1	Equatorial Pacific Thermostad Response to El Niño
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7	Accepted to Journal of Geophysical Research
8	31 October 2016
9	doi:10.1002/2016JC012304
10	Key Points:
11	• Argo temperature-salinity profile and deep velocity data are used to investigate the
12	subsurface response of the equatorial Pacific to ENSO
13	• Following a moderate El Niño westward flow in the depth range of the Equatorial
14	Intermediate Current strengthens by about 2.7 Mm <sup>3</sup> /s
15	• This anomalous westward flow effects a shift of about 97 Tm <sup>3</sup> of Pacific Equatorial
16	Thermostad water from the eastern to western Pacific

17 Abstract

18 El Niños are characterized by a shift of warm surface water from the western to 19 eastern equatorial Pacific due to weakening of easterly trade winds. This shift is 20 associated with the pycnocline (or thermocline), the large vertical density gradient 21 beneath the surface mixed layer, shoaling in the west and deepening in the east, inducing 22 a redistribution of ocean heat with global impacts. Here the response of the Pacific 23 Equatorial Thermostad, a layer of low vertical stratification below the pycnocline, to El 24 Niño is investigated using a monthly Argo float climatology and Argo float deep velocity 25 data. A mean, seasonal cycle, trend, and time-lagged linear response to the Niño3.4 index 26 are fit by least squares to temperature and salinity at each gridpoint as well as to deep 27 float velocities (omitting the trend). The results of these fits are used to characterize the 28 response of physical properties in the Thermostad, including layer thickness and velocity, 29 to El Niño by comparing the mean properties following neutral conditions 30 (Niño3.4 = 0 °C) versus those following a moderate El Niño (Niño3.4 = 1 °C). Following 31 an El Niño, a strengthening of the westward-flowing Equatorial Intermediate Current of about  $2.7 \times 10^6$  m<sup>3</sup> s<sup>-1</sup> shifts about  $97 \times 10^{12}$  m<sup>3</sup> of thermostad water from the east to the 32 33 west, allowing conservation of volume within the Thermostad as the pycnocline above 34 deepens in the east and shoals in the west. This transport and volume change imply a 14-35 month time scale, consistent with El Niño.

#### 36 **1. Introduction**

37 El Niños are associated with a large shift of warm water above the pycnocline from 38 west to east in the equatorial Pacific [Meinen and McPhaden, 2000]. Because the 39 equatorial Pacific pycnocline shoals upward from west to east, this shift of warm water 40 also involves a very large vertical redistribution of heat that stands out in the global 41 average [Roemmich and Gilson, 2011]. The redistribution is so substantial that variations 42 in El Niños and La Niñas appear to have modulated the rate of global surface temperature 43 rise on interannual [Peyser et al., 2016] and decadal [Kosaka and Xie, 2013] time-scales. 44 However, we are unaware of studies of how the layer beneath the pycnocline responds to 45 El Niños. Here we attempt such a study of that layer, the Pacific Equatorial Thermostad 46 (hereafter the Thermostad). 47 The Thermostad is a relatively vertically homogenous layer of water with temperature 48 around 13 °C that is thickest just below the pycnocline in the eastern equatorial Pacific 49 Ocean [Johnson and McPhaden, 1999; Tsuchiya, 1981]. The Thermostad is bounded on 50 either side by the eastward-flowing equatorial Subsurface CounterCurrents (SCCs) or 51 Tsuchiya Jets [Johnson and Moore, 1997; Rowe et al., 2000; Tsuchiya, 1975]. It also 52 overlaps with the westward-flowing Equatorial Intermediate Current [EIC; Delcroix and 53 *Henin*, 1988], which is stronger in the west, the boreal fall, and El Niño [Johnson et al., 54 2002].

