton biomass (McClain 2009). Satellite retrievals were averaged over a 0.25 x 0.25° quadrangle covering station E3 (Fig. 1). Daily time series of [Chl *a*]_{SAT} between September 1997 and December 2012 were retrieved from Level 3 (geolocated, corrected and averaged over a regular grid) SeaWiFS (Sept. 1997-Dec. 2007, reprocessing R2010.0) and Aqua MODIS (Jul. 2002-Dec. 2012, reprocessing R2013.1.1) standard mapped images (SMI) available at the Ocean Color Web (NASA OBPG 2015a; b). Estimates of [Chl *a*]_{SAT} were derived using version six of the OC4 (SeaWiFS) and OC3M (Aqua MODIS) empirical band-ratio algorithms (O'Reilly et al. 2000, oceancolor.gsfc.nasa.gov/cms/atbd/chlor_a).

We also used remotely sensed sea surface temperature (SST, see *Statistical analysis and spring bloom metrics* section). The SST time series (from September 1981 to December 2012) was derived from the NOAA-Optimum Interpolation 1/4 Degree Daily Sea Surface Temperature Analysis (OISST version 2). The methods are described in Reynolds et al. (2007). The database of SST images is produced and maintained by C. Liu and R. W. Reynolds at NCDC (www.ncdc.noaa.gov/oisst). SST is one of the most important parameters in the dynamics of pelagic ecosystems: it has a direct effect on metabolic rates (Eppley 1972; Ikeda 1985), and it is an indicator of many physical processes that affect pelagic organisms, such as mixing and upwelling. Thus, we also explored the relationship between SST and the intensity of mixing in winter.

Statistical analysis and spring bloom metrics

The characterization of the spring phytoplankton bloom and the influence of winter mixing differed depending on whether in situ data or remotely sensed data were used. In the case of in situ sampling, the availability of data through the entire column allowed us to study variations in the development of the bloom at different depths, considering also concomitant changes in nitrate concentration. On the other hand, higher frequency satellite data allowed extraction of different bloom metrics, although this approach was restricted to surface waters. To conduct our analyses, we combined simple linear regressions and Generalized Additive Models (GAMs, Hastie and Tibshirani 1990). The main characteristic of GAMs is the inclusion of unspecified smooth functions to capture nonlinear relationships among the response and predictor variables (see *Supporting information* for further details). We assumed independent and identically distributed normal errors in all cases, which required \log_{10} transformation of Chl *a* data before the analyses (both in situ and satellite). Nevertheless, model assumptions were checked by examining the distribution of residuals. All the statistical analyses were performed in R version 3.3.3 (R Core Team 2017) using RStudio interface version 1.0.143 (RStudio Team 2016). GAMs were fitted using the functions `gam` and `t2` from the package `mgcv` (v1.8-17, Wood 2006; Wood et al. 2013). We also used the package `LatticeKrig` v6.2 (Nychka et al. 2016) for kriging interpolation. Figures were created using package `ggplot2` (v2.2.1, Wickham 2009) and graphically improved in Inkscape (www.inkscape.org).

Characterization of seasonality

We characterized the shape of the seasonal cycle for physical variables (SST, MLD_{0.5} and MLD_{0.1}), nitrate and Chl *a*. We fitted the following GAM to each physical variable, depth-integrated nitrate and Chl *a*, surface nitrate concentration and [Chl *a*]_{SAT} (all of them represented below as *y*):

$$y = a + f(t) + \varepsilon \quad (1)$$

The model includes an intercept (*a*), a 1D smooth function (*f*) to compute the seasonal curve over the day of the year (*t*) and an error term represented by ε (see *Supporting information* for further specifications).

In the case of in situ Chl *a* and nitrate concentration, we estimated the seasonality through the water column using a GAM that included an interaction term between the day of the year (*t*) and depth (*z*):

$$y = a + te(t, z) + \varepsilon \quad (2)$$

where the interaction term *te*(*t*, *z*) is a 2D smooth function (specifically, a tensor product, *te*) that captures the seasonal cycle of the vertical profiles of Chl *a* and nitrate concentration over the day of the year (Wood 2006).

Analysis of the winter mixing effect based on in situ data

We first inspected the effect of deep mixing on depth-integrated nitrate in winter using a simple linear regression. Then, to examine the influence of winter mixing on

spring-bloom Chl *a* and nitrate concentrations through the water column, we identified the month with maximum surface Chl *a* concentration during the first half of each year. We considered this month as representative of conditions during the spring bloom. We formulated then a set of alternative models to explore the influence of WMD_{max} and $MLD_{0.1}$ on vertical profiles of Chl *a* and nitrate that month (see Table 1). Alternative models were later compared based on Akaike Information Criterion (AIC, Burnham and Anderson 2002). The basic null model, which only included an intercept, was expanded by adding terms for the influence of depth and for the interaction effect between depth and either WMD_{max} or $MLD_{0.1}$ (note that WMD_{max} and $MLD_{0.1}$ were uncorrelated, $r = 0.11$, $p\text{-value} = 0.688$). These interaction terms were included to capture a distinct depth-dependent effect of WMD_{max} or $MLD_{0.1}$. We completed the analysis by testing the existence of an interaction effect between WMD_{max} and $MLD_{0.1}$, although we limited this analysis to surface waters (See Table 2 for the list of models tested). This enabled an easier comparison with satellite information. Additionally, we explored this interaction effect for depth-integrated Chl *a*.

We concluded the analysis of profile data by examining the impact of winter mixing on new primary production (PP_{new}) during the spring bloom. Assuming that the effect of transport and external sources is negligible, we can ascribe the drawdown of nitrate in the upper layers mainly to phytoplankton uptake. This provides a rough approximation of PP_{new} ($\text{mg C m}^{-2} \text{ day}^{-1}$) during the bloom:

$$PP_{new} \sim f_{C:N} \int_{50}^0 \frac{1}{\Delta t} ([NO_3]_{z,t_i} - [NO_3]_{z,t_f}) dz \quad (3)$$

where the decay in nitrate concentration $[\text{NO}_3]$ at depth z (mol m^{-3}) between February (t_i) and April (t_f) was integrated over the upper 50 m of the water column (i.e. from just below the nitracline depth during the seasonal stratification, see Figs. 2 and 3). For each season, we subtracted nitrate concentrations in February from those recorded in April to cover the entire bloom development. Differences in $[\text{NO}_3]$ were averaged over time (days) using the difference among sampling dates, Δt . The factor used in the conversion from nitrate to carbon units ($f_{C:N}$) was based on a constant C:N ratio ($r_{C:N} = 6.6$) averaged from the C:N ratios for the new production estimated by Körtzinger et al. (2001) in bloom and early bloom sampling stations during a meridional transect carried out in the Northeast Atlantic. This $r_{C:N}$ coincides with the ratio described by Redfield (1958) for the particulate organic matter in the ocean. We calculated PP_{new} for each season and compared it with the intensity of winter mixing using a simple linear regression on WMD_{\max} measured during the preceding winter. We presumed that a positive relationship between PP_{new} and WMD_{\max} would be indicative of the preconditioning effect of winter mixing on the spring bloom development.

Spring bloom characterization based on satellite data

The high temporal resolution of satellite data on $[\text{Chl } a]_{\text{SAT}}$ allowed us to extend the analysis of interannual variability in the spring bloom. To do that, we fitted a GAM featuring a changing seasonal cycle among years both to $[\text{Chl } a]_{\text{SAT}}$ and SST data (y):

$$y = a + f(t|\text{year}) + \varepsilon \quad (4)$$

where a corresponds to the intercept, t to the day of the year, and ε is an error term. The $f(t|\text{year})$ term is included to capture changes in the seasonality among years. In

the model, the seasonal cycle starts in July 15th (or 14th in a leap year). This choice ensured that the seasonal term included the annual SST maximum and next year SST minimum, both required to derive spring bloom metrics (see below). For [Chl *a*]_{SAT}, analyses conducted beforehand indicated that there is no need to account for differences in mean concentration or in the shape of the seasonal cycle between sensors (SeaWiFS and Aqua MODIS). Lack of [Chl *a*]_{SAT} information during the period 1997-1998 resulted on unreliable estimates of bloom metrics that were not included in the main analyses.

We retrieved a series of metrics to characterize interannual changes in the spring bloom based on modelled time series of [Chl *a*]_{SAT} (Eq. 4). We determined first the timing of the autumn bloom, which follows the annual SST maximum. The autumn bloom was defined as the first local maximum in [Chl *a*]_{SAT} reached after at least 30 consecutive days of increase in [Chl *a*]_{SAT} (this condition prevents confounding this bloom with a small rise in [Chl *a*]_{SAT}). Then, we defined spring *bloom rise* as the day when the seasonal curve of [Chl *a*]_{SAT} increased at the fastest rate following the autumn bloom. If no autumn bloom was observed (i.e. only one [Chl *a*]_{SAT} maximum occurred), then spring *bloom rise* was defined as the date when the [Chl *a*]_{SAT} seasonal curve increased at the fastest rate. This criterion to identify the timing of the spring bloom avoids the use of an a priori chlorophyll threshold (for references discussing different criteria, see Brody et al. 2013; Blondeau-Patissier et al. 2014; González Taboada and Anadón 2014). We defined *bloom decay* as the day when the [Chl *a*]_{SAT} seasonal curve decreased at the fastest rate after both the spring bloom maximum (i.e. the magnitude of the spring bloom peak, *max Chl a*) and minimum SST. The latest condition aimed to identify the real decay of the spring bloom, avoiding a confusion with an early,

310 temporary decrease in $[\text{Chl } a]_{\text{SAT}}$ after reaching the *max Chl a*. The day of occurrence of
311 the *max Chl a* was another timing metric (*max Chl a day*). Finally, we identified the
312 *bloom span* as the number of days between *bloom rise* and *bloom decay*.

313 Once we calculated these bloom metrics for each year, we inspected the influence of
314 winter mixing on them by using simple linear regression analysis. We also used linear
315 regressions to analyze SST long-term trends and to explore the impact of SST on the
316 magnitude of winter mixing at different lags.

317

Results

Seasonality

Physical variables (SST, MLD_{0.5}, MLD_{0.1}) and both nitrate and Chl *a* concentrations at different depths, or integrated through the water column, all showed a clear seasonal pattern (Figs. 2 and 3). The seasonal amplitude of SST ranged from an average maximum of 20.34°C in August to an average minimum of 12.54°C in March (Fig. 3a). In mid-winter (January and February), both MLD_{0.5} and MLD_{0.1} were deep, indicating a well-mixed water column (Fig. 3b). Some profiles showed shallower MLD_{0.1} than MLD_{0.5} during the well-mixed period. This decoupling probably indicates that the past mixing events leading to the measured MLD_{0.5} might have occurred days or even weeks before the corresponding sampling dates, providing enough time for some stratification to develop. As a consequence of the mixing, nitrate was homogeneously distributed through the water column (average concentration of 4.68 $\mu\text{mol kg}^{-1}$, Fig. 3c), showing a seasonal peak in upper layers (Figs. 3c, 3e and 3g). At the end of February, a surface stratified layer was forming (shallow MLD_{0.1}) – the beginning of seasonal stratification (Fig. 3b). Concurrently, surface nitrate started to decrease and remained very low during the stratification period, from May to October (mean concentration in the top 20 m of 0.28 $\mu\text{mol kg}^{-1}$), causing the formation of a nitracline at a depth of ~ 40 m (Figs. 2a and 3c).

In contrast to nitrate, Chl *a* exhibited higher concentrations in near-surface waters except in mid-winter, when mixing homogenized the water column (Fig. 3d). Depth-integrated Chl *a* increased markedly in late December (Fig. 3f), although this increase was not noticeable in surface waters until late February (Figs. 3d and 3h). This fast

increase in Chl *a* corresponds to the spring bloom and leads to the seasonal nitrate depletion and the annual maximum in phytoplankton biomass, which generally occurred in March near the surface (Figs. 3d, 3h and 4) and ranged from 0.23 to 5.41 mg m⁻³ (average Chl *a* concentration in the uppermost 20 m). The annual maximum decreased in magnitude with depth and occurred later at intermediate-depth waters from 30 to 50 m (Fig. 4). From June to September, Chl *a* concentrations remained low near the surface (Figs. 3d and 3h) and were maximum between 20 and 50 m (0.33 mg m⁻³ on average). Surface Chl *a* concentration increased again during the autumn bloom, which was usually weaker than the spring bloom (average Chl *a* concentration of 0.18-2.64 mg m⁻³ in the uppermost 20 m).

