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**Resilience of endangered sperm whales (*Physeter macrocephalus*) to foraging disturbance in the Gulf of Mexico, USA: A bioenergetics approach**

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*Running Head:* Sperm whale bioenergetic model

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## ABSTRACT

Endangered sperm whales (*Physeter macrocephalus*) spend the majority of their time foraging, relying upon echolocation to locate and consume several hundred kilograms of prey per day. In the northern Gulf of Mexico, sperm whales are exposed to a variety of anthropogenic stressors, including ship strikes, fisheries interactions, habitat loss and degradation due to oil and gas development, and chemical and noise pollution. In particular, they are exposed to high levels of anthropogenic noises related to geological and geophysical surveys for hydrocarbon deposits. The sounds produced by these surveys could reduce sensory volume, increase search effort required to locate resources, and interfere with auditory signal processing critical to foraging success. We developed a stochastic life-stage structured bioenergetic model to evaluate the consequences of reduced foraging efficiency on carbohydrate, lipid, and protein reserves in the blubber, muscle, and viscera. The model indicates individual resilience to foraging disruptions is primarily a function of size (i.e., reserve capacity) and daily energetic demands. Mothers are the most vulnerable life stage due to the high energy demands associated with pregnancy and lactation. Continuous disruption has a greater impact than intermittent disruption; even minor foraging disruptions may lead to terminal starvation if the whales have no opportunity to replenish reduced reserves. Infrequent, minor disruptions in foraging are unlikely to be fatal, but may result in reduced body reserves that may be associated with reduced reproductive success. Our model provides a bioenergetic framework for evaluating the level, frequency, and consequences of foraging disruptions associated with anthropogenic stressors.

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## INTRODUCTION

Cetaceans (whales, dolphins, and porpoises) are exposed to a variety of anthropogenic stressors including direct harvest by whaling operations (IWC Statistics 1959-1983), resource depletion by fisheries (Williams et al. 2011), pollution (Schwacke et al. 2016), and habitat degradation (Hoyt 2012). Additionally, anthropogenic noise from boats, sonar, acoustic pingers, and seismic airguns may result in behavioral disturbance (Weilgart 2007). Odontocete (toothed cetaceans) responses to anthropogenic noise and vessel presence include changes in vocal behavior, surface active behavior, dive patterns, swim speed, direction of travel, and behavioral state (Kruse 1991; Williams et al. 2002a, 2002b, 2006, 2009; Holt et al. 2009; Lusseau et al. 2009; Noren et al. 2009; Tyack et al. 2011; DeRuiter et al. 2013; Kastelein et al. 2015; Powell et al. *In Press*). The potential effects of anthropogenic sounds on cetaceans may include trauma and death, temporary and permanent hearing loss, non-auditory health effects, self-stranding, auditory signal masking, reduced availability of prey, and behavioral disturbance (Richardson et al. 1995, Southall et al. 2007). It is possible that anthropogenic sound could reduce sensory volume (Lima & Zollner 1996), increase search effort required to locate resources (Zollner & Lima 1999), and interfere with complex auditory stream signal processing (Fais et al. 2015). There seems to be a ubiquitous response in odontocetes to reduce/cease foraging in response to noise/vessel disturbance (Senigaglia et al. 2016, Noren et al. 2017, Falcone et al. 2017). Assessing the energetic costs of behavioral responses is a useful method for quantifying their biological significance. Bioenergetic modeling approaches have been used to evaluate the consequences of disturbance for odontocetes including beaked whales (family *Ziphiidae*; New et al. 2013) and delphinids (Noren et al. 2012). Bioenergetics modeling approaches can also be used as a transfer function in a PCoD (Population Consequences of Disturbance) theoretical

63 framework to evaluate how changes in individual behavior caused by disturbance may result in  
64 population-level effects by impacting reproduction and survival (NRC 2005). In this study, we  
65 develop a flexible life-stage structured bioenergetic framework for odontocetes, and  
66 parameterize the model for Gulf of Mexico sperm whales (*Physeter macrocephalus*).

67 Sperm whales are a bioenergetically unique, large-bodied, deep-diving odontocete.  
68 There is substantial management interest in quantifying the impacts of disturbance to sperm  
69 whales, which are listed as ‘endangered’ under the U.S. Endangered Species Act (ESA) and  
70 ‘vulnerable’ by IUCN. Sperm whales are found throughout the world's oceans in deep waters  
71 from the tropics to the edge of the ice at both poles (Rice 1989; Whitehead 2002). A  
72 predominantly female population is present year-round in continental slope and oceanic habitats  
73 of the U.S. Gulf of Mexico (Mullin et al. 1994, Hansen et al. 1996, Mullin and Hoggard 2000,  
74 Fulling et al. 2003, Mullin & Fulling 2004, Mullin et al. 2004, Maze-Foley & Mullin 2006). The  
75 northern Gulf of Mexico stock is listed as a ‘strategic stock’ under the U.S. Marine Mammal  
76 Protection Act (MMPA; NMFS 2013). Blubber is the primary energy source for most marine  
77 mammals (Strandberg et al. 2008); however, the physiological properties of sperm whale blubber  
78 suggest they are poorly adapted to handle periods of food shortage (Lockyer 1981, Clarke et al.  
79 1988, Koopman 2007). For example, the energy density of sperm whale blubber is much lower  
80 than that of other cetaceans (e.g., fin whales, Lockyer 1986, Lockyer 1991), sperm whale blubber  
81 thickness does not vary much with body length, nor are there appreciable changes in thickness  
82 during lactation (Clarke et al. 1988). These observations all suggest that the sperm whale  
83 blubber layer is not heavily utilized during periods of increased energy expenditure.

84 Sperm whales in the northern Gulf of Mexico face a plethora of direct and indirect  
85 anthropogenic stressors including the population impacts of historical whaling and contemporary

86 ship strikes, fisheries interactions, habitat loss and degradation due to oil and gas development,  
87 and chemical and noise pollution (Townsend 1935, NMFS 2013). During the Deepwater  
88 Horizon oil spill, over 500,000 kL of oil were released into the Gulf of Mexico for a total of 87  
89 days (DWH-NRDAT 2016). This oil spill exposed approximately 16% of the Gulf of Mexico  
90 sperm whale stock to volatile chemicals (Schwacke et al. 2016) and reduced prey populations  
91 due to the presence of toxic polycyclic aromatic hydrocarbons in the benthos and subsurface  
92 waters (Camilli et al. 2010, Diercks et al. 2010, Montagna et al. 2013). Additionally, sperm  
93 whales in the northern Gulf of Mexico are exposed to high levels of airgun and other  
94 anthropogenic noises related to geological and geophysical surveys for hydrocarbon deposits in  
95 the seabed. The Bureau of Ocean Energy Management (BOEM) has projected over 4 million km  
96 of seismic survey lines will be shot in the Gulf of Mexico over the next ten years (BOEM 2017).

97 Few studies on behavioral responses of sperm whales to anthropogenic sound have been  
98 conducted. In the Gulf of Mexico, controlled exposure experiments (CEE) conducted with eight  
99 tagged sperm whales over a series of 30-min intervals during pre-exposure, ramp-up, and full-  
100 array airgun firing indicated no avoidance behaviors but did suggest reduced foraging behavior  
101 (Miller et al. 2009). Sperm whales engage in resting behavior where they maintain a vertical  
102 posture near the sea surface; however, most closely approached whale (1.4-5.7 km) engaged in  
103 an unusually long resting bout of 265 min, and began foraging 4 min after the final airgun pulse  
104 (Miller et al. 2008, 2009). For comparison, usual inactive periods observed by Miller et al.  
105 (2008) were 0.7-31.5 min (mean:  $12.7 \pm 8.7$  s.d.,  $N = 70$ ). In addition to this observed potential  
106 delay in foraging during exposure, the seven whales with lower exposure levels exhibited  
107 decreases in movements and vocalizations associated with successful foraging (Miller et al.  
108 2009). Bayesian analysis suggested a 20% decrease in foraging activity was more likely than no

109 change in foraging activity, with one whale showing a statistically significant decrease in  
110 foraging activity of 60% (Jochens et al. 2008).

111 In CEE off Norway, sperm whales demonstrated avoidance, change in  
112 locomotion/orientation, change in dive profiles, cessation of foraging, cessation of resting, and  
113 changes in vocal behavior in response to naval sonar (Miller et al. 2011, Silvé et al. 2011, Miller  
114 et al. 2012, Curé et al. 2016). All changes in foraging activities included alteration or cessation  
115 of the production of foraging sounds (i.e., regular clicks and buzzes) and changes in the dive  
116 profile (Curé et al. 2016). Changes in coda and slow click production rates were also observed  
117 in many exposure sessions (Curé et al. 2016). Sperm whales respond more strongly and at lower  
118 sound levels to low frequency active sonar (LFAS; 1-2 kHz) than mid-frequency active sonar  
119 (MFAS; 6-7 kHz). Airguns used in seismic surveys produce most of their energy below 200 Hz,  
120 but contain significant acoustic energy over a broad band of operational frequencies ranging up  
121 to those covered by LFAS (Zeddies et al. 2015).

122 Cessation of foraging or reduction in foraging efficiency may lead to caloric deficits that  
123 must be paid from a sperm whale's body energy reserves. In cetaceans, energy is stored as  
124 carbohydrates, lipids, and proteins in various depots throughout the body, including the blubber,  
125 muscle, and viscera (Lockyer 1991). In this study, we apply a flexible life-stage structured  
126 bioenergetic framework for Gulf of Mexico sperm whales to evaluate the consequences of  
127 reduced foraging efficiency associated with anthropogenic disturbance. We use bootstrapping  
128 approaches to account for individual variability in availability and usage of body energy reserves  
129 to cover caloric deficits associated with foraging disturbance (Noren et al. 2003, Noren &  
130 Mangel 2004, Rea et al. 2007, Verrier et al. 2009). We evaluate maximum continuous  
131 disturbance duration until terminal starvation, consequences of continuous versus intermittent

132 disturbance, and consequences of complete versus partial disruption of foraging. Finally, we  
133 evaluate changes in relative body condition and potential mortalities associated with reductions  
134 in foraging efficiency.

135

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## MATERIALS AND METHODS

137 We developed bioenergetic models in R (R Core Team 2016) and parameterized them for  
138 juvenile, mature, pregnant, lactating and post-breeding females and juvenile and mature male  
139 sperm whales following life-stage definitions in Chiquet et al. (2013) and Lockyer (1981). Some  
140 bioenergetic parameters varied based on size within life stages, using Lockyer (1981)'s  
141 distinctions between sexually-mature and physically-mature females, and sexually-mature,  
142 socially-mature, and physically-mature males (**Table 1**). Changes in whale body mass and  
143 associated energy reserve levels were tracked on a daily basis (**Table 2, Figure 1**). Available  
144 energy reserves and daily energy requirements, expressed as field metabolic rates (FMR), were  
145 dependent on the life stage, size, and reproductive status of the individual. FMR is the total  
146 metabolic cost of all physiological processes and activities of an animal in the wild. Daily  
147 metabolism for juveniles and adult sperm whales that are not pregnant or lactating was assumed  
148 to equate to five times Kleiber (1975) predicted basal metabolic rate (BMR):

149

150 [1]  $FMR_d = 350T_d^{0.75} \times \chi_d$

151

152 where  $T_d$  is body mass (kg) on day  $d$ . Following Lockyer (1981), additional metabolic demands  
153 were imposed for pregnant and lactating females as a scalar on FMR ( $\chi_d$ , **Table 1**), such that

154 FMRs across the population of sperm whales range from five to six times Kleiber's (1975)  
155 predicted BMR, following Noren (2011).

