Strong Intraseasonal Variability of Meridional Currents near 5°N in the Eastern Indian Ocean: Characteristics and Causes

Gengxin Chen^{1,2}, Weiqing Han², Yuanlong Li², Michael J. McPhaden³, Ju Chen¹,

Weiqiang Wang¹, Dongxiao Wang¹

¹State Key Laboratory of Tropical Oceanography, South China Sea Institute of Oceanology, Chinese Academy of Sciences, Guangzhou, China

²Department of Atmospheric and Oceanic Sciences, University of Colorado, Boulder, Boulder, Colorado

³Pacific Marine Environmental Laboratory, National Oceanic and Atmospheric

Administration, Seattle, Washington

Corresponding Author:

Dongxiao Wang

State Key Laboratory of Tropical Oceanography, South China Sea Institute of Oceanology, Chinese Academy of Sciences, Guangzhou, China

Email: <u>dxwang@scsio.ac.cn</u>

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1 Abstract

2 This paper reports on strong intraseasonal upper-ocean meridional currents observed in 3 the Indian Ocean between the Bay of Bengal (BOB) and the equator, and elucidates the 4 underlying physical processes responsible for them. In-situ measurements from a 5 subsurface mooring at 5°N, 90.5°E reveal strong intraseasonal variability of meridional current with an amplitude of $\sim 0.4 \text{ m s}^{-1}$ and a typical period of 30-50 days in the upper 6 7 150 m, which by far exceeds the magnitudes of the mean flow and seasonal cycle. 8 Such prominent intraseasonal variability is however not seen in zonal current at the 9 same location. Further analysis suggests that the observed intraseasonal flows are 10 closely associated with westward propagating eddy-like sea surface height anomalies 11 (SSHAs) along 5°N. The eddy-like SSHAs are largely manifestations of symmetric 12 Rossby waves, which result primarily from intraseasonal wind stress forcing in the 13 equatorial waveguide and reflection of the equatorial Kelvin waves at the eastern 14 boundary. Since the wave signals are generally symmetric about the equator, similar 15 variability is also seen at 5°S but with weaker intensity, due to the inclined coastline at 16 the eastern boundary. The Rossby waves propagate westward, causing pronounced 17 intraseasonal SSHA and meridional current in the upper ocean across the entire 18 southern BOB between 84°-94°E₃ They greatly weaken in the western Indian basin, 19 but zonal currents near the equator remain appreciable magnitude.

20 **1. Introduction**

21 Sea surface winds over the tropical Indian Ocean exhibit strong intraseasonal 22 variability (ISV), which is associated with atmospheric intraseasonal oscillations 23 (ISOs), with the Madden-Julian Oscillation (MJO) as the dominated mode (e.g., 24 Madden and Julian 1971; Hendon and Glick 1997; Webster et al. 2002; Shinoda et al. 25 2013). In response to the surface wind forcing, the upper-ocean circulation of the 26 tropical Indian Ocean shows strong intraseasonal variations (e.g., Masumoto et al. 27 2005). Based on in-situ observations and numerical models, several studies have 28 reported prominent ISV of the meridional currents in the equatorial Indian Ocean 29 (McPhaden 1982; Reppin et al. 1999; Sengupta et al. 2001; Masumoto et al. 2005; 30 Ogata et al. 2008; Iskandar and McPhaden 2011), which contributes to 31 seasonal-to-interannual cross-equatorial heat and salt transports (e.g., Halkides et al. 32 2007). Further north, there are two large marginal seas in the North Indian Ocean, 33 namely the Arabian Sea and Bay of Bengal (BOB), with distinct water mass 34 properties (e.g., Mamayev 1975; You and Tomczak 1993; Vinayachandran and 35 Kurian 2007; Vinayachandran et al. 2013). The meridional ocean currents between the equatorial Indian Ocean and the two marginal seas are essential for heat, 36 37 freshwater, and nutrient distributions over the tropical Indian Ocean and thereby 38 affect the large-scale air-sea interaction (e.g., Izumo et al. 2010). However, due to 39 shortage of direct observations, our knowledge of the meridional ocean current 40 variability in this region is quite limited, especially at intraseasonal timescale.

A subsurface mooring system was deployed at 5°N, 90.5°E by the South China 41 42 Sea Institute of Oceanology (SCSIO), Chinese Academy of Sciences, to monitor the 43 upper-ocean water exchanges between the BOB and the equatorial Indian Ocean (red 44 star in Fig. 1). During the observation period of April 2013-April 2014, a striking 45 phenomenon is captured by the current measurements of the mooring. Strong 46 intraseasonal variability of meridional current is detected in the Acoustic Doppler 47 Current Profiler (ADCP) records (Fig. 2b), which is however not evident in zonal 48 current (Fig. 2a). The meridional current anomalies have a typical amplitude of ~ 0.4 m s⁻¹, by far exceeding the mean flow and comparable to the major western boundary 49 50 currents of the tropical Indian Ocean (e.g., Lutjeharms et al. 1981; Schott and 51 Quadfasel 1982; Shetye et al. 1993).

52 The ISV of the equatorial winds over the Indian Ocean has been shown to significantly affect the adjacent areas. Intraseasonal equatorial Kelvin waves driven 53 54 by winds associated with the MJO can propagate to the eastern Indian Ocean (EIO) 55 boundary and the Indonesian Seas, affecting the ISV of sea level along Sumatra and 56 Java coasts (Iskandar et al., 2005) and the Indonesian Throughflow (Qiu et al., 1999; 57 Schiller et al., 2010; Pujiana et al., 2013). Subsequently, the coastal Kelvin waves are 58 reflected back into the ocean interior as Rossby waves, which significantly influence 59 the thermocline and sea level variations in the BOB (Cheng et al. 2013; Girishkumar 60 et al. 2013) and the southeast Indian Ocean (Chen et al., 2015a). Upon impinging on 61 the eastern boundary, the intraseasonal equatorial Kelvin waves, which are symmetric about the equator, are reflected back as symmetric Rossby waves in an idealized 62 63 rectangular-domain model (Matsuno 1966; Moore and Philander 1977; Han et al., 64 2011). Due to the effects of strong shear of the zonal flow and slanted coastlines in 65 the equatorial oceans, the meridional structures of the equatorial waves can become 66 asymmetric (e.g., Chelton et al., 2003; Durland et al., 2010; Han et al., 2011), and 67 thus induce asymmetric current variability on the north and south sides of the equator. Consequently, the observed ISVs by the mooring at 90°E, 5°N may be affected by 68 69 equatorial winds through symmetric/asymmetric Rossby waves. Careful and 70 comprehensive analysis is required to achieve an in-depth understanding of the 71 observed variability.

72 The goal of this research is to characterize and explain the observed strong ISV of 73 meridional current near 5°N. Satellite and in situ observations are analyzed to 74 document the characteristics of intraseasonal currents, and ocean general circulation 75 model (OGCM) experiments are performed to provide insight into the underlying 76 mechanisms. The rest of the paper is organized as follows. Section 2 describes 77 observational data utilized in this study and OGCM experiments performed for our 78 analysis. Section 3 presents the results of our analysis, with Section 3.1 describing the 79 observed characteristics of intraseasonal meridional currents, Section 3.2 exploring 80 local and remote forcing effects of ISO winds, and Section 3.3 discussing essence and components of the observed current anomalies. Section 4 provides a summary and 81

82 discussion for this research.

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84 **2. Data and Methods**

85 **2.1. Data**

86 The mooring was deployed in the southern BOB at approximately 5°N, 90.5°E (star 87 in Figure 1) from April 2013 to April 2014, equipped with an upward-looking 75 kHz 88 and a downward-looking 150 kHz Workhorse Acoustic Doppler Current Profiler 89 (ADCP) in the main float. Vertical resolution of the ADCP measurements is 8 m. 90 Sampling time frequency of the ADCP is 1 hour. The effective measurement range 91 covers 20-145 m and 180-570 m. In this study, the ADCP current velocities are 92 linearly interpolated onto uniform 5 m intervals, and hourly measurements are 93 averaged into daily data.