Effect of WMD_{max} on the vertical structure of the spring bloom

Maximum winter mixing depths (WMD_{max}) exhibited inter-annual variability in both magnitude and timing (Fig. 5a). This variability affected the nutrient supply to surface layers: the deeper the WMD_{max}, the larger the depth-integrated nitrate over the upper 50 m layer at the WMD_{max} sampling date (Figs. 5b and 5c). Winter mixing also has an effect on nitrate levels and Chl *a* in spring blooms, as seen in Table 1 where model results are shown. Data for March were used for these analyses, the month in which the near-surface spring bloom typically peaks (Figs. 3d, 3h and 4). The model that includes WMD_{max} and MLD_{0.1} as predictors of nitrate and Chl *a* concentrations performed best (Table 1). This indicates that both the past and recent history of the physical structure of the water column (i.e. WMD_{max} and MLD_{0.1}, respectively) have an effect on nitrate and Chl *a* concentration during the spring bloom. This model shows that higher nitrate and Chl *a* concentrations in March follow winters with deeper WMD_{max} (left panels in Fig. 6). The positive effect of WMD_{max} on nitrate concentration was more important towards

the surface (i.e. the increase in the effect along the x axis is gradually larger towards shallower depths in the left panel of Fig. 6a). A similar effect was found for Chl *a*; the positive effect of WMD_{max} was also strongest in surface waters and it became weaker at deeper depths, especially at intermediate layers (left panel in Fig. 6b). In both cases, the near-surface seasonal depletion of nutrients during stratification (Figs. 3c, 3e and 3g) can explain the higher near-surface sensitivity of nitrate and Chl *a* to winter mixing.

Contrary to the effect of WMD_{max} , the relationship between near-surface stratification depth ($MLD_{0.1}$) and either nitrate or Chl *a* concentrations in March varied markedly across depth (right panels in Fig. 6). In the case of nitrate, near-surface concentrations decrease when $MLD_{0.1}$ is shallow ($MLD_{0.1} \sim 0$ to 75 m, right panel of Fig. 6a), with strongest decreases for shallowest $MLD_{0.1}$. This effect of $MLD_{0.1}$ on nitrate is consistent with rapid nutrient drawdown when phytoplankton blooms are confined within a thin surface layer. Consistent with this forcing, large Chl *a* concentrations are seen near-surface and at depth (below ~ 150 m) when $MLD_{0.1}$ is less than ~ 100 m (see right panel of Fig. 6b). The effect in other sections of the water column (below ~ 50 m for nitrate, but ~ 30 -150 m for Chl *a*) peaked at intermediate $MLD_{0.1}$ (~ 50 -125 m).

Table 2 summarizes the effect of WMD_{max} and $MLD_{0.1}$ on nitrate and Chl *a* concentrations at the surface (~ 0 m depth) in March. In the case of nitrate, the model including only the $MLD_{0.1}$ explained around three times more variability than the WMD_{max} alone (Table 2); the model including an interaction showed a similar positive effect of $MLD_{0.1}$ along the entire WMD_{max} range, but a decreasing positive effect of WMD_{max} towards deeper $MLD_{0.1}$ (Fig. 7a). In the case of Chl *a*, the interaction model outcompeted the others (Table 2). For the analysis of the model outputs portrayed in

Fig. 7b, we focused on those regions of the sample space with observations. Surface Chl *a* concentration was maximum for deep WMD_{max} -shallow $MLD_{0.1}$ and showed a secondary maximum for ~ 50 -100 m $MLD_{0.1}$. Depth-integrated Chl *a* in March, which was highly correlated with Chl *a* at 20-30 m depth (*Supporting information* Fig. S1a), showed a similar response pattern as surface Chl *a*. However, in this case, the estimated maximum depth-integrated Chl *a* showed for deep WMD_{max} -shallow $MLD_{0.1}$ and intermediate-deep $MLD_{0.1}$ (~ 50 -150 m) approximately the same values (Fig. S1b).

The new primary production (PP_{new}) during the spring bloom, estimated from depth-integrated nitrate (0-50 m) depletion, ranged between 30 and 490 mg C m⁻² day⁻¹ (Fig. 8). We found a clear relationship among primary production and mixing during the previous winter ($R^2 = 0.41$, p-value < 0.050). Our linear regression model predicts an increase in PP_{new} of ~ 64 mg C m⁻² day⁻¹ for every 100 m of increase in WMD_{max} . We discarded the possibility that this result was promoted by a spurious correlation between WMD_{max} and the number of days between sampling dates ($r = -0.09$, p-value > 0.700).

Effect of WMD_{max} on spring bloom metrics

High resolution satellite data allowed characterization of the development of the spring phytoplankton bloom in the surface. Spring bloom phenology presented a high interannual variability (Fig. 9), spanning an average of ~ 90 days and peaking usually between March and April (mean date, April 4th; range, February 17th to May 22nd). The magnitude of the bloom peak varied also between years, with $[Chl\ a]_{SAT}$ peak concentrations ranging from 0.53 to 1.43 mg m⁻³. Changes in bloom magnitude were positively associated with WMD_{max} (Fig. 10e), as expected from the analysis of in situ data. Later blooms with a shorter span followed winters with deeper mixing layers (Figs. 10a and 10i), although the effect of WMD_{max} on these timing metrics remained more elusive ($R^2 = 0.15$, p-value = 0.165 and $R^2 = 0.18$, p-value = 0.133 for bloom rise and bloom span, respectively). As mentioned above, the bloom was more productive in these years (Fig. 8). Additionally, the later the WMD_{max} occurred, the later the spring bloom peaked (Fig. 10h). A simple linear correlation analysis showed that WMD_{max} and the WMD_{max} sampling day were uncorrelated ($r = 0.30$, p-value = 0.302).

Impact of SST on WMD_{max}

The WMD_{max} was negatively correlated with the SST at different day lags, indicating that shallower WMD_{max} follow warmer SST (Fig. 11a). These negative relationships were stronger for the SST within the ~ 60 days previous to the winter mixing event; this implies that, as most WMD_{max} occurred in February and March (Fig. 5a), the December-March SST has the strongest impact on winter mixing. On the other hand, the SST seasonal cycle presented large inter-annual variations in the study area (Fig. 9). Beyond the observed linear trend (0.30 °C decade⁻¹, 1981-2012), these changes reflect

426 different rates of warming through the year, with largest values observed during the
427 period of seasonal stratification (spring to early autumn), when the trend frequently
428 exceeds $0.30\text{ }^{\circ}\text{C decade}^{-1}$ (Fig. 11b). Positive trends in SST, together with the mentioned
429 link between SST and WMD_{max} , entail a long-term decline in WMD_{max} and,
430 consequently, in the magnitude of the spring bloom peak (see Fig. 10e). However, the
431 short length of the record prevented a direct assessment of these trends and further
432 exploration of this link is required.

Discussion

Our results highlighted a strong winter mixing preconditioning of the development and characteristics of the spring phytoplankton bloom, modulated by the near-surface stratification. Deeper and later maximum winter mixing leads to more intense, later blooms. These more intense blooms were also likely more productive, as suggested by the larger decreasing rate of nitrate observed within the upper layers. Additionally, we found that higher winter SST is associated with weaker convective mixing in winter and thus also with weaker spring phytoplankton blooms.

The dynamics of the spring phytoplankton bloom remain a subject of active debate among marine scientists (see Behrenfeld and Boss 2014; Chiswell et al. 2015 for recent reviews). Some of this controversy arises from the adoption of different methods to characterize phytoplankton blooms (Ji et al. 2010), with contrasting findings depending on whether the analyses focused on surface or depth-integrated phytoplankton biomass (Chiswell et al. 2015). Nevertheless, both approaches disregard the depth-dependence of the phytoplankton response, which we describe here and that accounts for differences in timing and magnitude of the spring bloom.

The spring bloom exhibits yearly an apparent northward or southward progression in the Northern and Southern Hemisphere, respectively (Siegel et al. 2002; Henson et al. 2009; Chiswell et al. 2013). Analogously, in the Bay of Biscay and probably in other temperate regions (see for example Chiswell 2011), this bloom occurs asynchronously through the water column, showing each year an apparent development towards subsurface layers as spring progresses and the water column stratifies. For upper layers, this might mainly reflect differences among depths in the moment of optimal nutrient

and light conditions for phytoplankton growth (Klausmeier and Litchman 2001). In deep layers, an advanced timing of maximum Chl *a* concentration might reflect both a larger arrival of phytoplankton cells from surface waters and the contribution of phytoplankton growth during winter, potentially due to a dilution effect on grazing (Behrenfeld 2010; Behrenfeld 2014; Behrenfeld and Boss 2014). The observed steady seasonal increase in depth-integrated phytoplankton biomass since early winter supports this last aspect to some extent, with the reservations required as a consequence of limited data availability during winter.

The estimated seasonal cycle of Chl *a* showed that the development and climax of the spring bloom in surface waters take place around March in the Bay of Biscay. A shift from a deep-mixing regime (primarily buoyancy-driven) to a low-turbulence regime (mainly wind-driven) occurs during this critical period (Huisman et al. 1999; Chiswell et al. 2013; Brody and Lozier 2014; 2015; Chiswell et al. 2015). This period coincides also with the onset of the seasonal thermal stratification, triggered by a change to positive air-sea heat fluxes (Chiswell 2011; Taylor and Ferrari 2011; Ferrari et al. 2015). At this time, nitrate conditions are largely controlled by the magnitude of previous deep winter mixing events in the Bay of Biscay, as already shown by Hartman et al. (2013). However, inter-annual changes in the characteristics of upper water masses and different hydrographic processes (e.g. the Iberian Poleward Current, IPC) can modulate the effect of winter mixing on nutrient preconditioning (Llope et al. 2007).

Winter convective mixing enhances nutrient concentrations directly through nutrient entrainment from deep waters (Mann and Lazier 2006; Sarmiento and Gruber 2006). Also, it reduces the time spent by phytoplankton in the euphotic layer, lowering

population growth rates (Sverdrup 1953), and diluting phytoplankton concentrations (Evans and Parslow 1985; Backhaus et al. 2003; D'Asaro 2008). Both processes would reduce nutrient uptake by phytoplankton in the upper ocean. However, this dilution effect might also reduce encounter rates with potential grazers, lowering the grazing pressure on phytoplankton population in winter (Yoshie et al. 2003; Behrenfeld 2010; Behrenfeld and Boss 2014). Combining the arguments presented above, deeper winter mixing can lead to a high nutrient-low grazing environment that may positively precondition phytoplankton growth during the spring bloom. Indeed, our analysis demonstrates that deeper winter mixing is associated with higher nutrient concentrations and more intense blooms also in the southern Bay of Biscay.

The physical structure of the water column during the spring bloom, characterized here by the extent of the surface stratified layer, plays also an important role in shaping the vertical distribution of phytoplankton (Chiswell 2011; Brody and Lozier 2015). The thickness of the stratified layer largely determines to which extent phytoplankton can be moved downward, away from maximum light intensities (Franks 2015). Shallow-intermediate surface stratified layers in March may keep phytoplankton close to the surface, leading to an increase in their growth rates (Chiswell 2011), and consequently enhance the biological removal of nitrate, especially in surface waters. Indeed, surface phytoplankton biomass was enhanced by shallow-intermediate stratified layers, especially after a favorable preconditioning by mid-large winter mixing events. At depth, higher Chl *a* concentrations during shallow-intermediate stratification and after mid-large winter mixing might reflect larger fluxes of sinking cells due to enhanced phytoplankton growth in the upper layers (Falkowski et al. 1998; Sanders et al. 2014). In temperate seas such as the Bay of Biscay, diatoms become the dominant

phytoplankton group during the spring bloom (Fernández and Bode 1994) and their sinking rates can be greater than 100 m d^{-1} , enhanced by particle aggregation (Smayda 1970; Lampitt 1985; Smetacek 1985; Burd and Jackson 2009). Thus, increased diatom growth in the surface during the bloom might lead to a rapid export of phytoplankton to waters several hundreds of meters below. In the study area, this hypothesis is supported by the large pulses of biogenic silica collected by deep sediment traps during the spring blooms of 2012 and 2013 (Rumín-Caparrós et al. 2016; traps were moored at 1178 and 1154 m depth over the Avilés Canyon and westwards over the open slope, respectively).