The poleward migration of the SCCs has been attributed to conservation of potential vorticity following the currents; as the pycnocline shoals upward to the east, the Thermostad below gets thicker, and to conserve potential vorticity, the SCCs must move poleward where the Coriolis parameter is larger [*Johnson and Moore*, 1997]. The

59 meridional gradient of zonal velocity within the SCCs strengthens the potential vorticity 60 fronts between the low values within the Thermostad and the higher values found 61 poleward of the SCCs [*Rowe et al.*, 2000]. Since potential vorticity is conserved in 62 inviscid flows, these strong fronts associated with the SCCs motivate using the SCCs as 63 the poleward limits of the Thermostad.

Here we investigate how the Thermostad and currents within it respond to the change in the pycnocline associated with El Niño using a monthly gridded climatology of ocean temperature and salinity data from January 2004 through June 2016 in conjunction with Argo float 1000-dbar parking-pressure displacement data. We present the climatology, the Argo float displacement data, and a climatological index used to characterize El Niño in Section 2, our analyses of these datasets in section 3, the results of those analyses in section 4, and discussions of the results in section 5.

# 71 2. Data

We analyze a monthly gridded temperature and salinity dataset [*Roemmich and Gilson*, 2009], with a major update through 2015, and monthly updates from January through August 2016. The data are on a 1-degree latitude by 1-degree longitude grid, centered on half-degrees. The vertical coordinate is pressure, with 58 levels over from the surface to 2000 dbar. The dataset (comprising 152 consecutive months from January

77 2004 through August 2016) was downloaded in October 2016 from http://sio-

78 argo.ucsd.edu/RG\_Climatology.html.

79 We also analyze the YoMaHa'07 [*Lebedev et al.*, 2007] dataset of parking-pressure

80 velocities derived from Argo float trajectories and provided by APDRC/IPRC. Data were

81 downloaded from <u>http://apdrc.soest.hawaii.edu/projects/yomaha/</u> on seven different dates

82	between	June 1	2013	and	October	2016	and the	n merged	. We us	sed only	the	data	from
								4 /					

- 83 floats with nominal parking pressures of 1000 dbar, which comprise the majority of the
- 84 dataset, and span dates from July 1997 through October 2016).
- As a gauge of the amplitude and phase of the El Niño Southern Oscillation (ENSO)
- 86 we use the monthly Niño 3.4 index (hereafter Niño3.4), monthly sea-surface temperature
- 87 anomalies within a rectangle bounded by 5°S and 5°N in latitude and 170°W and 120°W
- in longitude relative to 30-year means recalculated at 5-year intervals. Niño3.4 was
- 89 downloaded in October 2016 from
- 90 http://www.cpc.ncep.noaa.gov/products/analysis monitoring/ensostuff/detrend.nino34.as
- 91 <u>cii.txt</u>.

#### 92 **3.** Analysis

For our analysis of the Argo gridded dataset, we first use the location, in situ 93 94 temperature, practical salinity, and pressure (p) values to compute, using TEOS-10 95 (http://www.teos-10.org/index.htm) [IOC, SCOR, and IAPSO, 2010], absolute salinity 96  $(S_A)$  and conservative temperature ( $\Theta$ ) at every gridpoint and time. At each gridpoint we 97 fit, to the 152-month time-series of both  $S_A$  and  $\Theta$ , by least-squares regression: a mean 98 value, a linear temporal trend relative to the central date of the time-series, annual and 99 semi-annual harmonics, and a three-month lagged linear response to Niño3.4. Responses 100 to surface forcing in the sub-thermocline equatorial Pacific are typically lagged by some 101 months [Kessler and McCreary, 1993; Marin et al., 2010]. After removing the seasonal 102 cycle, the maximum correlations (with magnitudes > 0.7 in some locations) between 103 Niño3.4 and Thermostad thickness (as defined in Section 4) in the equatorial Pacific are

found at lags of around two months in the east and four months in the west. Hence we usethe average, a three-month lag, in our analysis.