94 The Ocean Surface Current Analysis-Real time (OSCAR) product is available 95 since December 1992 with a horizontal resolution of $1/3^{\circ} \times 1/3^{\circ}$ and 5-day intervals 96 (Bonjean and Lagerloef 2002; Johnson et al. 2007) and represents the total ocean 97 current (both geostrophic and Ekman components) of the upper 30 m. In this study, 98 the OSCAR surface current estimate from 2001 through 2014 are used as observed 99 surface current data. The daily 0.25°×0.25° sea surface height (SSH) and surface 100 geostrophic current products distributed by the Archiving, Validation, and 101 Interpretation of Satellite Oceanographic (AVISO) (Le Traon et al. 1998; Ducet et al. 102 2000) for 2011-2014 are analyzed to understand ISV of SSH and meridional current. The daily 0.25°×0.25° gridded Advanced Scatterometer (ASCAT) satellite ocean 103 104 surface wind vectors (Bentamy and Croize-Fillon 2012) during 2011-2014 are used to 105 understand the relationship between intraseasonal SSH anomalies (SSHA) and wind 106 forcing of ISOs. The monthly subsurface ocean state estimate, with a horizontal 107 resolution of 1°×1° and 42 vertical levels, of the European Centre for Medium range 108 Weather Forecasting (ECMWF) Ocean Reanalysis System version 4 (ORAS4; 109 Balmaseda et al. 2013) data available since 1958 are used to compute the mean 110 thermocline depth (represented by the depth of 20°C isotherm) and calculate the first 111 and second baroclinic modes speeds. Current measurements from two equatorial moorings of the Research Moored Array for African-Asian-Australian Monsoon 112 113 Analysis and Prediction (RAMA; see McPhaden et al. 2009) are used to verify the 114 model performance on ISV of equatorial currents. One mooring is deployed at 0, 90°E 115 and provides data from 14 November 2000 to 7 June 2012 at depths range from 40 to 116 410m. The other is deployed at 0, 80.5°E and provides data from 27 October 2004 to 117 17 August 2012 at depths from 25 to 350m. Data are often missing at deeper layers. 118

119 **2.2. OGCM and experiments**

120 The OGCM used in this study is the HYbrid Coordinate Ocean Model (HYCOM;
121 e.g., Wallcraft et al., 2009) version 2.2.18, which is configured to the Indian Ocean

basin (30°E-122.5°E, 50°S-30°N) with a horizontal resolution of 0.25°×0.25° and 26 122 vertical layers (Li et al., 2014, 2015). The surface atmospheric forcing fields include 123 124 10-m winds from the cross-calibrated multi-platform (CCMP) version 1.1 product (Atlas et al. 2008), the $0.25^{\circ} \times 0.25^{\circ}$ ASCAT satellite ocean surface vector winds, $1^{\circ} \times 1^{\circ}$ 125 126 surface net shortwave radiation (SWR) and longwave radiation (LWR) from the 127 Clouds and the Earth's Radiant Energy System (CERES; Wielicki et al. 1996), 0.25°×0.25° precipitation from the Tropical Rainfall Measuring Mission (TRMM) 128 129 Multi-Satellite Precipitation Analysis (TMPA) level 3B42 V7 product (Kummerow et 130 al. 1998), and the 0.75° 2-m air temperature and humidity from the ECMWF Interim 131 reanalysis (Dee et al. 2011). Detailed information about the model configuration and 132 forcing fields can be found in Li et al. (2014).

133 The model is spun up from a state of rest for 30 years using monthly climatological 134 forcing. Then HYCOM was integrated forward from March 1 2000 to December 31 2014 with the daily forcing fields described above. Note that the model was forced by 135 136 CCMP winds from March 1 2000 to December 31 2010 and by ASCAT winds from January 1 2011 to December 31 2014. Earlier studies have shown that HYCOM is 137 138 successful in representing the upper-ocean processes in the tropical Indian Ocean, 139 including ISV of sea surface temperature (e.g., Li et al., 2013, 2014), sea surface 140 salinity (Li et al. 2015) and SSH (Chen et al., 2015a). HYCOM is also able to well 141 simulate the annual cycle and interannual variability of equatorial currents (Chen et al. 142 2015b) and eastern equatorial Indian Ocean upwelling (Chen et al., 2015a, 2016). As

143	we shall see below, the wind-driven equatorial wave dynamics play an important role in
144	generation of strong intraseasonal meridional currents in the southern BOB. Thus, we
145	will further verify the HYCOM performance in simulating the ISV of equatorial
146	currents by comparing with RAMA observations. The high correlation coefficients of
147	0.86 and 0.84 between intraseasonal zonal flow from RAMA moorings and from
148	HYCOM solution averaged in the upper ocean at (0, 90°E) and (0, 80.5°E), together
149	with their similar standard deviations (STDs) of 0.10 ms ⁻¹ versus 0.12 ms ⁻¹ and 0.11
150	ms ⁻¹ versus 0.13 ms ⁻¹ (Fig. 3), suggest that HYCOM reasonably captures both
151	amplitude and phase of intraseasonal variability of equatorial zonal current. In section
152	3, we will also see that HYCOM successfully simulates the fundamental processes
153	governing the eddy-like SSHAs, and thus is suitable for our investigation.

154 In this study, the ASCAT-forced run between 2011-2014 is used for our analysis 155 and referred to as the HYCOM Main Run (MR). Besides MR, three additional 156 experiments were performed for the 2011-2014 period with ASCAT wind forcing. To 157 entirely exclude the forcing by atmospheric ISOs, in the NoISO experiment all of the 158 atmospheric forcing fields are low-pass filtered with a 105-day Lanczos digital filter. 159 The difference, MR - NoISO, hence measures the overall impact of ISO-related 160 intraseasonal atmospheric forcing on the ocean. The third experiment, NoSTRESS, is performed with only the wind stress 105-day low-pass filtered. Hence the difference 161 between MR and NoSTRESS quantifies the effect of intraseasonal wind stress 162 163 associated with the ISOs through ocean dynamics.

164 To assess the relative importance of local wind forcing within the area of interest versus remote wind forcing outside the region, an additional experiment, named as 165 166 NoLOCAL, is performed, in which the wind stress in the area of 84°E -100°E, 2°N -8°N (blue rectangle in Fig. 1) is 105-day low-pass filtered. Outside this rectangle area, 167 168 there is a one-degree the transition zone (red dashed rectangle in Fig. 1), where the 169 daily wind stress gradually changes to 105-day low-passed winds. The difference, MR 170 - NoLOCAL, thus isolates the ISO-related local wind stress forcing effect, and 171 NoLOCAL measures the remote forcing effect outside the box area. This experiment 172 can help assess the relative importance of the local and coastal processes within the 173 box and remote forcing from the equator. Output of the four experiments are all stored 174 as 3-day mean data for the analysis period of 2011-2014.

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176 **3. Results**

177 3.1. Observed Intraseasonal Meridional Currents

Figure 2 shows the daily time series of zonal current u and meridional current vobserved by the SCSIO mooring from April 2013 to April 2014 over 20-145 m and 180–400 m depths (Section 1, Fig. 1). Evidently, u and v exhibit distinct characters in their variability. While u exhibits strong seasonal and semiannual variations, v is dominated by intraseasonal variability, with northward/southward flows alternating on intraseasonal timescales throughout the observation period and amplitude of ~0.4 m

184	s ⁻¹ above the main thermocline (~115 m for the climatological 20°C isotherm from
185	ORAS4). The power spectra of the 20-145 m averaged v show strong spectral power
186	at intraseasonal periods, with two distinct peaks at 38-day and 47-day periods (red
187	line in Fig. 4a). The ISV is much stronger than the variability at lower frequencies
188	(0-0.01 day ⁻¹ ; > 100 days in period). The spectra of the 180-400 m averaged v also
189	show significant power at intraseasonal periods, with peaks at 47days and 38days but
190	with much weaker magnitudes (Fig. 4b). These results indicate that the observed v is
191	associated with oceanic intraseasonal waves, with energy propagating downward from
192	the surface as is clearly shown by the upward phase propagation of v (Fig. 2b). In
193	comparison, the spectra of u have considerably weaker power at intraseasonal
194	timescale of 30-50 days but stronger power at semiannual and annual frequencies (<
195	0.01 day ⁻¹) in the upper layer and semiannual frequency in the deeper layer (Fig. 4,
196	black curves). This means that the strong intraseasonal flow is a unique feature for v
197	at the mooring site of \sim 5°N and worthy of in-depth investigation.