Winter mixing preconditioning affected also different metrics of the spring bloom in the surface layer. As observed in March for in situ Chl *a* concentrations, the high nutrient environment caused by deeper winter mixing events was associated with larger spring bloom peaks. The positive effects of deep convective mixing on the spring bloom intensity has been observed in other areas of the North Atlantic (Martinez et al. 2011; Behrenfeld et al. 2013). However, our results demonstrate that enhanced nitrate concentrations following strong winter mixing also lead to a more rapid depletion of nutrients in spring. This rapid consumption reflects a larger accumulation of phytoplankton biomass and results in shorter but more intense blooms. In this way, deeper mixing lead to higher community productivity in the Bay of Biscay (Hartman et al. 2013) and larger peaks in spring zooplankton biomass and abundance (González-Gil et al. 2015). This bottom-up perspective is also compatible with top-down mechanisms raised in the context of the Dilution-Decoupling Hypothesis (Behrenfeld 2010). The release of phytoplankton from grazing pressure in winters with enhanced mixing could entail the development of a larger seeding population and a bloom of a greater magnitude. Interestingly, a lower grazing rate could also reduce in situ nutrient

regeneration and foster nutrient consumption by enabling higher accumulations of phytoplankton biomass (Banse 1992), with the overall result of more intense blooms with a shortened span.

Strong surface cooling processes due to heat losses are one of the major drivers of deep convective events in winter, as observed in 2005 in the Bay of Biscay (Somavilla et al. 2009; Acuña et al. 2010; Somavilla Cabrillo et al. 2011). Also, intense winds related to winter storms may contribute to stirring of the water column during deep mixing (Henson et al. 2006). If any or both of these processes occur at the end of the winter time, they could delay the beginning of the thermal stratification or break it temporarily during its initial phase. The thermal stratification of the water column is required for a full development of the surface spring bloom (Chiswell 2011; Chiswell et al. 2015). Thus, later deep mixing events associated with lower atmospheric temperatures and gales at the end of winter could delay the spring bloom climax (Townsend et al. 1994; Henson et al. 2006; Álvarez et al. 2009). This could explain our observation that later deep winter mixing events caused later spring bloom peaks.

The trend towards surface warming in the southern Bay of Biscay in winter is consistent with both observational and model-based increases in heat content in North Atlantic surface waters (Danabasoglu et al. 2012; Taboada and Anadón 2012). A larger increase in surface heat content with respect to deeper layers entails larger energy inputs to mix the water column (Levitus et al. 2012). The presumed decay in winter mixing would cause a decline in the magnitude of the spring phytoplankton bloom in the Central Cantabria Sea. Less intense blooms might decrease the strength of the biological pump (Falkowski et al. 1998; Sanders et al. 2014), and they can also lead to a trophic mismatch and a decrease in the production of upper trophic levels (Durant et al. 2007).

Nevertheless, the short length of the series analyzed in this study recommend caution while interpreting trend results (e.g. Henson et al. 2010).

The impact of large-scale climate patterns such as the North Atlantic Oscillation (NAO, Hurrell and Deser 2009) deters a simple interpretation of the long-term variability in the Bay of Biscay. Indeed, changes in the intensity of winter mixing have been linked to changes in atmospheric circulation and air-sea heat fluxes in the Bay of Biscay associated with alternating phases of the NAO (Somavilla Cabrillo et al. 2011). Positive NAO states promote surface warming and shallower mixing layers in winter. However, it should be noted that extremely deep winter mixing events were common following a decade long switch in NAO state starting in the mid-1990s. These strong winter mixing events have been also associated with negative anomalies of the East Atlantic (EA) pattern that bring cold, northerly winds into the southern Bay of Biscay (Somavilla et al. 2009). Although continued warming might eventually conceal these effects, the consequences of changes in winter mixing, spring stratification and the interaction with long-term forcing remains elusive. Together, our findings recommend a continued monitoring and further analysis of potential changes in plankton phenology in the Bay of Biscay.

Conclusions

Deep winter mixing preconditioning affects nitrate and Chl *a* concentrations during the spring phytoplankton bloom in oceanic waters of the southern Bay of Biscay. Deeper winter mixing enhances phytoplankton biomass and nitrate concentrations during spring through the entire water column. At a shorter time-scale, the physical structure of the column, characterized by the extent of the surface stratified layer, had an important role in shaping the vertical profiles of nitrate and phytoplankton. Our results also show that deeper and later winter mixing events led to later and more intense spring blooms. The faster rate of nitrate uptake during this type of bloom suggests an enhanced production. Finally, we found that warm surface temperatures, especially in winter, were associated with weaker mixing of the water column and consequently, with a smaller spring bloom peak. Observations and predictions of increasing surface temperature in winter thus suggest a potential increase in the prevalence of smaller spring blooms and a reduction of upper trophic productivity and deep carbon export in the Bay of Biscay. This might also be observed in other temperate areas in the future.

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Figure legends

Fig. 1. Map of the study area in the central Cantabrian Sea and its position in the Bay of Biscay. The shaded region around station E3 (dot) corresponds to the $0.25^\circ \times 0.25^\circ$ quadrangle used to average satellite data.

Fig. 2. Intra- and interannual variations in: **(a)** nitrate and **(b)** Chl *a* concentrations over the first 200 m of the water column interpolated using kriging techniques, **(c)** depth-integrated nitrate (0-50 m, $\Sigma\text{Nitrate}_{0-50}$), **(d)** depth-integrated Chl *a* (0-200 m, $\Sigma\text{Chl } a_{0-200}$), **(e)** surface nitrate concentration ($[\text{Nitrate}]_{\text{SFC}}$) and **(f)** surface Chl *a* concentration ($[\text{Chl } a]_{\text{SFC}}$) from in situ (dots) and satellite (lines) observations. In all panels, years are separated by gray vertical dashed lines. In **(a)** and **(b)**, inner tick marks on the x-axis indicate those dates when data were collected, and data gaps spanning three or more consecutive months appear as vertical blank stripes. The thick contour line in **(a)** denotes the $1 \mu\text{mol kg}^{-1}$ nitrate isoline (i.e. the nitracline). Note the use of a \log_{10} scale for Chl *a* in panels **(d)** and **(f)**.

Fig. 3. Seasonality of: **(a)** sea surface temperature (SST) based on satellite retrievals, **(b)** mixed layer depth (MLD) calculated using two different criteria (see *Material and methods*), **(c)** nitrate and **(d)** Chl *a* concentration over the first 200 m of the water column, **(e)** depth-integrated nitrate (0-50 m, $\Sigma\text{Nitrate}_{0-50}$), **(f)** depth-integrated Chl *a* (0-200 m, $\Sigma\text{Chl } a_{0-200}$), **(g)** surface nitrate concentration ($[\text{Nitrate}]_{\text{SFC}}$) and **(h)** surface satellite Chl *a* concentration ($[\text{Chl } a]_{\text{SAT}}$). Observed concentrations or integrated values (dots) and estimated seasonal cycles (solid lines in **a-b** and **e-h** or contour plots in **c-d**) are shown. In **(a-b)** and **(e-h)**, the 95% confidence intervals (shaded areas) associated to the estimated seasonal cycles are shown. Predicted values for each variable are based on the output of the generalized additive models (GAMs). The estimated degrees of freedom (edf) for each model are indicated. The inner tick marks on each axis in **(c)** and **(d)** indicate where data were available. The thick contour line in **(c)** denotes the 1 $\mu\text{mol kg}^{-1}$ nitrate isoline (i.e. the nitracline). Note the use of a \log_{10} scale for Chl *a*.

Fig. 4. Mean maximum Chl *a* concentration for each depth during the first half of the year and corresponding mean day of occurrence. Error bars indicate the 95 % confidence interval. Months are delimited by vertical dashed lines.

Fig. 5. Inter-annual variations of (a) maximum winter mixing depth (WMD_{max}) and (b) depth-integrated nitrate (0-50 m, $\Sigma Nitrate_{0-50}$) at the WMD_{max} sampling date. Dot color represents the winter month when WMD_{max} was measured (white, January; gray, February; black, March). (c) Linear relationship between $\Sigma Nitrate_{0-50}$ at the WMD_{max} sampling date and WMD_{max} . The shaded area represents the 95% confidence interval associated to the linear correlation. The slope (b), proportion of variance explained (R^2) and p-value of the relationship are shown.

Fig. 6. Predicted (a) additive effects on nitrate concentration and (b) multiplicative effects on Chl a concentration of both maximum winter mixing depth (WMD_{max}) and near-surface stratification ($MLD_{0.1}$) in March. These values were obtained based on the best generalized additive model (GAM) of a set of proposed models to explore the effect of WMD_{max} and $MLD_{0.1}$ on nitrate and Chl a concentrations (see Table 1). All terms in the model had a p-value < 0.010 or < 0.050 for nitrate and Chl a concentration, respectively. The estimated degrees of freedom (edf) are also shown. The inner tick marks on each axis indicate where data were available.

Fig. 7. Observed (dot size) and predicted **(a)** nitrate and **(b)** Chl *a* concentrations at surface (~ 0 m depth) in March (contour plot). Predicted values were obtained based on the generalized additive model (GAM) in Table 2 that included an interaction term between the maximum winter mixing depth (WMD_{max}) and the near-surface stratification depth ($MLD_{0.1}$) in March. This interaction term had a p-value < 0.010 or < 0.050 for nitrate and Chl *a* concentration, respectively. The estimated degrees of freedom (edf) for each model are indicated. The dark gray triangles on the top left corners delimit those combinations of WMD_{max} and $MLD_{0.1}$ that are not possible (WMD_{max} cannot be shallower than $MLD_{0.1}$). Note that predicted Chl *a* concentrations are shown in \log_{10} scale.

Fig. 8. Linear relationship between the new primary production (PP_{new}) in the upper 50 m of the water column between February and April and the maximum winter mixing depth (WMD_{max}). The shaded area represents the 95% confidence interval associated to the linear correlation. The slope (*b*), proportion of variance explained (R^2) and p-value of the relationship are shown.

Fig. 9 Sea surface temperatures (SST, light gray line) and Chl *a* concentrations ([Chl *a*]_{SAT}, dots) from 1997 to 2012 based on satellite retrievals. Dot color indicates the sensor used to get the [Chl *a*]_{SAT} measurements (white for SeaWiFS and black for Aqua MODIS). Estimated seasonal cycles for SST (dark gray line) and [Chl *a*]_{SAT} (black line) and their associated 95% confidence intervals (shaded areas) are based on the generalized additive model (GAM) predictions. The estimated degrees of freedom (edf) of the model varied between 16.19 and 18.53 for SST or between 3.19 and 13.42 for [Chl *a*]_{SAT}. Vertical solid lines indicate the maximum estimated [Chl *a*]_{SAT} during the spring bloom. The initiation and termination day of the spring bloom are marked with vertical dashed lines. Note that [Chl *a*]_{SAT} is shown in log₁₀ scale and that the beginning of the seasonal cycle is set to July 15th (or 14th in a leap year).