106 For our analysis of the 1000-dbar Argo parking displacements, we use a modified 107 loess filter [Cleveland and Devlin, 1988] with a zonal scale of 10° longitude and a 108 meridional scale of 2° latitude. In addition to the conventional spatial quadratic terms and 109 the spatial weights, we add an annual harmonic, and a semi-annual harmonic following 110 *Ridgway et al.* [2002] but also adding a three-month lagged linear regression against the 111 Niño 3.4 index to match the analysis of the Argo gridded climatology. We screen any 112 trajectories that had an initial or final position over bathymetry shallower than 1050 dbar 113 to avoid including data from grounded floats. We fit velocity data at the mid-point 114 between the starting and ending location of each deep trajectory. We also discard extreme 115 outlier velocity data at each gridpoint. An extreme outlier is defined here as a value less 116 than the first quartile minus three times the interquartile range or greater than the third 117 quartile plus three times the interquartile range. The interquartile range is the range 118 between the third and first quartiles.

We interpret the mean values from these fits as representative of three months following ENSO neutral (time-mean) conditions, and the mean values plus the Niño3.4 response coefficients multiplied by unity as representative of the response three months following the peak of moderate (Niño3.4 = 1 °C) El Niño conditions (see Section 4). For the estimated ENSO neutral and El Niño values of  $S_A$  and  $\Theta$ , we further compute potential density anomaly referenced to the surface ( $\sigma_0$ ), dynamic height referenced to p = 1000 dbar, and buoyancy frequency squared (N<sup>2</sup> = -g/ $\rho \partial \rho / \partial p$ ), where g is the

126 acceleration of gravity and  $\rho$  is the density referenced to the central pressure over which 127 N<sup>2</sup> is estimated (here by vertical first-differences).

128 We use the first differences of dynamic height values to estimate zonal velocities 129 relative to zero velocity at 1000 dbar at mid-point latitudes. For the equatorial values of 130 zonal velocities we first use the meridional curvature of the dynamic heights from 1.5°S-131 1.5°N to estimate zonal velocities over that latitude range, then multiply the results by 3 132 and subtract the off-equatorial values from 1.5-0.5°S and 0.5-1.5°N to calculate the values from 0.5°S–0.5°N. We then add the 1000-dbar zonal velocities from the float 133 134 displacements at each location to the geostrophic zonal velocity profiles to obtain 135 estimates of absolute zonal velocities at each gridpoint. 136 We study changes of properties three months following ENSO neutral (model means) 137 and El Niño (model means + Niño3.4 coefficient, effectively three months after peak 138 Niño3.4 = 1 °C) conditions within an isopycnal layer bounding the Thermostad. We choose the top boundary at  $\sigma_0 = 26.2 \text{ kg m}^{-3}$ , near the base of the equatorial pycnocline 139 [Johnson and McPhaden, 1999] and the bottom boundary at 26.7 kg m<sup>-3</sup>, near the base of 140 141 the Thermostad in the eastern equatorial Pacific [Johnson and Moore, 1997]. At each 142 isopycnal and geographic location for each state (following ENSO neutral and El Niño 143 conditions) we vertically interpolate values of pressure and absolute zonal velocity.

# 144 **4. Results**

The NOAA definition of an El Niño is a period when the three-month running mean of Niño3.4 (Fig. 1) exceeds 0.5 °C for at least five consecutive months, and a La Niña is defined as a period when that quantity falls below -0.5 °C for at least five consecutive months. By these definitions there were four El Niños and three La Niñas of varying