To better understand the current variability at the mooring site, we compare the OSCAR surface v, surface geostrophic current v_g from AVISO, and ADCP-measured vat 20 m and averaged between 20-145 m in Figure 5. During the observation period of the mooring, the three datasets are highly consistent. Interestingly, amplitudes and phases of the intraseasonal v (30-105 day band-pass filtered; Fig. 5b) are quite similar to those of the original daily v (Fig. 5a), confirming the dominance of ISV in the total meridional flow. The STDs of intraseasonal v from OSCAR, AVISO and mooring at

20 m are 0.18 m s⁻¹, 0.22 m s⁻¹, and 0.15 m s⁻¹ during the mooring observed period, 205 which are 81%, 76%, and 79% of the total daily v, respectively. Besides, the 206 207 correlation coefficient between the daily v and intraseasonal v reaches 0.87, 0.81, and 208 0.88 for the three datasets. Note that the intraseasonal OSCAR v agrees well with the 209 AVSIO v_g (Fig. 5b; r = 0.80). Therefore, the ISV is primarily contributed from the 210 geostrophic component, and contribution from the Ekman flow is much less. The 211 conclusion stands for 3°N-9°N in the eastern Indian Ocean (figure not shown). 212 Consequently, we use AVISO SSH data to explore the spatial structure and temporal 213 evolutions of the observed current and sea level variability during the mooring 214 observational period.

215 Time-longitude plot of intraseasonal SSHA along section 5°N suggests that the 216 significant intraseasonal SSHA at the mooring site is mainly attributed to the westward propagation of Rossby waves with a phase speed of $0.20 \sim 0.71$ m s⁻¹ (Fig. 217 218 6a). Note that equatorial Yanai waves with "westward phase propagation" also exist at 219 the 30-105 day periods and may still have appreciable magnitude near 5°N (e.g., 220 Chatterjee et al. 2013). They however are antisymmetric about the equator and have 221 eastward group velocity (Yanai and Maruyama 1966). As we shall see below (e.g., Fig. 222 10), it is primarily the westward propagating symmetric Rossby wave that contributes 223 to the SSHAs here. Larger phase speeds tend to occur in boreal winter-spring due to the stronger oceanic stratification (Fousiya et al. 2015). For our composite analysis 224 225 below, we use one STD of SSHA as the criterion for the selection of positive/negative 226 SSHA events at the mooring site, and the peaks of these events are labeled by white 227 squares and green circles in Figure 6a. During the peaks of the high (low) SSHA 228 events, the composite AVISO SSHA and OSCAR currents suggest that the mooring 229 site is located roughly at the center of an anticyclonic (cyclonic) eddy-like structure 230 (Figs 6b-6c). As these eddy-like structures propagate westward and pass the mooring, 231 strong intraseasonal v is observed at the mooring site. In contrast, since the mooring 232 site is roughly at the latitude of the eddy centers, the large zonal currents on the eddy 233 edge is missed, and this is why we did not observe strong corresponding u at the 234 mooring site (Figs 2a and 4). We further examine the time-latitude plot of intraseasonal 235 SSHA along section 90.5°E (Fig. 6d), which confirms our discussion above, since the 236 strong zonal gradients of positive/negative SSHA at ~5°N are associated with strong 237 geostrophic meridional currents, with strong zonal currents occurring around 4°N and 6°N where strong meridional gradients of SSHA exist. Superimposed on the SSHA are 238 239 meridional velocity vectors observed by the mooring from a depth of 20m (Fig. 6d). 240 Larger amplitude *v* matches well with the boundaries between the positive and negative 241 SSHA patches.

To understand whether or not the strong intraseasonal *v* is a unique situation of the mooring observational period, longer data records of OSCAR and AVISO at the mooring site are examined from 2011-2014. Figure 5 suggests that the geostrophic current remains the dominant component during 2011-2014. Besides, the mooring site is always passed by centers of eddy-like anomalies, as revealed by composite analysis

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247 using data from 2011-2014 (figure not shown). In fact, the mooring site is even affected by eddy-like currents in the annual climatology of 2001-2014 (Fig. 1). These 248 249 results verify that the strong intraseasonal v at the mooring site near 5°N is a common 250 feature. Due to the westward propagation of the eddy-like structures, we expect that 251 strong intraseasonal v also exists west of the mooring. Power spectra of u and v verify 252 our speculation (Fig. 7). Based on the daily AVISO geostrophic currents for 253 2011-2014, power spectra of zonal and meridional velocities at each grid point along 5°N are computed. Intraseasonal component with spectral peak of ~36 days 254 255 dominates the meridional velocity, and the power peak extends westward from 94°E 256 to 84°E (Fig. 7b). The wavelength is 1555 km corresponding to the phase speed of 0.5 m s⁻¹ (Fig. 6a) and period of 36 days, which is consistent with wavelength of 257 258 intraseasonal Rossby wave in the Pacific Ocean (Farrar, 2008). In comparison, the 259 dominant zonal currents are at lower frequency with periods of longer than 100 day 260 (Fig. 7a).

261

262 **3.2. Wind forcing effects by the ISOs**

To understand the causes for intraseasonal SSHA and thus intraseasonal meridional velocity, we choose a boxed area (3°N -7°N, 84°E -94°E; the black box, in Fig. 6b), which is centered at the mooring latitude of 5°N in the southern BOB, to form the time series. The STD of AVISO intraseasonal SSHA is 1.77 cm, which is approximately 50% of the STD of its climatological mean seasonal cycles of 3.78 cm.
The simulated intraseasonal SSHA by HYCOM MR generally agrees with the
observed SSHA (Fig.8a), with a correlation coefficient of 0.86 and a STD value of 1.80
cm during 2011-2014.

271 Given the success of HYCOM MR, results of other HYCOM experiments can be 272 analyzed to understand the underlying physical processes. For example, in NoISO the 273 forcing effects of atmospheric ISOs are removed, and therefore MR - NoISO measures 274 the total forcing effect of ISOs. The intraseasonal SSHA from MR -NoISO agrees quite 275 well with that in MR, showing a linear correlation coefficient of 0.93 (above 95% 276 significance) (Fig. 8a). The STD of intraseasonal SSHA in MR - NoISO is 1.65 cm, which is also close to that in MR (1.80 cm). The good agreement between MR and MR 277 278 -NoISO suggest that the observed SSH and current ISVs are predominantly forced by 279 atmospheric ISOs rather than induced by oceanic internal instabilities. By excluding 280 intraseasonal wind stress forcing in NoSTRESS experiment, we further find that effect 281 of atmospheric ISOs on SSHA is mainly through intraseasonal wind stress forcing 282 (Fig. 8b), which affects SSHA through ocean dynamical processes rather than through 283 surface buoyancy fluxes (heat and freshwater fluxes). The SSHA induced by surface 284 wind stress (MR-NoSTRESS) has a comparable magnitude (1.58 cm) with that induced 285 by total ISO forcing, and their correlation coefficient reaches 0.90.

286 Variability of SSH and upper-ocean circulation in the study region is affected by287 both local wind forcing and remote forcing of the equatorial zonal winds. To explore

288 the effects of local versus remote wind stress forcing within and outside the southern 289 BOB region (Fig. 1), we perform lagged correlation analyses between ASCAT 290 intraseasonal zonal wind stress anomaly at each grid and AVISO intraseasonal SSHA 291 averaged in the box shown in Figure 6b. The results demonstrate that intraseasonal 292 SSHA in the southern BOB is significantly affected by zonal wind stress in the 293 equatorial region (left column of Fig. 9). While positive correlation exceeds 0.6 from 294 80°E -90°E along the equator when wind stress leads by 25 days (black contour in Fig. 295 9a, top panel), negative correlation exceeds -0.4 in a similar region when wind stress 296 leads by 0 or 5 days (Fig. 9a, two bottom panels). This result suggests that both 297 eastern-boundary reflected and directly-forced Rossby waves are important in causing 298 the observed SSHA at the mooring location, as further elaborated below.