Fig. 10. Impact of the intensity and timing of the maximum winter mixing depth (WMD_{max} and WMD_{max} sampling date, respectively) on spring bloom metrics: **(a-b)** bloom rise, **(c-d)** bloom decay, **(e-f)** max Chl *a*, **(g-h)** max Chl *a* timing and **(i-j)** bloom span. The spring bloom metrics were derived from a generalized additive model (GAM) based on satellite data. The shaded areas associated to each linear relationship depict the 95% confidence intervals. The slope (*b*) and proportion of variance explained (*R*²) of each relationship are shown. Those linear regressions with a p-value <0.050 are indicated with a *. Note that max Chl *a* is shown in log₁₀ scale.

998 **Fig. 11. (a)** Variations in the slope of the linear relationships between maximum
999 winter mixing depth (WMD_{max}) and the Sea Surface Temperature (SST) based on
1000 satellite retrievals at different day lags (1993-2012). **(b)** Long-term linear trends in SST
1001 (1981-2012) for each day of the year. Dashed horizontal lines indicate zero values.
1002 Shaded areas depict the 95% confidence intervals associated with the regression slopes.

1003 **Tables**

1004 **Table 1.** Results from the model selection for the assessment of the effect of winter mixing depth (WMD_{max}) and our proxy for the thickness
1005 of the surface stratified layer ($MLD_{0.1}$) on nitrate and Chl *a* concentration at all depths (both represented as *y* in the formulas) in March. All
1006 models included an intercept (*a*) and an error term (ϵ). In addition, the generalized additive models (GAMs) incorporated through a smooth
1007 function (*f*, see *Supporting information* for further details) the influence of depth (*z*), or also the effect of the interaction (*te*) between $MLD_{0.1}$ or
1008 WMD_{max} and depth. We report for each model the Akaike Information Criterion (AIC) and its associated weight (Burnham and Anderson 2002),
1009 and the proportion of variance explained (R^2). In all cases, the overall model had a p-value < 0.001.

| Model | What does the model estimates? | Nitrate | | | Chl <i>a</i> | | |
|---|---|---------|------------|----------------|--------------|------------|----------------|
| | | AIC | AIC weight | R ² | AIC | AIC weight | R ² |
| $y = a + \epsilon$ | Null model (includes only an intercept). | 598.80 | 0.00 | 0.00 | 222.61 | 0.00 | 0.00 |
| $y = a + f(z) + \epsilon$ | Effect of depth. | 534.20 | 0.00 | 0.36 | 139.50 | 0.00 | 0.47 |
| $y = a + f(z) + te(WMD_{max}, z) + \epsilon$ | Effect of winter mixing through the water column while accounting for the influence of depth. | 452.09 | 0.00 | 0.64 | 122.99 | 0.00 | 0.56 |
| $y = a + f(z) + te(MLD_{0.1}, z) + \epsilon$ | Effect of stratification through the water column while accounting for the influence of depth. | 513.04 | 0.00 | 0.48 | 131.77 | 0.00 | 0.54 |
| $y = a + f(z) + te(MLD_{0.1}, z) + te(WMD_{max}, z) + \epsilon$ | Combined effect of stratification and winter mixing through the water column while accounting for the influence of depth. | 419.50 | 1.00 | 0.73 | 101.29 | 1.00 | 0.65 |

1010 **Table 2.** Results from the model selection for the assessment of the effect of winter mixing depth (WMD_{max}) and our proxy for the thickness
1011 of the surface stratified layer ($MLD_{0.1}$) on nitrate and Chl *a* concentration (both represented as *y* in the formulas) at the surface (~ 0 m depth) in
1012 March. All models included an intercept (*a*) and an error term (ϵ). In addition, the generalized additive models (GAMs) incorporated a smooth
1013 function (*f* see *Supporting information* for further details) to estimate the effect of WMD_{max} , $MLD_{0.1}$ or their interaction (*te*, last model). We
1014 report for each model the Akaike Information Criterion (AIC) and its associated weight (Burnham and Anderson 2002), and the proportion of
1015 variance explained (R^2). The p-values for the overall model are also shown.

| Model | What does the model estimates? | Nitrate | | | | Chl <i>a</i> | | | |
|--|--|---------|------------|----------------|---------|--------------|------------|----------------|---------|
| | | AIC | AIC weight | R ² | p-value | AIC | AIC weight | R ² | p-value |
| $y = a + \epsilon$ | Null model (includes only an intercept). | 60.63 | 0.00 | 0.00 | 0.000 | 20.12 | 0.00 | 0.00 | 0.572 |
| $y = a + f(WMD_{max}) + \epsilon$ | Effect of winter mixing. | 59.92 | 0.00 | 0.17 | 0.132 | 17.42 | 0.02 | 0.29 | 0.049 |
| $y = a + (MLD_{0.1}) + \epsilon$ | Effect of stratification. | 51.51 | 0.21 | 0.52 | 0.002 | 19.78 | 0.01 | 0.15 | 0.165 |
| $y = a + f(MLD_{0.1}) + f(WMD_{max}) + \epsilon$ | Independent combined effect of stratification and winter mixing. | 49.70 | 0.53 | 0.63 | 0.003 | 15.14 | 0.06 | 0.48 | 0.030 |
| $y = a + te(WMD_{max}, MLD_{0.1}) + \epsilon$ | Interactive combined effect of winter mixing and stratification. | 51.16 | 0.26 | 0.64 | 0.008 | 9.72 | 0.91 | 0.75 | 0.016 |

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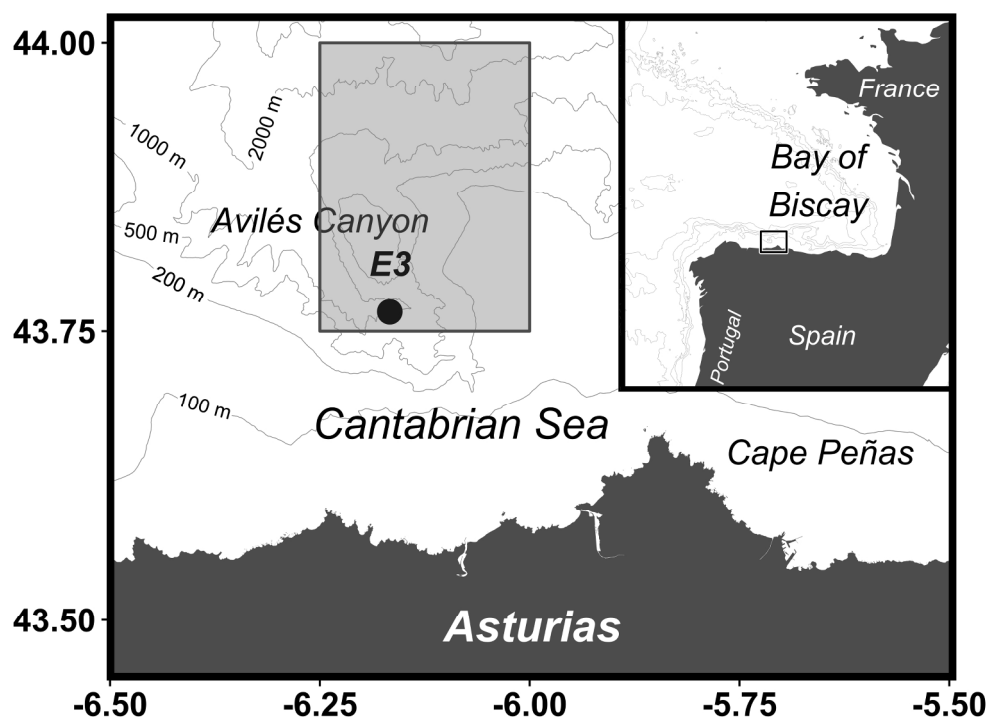


Fig. 1. Map of the study area in the central Cantabrian Sea and its position in the Bay of Biscay. The shaded region around station E3 (dot) corresponds to the $0.25^\circ \times 0.25^\circ$ quadrangle used to average satellite data.

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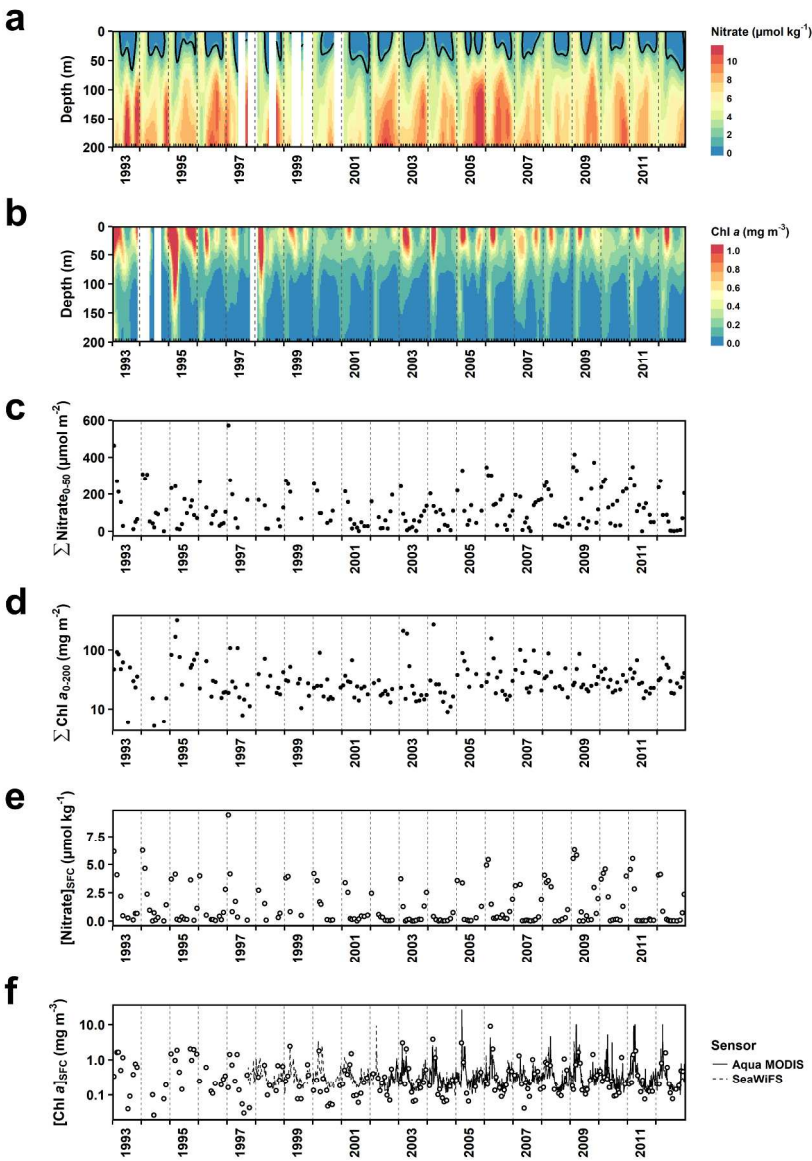


Fig. 2. Intra- and interannual variations in: (a) nitrate and (b) Chl a concentrations over the first 200 m of the water column interpolated using kriging techniques, (c) depth-integrated nitrate (0-50 m, $\Sigma\text{Nitrate}_{0-50}$), (d) depth-integrated Chl a (0-200 m, $\Sigma\text{Chl a}_{0-200}$), (e) surface nitrate concentration ($[\text{Nitrate}]_{\text{SFC}}$) and (f) surface Chl a concentration ($[\text{Chl a}]_{\text{SFC}}$) from in situ (dots) and satellite (lines) observations. In all panels, years are separated by gray vertical dashed lines. In (a) and (b), inner tick marks on the x-axis indicate those dates when data were collected, and data gaps spanning three or more consecutive months appear as vertical blank stripes. The thick contour line in (a) denotes the 1 $\mu\text{mol kg}^{-1}$ nitrate isoline (i.e. the nitracline). Note the use of a log10 scale for Chl a in panels (d) and (f).