149	amplitudes and durations during the time period spanned by the Roemmich and Gilson
150	gridded Argo climatology. Niño3.4 for the time period analyzed (Fig. 1; including the
151	three month lag) has a median value of -0.08 °C, a mean of 0.02 °C, and a standard
152	deviation of 0.79 °C, with values ranging from -1.48 °C to +2.33 °C. Hence a typical
153	value is near zero, with a fairly large range of values, making the regression against
154	Niño3.4 reasonable. Defining Niño $3.4 = 1$ °C, which is 1.27 times the standard deviation,
155	as equivalent to a moderate El Niño is defensible. The regressions are strongly influenced
156	by the 2015–2016 El Niño, which has the largest variance. To put some of the El Niño
157	responses into a temporal context, the decorrelation time-scale of the Niño3.4 over this
158	time period, estimated as twice the integral of the lagged autocorrelation of the time-
159	series [Von Storch and Zwiers, 1999], is 10 months.
160	The Thermostad is clearly visible as minimum in $N^2$ that is bounded by
161	$26.2 < \sigma_0 < 26.7$ kg m <sup>-3</sup> frequency along the equator (Fig. 2a), and within about $\pm 5^{\circ}$
162	latitude of the equator in the eastern equatorial Pacific (Fig. 2b). These bounding
163	potential isopycnals encapsulate the Thermostad in the eastern equatorial Pacific, where it
164	is lightest and most prominent. The Thermostad is more limited in extent and centered at
165	higher densities in the western equatorial Pacific, as are the SCCs [Rowe et al., 2000].
166	Here we define the poleward edges of the Thermostad layer as the poleward edges of the
167	SCCs, where the layer-averaged velocities switch from eastward to westward, evaluated
168	for ENSO neutral conditions. A strong potential vorticity front is located at this
169	boundary, sharpened by the meridional gradient of zonal velocity [Rowe et al., 2000].
170	The mean pressure of $\sigma_0 = 26.2 \text{ kg m}^{-3}$ (Fig. 3a), being at the base of the pycnocline
171	[Johnson and McPhaden, 1999], shoals from west to east along the equator, and

172	generally deepens moving poleward from the equator. The slightly deeper trough of
173	pressures at this isopycnal along the equator reflect the base of the eastward-flowing
174	Equatorial Undercurrent (EUC), with the ridges to either side denoting the transition
175	between the EUC and the westward flowing North and South branches of the South
176	Equatorial Current (nSEC and sSEC). The trough in pressures along about 5°N reflects
177	the boundary between the nSEC and the eastward flowing North Equatorial
178	Countercurrent (NECC). The zonal ridge centered near 7°N in the western Pacific and
179	closer to 10°N in the eastern Pacific marks the boundary between the NECC and the
180	westward flowing North Equatorial Current (NEC).
181	The mean pressure of $\sigma_0 = 26.7 \text{ kg m}^{-3}$ (Fig. 3b) is relatively deep in a band along the
182	equator, with shoaling rapidly to the north and south within the SSCs that form the
183	equatorward edges of the Thermostad. This rapid shoaling occurs near $\pm 3^{\circ}$ latitude in the
184	western Pacific and $\pm 6^{\circ}$ latitude in the eastern Pacific. There is a hint that the off-
185	equatorial pressures are slightly greater than those on the equator, which can be seen in
186	the meridional section at 110°W (Fig. 2b). Poleward of the SSCs, the pressures of this
187	isopycnal increase poleward and westward.
188	The mean thickness between $\sigma_0 = 26.2$ and 26.7 kg m <sup>-3</sup> (Fig. 3c) reflects the
189	topography of both layers, increasing from west to east along the equator until reaching
190	the longitude of the Galopagos Islands (~90°W), with strong thinning at about $\pm 3^{\circ}$
191	latitude in the west and $\pm 6^{\circ}$ latitude in the east. The thicker regions encompass the
192	Thermostad (outlined in magenta), although including the eastward-flowing SCCs also
193	encompasses the thin boundaries at the poleward edges of the feature.