299 Given that equatorial waves induce convergence and divergence along the Sumatra 300 coast (Chen et al., 2016), we perform composite analyses with respect to SSHA along 301 the Sumatra coast, labeled by the red box in Figure 10 with a width of one degree longitude off the coast between 5°S -5°N. The ±1STDs of SSHA averaged in the red 302 303 box from the AVISO for the 2011-2014 period are ± 3.16 cm, which are used to 304 identify positive/negative SSHA events (Figure not shown). Based on this criterion, 305 we identify 18 positive and 19 negative SSHA events. Due to their similar evolution 306 processes, we only show the composite results of positive SSHA events below. The 307 days with SSHA maxima are taken as day 0. Then, SSHA composites from AVISO 308 and currents from OSCAR for 15 days before (day -15) and 25 days after (day +25)

day 0 are obtained (Fig. 10). To examine the sensitivity of results to the selection of the
box, we also obtain the composite based on SSHA on the equator, similar to the analysis
of Iskandar and McPhaden (2011) who used moored time series as an index, and obtain
similar results. The composites of intraseasonal OLR and wind stress anomalies in the
tropical Indian Ocean corresponding to the positive SSHA events are presented in Fig.
11.

315 Intraseasonal westerly wind anomalies in the equatorial Indian Ocean (arrows of 316 Fig. 11; -15 day), which are associated with strong intraseasonal atmospheric 317 convection (active phase of the ISOs; blue shading in Fig. 11) cause equatorial Ekman 318 convergence, increasing SSHA and eastward flows along the equator (Fig. 10). The 319 positive SSHA signals first propagate eastward along the equator as equatorial Kelvin 320 waves. Upon arriving at the eastern boundary, part of the energy propagates 321 northward along the coast of Sumatra as coastal Kelvin waves, and subsequently 322 radiates westward as long Rossby waves with eddy-like structures centered at 5°N, 323 increasing SSHA at the mooring location after 20-25 days (+5 day and +10 day in Fig. 324 10). Meanwhile, intraseasonal easterly wind anomalies occupy the equatorial Indian 325 Ocean (+5 day in Fig. 11), inducing cyclonic eddy-like structures around the mooring 326 location after another 20-25 days (Fig. 10; see also Fig. 16 below). These explain the 327 lagged correlation shown Fig. 9a and the alternating meridional currents observed by 328 the mooring. Note that in the equatorial Indian Ocean, the propagating first 329 meridional mode Rossby waves associated with the first baroclinic mode exist when

the period is longer than ~30days, and those associated with the second baroclinic mode exist when the period is longer than ~40days (see Fig. 12 of Han 2005). Consequently, the observed 38-day spectral peak of v (Fig. 4a) is largely contributed from the Rossby waves associated with the first baroclinic mode and the 47-day peak, from both the first and second baroclinic modes.

Accompanying the equatorial Ekman convergence and positive SSHA, there are off-equatorial Ekman divergence and therefore negative SSHA on both sides of the equator (Fig. 10). This is the typical structure of the first meridional mode Rossby wave that is directly driven by zonal wind stress over the eastern equatorial basin (see also Nagura and McPhaden 2014 for directly forced Rossby waves). These directly forced Rossby waves explain the negative correlation with 0~5 day lead of zonal wind stress in the eastern equatorial basin (Fig. 9a, two bottom panels).

342 In addition to zonal wind stress in the equatorial basin, Ekman pumping associated with local wind stress curl over the southern BOB can also affect SSHA 343 344 there, which is also mentioned by previous studies (e.g., Iskandar and McPhaden 2011; 345 Nagura and McPhaden 2010). Indeed, SSHA in the southern BOB is negatively 346 correlated with the local Ekman pumping velocity anomaly with correlation coefficients exceeding -0.4 with 0~5 day lead by Ekman pumping velocity (Fig. 9b). 347 348 This is because positive (negative) Ekman pumping velocity associated with positive 349 (negative) wind stress curl anomaly shoals (deepens) the thermocline and reduces 350 (increases) SSHA. The SSHA signals can propagate westward as Rossby waves,

351 affecting the SSHA in the western Bay. Note that propagating Rossby waves exist at 352 5°N on intraseasonal periods, because the critical latitudes (McCreary et al., 1986) of intraseasonal Rossby waves associated with the first baroclinic mode are higher than 353 5°N. The critical latitude θ_c is defined as $\tan(\theta_c) = \frac{c}{2\omega R}$, where c is baroclinic mode 354 speed, R is the earth's radius, ω is the frequency with $\omega = \frac{2\pi}{T}$ and T is the period. 355 356 Based on the density profile from ORAS4 data, we estimate the theoretical Kelvin wave phase speed of the first baroclinic mode speed being 3.05 ms⁻¹ in our region of 357 358 interest. For T=36 days, which is close to the lower bound of intraseasonal periods (Fig. 4a), $\theta_c = 6.8^{\circ}$ N. In comparison, the second baroclinic mode with phase speed of 1.52 359 ms⁻¹ can affect 47 day variability on the equator but not at 5°N since its θ_c is less 360 than 5°N. 361

362 To provide quantitative estimates for the effects of remote versus local wind forcing, we trace the SSHA signals along the route composed by the eastward route 363 along the equator, the northwestward route along the Sumatra coast, and the westward 364 route at 5°N meridian (the route A-B-C-D with longitudes of 80°E, 98.5°E, 95°E, and 365 366 80°E shown by the red line in the bottom panel of Fig. 9a). Figure 13 shows the time-distance plots of intraseasonal SSHA from AVISO, HYCOM MR, HYCOM 367 368 NoLOCAL (assessing the remote forcing effect outside the Fig. 1 boxed region), and MR - NoLOCAL (assessing local wind forcing effect within the box). Both AVISO 369 and HYCOM MR show clear propagation from A-B-C with faster speed and from 370 371 C-D with slower speed (Figs 13a-13b). It takes 10.4 (20.7) days for the first (second) 372 baroclinic mode Kelvin waves to propagate from A to C, and another 5.4 days for the first baroclinic mode Rossby wave from C to the mooring site. Indeed, the travel time 373 374 of the observed and simulated SSHA signals from A to C indicates a mixed behavior 375 of first and second baroclinic modes. Wind forcing may also obscure pure free Rossby 376 wave phase speeds, leading to the slower speeds than expected for free wave 377 propagation. In NoLOCAL, the SSHAs present similar features and magnitudes to 378 those in HYCOM MR and AVISO observation, suggesting that remote winds outside 379 the boxed region play an important role in affecting the intraseasonal SSHA in the 380 southern BOB (Fig. 13c). Composite intraseasonal SSHA and surface currents from 381 NoLOCAL further verify the dominant role of remote, equatorial forcing (Fig. 12). In comparison, in MR - NoLOCAL, the SSHAs are visibly weaker (Fig. 13d). 382 383 Interestingly, the intensity of locally generated SSHA shows obvious interannual 384 variation. As a result, local wind forcing also makes significant contributions to strong 385 SSHAs in some years, which also propagate westward (Fig. 13d).

To better quantify the remote versus local wind forcing effects, in Figure 8c we compare the box-average intraseasonal SSHA time series from MR, NoLOCAL, and MR - LOCAL. Overall, remote wind forcing dominates the total SSHA in most of the cases. The correlation coefficient between MR and NoLOCAL is 0.82, while that between MR and MR - NoLOCAL is 0.46. The STDs of intraseasonal SSHA are 1.61 and 1.03 cm in NoLOCAL and MR - NoLOCAL accouting for 89% and 57% of the total SSHA in MR, respectively. Albeit with smaller amplitude and correlation, local 393 wind forcing effect-is also considerable, particularly for some strong SSHA events. Specifically, both remote and local forcing effects contribute to the SSHA in July 394 395 2011, May 2013, and December 2014 (red arrows in Fig. 8c), whereas they have 396 opposite effects on SSHAs of January 2011, August 2011 and July-August 2014 (blue 397 arrows). We therefore come to the conclusion that the observed ISVs in SSH and 398 meridional current are primarily induced by remote forcing of the equatorial winds 399 through both reflected and directly forced Rossby waves, and secondarily through 400 local forcing of wind stress curl anomalies near 5°N.