156 Energy reserves during periods of impacted foraging were available from carbohydrates  
157 (H) in the blubber and muscle; lipids (D) in the blubber, muscle, and viscera; and proteins (R) in  
158 the muscle and viscera. Change in total body mass (i.e., growth) was modeled as follows:

159

160 [2] Natural Foraging:  $T_d = T_{d-1} + \gamma \times \varphi$  Disturbed Foraging:  $T_d = T_{d-1} - H_d - D_d - R_d$

161

162 where  $\gamma$  is the growth (kg/day) observed for a given life stage (Lockyer 1981) and  $\varphi$  is a scalar  
163 associated with a potential 'hunger response' (i.e., increased foraging effort to compensate for  
164 caloric deficits; Webber & MacDonald 1994), allowing reserves to be replaced at a rate  
165 exceeding  $\gamma$  during natural (e.g., undisturbed) days when foraging opportunities become  
166 available. As  $\gamma$  for physically-mature females and males is negligible (Lockyer 1981), these life  
167 stages were assumed to replenish depleted reserves at  $\gamma = 1.51$  and  $2.74$  kg/day, respectively  
168 (**Table 1**). The approach described in Equation 2 assumes that, on average, undisturbed whales  
169 will grow as empirically observed by Lockyer (1981); whereas disturbed whales will incur a  
170 caloric deficit proportional to the amount of lost foraging opportunities, and this caloric deficit  
171 will be paid out of body reserves.

172 To allow longer simulations, the bioenergetic model incorporated growth, a reproductive  
173 cycle, and transitions to different life stages. At the beginning of each model year, whales were  
174 able to transition from juvenile to sexually mature females, from sexually mature females to  
175 physically mature females, sexually mature to socially mature males, or socially mature males to  
176 physically mature males following growth. Sizes at different life stages are from Lockyer



177 (1981). When whales transitioned to different life stages all associated bioenergetic metrics were  
178 also updated following **Table 1**.

179 Additional metabolic demands, expressed as size-dependent scalars on FMR, were  
180 imposed for pregnant and lactating females (**Table 1:  $\chi_d$** ). Gestation length was set at 15 months,  
181 nursing duration was set at 2 years, and interbirth intervals were set at 4 years, with 25.28% of  
182 “mature females” considered pregnant at the beginning of the simulation (Chiquet et al. 2013).  
183 Following Chiquet et al. (2013), pregnant females transitioned to lactating “mothers” upon  
184 reaching the end of the gestation interval. Lactating mothers transitioned to “post-breeding”  
185 females (i.e., post-calving females in the interbirth interval) following the nursing duration, then  
186 became pregnant again once they reached the end of the interbirth interval. To initialize the  
187 simulation, days since previous birth for “post-breeding” females was set randomly between 1-  
188 1460 days (4 years).

### 189 *Natural Foraging*

190 During natural foraging (e.g., no anthropogenic disturbance), body tissues and associated  
191 reserve levels grew in proportion to the overall increase in body mass. Growth in blubber ( $B_d$ ),  
192 muscle ( $M_d$ ), and viscera ( $V_d$ ) mass during natural foraging was proportional to total body  
193 growth:

194

$$195 \quad [3] \quad B_d = B_{d-1} + \gamma \times \beta$$

196

$$197 \quad [4] \quad M_d = M_{d-1} + \gamma \times \mu$$

198

$$199 \quad [5] \quad V_d = V_{d-1} + \gamma \times \nu$$

200

201 where  $\beta$ ,  $\mu$ , and  $\nu$  are blubber, muscle, and viscera mass as a percentage of total body mass,202 respectively. Similarly, growth in carbohydrate mass ( $K_d$ ) during natural foraging was

203 proportional to growth in blubber and muscle mass:

204

205 [6] 
$$K_d = K_{d-1} + \gamma \times \beta \times \varsigma_b + \gamma \times \mu \times \varsigma_m$$

206

207 where  $\varsigma_b$  and  $\varsigma_m$  are carbohydrate mass as a percentage of blubber and muscle mass, respectively.208 Increases in lipid mass in the blubber ( $L_b$ ), muscle ( $L_m$ ), and viscera ( $L_v$ ) were computed as

209 follows:

210

211 [7] 
$$L_{b,d} = L_{b,d-1} + \gamma \times \beta \times A_b$$

212

213 [8] 
$$L_{m,d} = L_{m,d-1} + \gamma \times \mu \times A_m$$

214

215 [9] 
$$L_{v,d} = L_{v,d-1} + \gamma \times \nu \times A_v$$

216

217 where  $A_b$ ,  $A_m$ , and  $A_v$  are lipid mass as a percentage of blubber, muscle, and viscera mass,218 respectively. Similarly, increases in protein mass in the muscle ( $P_{m,d}$ ) and viscera ( $P_{v,d}$ ) were

219 computed as follows:

220

221 [10] 
$$P_{m,d} = P_{m,d-1} + \gamma \times \mu \times \Pi_m$$

222

223 [11]  $P_{v,d} = P_{v,d-l} + \gamma \times \mu \times II_v$

224

225 where  $II_m$  and  $II_v$  are protein mass as a percentage of muscle and viscera mass, respectively.

226 Observations of starved animals suggest not all body lipids and proteins are available for

227 metabolism during a starvation event. Most cetaceans store the majority of lipids in their blubber

228 as triacylglycerols (TAGs), and draw upon these TAGs as an energy reserve (Koopman 2007).

229 Evidence from stranded cetaceans suggests not all TAGs are available for metabolism during a

230 starvation event; some may be structural or otherwise unavailable to the animal. Based on

231 studies of reductions in blubber TAGs in emaciated stranded cetaceans, we modeled between 50-

232 67% of TAGs as available in sperm whale blubber, muscle, and viscera (Koopman et al. 2002,

233 Struntz et al. 2004, Dunkin et al. 2005, H. Koopman & W.A. Pabst, UNCW, pers. comm. to

234 N.A.F. 2017). Sperm whales (and beaked whales) seem unique amongst odontocetes in that they

235 store the vast majority of their blubber lipids as wax esters (WE) instead of TAGs (Lockyer

236 1991, Koopman 2007, Pabst et al. 2016). WEs may have reduced demands on oxygen

237 metabolism relative to TAGs, which may explain their prevalence in deep diving whales.

238 However, evidence from in vitro and in vivo studies indicates most animals are inefficient at

239 metabolizing WE; hydrolyzing WE at around one-tenth the rate of TAG (Savory 1971, Patton &

240 Benson 1975, Sargent 1976, Place 1992, Pond 1998). Because substantial uncertainty exists

241 with regards to the amount of WE available for metabolism during a starvation event, we

242 modeled between 0-50% of WE lipids as metabolically available (H. Koopman & W.A. Pabst,

243 UNCW, pers. comm. to N.A.F. 2017). Increases in metabolically available lipids in the blubber

244 ( $l_b$ ), muscle ( $l_m$ ), and viscera ( $l_v$ ) were computed as follows:

245

246 [12]  $l_{b,d} = l_{b,d-1} + \gamma \times \beta \times A_b \times \lambda_b$

247

248 [13]  $l_{m,d} = l_{m,d-1} + \gamma \times \mu \times A_m \times \lambda_m$

249

250 [14]  $l_{v,d} = l_{v,d-1} + \gamma \times \nu \times A_v \times \lambda_v$

251

252 where  $\lambda_b$ ,  $\lambda_m$ , and  $\lambda_v$  are the percentage of metabolically available lipids within blubber, muscle,

253 and viscera tissue, respectively. Similarly, increases in metabolically available protein in the

254 muscle ( $p_m$ ) and viscera ( $p_v$ ) were computed as follows:

255

256 [15]  $p_{m,d} = p_{m,d-1} + \gamma \times \mu \times \Pi_m \times \pi$

257

258 [16]  $p_{v,d} = p_{v,d-1} + \gamma \times \mu \times \Pi_v \times \pi$

259

260 where  $\pi$  is percentage of muscle protein available for metabolism prior to terminal starvation

261 following Castellini & Rea (1992).

## 262 *Disturbed Foraging*

263 Bioenergetic responses to foraging disturbance were based on Castellini & Rea (1992).

264 Reductions in foraging efficiency due to anthropogenic disturbance create a caloric deficit ( $C_d$ ):

265

266 [17]  $C_d = FMR_{d-1} \times \chi_{d-1} \times (1 - F_{d-1})$

267

268 where  $F_{d-1}$  is the reduction in foraging efficiency in the previous day. If sufficient carbohydrate  
269 reserves were available to cover  $C_d$ , they are depleted as follows:

270

271 [18] 
$$K_d = K_{d-1} - (C_d) / (10^3 \times \delta)$$

272

273 where  $\delta$  is the caloric value of carbohydrates (kcal/g). If insufficient carbohydrate reserves are  
274 available, the carbohydrate reserves are completely depleted (i.e.,  $K_d=0$ ) and any remaining daily  
275 caloric deficit ( $c_d$ ) is covered by lipid and protein reserves. If sufficient lipid and protein  
276 reserves were available, 90% of the remaining caloric deficit was covered from lipid reserves  
277 and 10% from protein reserves (Noren et al. 2009):

278

279 [19] 
$$D_d = (c_d \times \theta) / (10^3 \times \Theta)$$

280

281 [20] 
$$R_d = (c_d \times \rho) / (10^3 \times P)$$

282

283 where  $D$  is lipid loss,  $R$  is protein loss,  $\theta$  is the percent of  $c_d$  met by lipid oxidation,  $\rho$  is the  
284 percent of  $c_d$  met by protein oxidation, and  $\Theta$  and  $P$  are the caloric value of lipids and proteins,  
285 respectively. If lipid reserves are inadequate to cover 90% of the  $c_d$  then >10% of the  $c_d$  is  
286 covered from protein reserves (**Figure 1**). Similarly, if protein reserves are inadequate to cover  
287 10% of the  $c_d$  then >90% of the  $c_d$  is covered from lipid reserves. In all cases, lipid and protein  
288 reserves in the various body tissues are depleted proportional to their availability (**Figure 1**).