194	Pressure on $\sigma_0 = 26.2 \text{ kg m}^{-3}$ is up to 30 dbar deeper following El Niño conditions
195	relative to ENSO neutral conditions in the eastern equatorial Pacific (Fig. 4a), and more
196	than 15 dbar shallower east of the Philippines. This pattern reflects the deepening of the
197	pycnocline in the east and shoaling in the west during El Niño. Pressure on
198	$\sigma_0 = 26.7$ kg m <sup>-3</sup> changes exceed 5 dbar along the equator only around 105°W, but
199	deepens by as much as 15–30 dbar off the equator following El Niño relative to ENSO
200	neutral conditions, most strongly in the central equatorial Pacific (Fig. 4b). In the western
201	Pacific this isopycnal also shoals, again more strongly off the equator, with a reduction of
202	more than 20 dbar east of the Philippines and more than 10 dbar east of the Solomon
203	Islands. As a result of these changes in pressures on the Thermostad's bounding
204	isopycnals, its thickness decreases in the eastern tropical Pacific following El Niño
205	relative to ENSO neutral conditions (Fig. 4c), more so along the equator. The layer
206	thickness increases in the western tropical Pacific, especially off the equator near the
207	locations of the SCCs.
208	Mean zonal velocities near 1000 dbar from Argo profiling float deep displacement
209	data show very short (~1° lat.) meridional scale zonal jets extending across much of the
210	tropical Pacific Ocean, notably strong in the west, with a seasonal cycle that shows
211	somewhat less meridional structure [Cravatte et al., 2012]. In addition, there is
212	significant seasonal and interannual variability in deep zonal velocities along the
213	equatorial Pacific evident in an earlier ALACE float data set [Davis, 1998]. The Niño3.4
214	regression against Argo deep displacement data suggests anomalous eastward flows
215	peaking on the equator (Fig. 5), and mostly confined to within a degree or two of it, east
216	of about 170°E following El Niño, reaching a peak of 4 cm s <sup>-1</sup> around 135°W. There are

217 anomalous westward flows centered just north of the equator in the central and eastern Pacific following El Niño, with a maximum exceeding 2 cm s<sup>-1</sup> centered around  $155^{\circ}$ E. 218 219 Mean zonal geostrophic velocities referenced to mean zonal velocities at 1000 dbar 220 from Argo profiling float deep displacement data in both the western (Fig. 6a) and 221 eastern (Fig. 6b) equatorial Pacific reveal features typical of the mean circulation in these 222 locations as estimated with direct velocity measurements [Johnson et al., 2002], albeit 223 somewhat broadened and smoothed. The few exceptions to this agreement are noted 224 parenthetically below.

225 In the western Pacific, at 165°E (Fig. 6a), the eastward-flowing surface-intensified 226 South Equatorial Countercurrent (SECC) is found in the upper 200 dbar, from 10°S to 7°S, with peak velocities exceeding 10 cm s<sup>-1</sup>. From 7°S to about 1°N, the southern 227 228 branch of the westward-flowing, surface-intensified South Equatorial Current (sSEC) is found, again mostly shallower than 200 dbar, with peak velocities exceeding 30 cm s<sup>-1</sup> 229 230 (somewhat more than estimated from direct velocity measurements). The eastward-231 flowing North Equatorial Countercurrent (NECC) is found from about 1°N to 8°N, with a maximum in velocity reaching 20 cm s<sup>-1</sup> centered at about 5°N, 90 dbar, adjoined to the 232 233 Equatorial Undercurrent (EUC) on its lower and southern side. North of 8°N the southern 234 edge of the North Equatorial Current (NEC) is visible. The eastward-flowing EUC has a subsurface maximum exceeding 30 cm s<sup>-1</sup> at around 200 dbar near the equator (slightly 235 236 less than estimated from direct velocity measurements). The westward-flowing 237 Equatorial Intermediate Current (EIC) is found beneath the EUC, with a peak velocity exceeding 10 cm s<sup>-1</sup> at about 370 dbar on the equator. The eastward-flowing northern and 238