401 **3.3. Symmetric Equatorial Rossby Waves**

402 Besides the eddy-like SSHAs near 5°N, eddy-like SSHAs can also be seen south 403 of the equator from day +10 to day +25 (Fig. 10), also propagating westward along $5^{\circ}S_{\overline{2}}$ but with smaller amplitudes. The rough symmetric signals about the equator, 404 405 with in-phase SSHAs on both side of the equator, resemble the structure of the 406 equatorially symmetric waves. The equatorially symmetric structure, with positive SSHAs off the equator and weakly negative SSHA on the equator, is the typical 407 408 structure of the first meridional mode Rossby waves. Due to the slanted eastern 409 boundary in the EIO, however, Rossby waves are not "purely symmetric" (Han et al., 410 2011), and there are contributions from anti-symmetric components.

411 To understand the components of the observed ISVs, we perform a meridional 412 decomposition to the intraseasonal observed SSHA to Kelvin wave mode D_0 and

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413 Rossby wave modes $D_{n+1} + (n+1)D_{n-1}$ in the form of 414 $h = h_0 D_0 + \sum_{n=1}^{\infty} h_n (D_{n+1} + (n+1)D_{n-1})$, where parabolic cylinder functions $D_n(y')$ can

415 be represented in terms of the Hermite Polynomial
$$H_n(y')$$
 as
416 $D_n(y') = \frac{e^{-y^2/4}}{\sqrt{n!\sqrt{2\pi}}} H_n(y')$ (Zheng et al., 1995). Herein, $y' = y\sqrt{2\beta/c}$ is

417 non-dimensional meridional coordinate, where $c = \sqrt{g'H}$ is the phase speed of 418 baroclinic mode inertial wave in a 1.5 layer ocean model, g' is the reduced gravity 419 parameter, and H is the mean upper layer thickness of the 1.5-layer model. β is 420 defined by $\beta = 2\Omega \cos\theta / r_0$, where Ω is the rotation rate of earth, θ is the latitude,

421 and
$$r_0$$
 is the earth radius. $H_n(y')$ are derived from $e^{-y'^2/2}H_n(y') = (-\frac{d}{dy'})^n e^{-y'^2/2}$.

422 More details can be found in White and Tai (1992) and Zheng et al. (1995).

423 Three profiles, at 85°E, 90.5°E, and 93°E, are chosen to represent the different 424 areas of the EIO. Based on the AVISO SSHA composites shown in Figure 10, we 425 expand SSHAs along the three profiles on -5, +10, and +25 days to understand their 426 features in different stages. Overall, the AVISO SSHAs (grey dots in Fig. 14) can be 427 well represented by the sum of the Kelvin wave mode and the first two meridional 428 modes Rossby wave (red lines in Fig. 14). On -5 day when Kelvin waves dominate 429 the equatorial area, the Kelvin wave mode and the first meridional mode symmetric 430 Rossby wave (red dashed line in Fig. 14) control the meridional distribution of SSHA. 431 In comparison, the second meridional mode anti-symmetric Rossby wave (blue lines 432 in Fig. 14) contributes less to SSHA. On +10 day when the reflected Rossby waves

433 starting to appear, the first meridional mode symmetric wave induces equatorial 434 symmetric SSHA along 93°E, with peaks around 5°N and 5°S. At the same time, the 435 second meridional mode anti-symmetric Rossby wave leads to high SSHA around 436 5°N but low SSHA around 5°S. As a result, SSHA along 93°E presents significantly 437 asymmetrical feature around the equator with high SSHA in the northern part. The 438 asymmetrical Rossby waves propagate westward and arrive at 85°E on +25 day (Fig. 439 10), where SSHA are still contributed from the first three modes (Fig. 14). In comparison, because the high SSHA event has passed by and no new one arrives, the 440 441 SSHA along 90°E on +25 day tends to be symmetric and dominated by symmetric 442 wave modes (Fig. 14). Due to generation of another low SSHA event (Fig. 10), the 443 SSHA along 93°E on +25 day becomes asymmetric again, and the anti-symmetric 444 modes contribute substantially to the SSHA meridional distribution (Fig. 14). 445 The decomposition of SSHA into meridional modes supports the observations and

446 model results, showing that the sum of Kelvin wave mode and first two meridional 447 mode Rossby waves reproduce the observed SSHA well in the equatorial Indian 448 Ocean. The first meridional mode Rossby wave dominates the solution near 5°N, and 449 the second meridional mode contributes to the asymmetric component about the 450 equator. Strong ISV of meridional velocity at 5°N is part of the eddy like structures 451 associated with the Rossby waves, whose natural modes ensure peaks around this 452 latitude (Fig. 14). The Kelvin wave mode is indispensable to the solution within the 453 equatorial Rossby radius, but it tends to weaken the effects of Rossby waves and

454 contributes less to the eddy-like SSHAs.

455

456 **4. Summary and Discussion**

457 In response to the strong wind forcing of atmosphere ISOs, zonal currents in the 458 eastern equatorial Indian Ocean exhibit pronounced intraseasonal variability, which 459 has been intensively investigated by many studies (e.g., Han et al. 2001; Iskandar and 460 McPhaden 2011; Nagura and McPhaden 2012). In comparison, there are far fewer 461 studies for the intraseasonal variability in meridional currents. The SCSIO deployed a 462 subsurface mooring at 5°N, 90.5°E (Fig. 1). The one-year records of the ADCPs 463 reveal pronounced ISV in the meridional current, which is characterized and explained in this study. 464

465 In the ADCP measurements of the mooring there are strong intraseasonal meridional currents with an amplitude of 0.4 m s⁻¹ and a typical period of 30-50 days 466 467 in the upper 150 m (Fig. 2). They are by far larger in magnitude than the mean flow and seasonal cycle of ψ_{x} Such prominent ISV is however not seen in zonal current u. 468 469 Further analysis shows that those anomalous meridional currents are primarily 470 geostrophic and closely associated with frequently occurring eddy-like SSHAs 471 centered near 5°N (Fig. 6). These eddy-like SSHAs propagate westward, causing 472 pronounced ISV of upper-ocean v not only at the mooring site but also the entire southern BOB between 84°-94°E (Fig. 7). Since most of the eddy-like SSHAs are 473

24

474 centered near 5°N, there is no significant ISV in zonal current observed by the mooring. To the southeast of the Sri Lanka island, the SSHAs are considerably 475 476 weakened, while some intraseasonal SSHAs are generated locally (Fig. 6a). In 477 addition to local wind forcing, the strong ocean internal instabilities off Sri Lanka 478 (Chen et al., 2012; Sengupta et al., 2001) should contribute to generating these local 479 SSHA signals. Short Rossby waves with eastward energy propagation may occur 480 around southeast of Sri Lanka, but have no visible influence on SSHA and currents at the mooring site before they are severely damped by mixing due to their slow 481 482 eastward group velocity and short wavelengths (not shown).

483 Further analysis of observational data and HYCOM experiments demonstrate that the observed eddy-like SSHAs at 5°N are predominantly caused by remote equatorial 484 485 wind stress forcing associated with atmospheric ISOs, through directly forced and 486 eastern-boundary reflected Rossby waves. The equatorial westerly (easterly) wind stress anomalies directly force symmetric Rossby waves with negative (positive) 487 488 SSHA around 5°N and 5°S. Meanwhile, intraseasonal equatorial zonal wind stress 489 excites equatorial Kelvin waves, which propagate eastward to the Sumatra coast, and 490 subsequently reflect back into the ocean interior as westward propagating Rossby 491 waves, contributing to the eddy-like SSHAs at the mooring location (Figs 10 and 13). 492 Similar processes also have been shown at seasonal and interannual scales (McCreary 493 and Yu, 1992; Shankar et al., 2002; Vinayachandran et al., 2002). Compared to 494 remote forcing, local wind stress forcing in the southern BOB is less important, but it also contributes significantly to some strong SSHA events through local Ekman
pumping and generating_westward propagating Rossby waves, such as those occurred
in July 2011, May 2013, and December 2014 (Fig. 8c).