289 Payments of caloric deficits reduce body mass (Equation 2) and available lipid ( $l$ ) and  
290 protein ( $p$ ) reserves in the blubber ( $b$ ), muscle ( $m$ ), and viscera ( $v$ ):

291

292 [21]  $l_{t,d} = l_{t,d-1} - \%o_{tl} \times D_d$

293

294 [22]  $p_{t,d} = p_{t,d-1} - \%o_{tp} \times R_d$

295

296 where  $t$  is a general subscript for the different body tissue types ( $b, m, v$ ) and  $\%o_t$  is the relative  
297 depletion rate specific to each tissue.

298 Total energy reserves at the end of each day ( $TE_d$ ) are the sum of the masses of available  
299 carbohydrate, lipid, and protein in the blubber, muscle, and viscera multiplied by their respective  
300 oxidative coefficients:

301

302 [23]  $TE_d = (K_d \times 10^3 \times \delta) + [(l_{b,d} + l_{m,d} + l_{v,d}) \times 10^3 \times \Theta] + [(p_{m,d} + p_{v,d}) \times 10^3 \times P]$

303

304 If total available energy reserves were depleted to zero, the individual reached terminal  
305 starvation. At terminal starvation, protein stores are greatly depleted, lipid utilization falls,  
306 circulating ketones decline, cardiac tissue and other organs are compromised (Castellini & Rea  
307 1992). Recovery by refeeding at terminal starvation is a long and difficult process that may take  
308 up to a year in humans, even under close medical supervision (Burton 1976). It is unlikely that  
309 animals in the wild could recover from terminal starvation. Following New et al. (2013), we  
310 assumed pregnant or lactating adult females prioritize their own survival and might abort their  
311 fetus or abandon their calf if their energy stores hit critical levels (**Table 1**).

312 *Replacement of Lost Reserves*

313 How sperm whales allocate body growth on natural foraging days following a  
314 disturbance is an important consideration for their resilience. We captured the uncertainty in  
315 how growth might be allocated by allowing sperm whales on a daily basis to replace lost reserves  
316 in a uniform range between somatic growth levels (e.g., Equations 6, 12-16) and perfect  
317 proportional replacement of lost reserves. For perfect replacement, the daily growth rate ( $\gamma$ ) was  
318 apportioned between metabolically available carbohydrate, lipid, and protein reserves in the  
319 blubber, muscle, and viscera proportional to their loss during prior disturbances.

#### 320 *Model Runs*

321 Bioenergetic model evaluations were performed on 500 simulated individuals for each  
322 life stage, with bootstrapping used to capture the variability in the bioenergetic parameters  
323 presented in **Table 1**. To evaluate the consequences of WE energy storage on the ability to  
324 survive disturbance events, the maximum continuous disturbance duration until terminal  
325 starvation for modeled sperm whales storing the majority of their lipids as WE was compared to  
326 maximum continuous disturbance duration until terminal starvation for hypothetical sperm  
327 whales storing all of their lipids as TAGs. To evaluate the consequences of complete versus  
328 partial disturbance, complete foraging disruptions (i.e., starvation events) were modeled along  
329 with 25%, 50%, and 75% foraging disruptions over 24-hr periods. To evaluate the impacts of  
330 consecutive versus intermittent disturbance, complete foraging disruptions were evaluated as  
331 daily, every other day, or weekly events. To evaluate the impacts of intermittent disturbance on  
332 body condition, the reserve levels of undisturbed individuals were compared to those of  
333 individuals with random 5% foraging disruptions. To evaluate the impacts of a “hunger  
334 response,” time to terminal starvation was compared for identically-sized females at different  
335 compensatory foraging levels expressed as a scalar ( $h$ ) on daily growth rate ( $\gamma$ ) (see equation 2).

336 For this simulation,  $h$  was allowed to exceed one so long as the individual's reserve levels were  
337 lower than an undisturbed individual with identical physiological parameters. Deterministic  
338 comparisons evaluated what level of compensatory foraging the whale would require to survive a  
339 decade of weekly disturbance.

340

341

## RESULTS

342 The bootstrapping approach applied in this modeling process allowed a broad range of  
343 sperm whale sizes to be evaluated in order to develop general conclusions about resilience to  
344 foraging disturbance. In general, mature male sperm whales have greater reserve capacity than  
345 females owing to their larger size (**Figure 2**) and higher blubber content as a percentage of body  
346 mass (**Figure 3**). With the exception of lipid concentration as a percentage of blubber mass,  
347 differences in bioenergetic parameters between life stages are relatively minor (**Figure 3: lower**  
348 **left**). Lactating mothers and mature males have the lowest percentage of lipids per unit blubber  
349 mass.

350 Individual resilience to starvation events is primarily a function of size (i.e., reserve  
351 capacity) and daily energetic demands (i.e., FMR). An undisturbed sperm whale makes  
352 substantial gains in reserves through time; the rate of these gains in reserves varies with life stage  
353 and reproductive status (**Figure 4a**). Model runs suggest that infrequent, minor disruptions in  
354 foraging are not fatal, but may result in reduced body reserves relative to an undisturbed  
355 individual and delays in sexual maturation (**Figure 4b**). Carbohydrate reserves, in particular, are  
356 rapidly depleted because they are drawn upon first to cover the recurring caloric deficits.

357 Model outputs suggest sperm whale lipid energy storage as a combination of WE and  
358 TAG reduces their ability to withstand starvation events by around 30% (**Figure 5**). Our



359 simulation results illustrate that sperm whales can endure partial foraging disruptions for much  
360 longer time periods than full foraging disruptions (i.e. starvation), largely because partial  
361 foraging results in smaller daily caloric deficits (**Figure 6**). For example, whales foraging at  
362 75% efficiency took approximately 3.5 times longer to reach terminal starvation than whales  
363 unable to forage (e.g., 0% efficiency). However, model runs suggested that frequent disruption  
364 of foraging, even at low levels, can be fatal for sperm whales, because they are unable to  
365 replenish their reserves without an undisrupted foraging day.

366 Sperm whale mothers and juveniles are the most vulnerable life stages to foraging  
367 disturbance (**Figure 7**). Postbreeding females endured >60% more days of fasting than lactating  
368 females. Frequency of fasting events is a major determinant for how long a sperm whale can  
369 survive foraging disturbances, as several days to weeks of natural foraging allow them to grow  
370 and replenish some of their lost reserves (**Figure 7**). Days to terminal starvation was roughly  
371 inversely proportional to the frequency of disturbance; however, less frequent disturbances  
372 allowed whales to rebuild reserves, extending time to terminal starvation. Across life stages,  
373 time to terminal starvation for whales with disturbances every other day, weekly, and monthly  
374 were approximately 1.6, 7.0, and 33.5 times longer than time to terminal starvation with  
375 continuously (e.g., daily) disrupted foraging, respectively. Model runs suggested males were  
376 most resilient to foraging disturbance and many males were able to survive monthly foraging  
377 disturbances. The increases in viability are less substantial for reproductively active females  
378 (e.g., F, MO, PB) due to the dampening influence of the additional energetic demands of the  
379 reproductive cycle.

380 Increases in a whale's ability to replace body reserves above observed daily growth ( $\gamma$ )  
381 are required to survive routine weekly disturbances over a ten-year simulation period (**Figure 8**).

382 A doubling in daily growth capacity (e.g.,  $\varphi=2$ ) is required on undisturbed foraging days for a  
383 female whale to avoid terminal starvation with a weekly 25% disturbance (**Figure 8: circles**). A  
384 six-fold increase in daily growth capacity is required for a female whale to avoid terminal  
385 starvation with a weekly 50% disturbance (**Figure 8: triangles**). A 14-fold increase in daily  
386 growth capacity was inadequate for a female whale to avoid terminal starvation with a weekly  
387 75% disturbance (**Figure 8: squares**).

388 A day of starvation for an 8179 kg mature female sperm whale results in a caloric deficit  
389 of approximately 300,000 kcal, equivalent to approximately either 75 kg of carbohydrate  
390 reserves (if available) or 28 kg of lipid and 5 kg of protein reserves. By contrast, without a  
391 ‘hunger response’ (e.g.,  $\varphi=1$ ), the daily growth of a mature female sperm whale is only 1.51  
392 kg/day (**Table 1**). If this growth is distributed amongst body tissues proportional to standard  
393 somatic growth, a female whale can only replace approximately 0.04 kg, 0.12 kg, and 0.05 kg of  
394 metabolically available carbohydrate, lipid, and protein reserves, respectively. This equates to  
395 only approximately 0.5% of FMR. If growth is distributed amongst body tissues with imperfect  
396 allocation between somatic growth and proportional replacement of lost reserves, mean  
397 replacement is approximately 2% of FMR. A ‘hunger response’ increases this replacement rate,  
398 providing added metabolic benefits to undisturbed foraging days, yet the physiological capacity  
399 of ‘hunger responses’ in wild sperm whales remains unknown.

400

401

## DISCUSSION

402

403

404

For approximately 23 million years, sperm whales have used sound to pursue prey in the deep ocean, one of the most stable environments on the planet. Sperm whales use echolocation (Miller et al. 2004a) to capture several hundred kilograms of various deep-water prey ((Berzin

405 1972, Best 1979, Kawakami 1980, Clarke et al. 1993) on a daily basis. Under typical ambient  
406 conditions, they may be able to acoustically locate prey at distances up to 1000 m (Møhl et al.  
407 2003, Madsen et al. 2007). Sperm whale decisions about where to forage may be based on prior  
408 foraging success, echo information gathered during ascent (Fais et al. 2015), and eavesdropping  
409 on conspecifics foraging nearby (Madsen et al. 2002). Sperm whales appear to perform complex  
410 auditory processing, tracking multiple prey targets simultaneously (Fais et al. 2015). This  
411 complex information-gathering allows sperm whales to efficiently locate and access prey  
412 resources in a dark, patchy and vast environment (Fais et al. 2015). Sperm whales in the Gulf of  
413 Mexico have been exposed to high levels of anthropogenic noise from seismic testing for  
414 decades. Although habituation may be possible, it is likely that increased anthropogenic noise  
415 impairs or inhibits their use of sound to acquire prey (Lima & Zollner 1996, Zollner & Lima  
416 1999, Fais et al. 2015). Our bioenergetics simulations suggest frequent disruptions in foraging  
417 can have potentially severe fitness consequences for sperm whales. Anthropogenic disturbance  
418 may lead to caloric deficits that must be paid through body reserves. If disturbance is frequent  
419 and severe, it may lead to terminal starvation. Frequent partial disturbances of foraging may lead  
420 to reduced body condition, with potential indirect effects of delayed sexual maturation or  
421 reduced reproductive fitness.

422 To maximize individual survival and reproduction, organisms must optimize how they  
423 acquire and allocate resources (Stearns 1989). Optimal foraging theory predicts that animals  
424 should maximize energy intake rate and minimize the time spent obtaining food (Schoener  
425 1971). Physiological constraints play an important role in determining the foraging behavior of  
426 marine mammals (Rosen et al. 2007). To meet their energy needs, marine mammals must  
427 balance the time required to capture prey (limited by foraging time, diving capabilities, and

428 thermoregulatory costs) and process that prey (limited by maximum digestive capacity and time  
429 required for digestion). Deep-diving marine mammals have a substantial incentive for efficient  
430 foraging, as they must access two vital but spatially-separated resources: air at the surface and  
431 food at depth (Kramer 1988). Recent field studies involving southern elephant seals (*Mirounga*  
432 *leonina*) have suggested that their deep-dive foraging behavior is consistent with optimal  
433 foraging theory (Thums et al. 2013). If anthropogenic disturbance interferes with sperm whale  
434 acoustic signal processing, they may cease or reduce foraging effort (Miller et al. 2009, Miller et  
435 al. 2011, Silvé et al. 2011, Miller et al. 2012, Curé et al. 2016).