762x1048mm (96 x 96 DPI)

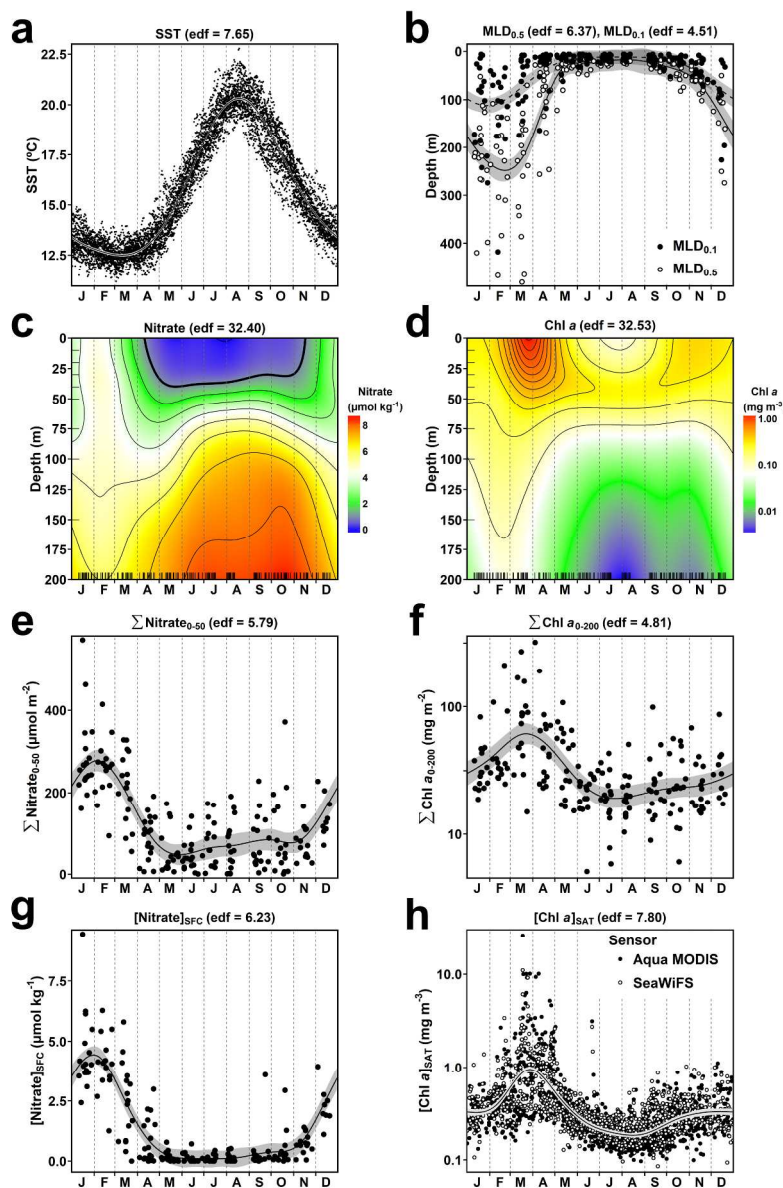


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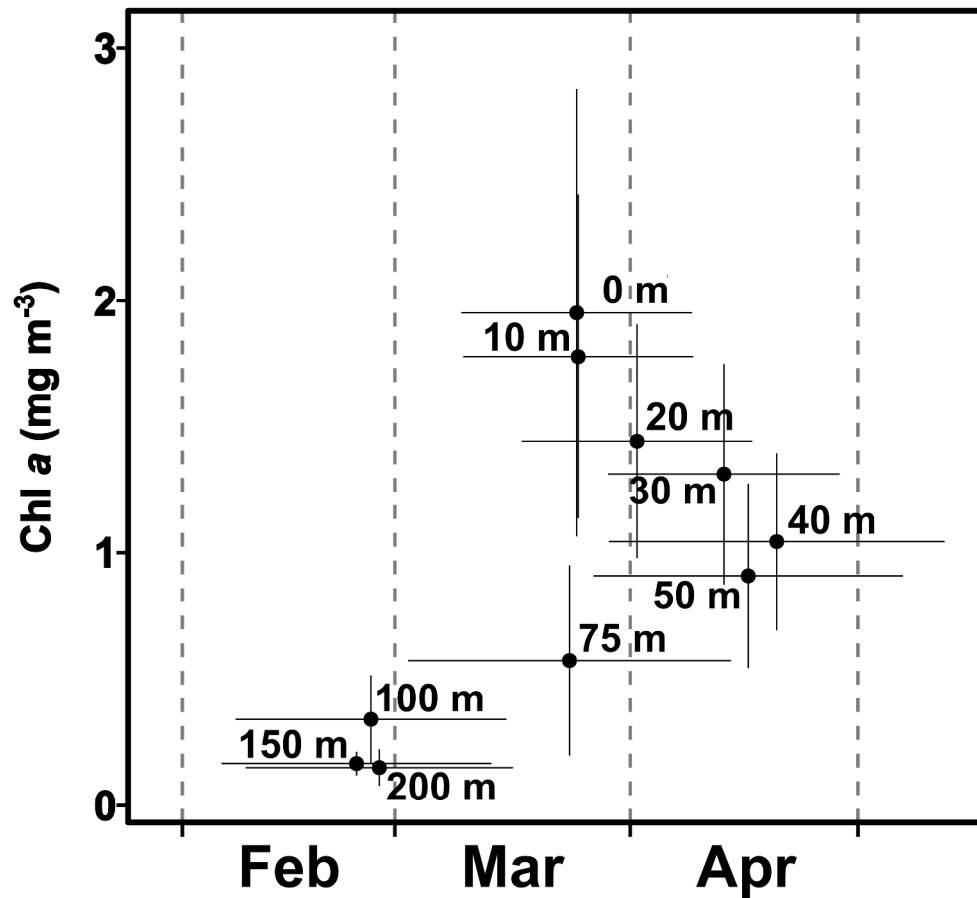


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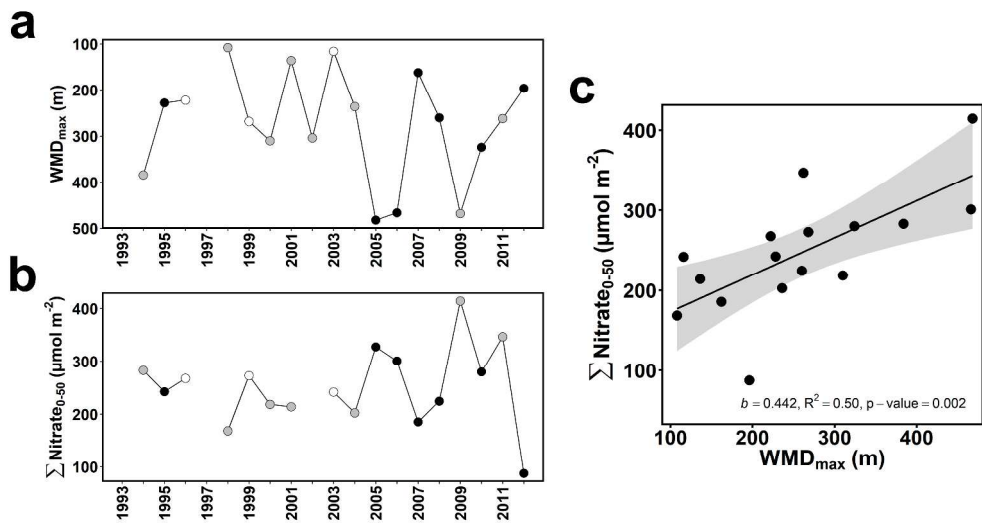


Fig. 5. Inter-annual variations of (a) maximum winter mixing depth (WMD_{max}) and (b) depth-integrated nitrate (0-50 m, ΣNitrate₀₋₅₀) at the WMD_{max} sampling date. Dot color represents the winter month when WMD_{max} was measured (white, January; gray, February; black, March). (c) Linear relationship between ΣNitrate₀₋₅₀ at the WMD_{max} sampling date and WMD_{max}. The shaded area represents the 95% confidence interval associated to the linear correlation. The slope (b), proportion of variance explained (R^2) and p -value of the relationship are shown.

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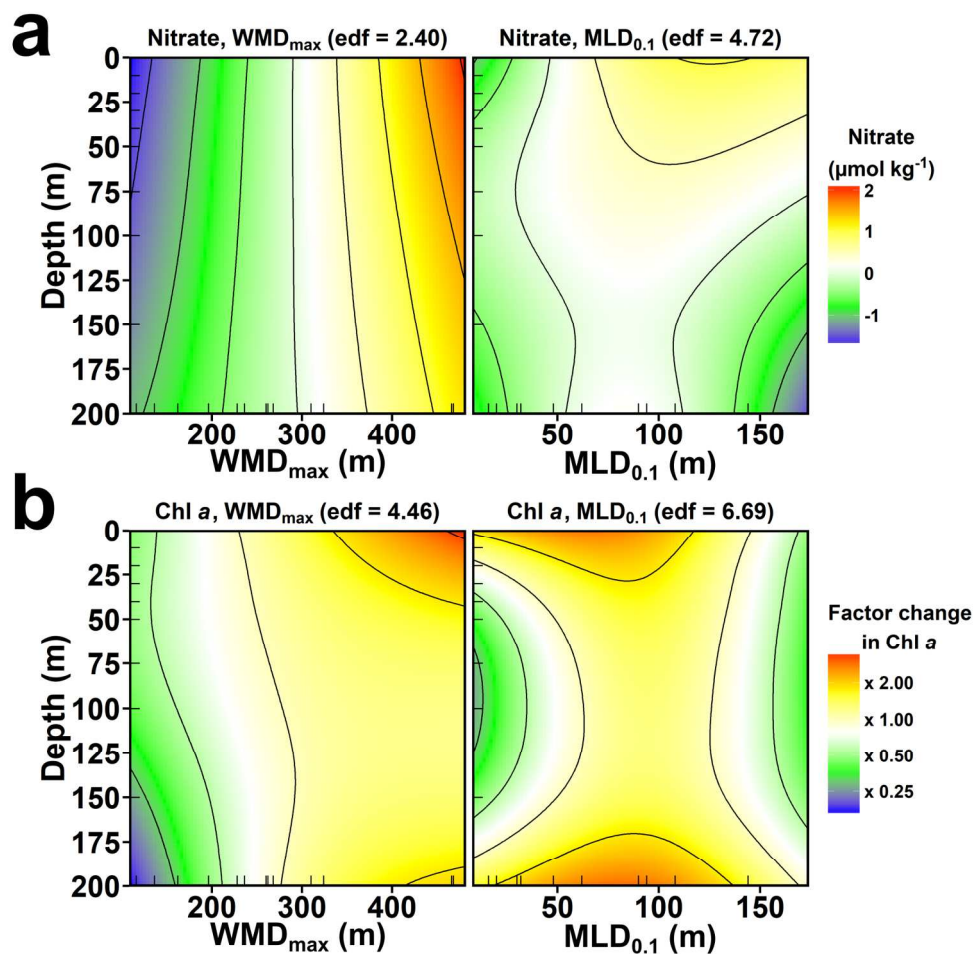


Fig. 6. Predicted (a) additive effects on nitrate concentration and (b) multiplicative effects on Chl a concentration of both maximum winter mixing depth (WMD_{max}) and near-surface stratification (MLD_{0.1}) in March. These values were obtained based on the best generalized additive model (GAM) of a set of proposed models to explore the effect of WMD_{max} and MLD_{0.1} on nitrate and Chl a concentrations (see Table 1). All terms in the model had a p-value < 0.010 or < 0.050 for nitrate and Chl a concentration, respectively. The estimated degrees of freedom (edf) are also shown. The inner tick marks on each axis indicate where data were available.