239 southern Subsurface Countercurrents (nSCC and sSCC) are visible as lobes extending 240 downward and slightly poleward of the EUC, flanking the EIC at about 2°S and 3°N. 241 In the Eastern Pacific, at 110°W (Fig. 6b), the SECC is not present, the core of the 242 sSEC extends from about 7°S to 2°S, and the eastward-flowing surface-intensified 243 northern branch of the SEC (nSEC) is found from 1°S to 4°N, with a peak velocity exceeding 100 cm s<sup>-1</sup> (about twice estimates from direct velocity measurements). The 244 245 NECC is present from about 4°N to 8°N, with the southern edge of the NEC to the north 246 of the NECC. The EUC shoals with the pycnocline, with a peak velocity located at about 1°S and 60 dbar, all as expected, but with a peak velocity just exceeding 40 cm s<sup>-1</sup> (about 247 248 half the peak from an estimate using direct velocity measurements). These departures 249 from expectations in the nSEC and EUC suggest that the near-surface and thermocline 250 currents may be subject to aliasing. However, the sSCC and nSCC have shifted poleward, 251 with cores at about 6°S and 6°N, as expected [Johnson et al., 2002]. While the peak 252 velocities in these Thermostad-flanking currents are weaker than those in direct velocity measurements (which exceed 10 cm s<sup>-1</sup>), that weakness is not surprising in this relatively 253 254 smooth climatology.

Discussion of departures from ENSO neutral zonal velocities following El Niño (Niño3.4 = 1 °C) are limited to the Thermostad, because the three month lag chosen is best suited to that layer. In the western equatorial Pacific (at 165°E; Fig. 7a) there is anomalous subsurface westward flow within most of the Thermostad, with magnitudes peaking at over 10 cm s<sup>-1</sup> just north of the equator. This change increases the strength of the westward-flowing EIC within the Thermostad. In the eastern equatorial Pacific (at 110°W; Fig. 7b) zonal velocity anomalies are also generally westward within the

Thermostad, again exceeding 10 cm s<sup>-1</sup> in magnitude just north of the equator near the top 262 263 of the Thermostad. Within the isopycnals bounding the Thermostad, anomalous zonal velocities associated with El Niño are generally westward from around the equator, with 264 265 slight eastward anomalies near the edges of the Thermostad, where the SCCs are located, suggesting an increase in the strength of these currents with El Niño. These velocity 266 267 changes associated with El Niño within the Thermostad are in accord with estimates 268 based on velocity observations from acoustic Doppler current profilers (ADCPs) 269 [*Johnson et al.*, 2002]. 270 Depth-integrated volume transports within the Thermostad layer (Fig. 8) are mostly 271 westward along the equator, with smaller eastward values at the poleward edges of the 272 layer, where the SCCs are, apparently, strengthened. These westward anomalies peak near the equator at around 110°W and 170°W, with values around -2 x  $10^6$  m<sup>3</sup> s<sup>-1</sup> per 273 274 degree of latitude. Thermostad layer volume changes per degree longitude (Fig. 9; blue line) increase following El Niño west of about 145°W, with peak value of about 275 1.6 x 10<sup>12</sup> m<sup>3</sup> near 170°W. The Thermostad volume decreases east of about 145°W, with 276 peak negative values of about  $-3.8 \times 10^{12} \text{ m}^3$  near 95°W. The zonal volume transport 277 anomaly within the Thermostad owing to a moderate El Niño (Fig. 9; orange line) is 278 westward at almost all longitudes, with peak magnitudes reaching about -7.9 x  $10^6$  m<sup>3</sup> s<sup>-1</sup> 279 280 at around 110°W.

# 281 **5. Discussion**

Three months after the peak of a moderate El Niño (Niño $3.4 = 1 \,^{\circ}$ C), the base of the pycnocline deepens by tens of meters in the eastern equatorial Pacific and shoals by a similar amount in the western equatorial Pacific (Fig. 4a), mostly within about  $\pm 15^{\circ}$  of