436 Sperm whales may be less resilient to reduced foraging efficiency than other similar sized  
437 whales due to their income breeding strategy and their unique body composition. The income  
438 breeding strategy (use of concurrent intake to pay for a reproductive attempt) used by sperm  
439 whales requires stable or predictable environments that enable continuous energy acquisition  
440 throughout the year (Oftedal 1997, Irvine et al. 2017). The vast majority of sperm whale blubber  
441 lipids are stored as wax ester (WE), which conserve oxygen during metabolism but are less  
442 accessible as a source of mobilizable energy (Lockyer 1981, Koopman 2007). The dominance of  
443 WE, rather than triacylglycerol (TAG), in the blubber of sperm whales have led several authors  
444 to suggest that sperm whales may not use blubber lipids as an energy reserve and may be reliant  
445 upon stable foraging environments (Lockyer 1991, Koopman 2007, Pabst et al. 2016). Although  
446 sperm whales are extremely large animals, our simulations suggest the prevalence of WE in their  
447 blubber may reduce their resilience to terminal starvation by approximately 30%.

448 We attempted to capture the uncertainty in sperm whale bioenergetic modeling through  
449 bootstrap Monte Carlo sampling. The metabolic dynamics of starvation are complex, and our  
450 model has its limitations. The fasting response includes a suite of energy conserving adaptations

451 that limit tissue loss and delay death by starvation. These adaptations include decreased  
452 locomotion, increased sleep, and metabolic depression (Keys et al. 1950). In our model, FMR is  
453 reduced as a function of changes in body mass as reserves are expended, but metabolic  
454 depression is not explicitly modeled. Metabolic depression is a rapid response to fasting that  
455 drops metabolism below levels that would be predicted by losses in body mass, and is most  
456 clearly demonstrated by animals that undergo natural fasting (Hudson 1973, Msosovsky &  
457 Sherry 1980, Merkt & Yalor 1994). In Steller sea lions (*Eumetopias jubatus*) subjected to 9 to  
458 14 day fasts, resting metabolic rates decreased on average by 31%; however, metabolic  
459 depression did not occur during 28-day food restriction trials despite substantial decreases in  
460 body mass (Rosen & Trites 2002). Metabolic depression has been observed in fasting weaned  
461 northern elephant seal pups (*Mirounga angustirostris*; Rea & Costa 1992). However, for  
462 northern elephant seals (Noren 2002) and fur seals (*Arctocephalus tropicalis*; Verrier et al.  
463 2011), the strongest predictor of resting metabolic rate during extended fasts is body mass,  
464 including lean mass and lipid mass (e.g., body condition). Decreases in metabolism may be  
465 limited or precluded by potential conflicts with thermoregulatory abilities, buoyancy, or water  
466 balance (Aschoff & Pohl 1970, Fuglei & Øritsland 1999, Miller et al. 2004b, Svärd et al. 2009).  
467 Given these somewhat equivocal results for fasting species, it is unclear if sperm whales would  
468 demonstrate metabolic depression, as they do not typically undergo extended periods of fasting  
469 during their life cycle. If metabolic depression occurs in sperm whales, evidence from other  
470 marine mammals suggests this response is less likely during a period of impaired foraging than  
471 during an extended starvation event (Rosen & Trites 2002).

472         During a period of impaired foraging, where additional resources may be perceived as  
473 available, animals may demonstrate a “hunger response” where they increase foraging effort to

474 compensate for caloric deficits (Cornish & Mrosovsky 1965, Collier 1969, Rosen & Trites  
475 2002). The total daily energy gain on undisturbed foraging days without a ‘hunger response’  
476 equates to approximately 0.5%-2% of FMR when  $\chi=1$  (i.e., no additional demands from  
477 pregnancy or lactation). The ‘hunger response’ is accompanied by an increase in metabolism  
478 (Webber & MacDonald 1994). We simulated hunger responses as the animal’s ability to acquire  
479 sufficient food on days of natural foraging to replace lost reserves as a scalar on observed daily  
480 growth rates from Lockyer (1981). The substantial increase in daily growth required to  
481 compensate for foraging disruptions suggest that the costs of maintaining their massive bodies  
482 greatly outweigh the costs associated with daily growth for these long lived, slow-growing  
483 predators (Lockyer 1981). A mature female sperm whale loses over 30 kg of metabolically  
484 available reserve mass during a day without foraging, but gains less than 2 kg of total body mass  
485 on a natural foraging day in the absence of a hunger response. Rosen & Trites (2002) report a 2  
486 kg/day loss and 1 kg/day replacement for Steller sea lions that were starved for approximately  
487 two weeks and then refed for two weeks. This 50% replacement ratio would equate to  
488 approximately a ten-fold hunger response for a sperm whale. Because body growth scales  
489 proportionally to size with a slope of 0.75 (Case 1978), this 50% daily replacement rate may not  
490 be attainable in an adult sperm whale that is over 50 times larger than a Steller sea lion.  
491 Additionally, the Steller sea lions in the Rosen & Trites (2002) study were refed in a captive  
492 situation (e.g., no foraging effort and no prey limitations). On average, sperm whales in the Gulf  
493 of Mexico spend  $72\pm 32.7\%$  ( $17.3\pm 7.8$  hr) of each day in foraging dive cycles, consisting of  
494  $45.5\pm 7.4$  min dives followed by  $8.1\pm 2.6$  min surface intervals (Watwood et al. 2006). The  
495 substantial daily investment in foraging for Gulf of Mexico sperm whales suggests that the  
496 foraging effort required to support substantial increases in daily growth rate may be impossible

497 to achieve in reality, due to limits on food intake associated with constraints on prey acquisition  
498 and processing (see review in Rosen et al. 2007). Thus, it is likely that any ‘hunger response’  
499 and associated increases in daily growth rate for sperm whales would be lower than that  
500 observed for Steller sea lions by Rosen & Trites (2002).

501         Our model accounted for reductions in carbohydrate, protein, and lipid reserves, but did  
502 not account for several other fasting health impacts that can lead to a downward spiral of  
503 increased tissue catabolism to pay for increased energy costs (Rosen et al. 2007). Failure to  
504 consume sufficient prey has feedback effects on foraging, thermoregulation, and digestive  
505 capacity (Rosen et al. 2007). Depletion of the blubber layer affects buoyancy and gait,  
506 increasing the energetic costs of future foraging efforts (Miller et al. 2004b, Rosen et al. 2007).  
507 Dehydration and ketosis are associated with the catabolism of energy stores (Castellini & Rea  
508 1992). The release of chemical substances into the bloodstream associated with the breakdown  
509 of adipose body reserves may have neurotoxic and immunotoxic effects and has been implicated  
510 in marine mammal strandings (Mazzariol et al. 2011). Similarly, our model does not account for  
511 increases in the energy required to maintain a stable internal body temperature (Watts et al.  
512 1993) associated with reductions in blubber energy stores (Rosen et al. 2007). Additionally, the  
513 circulatory demands of diving, thermoregulation, and digestion may be mutually incompatible,  
514 forcing animals to alter time budgets to meet these exclusive demands (Rosen et al. 2007).  
515 Finally, we did not model the increased vulnerability to disease associated with malnutrition  
516 (Scrimshaw et al. 1968).

517         With their immense size, exploitation of relatively stable deep ocean environments, and  
518 considerable ability to move between food patches, starvation is unlikely cause of death for  
519 sperm whales under natural circumstances. However, terminal starvation may be possible for

520 whales lost or trapped in a novel environment (Mazzariol et al. 2011) or whales repeatedly  
521 exposed to anthropogenic stressors that reduce their foraging ability (Miller et al. 2009, Miller et  
522 al. 2011, Sivilé et al. 2012, Miller et al. 2012, Curé et al. 2016). Our model suggested mature  
523 sperm whales would take between three weeks to two months to reach terminal starvation.  
524 Captive starvation studies of cetaceans have not been performed; however, field observations  
525 may be used to ground-truth our simulation results. In general, sperm whales would be expected  
526 to endure starvation longer than smaller odontocetes, owing to their larger size and associated  
527 reserves. Studies suggest the harbor porpoise (*Phocoena phocoena*), a small odontocete  
528 inhabiting the cold temperate waters of the Northern Hemisphere, would starve to death in only  
529 three to five days (Koopman 1994, Kastelstein et al. 1997). Medium-sized orcas entrapped in  
530 sea ice may survive between 14-75 d (Lowry et al. 1987, Higdon & Ferguson 2014). In 2014,  
531 three transient (e.g., marine mammal eating) orcas, including a late-term pregnant female, that  
532 travelled up the Nashagak River near Dillingham, Alaska presumably died from starvation (and  
533 possibly dehydration) after being without food for at least 25 days (K. Savage, NOAA, pers.  
534 comm.). Anecdotal information suggests beluga whales (*Delphinapterus leucas*) entrapped in  
535 sea ice have starved within 60-90 d (Flood 2001). Several of these field observations are  
536 confounded by partial foraging, stranding injuries, polar bear attacks, and limited details  
537 regarding the actual dates of entrapment or mortality. Records of emaciated animals stranding  
538 on beaches are more common because they are more easily observed. However, it is difficult to  
539 back-calculate how long the animals have starved, and emaciation is usually implicated as only  
540 one among many probable causes of death (Bogomolni et al. 2010). Recently, Mazzariol et al.  
541 (2011) suggested a possible concurrent role for starvation in the mass stranding of seven male  
542 sperm whales in the Adriatic Sea, with a minimum starvation period of three to seven days.



543 These various field observations suggest that our estimates of time to starvation for sperm whales  
544 are within a reasonable range.

545 Our analysis suggests foraging disruptions would have to be relatively frequent to lead to  
546 terminal starvation, but continual minor disruptions can cause substantial reductions in available  
547 reserves. Reductions in available reserves may be equivalent to a reduction in body condition  
548 (Christiansen & Lusseau 2015). Theoretical and empirical studies of other cetaceans suggest  
549 reduced body condition in mothers may decrease the probability of calf production (New et al.  
550 2013, 2014; Christiansen et al. 2014) or reduce the size of the calf at birth (Kovacs & Lavigne  
551 1986), which may reduce the probability of calf survival (McMahon et al. 2000). Our model  
552 suggests that sperm whale mothers with calves are the most vulnerable life stage to foraging  
553 disruptions because of the high additional metabolic demands associated with lactation (see  
554 **Figure 5**). Reductions in female fitness or female mortalities may be accompanied by the  
555 mortality of any associated fetus or calf, or lactating mothers may provide an energetic buffer to  
556 their offspring at the expense of their own body condition and future reproductive success  
557 (Bradford et al. 2012, Rolland et al. 2016). Juveniles are also vulnerable to starvation events due  
558 to their relatively low body reserve levels (see **Figure 5**). If anthropogenic disturbance disrupts  
559 sperm whale foraging, these findings are cause for concern for the Gulf of Mexico stock.