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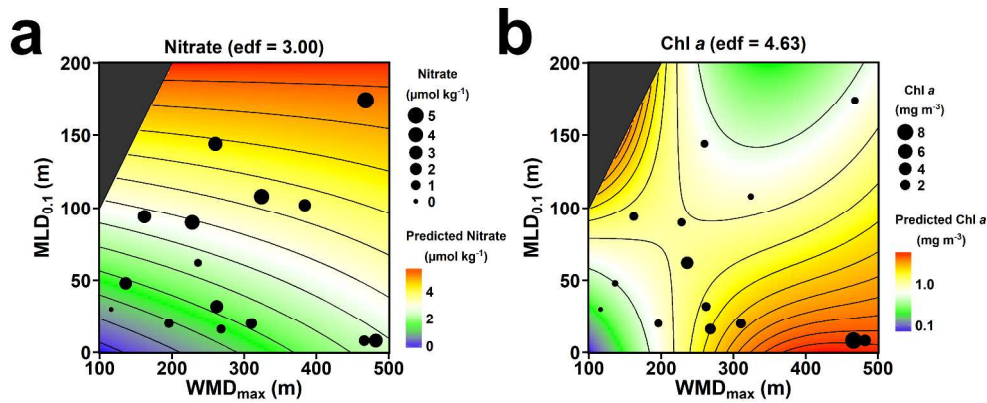


Fig. 7. Observed (dot size) and predicted (a) nitrate and (b) Chl a concentrations at surface (~ 0 m depth) in March (contour plot). Predicted values were obtained based on the generalized additive model (GAM) in Table 2 that included an interaction term between the maximum winter mixing depth (WMD_{max}) and the near-surface stratification depth ($MLD_{0.1}$) in March. This interaction term had a p -value < 0.010 or < 0.050 for nitrate and Chl a concentration, respectively. The estimated degrees of freedom (edf) for each model are indicated. The dark gray triangles on the top left corners delimit those combinations of WMD_{max} and $MLD_{0.1}$ that are not possible (WMD_{max} cannot be shallower than $MLD_{0.1}$). Note that predicted Chl a concentrations are shown in log10 scale.

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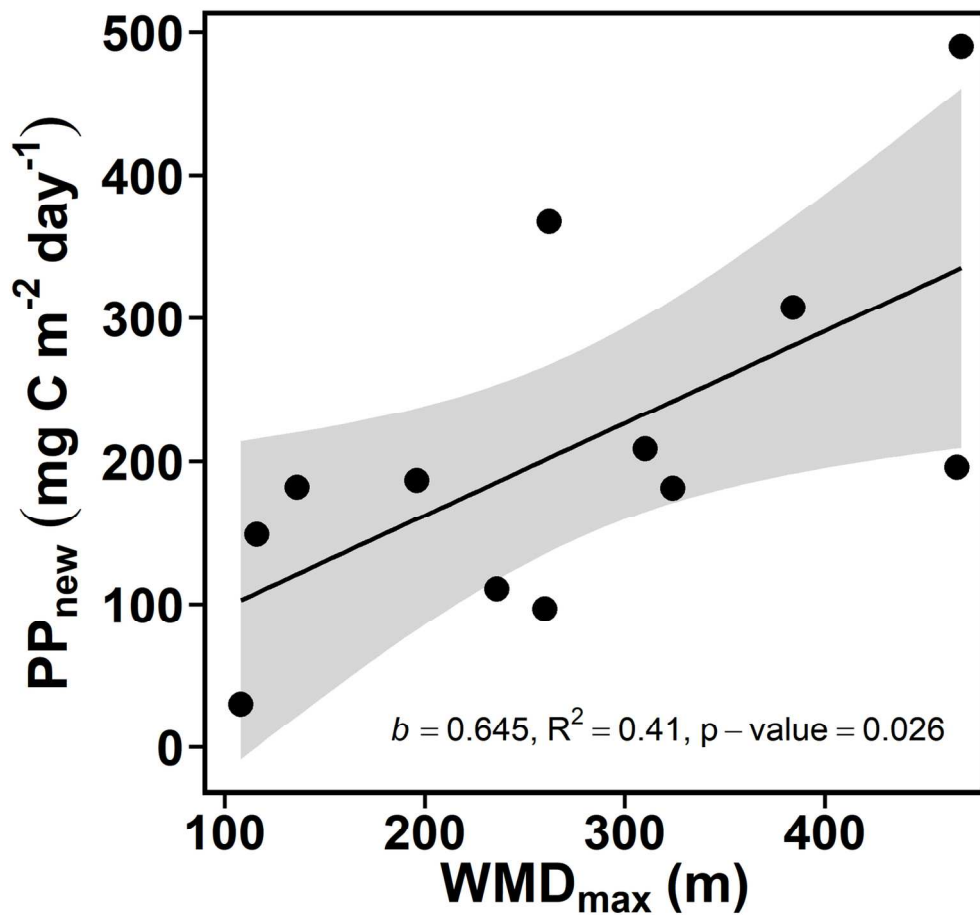


Fig. 8. Linear relationship between the new primary production (PP_{new}) in the upper 50 m of the water column between February and April and the maximum winter mixing depth (WMD_{max}). The shaded area represents the 95% confidence interval associated to the linear correlation. The slope (b), proportion of variance explained (R^2) and p -value of the relationship are shown.

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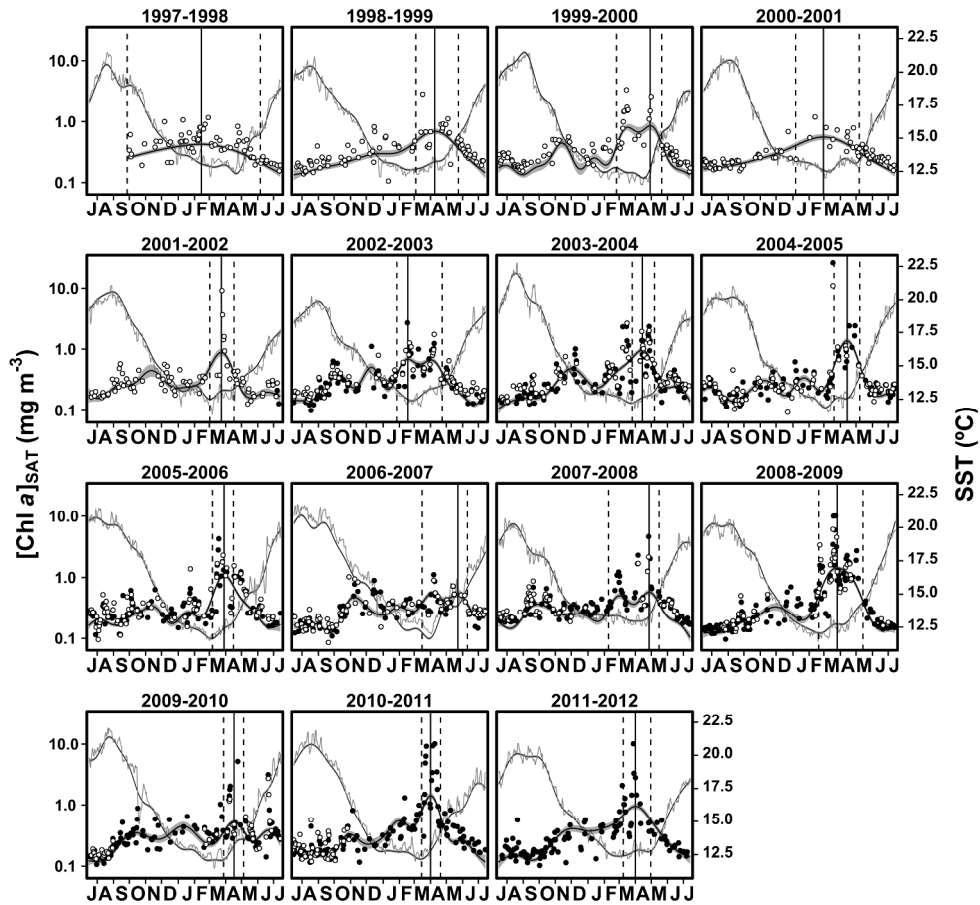


Fig. 9 Sea surface temperatures (SST, light gray line) and Chl a concentrations ([Chl a]SAT, dots) from 1997 to 2012 based on satellite retrievals. Dot color indicates the sensor used to get the [Chl a]SAT measurements (white for SeaWifs and black for Aqua MODIS). Estimated seasonal cycles for SST (dark gray line) and [Chl a]SAT (black line) and their associated 95% confidence intervals (shaded areas) are based on the generalized additive model (GAM) predictions. The estimated degrees of freedom (edf) of the model varied between 16.19 and 18.53 for SST or between 3.19 and 13.42 for [Chl a]SAT. Vertical solid lines indicate the maximum estimated [Chl a]SAT during the spring bloom. The initiation and termination day of the spring bloom are marked with vertical dashed lines. Note that [Chl a]SAT is shown in log10 scale and that the beginning of the seasonal cycle is set to July 15th (or 14th in a leap year).

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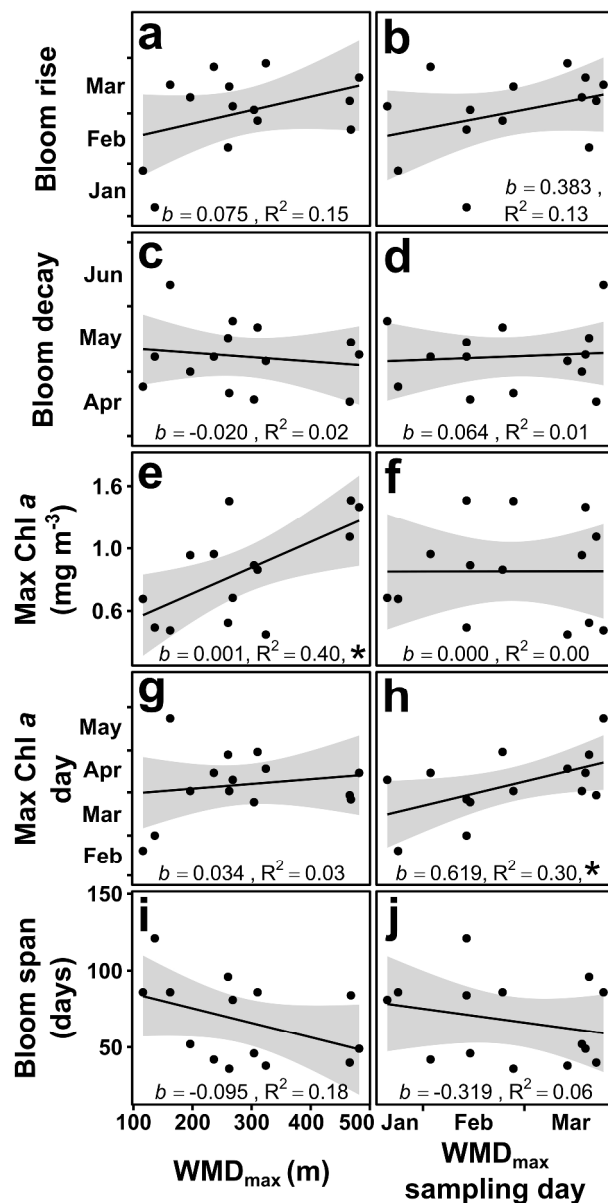


Fig. 10. Impact of the intensity and timing of the maximum winter mixing depth (WMD_{max} and WMD_{max} sampling date, respectively) on spring bloom metrics: (a-b) bloom rise, (c-d) bloom decay, (e-f) max Chl a, (g-h) max Chl a timing and (i-j) bloom span. The spring bloom metrics were derived from a generalized additive model (GAM) based on satellite data. The shaded areas associated to each linear relationship depict the 95% confidence intervals. The slope (b) and proportion of variance explained (R^2) of each relationship are shown. Those linear regressions with a p-value < 0.050 are indicated with a *. Note that max Chl a is shown in log10 scale.