498 Based on the statistics for the intraseasonal SSHAs near the Sumatra coast, there 499 are 19 events occurred in November-April and 18 events in May-October. No evident seasonal preference is detected in terms of event number. It is likely that both the 500 501 winter MJO and the summer monsoon ISO (e.g., Yasunari, 1980; Krishnamurti and 502 Subrahmanyam, 1982) can cause the strong ISVs in SSH and meridional current at 503 5°N. Based on the real-time multivariate MJO (RMM) index (Wheeler and Hendon 504 2004), we identify significant MJO variance with the RMM amplitude large than 1.5, 505 and then obtain the composite intraseasonal OLR and wind stress maps for the eight 506 MJO phases (Fig. 15). Strong wind stress anomalies are seen in the equatorial Indian 507 Ocean. For example, westerly winds are seen near the equator at phase 3-4, while 508 easterly winds are seen at phase 7-8. These surface wind anomalies, together with 509 their accompanied off-equatorial wind stress curls, are the primary driver of the 510 observed SSH and meridional current ISV at 5°N in November-April, as has been 511 demonstrated in our analysis. On the other hand, the wind anomalies of the summer 512 monsoon ISO are dramatically different in spatial-temporal characteristics from those 513 of the MJO. Therefore, the two dominant mode of ISOs may induce varied ocean current ISVs in strength, spatial distribution, and even mechanisms, which is an 514 515 interesting theme for future studies.

516 The eddy-like structures, in essence, are parts of equatorial symmetric waves. 517 Overall, the meridional structures of SSHA in the eastern equatorial Indian Ocean can 518 be well represented by the sum of the Kelvin wave mode and the first two meridional 519 modes of Rossby waves (Fig. 14). While the first meridional mode Rossby wave 520 dominates the meridional structure of SSHA overall, the second meridional mode 521 anti-symmetric Rossby wave contributes to the SSHA particularly in the eddy-like 522 regions near 5°N and 5°S (Figs 10 and 14). In general, the intraseasonal SSHAs and 523 meridional currents are stronger north of the equator than in the South, which is 524 primarily due to inclined coastline of the eastern boundary that affects the Kelvin 525 wave reflection (Fig. 10; Han et al., 2011).

526 The eddy-like Rossby wave signals can have a significant impact on the 527 upper-ocean circulation in the eastern equatorial Indian Ocean, particularly in the 528 southern BOB. Due to dissipation and modification by local winds, the Rossby waves 529 are greatly attenuated in the western basin; but their associated equatorial zonal flow 530 remains considerable in magnitude (Fig. 16). At the equatorial 60°E, the related intraseasonal zonal currents averaged at the upper 30 m can reach 0.2 m s⁻¹ Θ +50 day 531 532 of the composite, which may significantly impact on intraseasonal variability of upper 533 ocean currents, such as the Wyrtki jets, over the equatorial Indian Ocean.

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537	ftp://podaa	ac-ftp.jpl.nasa.gov	/allData/oscar/j	preview/L4/osc	<u>ar_third_deg</u> , t	he ASCAT	
538	data at]	http://apdrc.soest.	hawaii.edu:80/o	dods/public_dat	a/satellite_prod	uct/ASCAT/	
539	daily,	and	the	ORAS4	data	at	
540	http://apdr	c.soest.hawaii.edu	1:80/dods/publi	c_data/Reanaly	sis_Data/ORAS	<u>4</u> . The	
541	processed	mooring data use	d to construct	figures in this v	work are also av	vailable, and	
542	anyone who wants to get access to these data could contact the corresponding author,						
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724 Figure Captions

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during 2001-2014. The red star denotes the mooring location. The blue solid rectangle 726 727 marks the region of 2°N-8°N, 84°E-100°E, where wind stress forcing is 105-day 728 low-pass filtered in the NoLOCAL experiment (Section 2). The area between blue solid 729 rectangle and red dashed rectangle is the transient zone, where the daily wind stress 730 outside the box gradually transits to the 105-day low-pass filtered forcing inside the 731 box. 732 Figure 2. Mooring-observed daily (a) zonal current u and (b) meridional current v (m 733 s⁻¹) of the upper 400 m at 5°N, 90.5°E from April 2, 2013 through April 15, 2014. The 734 black line marks 0 velocity contour. 735 Figure 3. (a) Time series of 40-200 m averaged intraseasonal zonal currents (30-105 736 day band-pass filtered) at 0, 90°E from RAMA (black line) and HYCOM (red line). (b) 737 Same as (a) but for 25-180 m at 0, 80.5°E. 738 Figure 4. The power spectrum of u (black) and v (red) averaged over (a) 20-145 m 739 and (b) 180-400 m observed by the mooring at 5°N, 90.5°E. 740 Figure 5. (a) Daily time series of the surface v from OSCAR product (black) and 741 surface geostrophic meridional current from AVISO (red) during January 2011-742 December 2014, and 20 m (blue) and 20-145 m averaged (dashed-pink) v from the mooring measurements during April 2013- April 2014, at 5°N, 90.5°E. (b) Same as (a) 743 744 but for the 30-105 day band-pass filtered currents.

Figure 1. The annual climatology of surface currents (vector; m s⁻¹) from OSCAR

745	Figure 6. (a) Time-longitude plot of intraseasonal SSHA along 5°N during April
746	2013-April 2014. White squares and green circles denote peaks of positive and
747	negative SSHA events at the mooring site (5°N, 90.5°E) exceeding one STD
748	magnitude. (b) Intraseasonal SSHA and currents for the composite of the positive
749	SSHA events. The black box shows the region covers the 3°N-7°N, 84°E-94°E. (c)
750	Same as (b) but for the negative SSHA events. (d) Time-latitude plot of intraseasonal
751	SSHA at 90.5°E. Superimposed on the SSHA are velocity vectors observed by the
752	mooring at 5°N, 90.5°E from a depth of 20 m.
753	Figure 7. Power spectra of (a) u and (b) v along 5°N based on daily AVISO surface
754	geostrophic current. The black dashed line in (b) denotes the peak at 36 day period.
755	Figure 8. (a) Time series of intraseasonal (30-105 day band-pass filtered) SSHA
756	averaged in the 3°N-7°N, 84°E-94°E (black box in Fig. 6b) from the AVISO, HYCOM
757	MR and the solution difference, MR-NoISO (measuring the total ISO forcing effect)
758	for 2011-2014. (b) Same as (a) but from the MR, MR-NoISO, and MR-NoSTRESS
759	(measuring wind stress effect). (c) Same as (a) but from MR, NoLOCAL (measuring
760	remote forcing effect), and MR-NoLOCAL (blue line; measuring local forcing effect).
761	Figure 9. (a) Correlation coefficients between intraseasonal ASCAT zonal wind stress
762	τ^x at each grid point and intraseasonal AVISO SSHA averaged over 3°N-7°N,
763	84°E-94°E (black box in Fig. 6b) when τ^x leads SSHA by 25, 20, 15, 10, 5, and 0 days.
764	The black (white) contours represent 0.6 (\pm 0.4) correlations. Correlation values below
765	95% significance level are masked white. (b) Same as (a) but for the correlations

between intraseasonal Ekman pumping velocity W_E and box-averaged SSHA. The red lines in (a) denote routes along the equator (from A to B), along the coasts of Sumatra (B-C), and along 5°N (C-D). Longitudes of A-D are 80°E, 98.5°E, 95°E, and 80°E, respectively.

Figure 10. Composite intraseasonal AVISO SSHA (color; cm) and intraseasonal OSCAR currents (vectors; m s⁻¹) of the positive SSHA events during 2011-2014, with a 5-day interval. The 0 day is defined by the SSHA maximum along the Sumatra coast (within one-longitude of the Sumatra coast between 0°-5°N, shown in the -15 day map). The high SSHA events are identified with a criterion of SSHA exceeding the STD value.