560 It is difficult to evaluate the simultaneous impacts of the broad suite of anthropogenic  
561 stressors that might reduce sperm whale foraging efficiency; however, the potential  
562 consequences are substantial. The estimated annual rate of increase from reproduction for Gulf  
563 of Mexico sperm whales ranges from zero (Schwacke et al. 2016) to less than 1% per year  
564 (Chiquet et al. 2013). From Jan 1, 2000- Sept 1, 2017, there were 36 sperm whale strandings  
565 recorded in the U.S. Gulf of Mexico (including all of Monroe County, Florida) in the NOAA

566 Marine Mammal Health and Stranding Response Program National Database (data pulled on  
567 5/8/2017; B. Mase, NOAA, pers. comm.). Body condition is not explicitly recorded on the Level  
568 A data entered in this database (Level A data include details of each stranding such as species,  
569 date, stranding location, carcass condition, sex, length, examiner, signs of human interaction);  
570 however, 8 of 36 (22%) of these strandings noted in comments that animals were  
571 thin/underweight (ranging from thin to emaciated). Potential biological removals (PBR) for the  
572 Gulf population is one individual per year (NMFS 2016). PBR is defined by the U.S. Marine  
573 Mammal Protection Act as the maximum number of animals, excluding natural mortalities, that  
574 may be removed from a marine mammal stock while allowing that stock to reach or maintain its  
575 optimum sustainable population. Sperm whale mortalities and reductions in individual fitness  
576 associated with anthropogenic stressors in the Gulf of Mexico could lead to population-level  
577 effects if PBR exceeds one individual per year. Additionally, the ESA recovery plan for sperm  
578 whales seeks to minimize or eliminate effects of human activities that are detrimental to the  
579 recovery of their global populations, including threats such as competition for resources, loss of  
580 prey base due to climate change, and disturbance from anthropogenic noise (NMFS 2010). Our  
581 bioenergetic model provides a flexible framework for additional CEE and simulation modeling  
582 to evaluate the level, frequency, and consequences of foraging disruptions associated with  
583 various anthropogenic stressors. Applying this bioenergetics modeling approach within a PCoD  
584 framework that included a time series for anthropogenic disturbance would allow empirical  
585 estimation of individuals reaching terminal starvation and reductions in body condition for  
586 survivors.

587

588 *Acknowledgements:*

589           The authors would like to thank Laura Engleby, Rachel Sweeney, David Bernhart,  
590 Allison Hernandez, Benjamin Laws, Eric Patterson, D. Ann Pabst, Heather Koopman, Robin  
591 Dunkin, and Christina Lockyer for their assistance in the development of this manuscript. The  
592 authors would also like to thank Isaac Kaplan for his constructive review.

593 *Disclaimer:*

594 The scientific results and conclusions, as well as any views or opinions expressed herein, are  
595 those of the author(s) and do not necessarily reflect those of NOAA or the Department of  
596 Commerce.

597 **LITERATURE CITED**

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**Tables**

**Table 1.** Bioenergetic model parameters, their definitions and sources, and the distributions from which parameter values are drawn to categorize uncertainty.

Symbol	Description	Juvenile Female	Mature Female	Mother (Lactating Female)	Post-Breeding Female	Juvenile Male	Mature Male	Source
$\Xi$	body length in meters	U(6,8.7)	$N(\mu=9.55, \sigma=0.76, a=8.7, b=12.25)$			U(6.0,12.0)	U(9.65,15.85)	Lockyer (1981), Jochens et al. (2008)
$\Psi$	meristic conversion between length (m) to mass (kg)	$0.0218(l)^{2.74} * 1000$						Lockyer (1981)
$\gamma$	daily growth in kg under undisturbed foraging conditions	3.01 kg/day	1.51 kg/day if under 10.9 m, else 0 kg/day			3.01 kg/day if under 9.65 m, else 2.74 kg/day	2.74 kg/day if under 13.65 m, else 0 kg/day	Lockyer (1981)
<i>FMR</i>	Field metabolic rate (kcal)	$350(\Psi)^{0.75} * \chi$						Noren (2011)

$\chi$	scalar on daily energy requirement accounting for additional metabolic demands of pregnancy or lactation	1	not pregnant: 1, pregnant: U(1.05,1.1)	U(1.32,1.63)	1	Lockyer (1981)
$\delta$	energy value of carbohydrates (kcal/g)	3.99				Lockyer (1991)
$\theta$	energy value of lipids (kcal/g)	9.44				Lockyer (1991)
$P$	energy value of proteins (kcal/g)	5.64				Lockyer (1991)
$\theta$	percent lipid reserve use (if available) to cover caloric deficit	0.9				Noren et al. (2009)

$\rho$	percent protein reserve use (if available) to cover caloric deficit	0.1		Noren et al. (2009)
$\beta$	blubber mass as a percentage of body mass	U(0.31,0.32)	0.33	Lockyer (1991)
$\mu$	muscle mass as percentage of body mass	U(0.225,0.30)	0.26	Lockyer (1991)
$v$	viscera mass as percentage of body mass	0.09		Lockyer (1991)

$\zeta_b$	carb mass as a percentage of blubber mass	$1/3*U(0.08,0.30)+1/3*0.06*1/3*0.01$	Lockyer (1991) carbohydrates make up 8-30% of the blubber in the middle and posterior sections, but only 6% in the anterior dorsal and <1% in the anterior ventral regions
$\zeta_m$	carb mass as a percentage of muscle mass	$N(\mu=0.0097, \sigma=0.0198, a=0, b=0.05)$	Lockyer (1991)
$\Pi_m$	protein mass as percentage of muscle mass	0.267	Worthy et al. (1992), Iverson et al. (1993)
$\Pi_v$	protein mass as percentage of viscera mass	$U(0.0161,0.0167)$	Lockyer (1991)

$\pi$	protein available until terminal starvation is reached	U(0.30,0.50)						Castellini & Rea (1992)
$A_b$	lipid mass as a percentage of blubber mass	$N(\mu=0.568, \sigma=0.278, a=0.247, b=0.732)$	under 10.9 m: $N(\mu=0.548, \sigma=0.194, a=0.451, b=0.893)$ ; over 10.9 m: $N(\mu=0.488, \sigma=0.183, a=0.162, b=0.86)$	$N(\mu=0.418, \sigma=0.1, a=0.348, b=0.489)$	under 10.9 m: $N(\mu=0.548, \sigma=0.194, a=0.451, b=0.893)$ ; over 10.9 m: $N(\mu=0.488, \sigma=0.183, a=0.162, b=0.86)$	$N(\mu=0.446, \sigma=0.269, a=0.256, b=0.637)$	$N(\mu=0.423, \sigma=0.121, a=0.338, b=0.509)$	Lockyer (1981) [sexually mature vs. physically mature], Evans et al. (2003)
$A_m$	lipid mass as a percentage of muscle mass	$N(\mu=0.0288, \sigma=0.0342, a=0.01, b=0.1)$						Lockyer (1991; Fig. 7 plus text)
$A_v$	lipid mass as a percentage of viscera mass	U(0.6944,0.8043)						Lockyer (1991)
$\omega_b$	wax ester mass as a percentage of blubber lipid mass	U(0.613,1.0)						Lockyer (1991; Table 8), Koopman (2007)

$\omega_m$	wax ester mass as a percentage of muscle lipid mass	0.154	Lockyer (1991; Table 5)
$\omega_v$	wax ester mass as a percentage of viscera lipid mass	0.4508	Lockyer (1991)
$\tau$	triacylglycerol (TAG) mass as a percentage of blubber lipid mass	1-waxester_pct	Lockyer (1991; Table 8), Koopman (2007)
$\lambda_b$	percentage of blubber lipids available as energy reserves	$\tau * U(50\%, 67\%) + \omega_b * U(0\%, 50\%)$	Struntz et al. 2004 [33-67%], Dunkin et al. 2005 [48%], Koopman et al. (2002) [50% blubber thickness, evaluated by Struntz et al. 2004 as 57%
$\lambda_m$	percentage of muscle lipids available as energy reserves	$\tau * U(50\%, 67\%) + \omega_m * U(0\%, 50\%)$	



$\lambda_v$	percentage of viscera lipids available as energy reserves	$\tau + \omega_v * U(0\%, 50\%)$					lipid], Koopman (2007), Lockyer (1991), pers. comm. with W.A. Pabst, H. Koopman, E. Fougeres, D. Noren (2017).
g	duration of gestation in days		456				Chiquet et al. (2013)
n	duration of nursing in days			730			Chiquet et al. (2013)
r	interbirth interval in days		1460				Chiquet et al. (2013)
HR	kernel utilization distribution 50% core home range	$N(\mu=8258, \sigma=6836, a=324, b=101600)$				$N(\mu=41285, \sigma=40604, a=324, b=101600)$	Jochens et al. (2008)
$T_{\text{transition}}$	size (kg) at transition to different life stages/sub-stages	sexually mature female: 5760.623	physically mature female: 12246.99		sexually mature male: 16329.3	socially mature male: 24856.86, physically mature male: 39553.25	Lockyer (1981)

**Table 2.** Bioenergetic model daily step parameters utilized to track whale life stage, reproductive status, and body energy reserves through time.