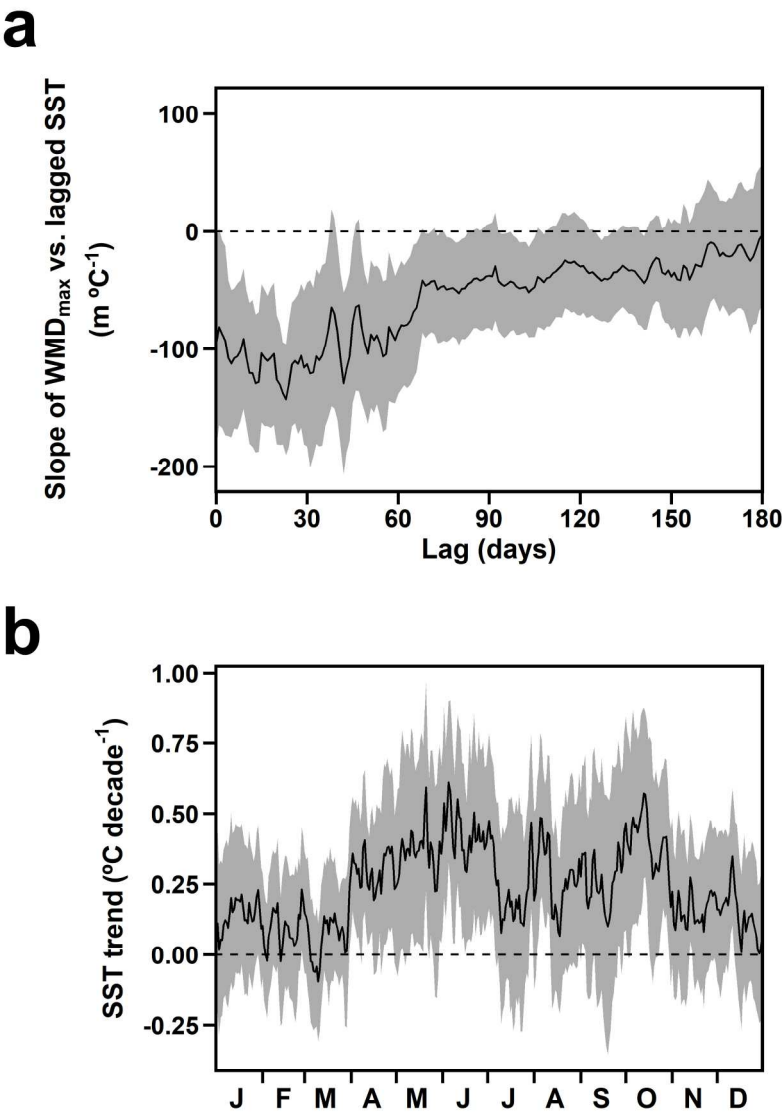


Fig. 11. (a) Variations in the slope of the linear relationships between maximum winter mixing depth (WMD_{max}) and the Sea Surface Temperature (SST) based on satellite retrievals at different day lags (1993-2012). (b) Long-term linear trends in SST (1981-2012) for each day of the year. Dashed horizontal lines indicate zero values. Shaded areas depict the 95% confidence intervals associated with the regression slopes.

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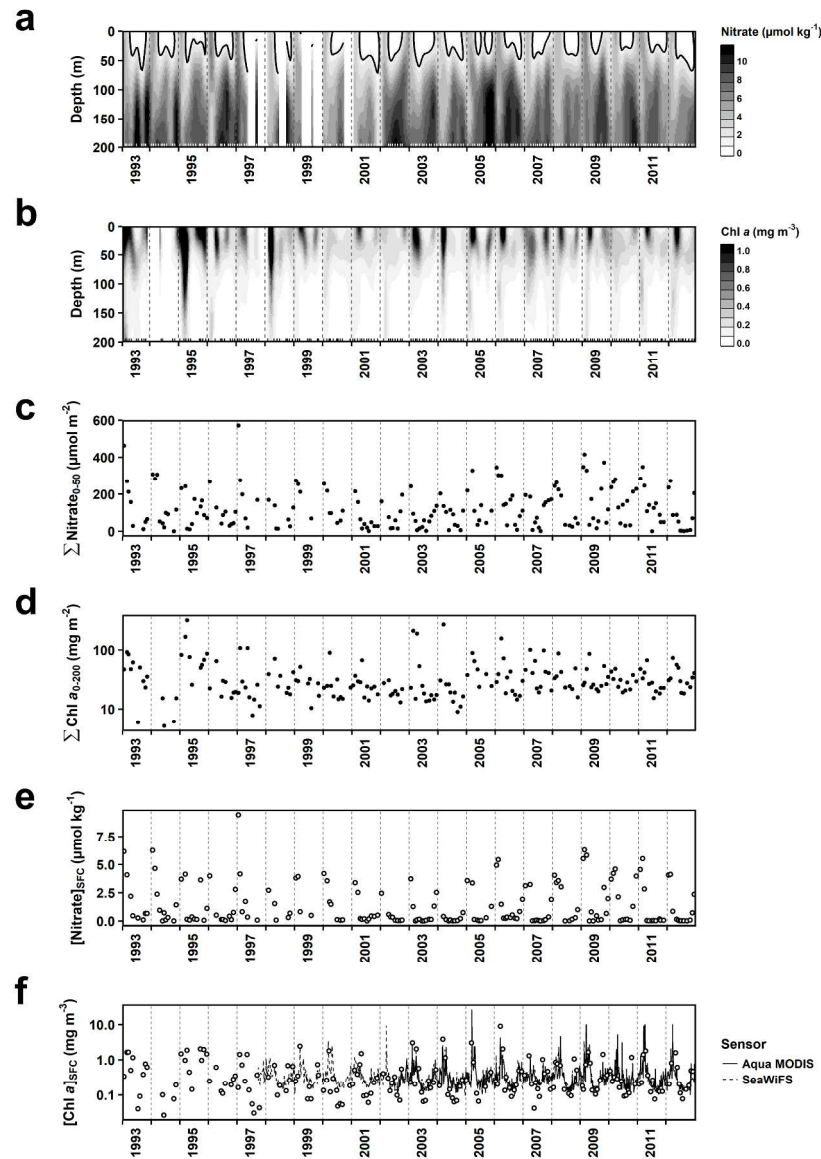


Fig. 2. Intra- and interannual variations in: (a) nitrate and (b) Chl a concentrations over the first 200 m of the water column interpolated using kriging techniques, (c) depth-integrated nitrate (0-50 m, $\Sigma \text{Nitrate}_{0-50}$), (d) depth-integrated Chl a (0-200 m, $\Sigma \text{Chl a}_{0-200}$), (e) surface nitrate concentration ($[\text{Nitrate}]_{\text{SFC}}$) and (f) surface Chl a concentration ($[\text{Chl a}]_{\text{SFC}}$) from in situ (dots) and satellite (lines) observations. In all panels, years are separated by gray vertical dashed lines. In (a) and (b), inner tick marks on the x-axis indicate those dates when data were collected, and data gaps spanning three or more consecutive months appear as vertical blank stripes. The thick contour line in (a) denotes the $1 \mu\text{mol kg}^{-1}$ nitrate isoline (i.e. the nitracline). Note the use of a log10 scale for Chl a in panels (d) and (f).

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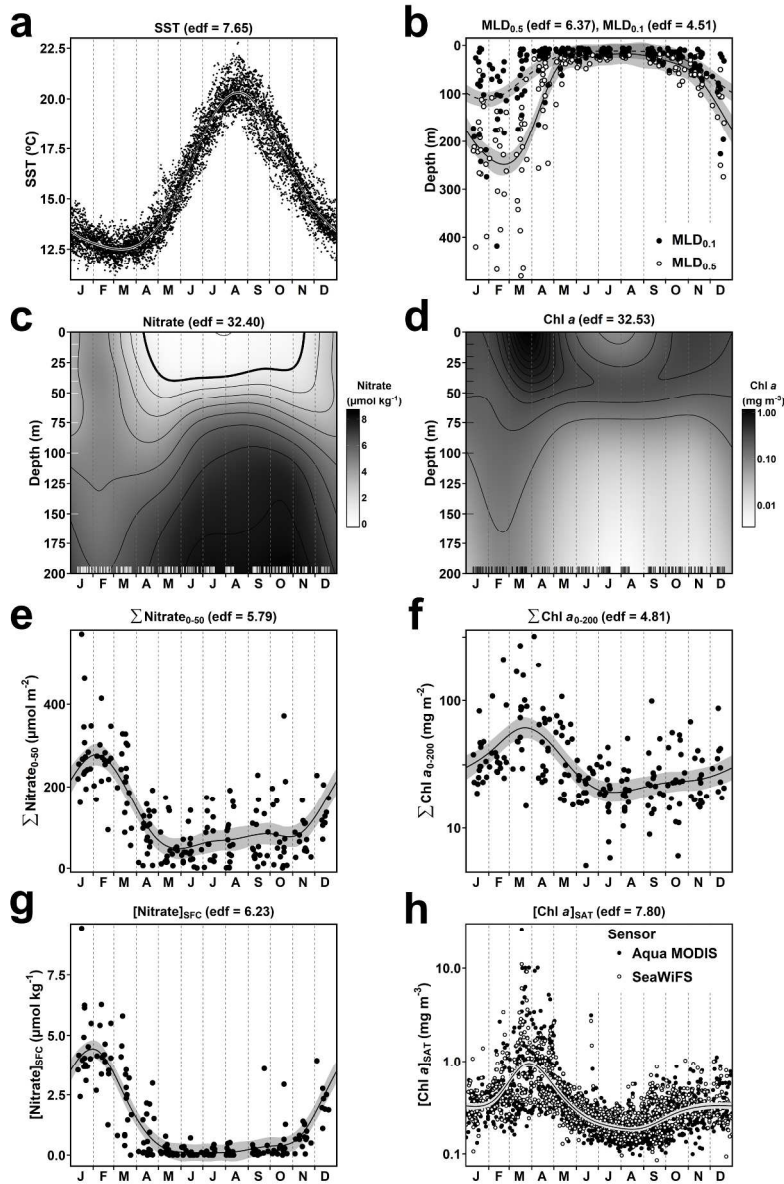


Fig. 3. Seasonality of: (a) sea surface temperature (SST) based on satellite retrievals, (b) mixed layer depth (MLD) calculated using two different criteria (see Material and methods), (c) nitrate and (d) Chl a concentration over the first 200 m of the water column, (e) depth-integrated nitrate (0-50 m, Σ Nitrate₀₋₅₀), (f) depth-integrated Chl a (0-200 m, Σ Chl a₀₋₂₀₀), (g) surface nitrate concentration ($[\text{Nitrate}]_{\text{SFC}}$) and (h) surface satellite Chl a concentration ($[\text{Chl a}]_{\text{SAT}}$). Observed concentrations or integrated values (dots) and estimated seasonal cycles (solid lines in a-b and e-h or contour plots in c-d) are shown. In (a-b) and (e-h), the 95% confidence intervals (shaded areas) associated to the estimated seasonal cycles are shown. Predicted values for each variable are based on the output of the generalized additive models (GAMs). The estimated degrees of freedom (edf) for each model are indicated. The inner tick marks on each axis in (c) and (d) indicate where data were available. The thick contour line in (c) denotes the 1 $\mu\text{mol kg}^{-1}$ nitrate isoline (i.e. the nitracline). Note the use of a log10 scale for Chl a.