285 latitude of the equator. The base of the equatorial Thermostad also shoals by a similar 286 amount in the west off the equator, and deepens in the east with smaller amplitudes than 287 does the pycnocline, mostly within a few degrees longitude of the equator, but does not 288 change much in depth on the equator (Fig. 4b). As a result of these changes in isopycnal 289 pressures, the Thermostad layer thins in the eastern equatorial Pacific and thickens in the 290 west and off the equator (Fig. 4c). The pattern of deepening isopycnals at the base of the 291 Thermostad just off the equator (Fig. 4b) is the geostrophic signature of an anomalous 292 westward transport within the Thermostad along the equator associated with El Niño (Figs. 7–9) — a strengthening and eastward expansion of the westward-flowing EIC. 293 294 The anomalous westward transport within the Thermostad effectively moves water 295 west from the thinning layer east of 145°W to the thickening layer west of that longitude, 296 conserving mass (Fig. 9). The layer thickness and zonal transport anomalies are in 297 approximate quadrature, as might be expected for cyclic transfer in a mass-conserving 298 system. The portion of the Thermostad that thickens with El Niño (in the western Pacific) increases in volume by 92 x  $10^{12}$  m<sup>3</sup> for Niño3.4 = 1 °C and the portion that thins with El 299 Niño (in the eastern Pacifc) decreases in volume by about  $102 \times 10^{12} \text{ m}^3$ . Hence the 300 301 changes in the two regions conserve mass to within about 10%. This conservation holds 302 even neglecting the regions poleward of our definition of the Thermostad meridional 303 boundaries, where there are some smaller changes in thickness (Fig. 4c), indicating that 304 the Thermostad meridional boundaries of the SCCs are not actually absolute boundaries 305 to mass transport, especially in the eastern equatorial Pacific, where meridional velocities 306 carry El Niño signals poleward. The longitudinal average of the zonal transport anomaly associated with El Niño is about -2.7 x  $10^6$  m<sup>3</sup> s<sup>-1</sup>. Dividing the average net mass change 307

by the average zonal transport anomaly results in a time scale of fourteen months, which is around the Niño3.4 decorrelation time scale of ten months. Using the peak transport of  $-7.9 \times 10^6 \text{ m}^3 \text{ s}^{-1}$  rather than the longitudinal average yields a shorter timescale of four months.

312 Since this analysis is made using a linear regression, the results should scale with the 313 Niño3.4 index, so that during a strong El Niño, the changes to isopycnal pressures, layer 314 thicknesses, and zonal velocities will be strong. Conversely, during La Niña events the 315 signs of these changes will be reversed. Hence, during La Niña, the pycnocline deepens 316 in the west and shoals in the east. As a consequence, the Thermostad thickens in the east 317 and thin in the west, with anomalous eastward flow along the equator -- consistent with a 318 weakening and contraction of the EIC. However, as noted above, the regressions are 319 strongly influenced by the 2015–2016 El Niño, which has the largest variance. 320 These interannual variations in isopycnal pressures and velocities within the 321 Thermostad could be effected primarily by Rossby waves, similar to the well-322 documented annual Rossby waves in the region [Kessler and McCreary, 1993; Marin et 323 al., 2010]. It takes a few months for the phase of these waves propagate upward and 324 westward across the basin. The annual and semiannual harmonics fit do allow for the 325 climatological phase propagation of the annual Rossby wave (not show). Assuming the 326 interannual response is a modulation of the seasonal cycle [Davis, 1998], the simple 327 linear regression to Niño3.4, lagged by three months, incorporates that physics to zero 328 order.

### 329 Acknowledgments:

330	Argo data were collected and made freely available by the International Argo
331	Program and the national programs that contribute to it. (http://www.argo.ucsd.edu,
332	http://argo.jcommops.org). The Argo Program is part of the Global Ocean Observing
333	System. Data used in this study can be accessed at the URLs found in Section 2.
334	Comments from two anonymous reviewers greatly improved the manuscript. G.C.J. is
335	supported by the Climate Observation Division, Climate Program Office, National
336	Oceanic and Atmospheric Administration (NOAA), U.S. Department of Commerce and
337	NOAA Research. A.B. was supported by the NOAA Hollings Scholar Program. Pacific

338 Marine Environmental Laboratory Contribution Number 4556.

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**Figure 1.** The Niño3.4 index (°C, black lines) for the study period with La Niña periods

405 (blue) and El Niño periods (red) denoted (see text for definition).