<b>Symbol</b>	<b>Code</b>	<b>Description</b>
$T_d$	BodyMass	Total body mass in kg
$C_d$	CaloricDeficit	Caloric deficit due to impaired foraging
$c_d$	remainingDeficit	Caloric deficit remaining after burning carbohydrates
$h$	HungerResponse	Hunger response scalar to daily growth
$H_d$	CarbLoss	Loss of carbohydrates to cover caloric deficit in kg
$D_d$	LipidLoss	Loss of lipids to cover caloric deficit in kg
$R_d$	ProteinLoss	Loss of protein to cover caloric deficit in kg
$K_d$	CarbMass	Carbohydrate mass in kg
$B_d$	BlubberMass	Blubber mass in kg
$M_d$	MuscleMass	Muscle mass in kg
$V_d$	VisceraMass	Viscera mass in kg
$L_{b,d}$	BlubberLipidMass	Blubber lipid mass in kg
$l_{b,d}$	BlubberLipidReserveMass	Metabolically active blubber lipid mass in kg
$L_{m,d}$	MuscleLipidMass	Muscle lipid mass in kg
$l_{m,d}$	MuscleLipidReserveMass	Metabolically active muscle lipid mass in kg
$L_{v,d}$	VisceraLipidMass	Viscera lipid mass in kg
$l_{v,d}$	VisceraLipidReserveMass	Metabolically active viscera lipid mass in kg
$P_{m,d}$	MuscleProteinMass	Muscle protein mass in kg
$p_{m,d}$	MuscleProteinReserveMass	Metabolically active muscle protein mass in kg
$P_{v,d}$	VisceraProteinMass	Viscera protein mass in kg
$p_{v,d}$	VisceraProteinReserveMass	Metabolically active viscera protein mass in kg
$l_d$	LipidReserveMass	Metabolically active lipid mass in kg
$p_d$	ProteinReserveMass	Metabolically active protein mass in kg
$kE_d$	CarbEnergy	Available energy from carbohydrates in calories
$lE_d$	LipidReserveEnergy	Available energy from lipids in calories
$pE_d$	ProteinReserveEnergy	Available energy from muscle proteins in calories
$tE_d$	BodyReserveEnergy	Total available energy reserves in calories
$F_d$	ForagingEfficiency	Daily foraging efficiency
$\%_{bl}$	blubber_lipid_use_pct	Rate of blubber lipid depletion relative to lipids in other tissue
$\%_{ml}$	muscle_lipid_use_pct	Rate of muscle lipid depletion relative to lipids in other tissue
$\%_{vl}$	viscera_lipid_use_pct	Rate of viscera lipid depletion relative to lipids in other tissue
$\%_{mp}$	muscle_protein_use_pct	Rate of muscle protein depletion relative to proteins in other tissue

## Figure Captions

- Figure 1. Bioenergetic Model.** A decision tree representing one time step (a day) in bioenergetic model simulations for the energy budget of an individual sperm whale. Individuals with reduced foraging efficiency repay caloric debts from body energy reserves in the blubber, muscle, and viscera. Squares represent computations, diamonds represent decision points, and circles represent possible outcomes.
- Figure 2. Whale Size by Sex and Maturity.** Boxplots of length in m (left) and body mass in kg (right) for 500 simulated sperm whales of each sex and stage of maturity (F: mature female, JF: juvenile female, JM: juvenile male, M: mature male).
- Figure 3. Bioenergetic Parameters.** Boxplots of bioenergetic model parameters including muscle mass as a percentage of body mass, protein mass as a percentage of muscle mass, metabolically available protein as a percentage of protein mass, blubber mass as a percentage of body mass, lipid mass as a percentage of blubber mass, and metabolically available lipid mass as a percentage of lipid mass for 500 simulated sperm whales in each life stage (JF: juvenile female, F: mature female, MO: mother with calf, PB: post-breeding female, JM: juvenile male, M: mature male). Note lack of uncertainty for some male parameters is an artifact of low sample size ( $n=1$ ) in Lockyer (1991).
- Figure 4. Impacts of Disturbance on Total Reserves.** Available energy in lipid, protein, and carbohydrate reserves through time for a simulated undisturbed female sperm whale (left) vs. the same whale exposed to a minor disturbance (95% foraging efficiency) once per week over a ten year period. Note that the whale begins as a juvenile and progresses through maturation and the reproductive cycle during the simulation.
- Figure 5. Wax Esters vs. TAGs.** Boxplots of maximum continuous disturbance duration until terminal starvation with lipid energy stored as a combination of wax esters (WE) and triacylglycerols (TAGs) as observed in nature (gray fill) vs. storing all lipid energy as TAGs (white fill) for 500 simulated sperm whales in each life stage (JF: juvenile female, F: mature female, MO: mother with calf, PB: post-breeding female, JM: juvenile male, M: mature male).
- Figure 6. Foraging Efficiency and Starvation.** Boxplots of maximum disturbance duration until terminal starvation for 500 simulated mature male and female sperm whales at different foraging efficiencies.
- Figure 7. Resilience to Starvation Events.** Boxplots of maximum disturbance duration until terminal starvation for different frequencies of starvation events (daily, every other day, once per week, and once per month) for 500 simulated sperm whales in each life stage (JF: juvenile female, F: mature female, MO: mother with calf, PB: post-breeding female, JM: juvenile male, M: mature male).
- Figure 8. Hunger Response.** Days to terminal starvation over a ten year period with weekly foraging disturbances of 25% (circles), 50% (triangles), and 75% (squares) given different ‘hunger responses,’ expressed as a scalar on daily growth rate during days of natural foraging.

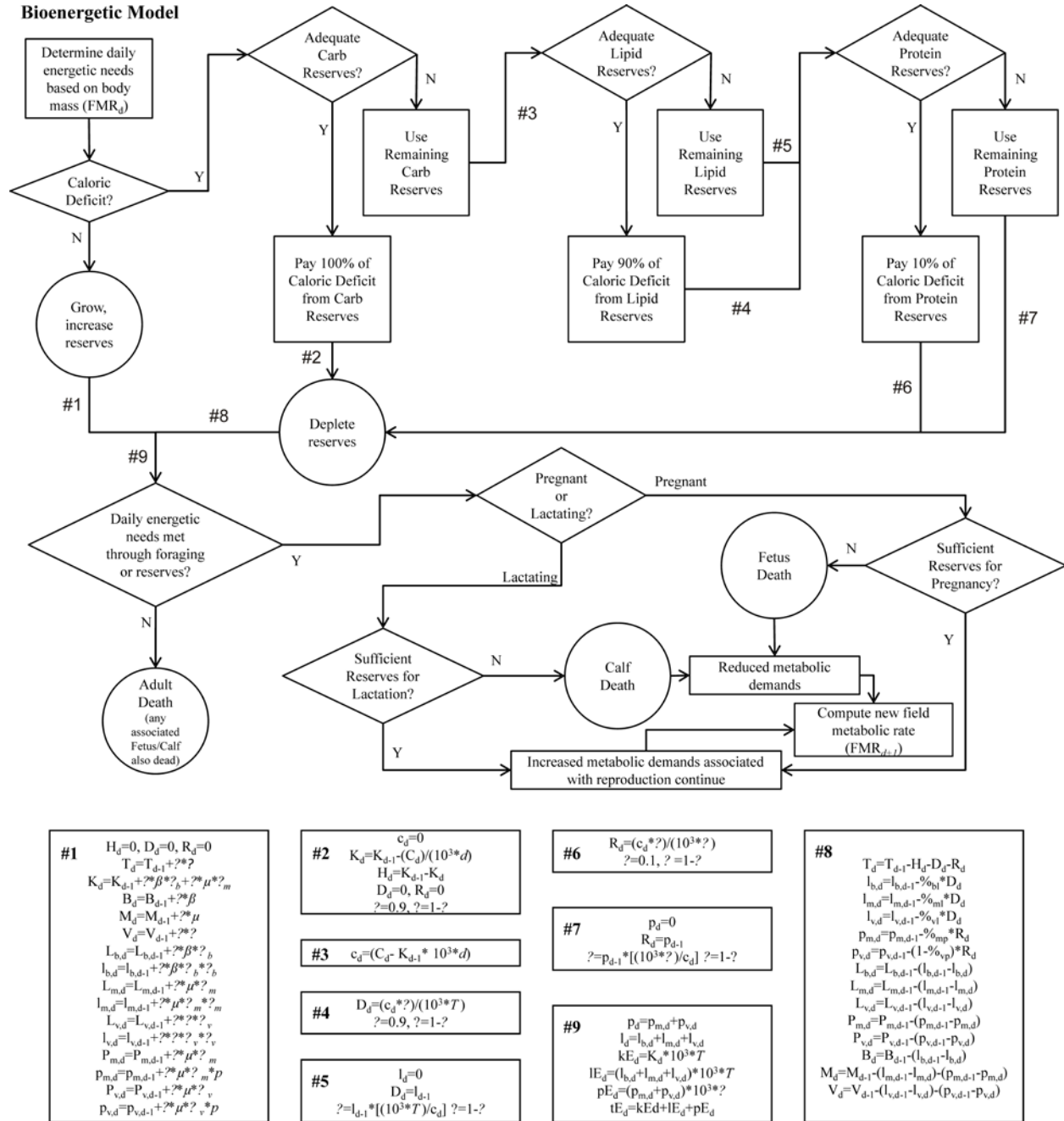


Figure 1.

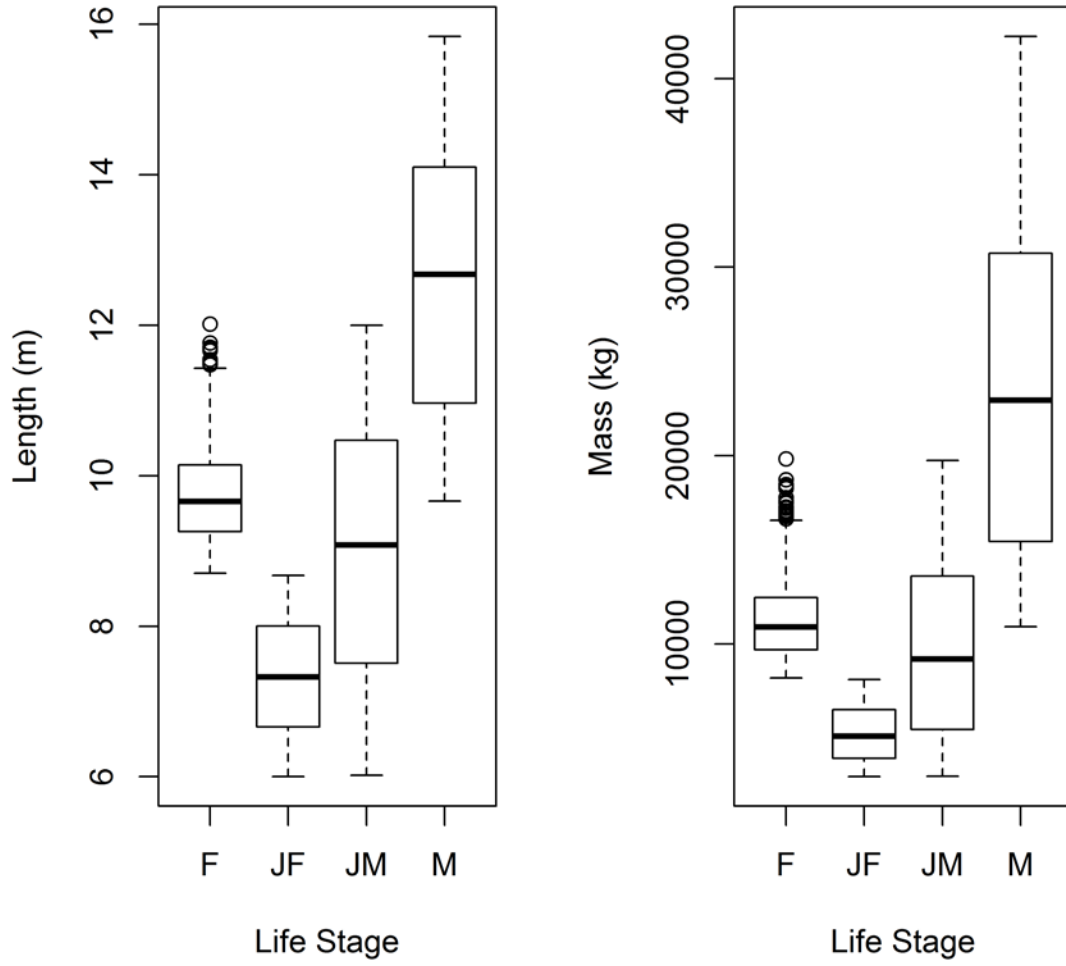


Figure 2.