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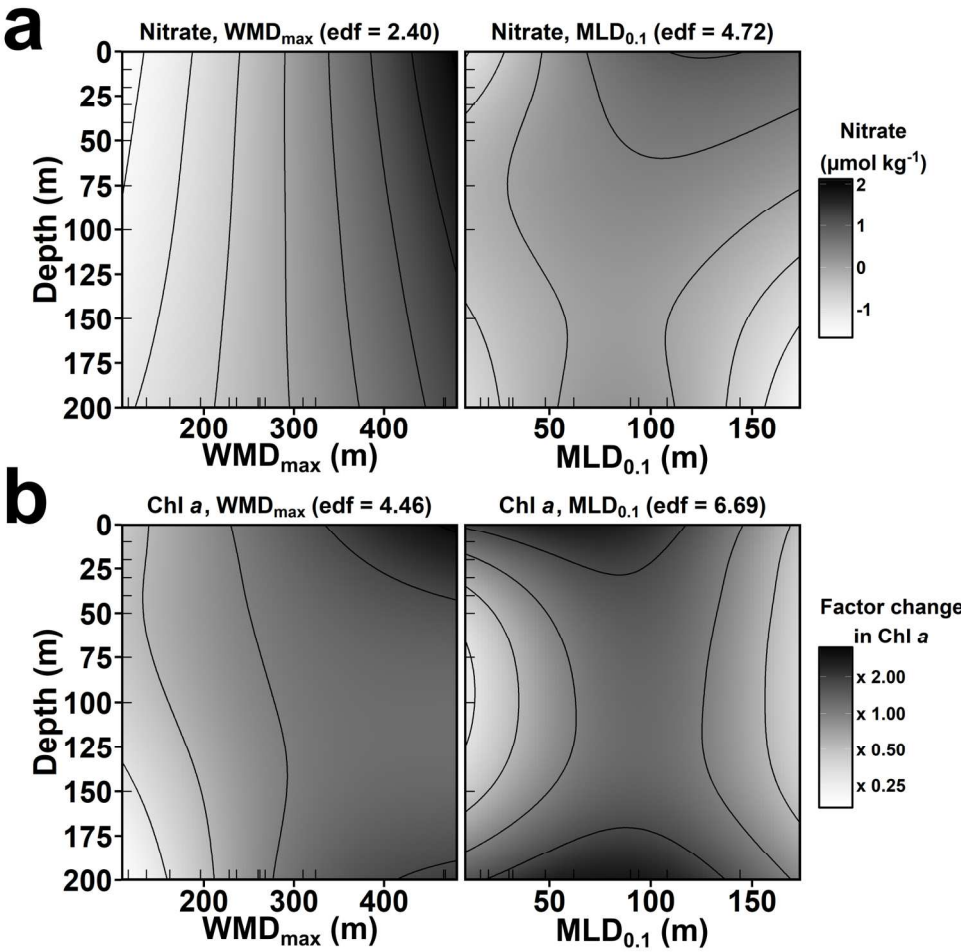


Fig. 6. Predicted (a) additive effects on nitrate concentration and (b) multiplicative effects on Chl a concentration of both maximum winter mixing depth (WMD_{max}) and near-surface stratification (MLD_{0.1}) in March. These values were obtained based on the best generalized additive model (GAM) of a set of proposed models to explore the effect of WMD_{max} and MLD_{0.1} on nitrate and Chl a concentrations (see Table 1). All terms in the model had a p-value < 0.010 or < 0.050 for nitrate and Chl a concentration, respectively. The estimated degrees of freedom (edf) are also shown. The inner tick marks on each axis indicate where data were available.

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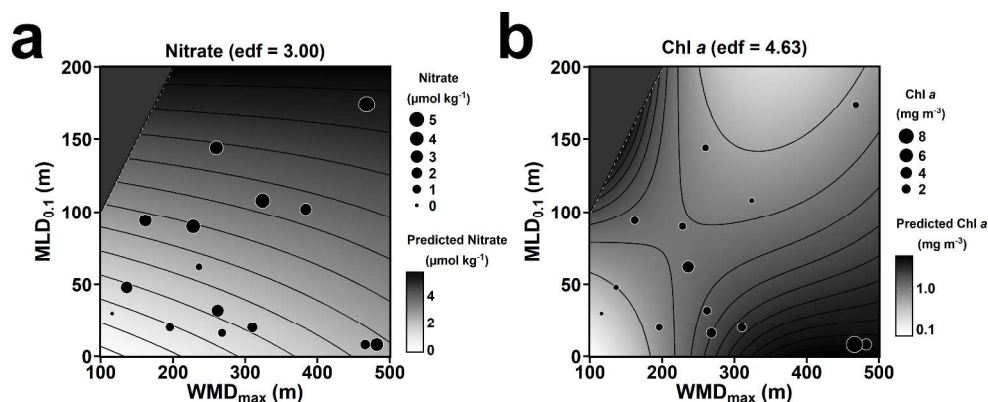


Fig. 7. Observed (dot size) and predicted (a) nitrate and (b) Chl a concentrations at surface (~ 0 m depth) in March (contour plot). Predicted values were obtained based on the generalized additive model (GAM) in Table 2 that included an interaction term between the maximum winter mixing depth (WMD_{max}) and the near-surface stratification depth ($MLD_{0.1}$) in March. This interaction term had a p -value < 0.010 or < 0.050 for nitrate and Chl a concentration, respectively. The estimated degrees of freedom (edf) for each model are indicated. The dark gray triangles on the top left corners delimit those combinations of WMD_{max} and $MLD_{0.1}$ that are not possible (WMD_{max} cannot be shallower than $MLD_{0.1}$). Note that predicted Chl a concentrations are shown in log10 scale.

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1 **Supporting information**

2 **Supporting text**

3 Details about Generalized Additive Models (GAMs)

4 Generalized Additive Models (GAMs, Hastie and Tibshirani 1990; Wood 2006) are
5 statistical regression models characterized by the inclusion of smooth functions (f) to
6 describe the relationship between response and predictor variables. In this way, GAMs
7 are not tied to an a priori specification of the functional relationship between dependent
8 and independent variables (e.g. linear or quadratic). Instead, these models estimate a
9 linear combination of local cubic basis functions to ensure that each particular observation
10 affects only the nearby fit. Among a variety of candidate smooth functions, tensor
11 products (te) are particularly appropriate to describe n-dimensional non-linear effects of
12 variables measured in different units (Wood 2006). These smooths are constructed by
13 combining marginal smooths (or marginal basis) for each term involved in the interaction.
14 All these characteristics make GAMs ideally suited to analyze complex non-linear
15 relationships commonly found in the marine environment (see for example Stenseth et al.
16 2006; Murase et al. 2009; Kvile et al. 2016).

17 The degree of nonlinearity of a smooth predictor function f depends on the number of
18 effective degrees of freedom, edf . Larger edf corresponds to smooth functions describing
19 more complex nonlinear effects. It is thus necessary to find a balance between the
20 complexity and the predictive ability of each smooth function in the model to avoid
21 overfitting. To do that, an optimal edf value is determined during model fit by cross-
22 validation. Here we followed recommendations by Wood (2006) and employed the
23 generalized cross-validation score (GCV), after setting an upper limit for the $edf(k)$ (i.e.

the greatest number of *edf* that the model can have). One degree of freedom is usually lost by this upper limit to the identifiability constraint on the smooth (Wood 2006).

The following table includes the specifications for the GAMs presented in the main text:

| Analysis (model) | Smooth terms | Maximum <i>edf</i> (k^*) |
|---|---|---|
| Seasonality of physical variables, vertically integrated Chl <i>a</i> and [Chl <i>a</i>] _{SAT} (Eq. 1 in the main text). | Cyclic cubic regression spline for the day of the year (<i>t</i>). | $k_t = 10^{**}$ |
| Seasonality of nitrate and Chl <i>a</i> at different depths (Eq. 2 in the main text). | Tensor product among <i>t</i> and depth (<i>z</i>) with marginal basis: - <i>t</i> : cyclic cubic spline. - <i>z</i> : thin-plate regression spline. | $k_t = 9^{**}$ $k_z = 8$ |
| Effect of winter mixing (WMD _{max}) and near-surface stratification (MLD _{0.1}) on Chl <i>a</i> and nitrate through the water column during the spring bloom (Table 1 in the main text). | Thin-plate regression splines for the effect of <i>z</i> , WMD _{max} or MLD _{0.1} , and to construct the marginal bases in the tensor products. | $k_z = 8$ $k_{WMD_{max}} = 3$ $k_{MLD_{0.1}} = 3$ |
| Effect of WMD _{max} and MLD _{0.1} on surface Chl <i>a</i> and nitrate or vertically integrated Chl <i>a</i> during the spring bloom (Table 2 in the main text). | Thin-plate regression splines for the effect of WMD _{max} or MLD _{0.1} , and to construct the marginal bases in the tensor products. | $k_{WMD_{max}} = 3$ $k_{MLD_{0.1}} = 3$ |
| Interannual changes in seasonality of [Chl <i>a</i>] _{SAT} or SST (Eq. 4 in the main text). | Thin plate regression spline for <i>t/year</i> . | $k_{[Chl\ a]_{SAT}} = 15$ $k_{SST} = 20$ |

* k was set in each case to a value that provided a reliable model output; i.e. a value large enough to capture the main variability patterns in the data without overfitting.

** Note that for cyclic cubic regression splines, one degree of freedom is lost due to the constrain of this particular type of spline function.

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Supporting figure

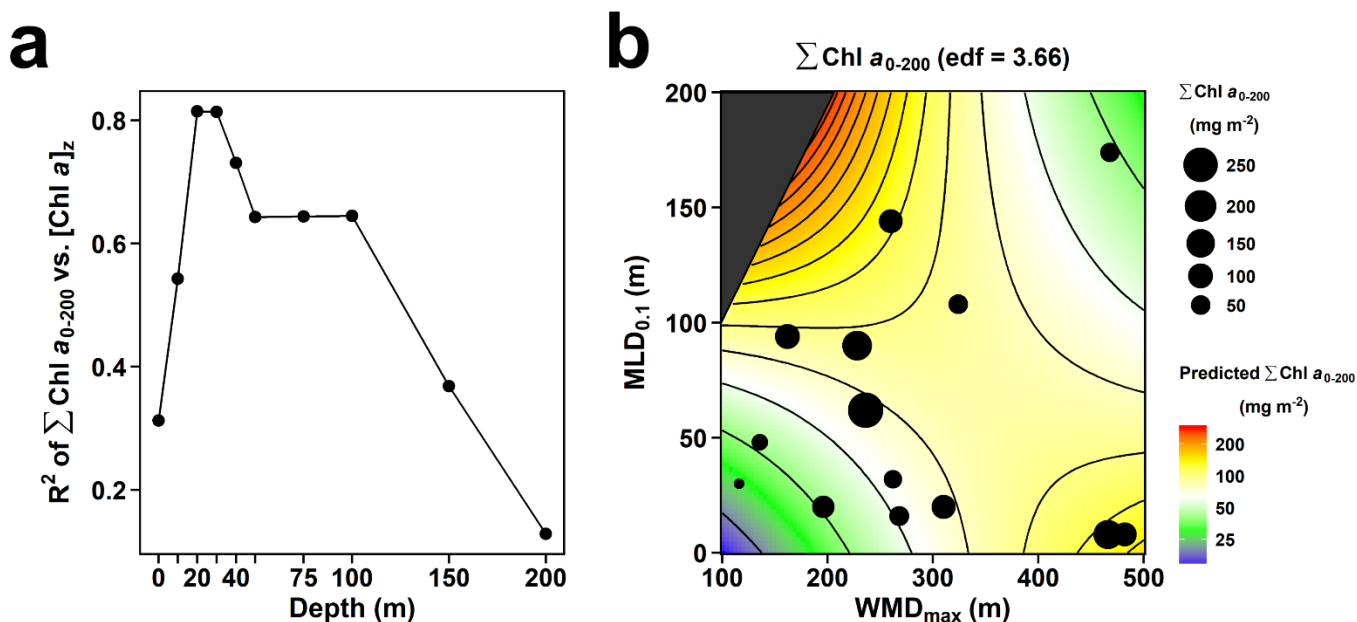


Fig. S1. (a) Proportion of variance explained (R^2) by the linear regressions between depth-integrated Chl a (0-200 m, $\Sigma\text{Chl } a_{0-200}$) and Chl a concentration at each sampling depth ($[\text{Chl } a]_z$) in March. (b) Observed (dot size) and predicted (contour plot) $\Sigma\text{Chl } a_{0-200}$ in March. Predicted values were obtained based on a generalized additive model (GAM) that included an interaction term between the maximum winter mixing depth (WMD_{max}) and the near-surface stratification depth in each sampling date ($\text{MLD}_{0.1}$). The R^2 for the model was 0.52 and the interaction term had a p -value = 0.209. The estimated degrees of freedom (edf) for the model are indicated. The dark gray triangles on the top left corners delimit those combinations of WMD_{max} and $\text{MLD}_{0.1}$ that are not possible (WMD_{max} cannot be shallower than $\text{MLD}_{0.1}$). Note that predicted $\Sigma\text{Chl } a_{0-200}$ is shown in \log_{10} scale.

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