407 **Figure 2.** (a) Meridional-vertical section of mean buoyancy frequency squared, N<sup>2</sup> 408  $(10^{-6} \text{ s}^{-2})$ , along the equator and (b) Zonal-vertical section of mean N<sup>2</sup> at 110°W. Both are 409 for ENSO neutral conditions contoured and at nearly logarithmic intervals (colorbar). 410 Potential isopycnals are contoured in white at 1 kg m<sup>-3</sup> intervals (thin white lines) with 411 isopycnals bounding the Thermostad,  $\sigma_0 = 26.2$  and 26.7 kg m<sup>-3</sup> (thick white lines) added.



**Figure 3.** Pressure (dbar) during ENSO neutral (mean) conditions for (a)

 $\sigma_0 = 26.2 \text{ kg m}^{-3}$ , (b)  $\sigma_0 = 26.7 \text{ kg m}^{-3}$ , and (c) thickness (dbar) between  $\sigma_0 = 26.2$  and

415 26.7 kg m<sup>-3</sup> with Thermostad lateral boundaries (magenta lines) overplotted.



417 **Figure 4.** Pressure differences (dbar) following El Niño relative to ESNO neutral (mean)

418 conditions for (a) pressure of  $\sigma_0 = 26.2 \text{ kg m}^{-3}$ , (b) pressure of  $\sigma_0 = 26.7 \text{ kg m}^{-3}$ , and (c)

- 419 thickness between  $\sigma_0 = 26.2$  and 26.7 kg m<sup>-3</sup>, with Thermostad lateral boundaries
- 420 (magenta lines) overplotted.



422 Figure 5. Zonal velocity difference,  $\Delta u$  (cm s<sup>-1</sup>), following El Niño (Niño3.4 = 1 °C)

423 relative to ENSO neutral (mean) conditions.



425 **Figure 6.** Meridional-vertical sections of time-mean geostrophic zonal velocity, u (m s<sup>-1</sup>), 426 referenced to 1000-dbar absolute velocities from Argo float deep displacement data for 427 ENSO neutral conditions at (a) 165°E and (b) 110°W. Potential isopycnals contoured at 428 1 kg m<sup>-3</sup> intervals (thin black lines) with Thermostad isopycnal boundaries (thick black 429 lines;  $\sigma_0 = 26.2$  and 26.7 kg m<sup>-3</sup>) added. Locations of currents are indicated by their 430 acronyms as defined in the text.



432 **Figure 7.** Meridional-vertical sections of geostrophic zonal velocity differences, Δu

433 (m s<sup>-1</sup>), following El Niño (Niño3.4 = 1 °C) relative to ENSO neutral (mean) conditions, 434 both referenced to 1000-dbar absolute velocities from Argo float deep displacement data 435 at (a) 165°E and (b) 110°W. Potential isopycnals contoured at 1 kg m<sup>-3</sup> intervals (thin 436 black lines) with Thermostad boundaries (thick black lines;  $\sigma_0 = 26.2$  and 26.7 kg m<sup>-3</sup>) 437 added.



439 **Figure 8.** Differences of depth-integrated geostrophic zonal volume transports

- 440  $(10^6 \text{ m}^3 \text{ s}^{-1})$  on a 1° x 1° grid within the Thermostad isopycnal layer
- 441 (26.2 <  $\sigma_0$  < 26.7 kg m<sup>-3</sup>) following El Niño (Niño3.4 = 1 °C) relative to ENSO neutral
- 442 (mean) conditions with Thermostad lateral boundaries (magenta lines) overplotted.



Figure 9. Differences of volume (blue line,  $10^{12}$  m<sup>3</sup>) and zonal volume transport (orange line,  $10^6$  m<sup>3</sup> s<sup>-1</sup>) within Thermostad isopycnal layer ( $26.2 < \sigma_0 < 26.7$  kg m<sup>-3</sup>) following El Niño (Niño3.4 = 1 °C) relative to ENSO neutral (mean) conditions versus longitude.