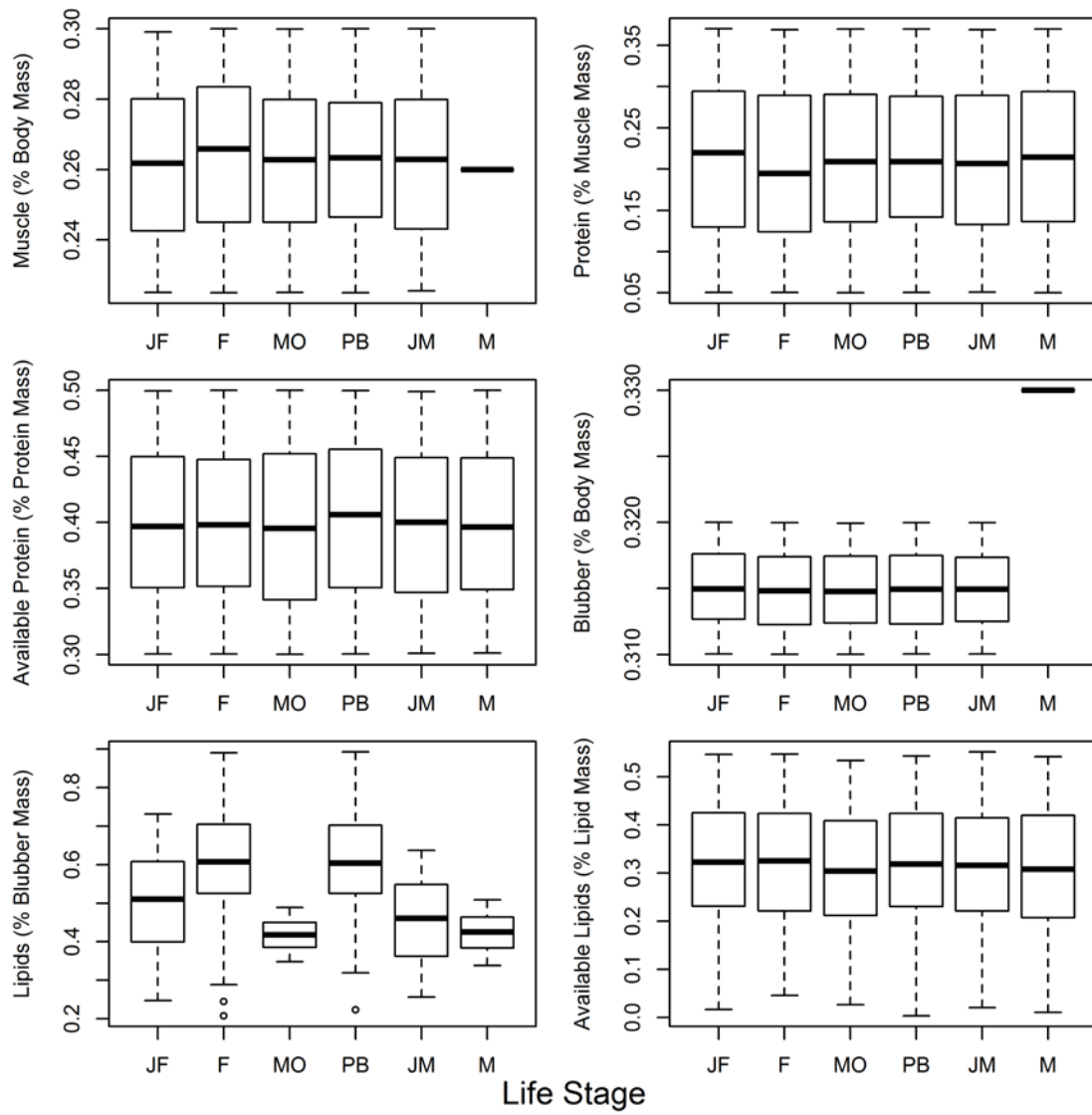


Figure 3.

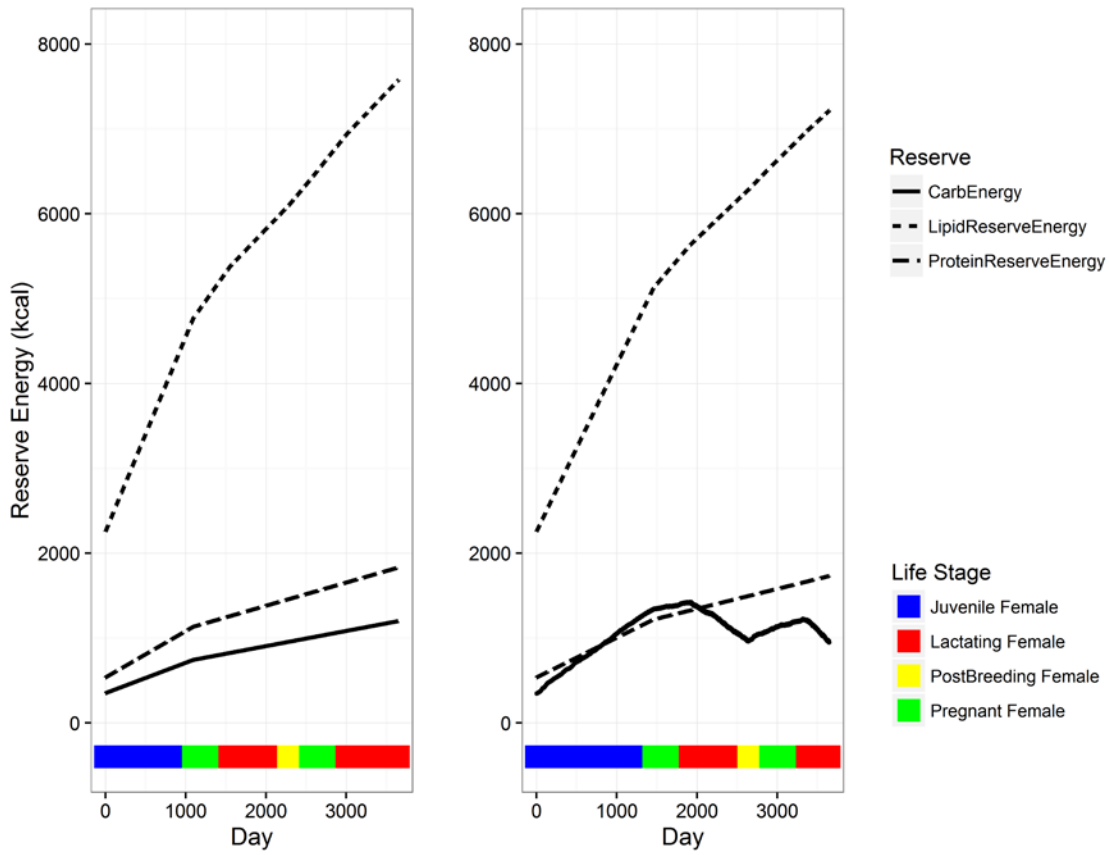


Figure 4.

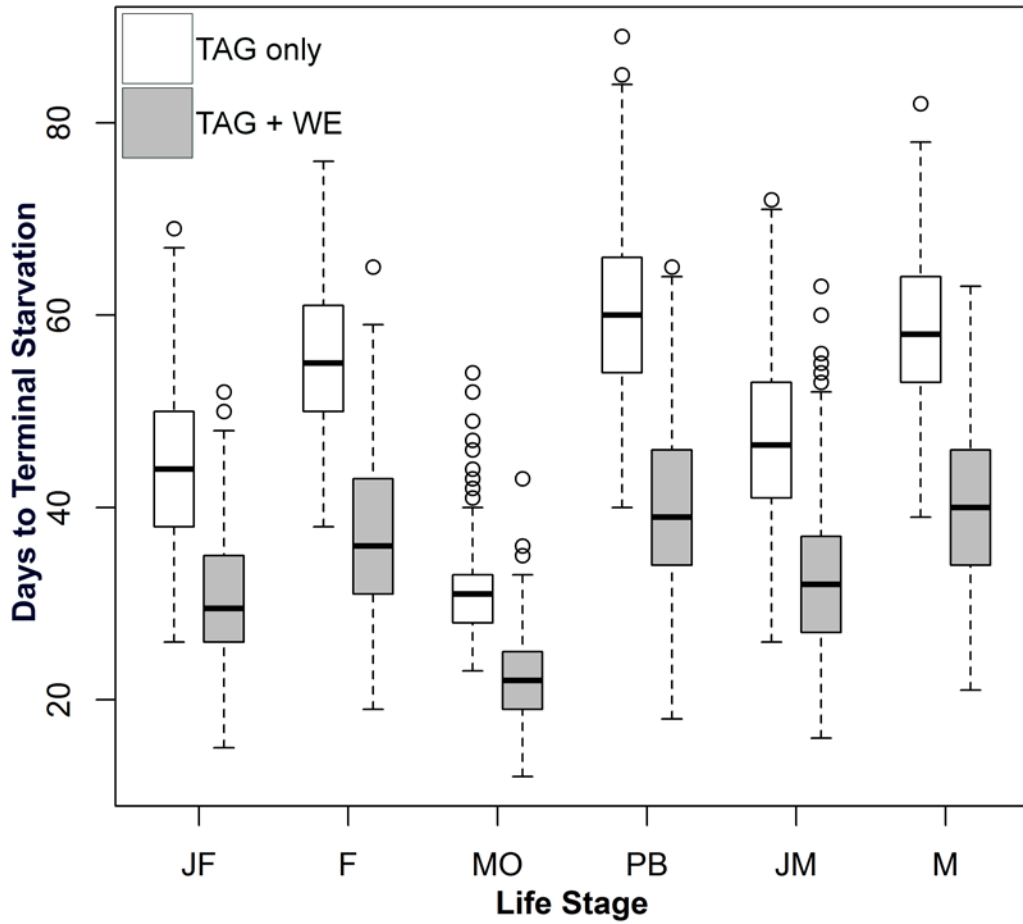


Figure 5.