775 STD value.

776 Figure 11. Composite intraseasonal (30-105 day filtered) OLR anomaly (color) and

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779 **Figure 12**. Same as Figure 10 but based on NoLOCAL experiment.

780 Figure 13. (a) Time-longitude plots of intraseasonal (30-105 day band-pass filtered)

781 SSHA from AVISO along the red lines shown in Figure 9a. (b)-(d) are the same as (a)

but for SSHA from (b) the MR, (c) the NoLOCAL experiment measuring the remote

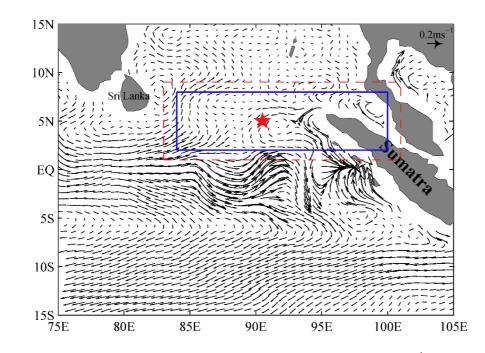
- 783 wind forcing effect, and (d) their difference MR- NoLOCAL that assesses the local
- 784 forcing effect.

785 Figure 14. Meridional distributions of intraseasonal SSHA along 85°E, 90.5°E and

786 93°E on -5 day, +10 day and +25 day. Grey dots are the composite SSHAs shown in

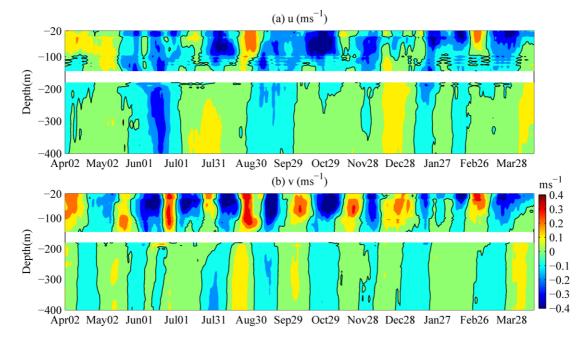
787	Figure 10. Red solid, red dashed, cyan, black, and blue curves are superposition of the
788	first three meridional modes, superposition of the first two meridional modes, the
789	Kelvin wave meridional mode, the first meridional symmetric Rossby wave mode, and
790	the second meridional anti-symmetric Rossby wave mode, respectively.
791	Figure 15. Composite intraseasonal wind stress anomaly (vectors) and OLR anomaly
792	(color) for eight phases of the RMM index.

- **Figure 16.** Same as Figure 10 but for the tropical Indian Ocean from +25 day to +60
- 794 day.
- 795



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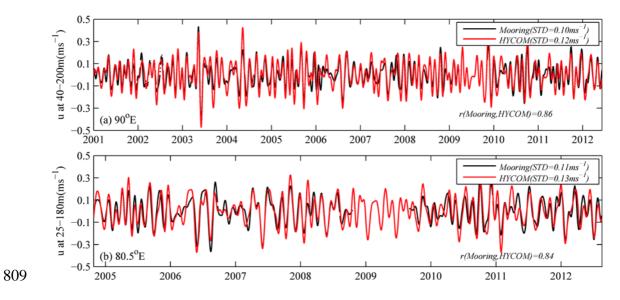
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806 **Figure 2**. Mooring-observed daily (a) zonal current *u* and (b) meridional current *v* (m

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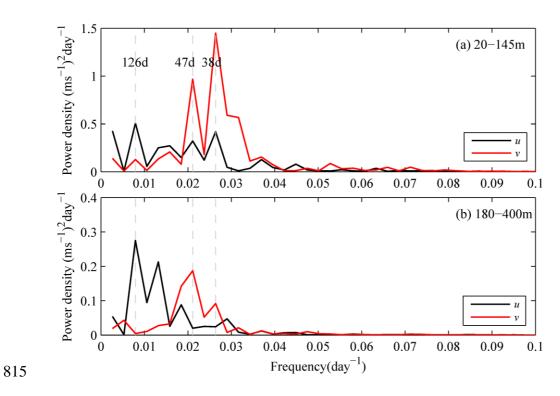
808 black line marks 0 velocity contour.



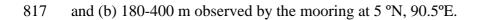
810 Figure 3. (a) Time series of 40-200 m averaged intraseasonal zonal currents (30-105

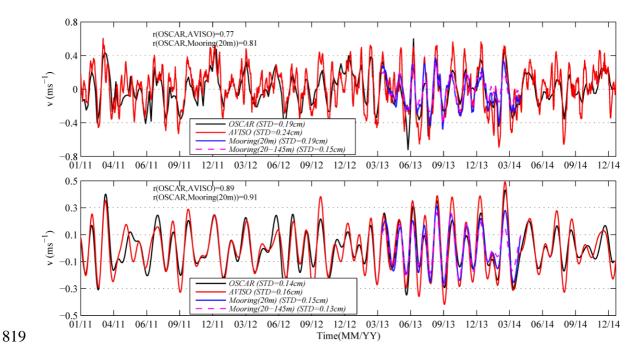
811 day band-pass filtered) at 0, 90°E from RAMA (black line) and HYCOM (red line). (b)

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816 Figure 4. The power spectrum of u (black) and v (red) averaged over (a) 20-145 m



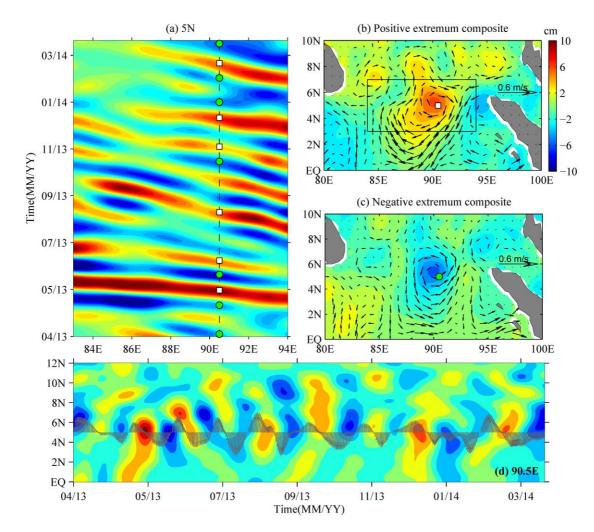


820 Figure 5. (a) Daily time series of the surface v from OSCAR product (black) and

821 surface geostrophic meridional current from AVISO (red) during January 2011-

822 December 2014, and 20 m (blue) and 20-145 m averaged (dashed-pink) v from the

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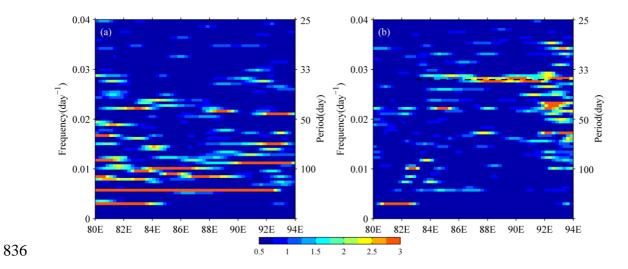
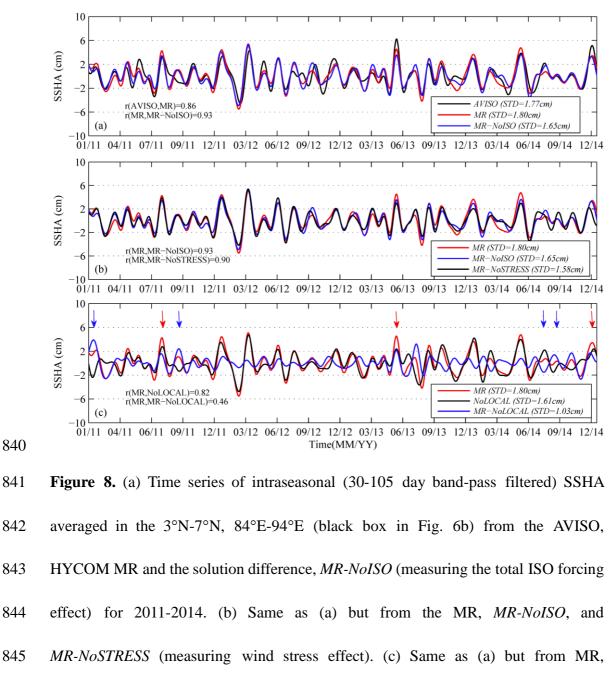


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847 measuring local forcing effect).

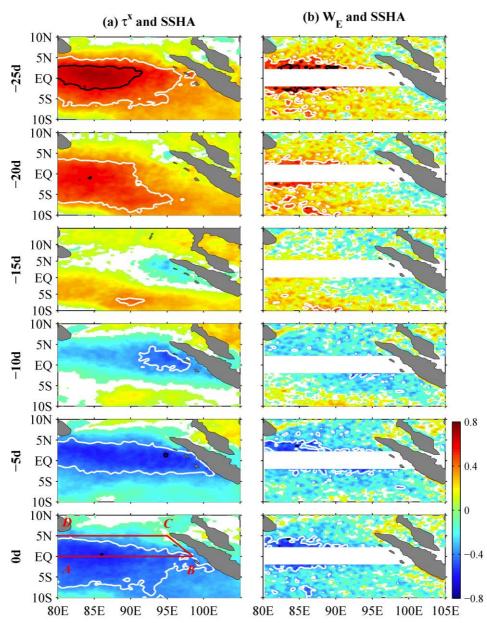
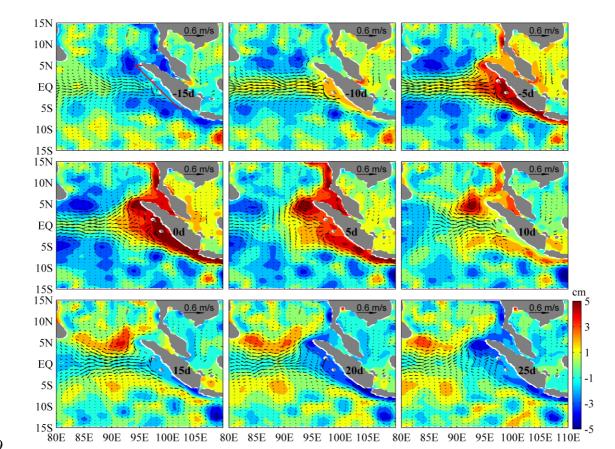
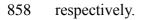




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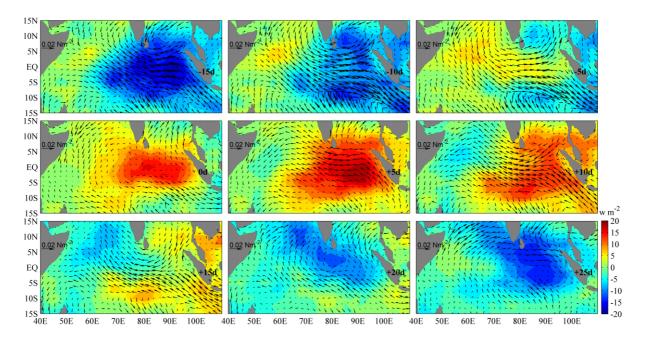


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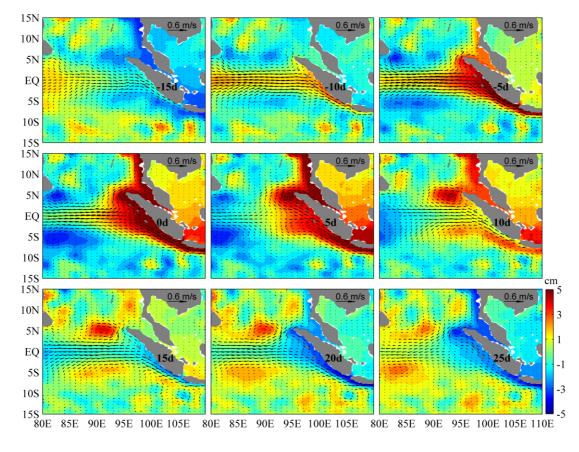


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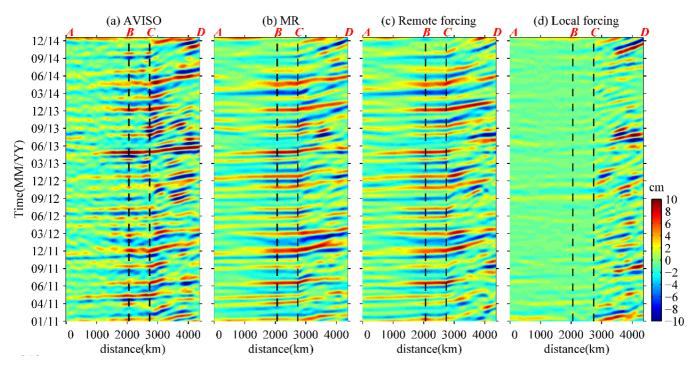


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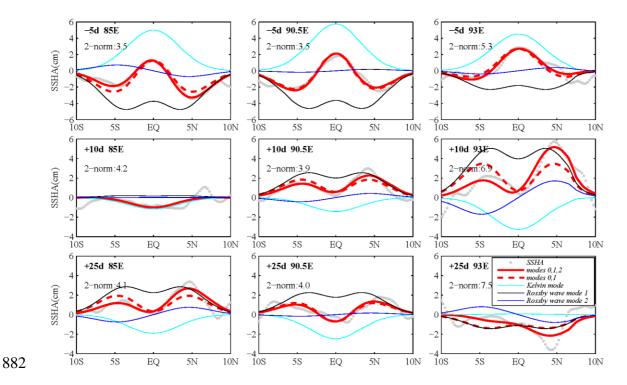


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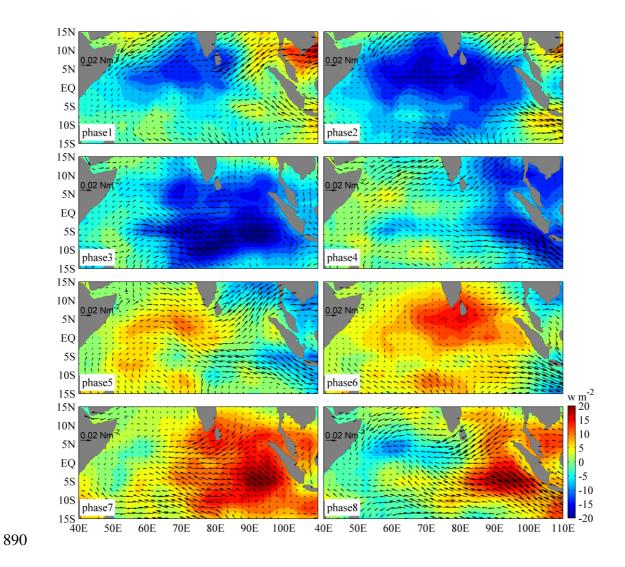
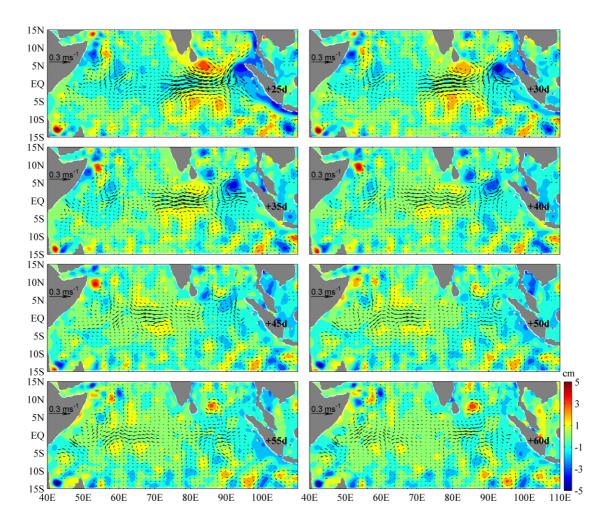


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