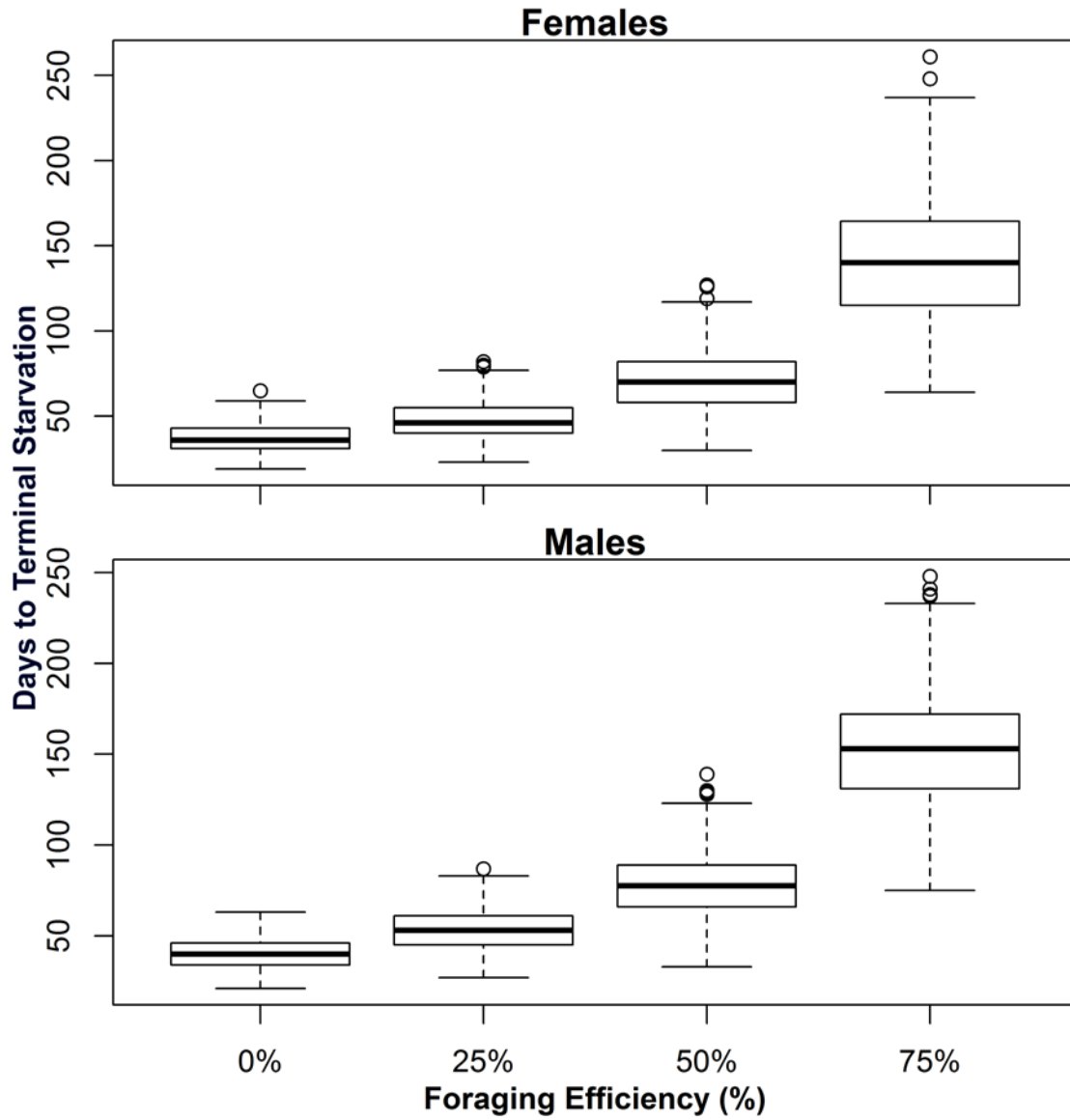


Figure 6.

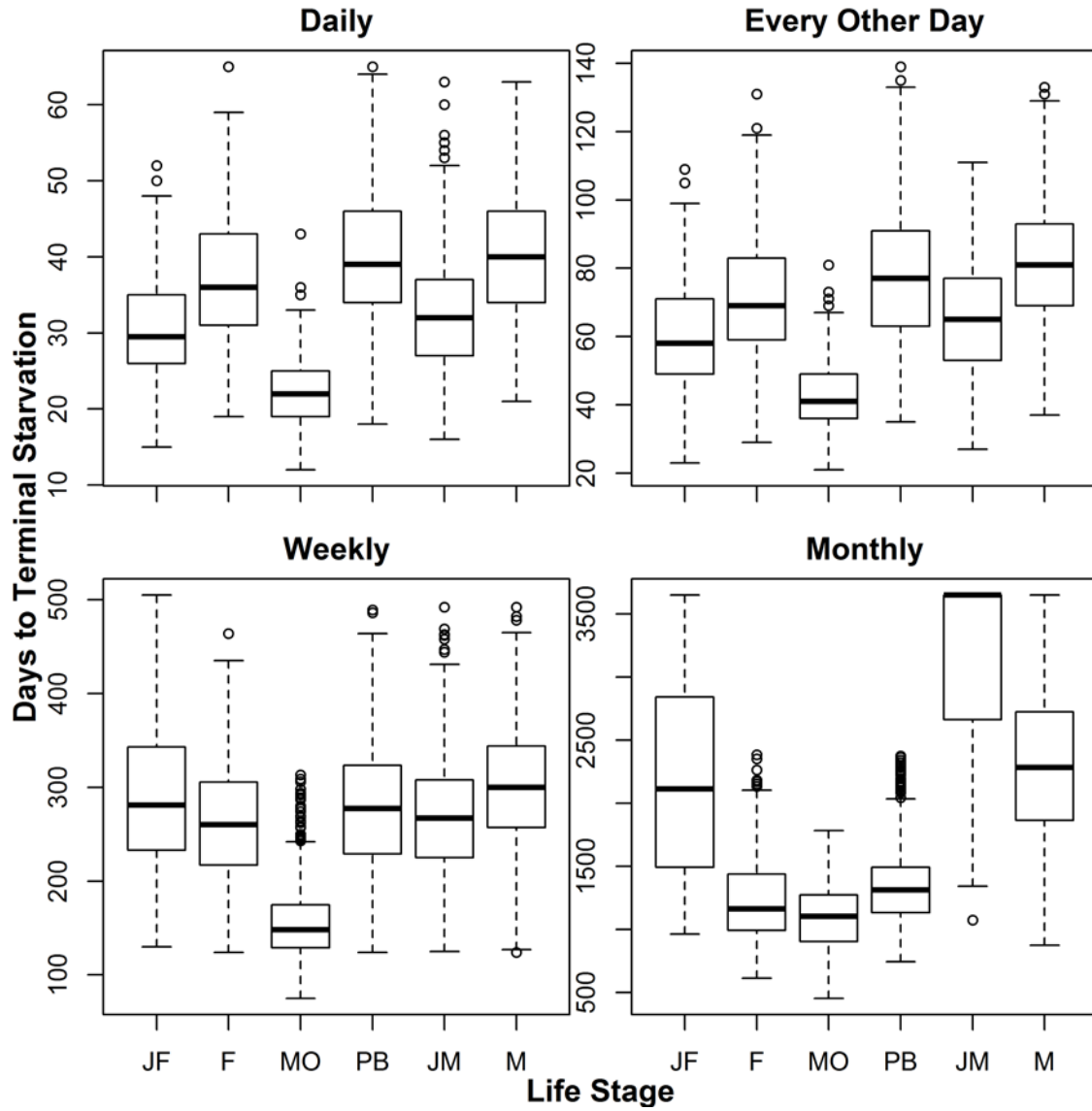


Figure 7.

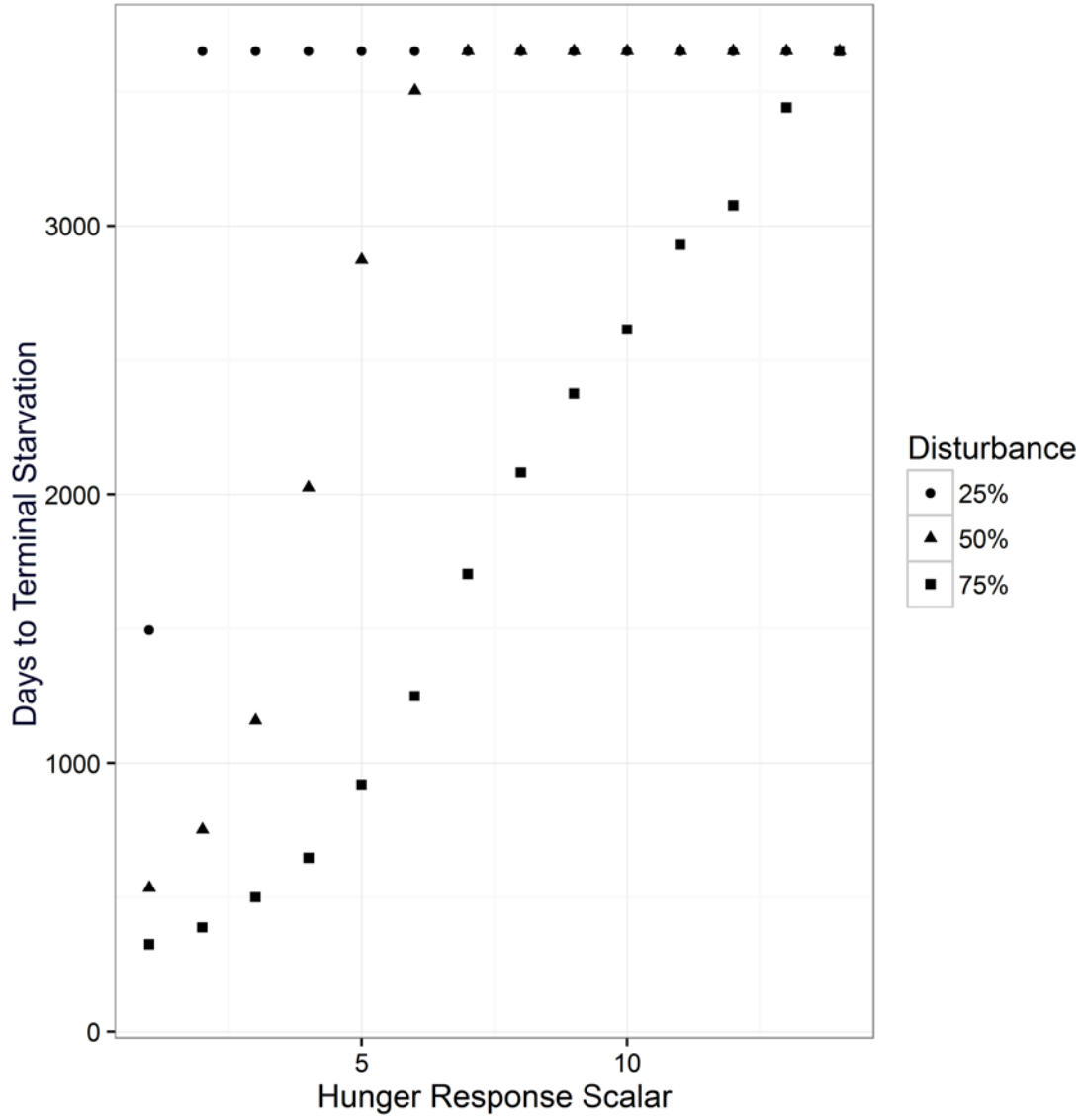


Figure 8.