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# **Serious Injury Determinations for Small Cetaceans and Pinnipeds Caught in Commercial Fisheries off the Northeast U.S. Coast, 2011-2015**

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## INTRODUCTION

The Marine Mammal Protection Act (MMPA) requires the National Marine Fisheries Service (NMFS) to estimate annual levels of human-caused mortality and serious injury to marine mammal stocks (section 117) and to categorize commercial fisheries based on their level of incidental mortality and serious injury of marine mammals (section 118). Serious injury (SI) determinations were addressed at NMFS-convened workshops in 1997 and 2007 (Angliss and DeMaster 1998; Andersen et al. 2008), and in January 2012 the agency published new national guidelines for distinguishing serious from nonserious injuries of marine mammals ([http://www.nmfs.noaa.gov/pr/pdfs/serious\\_injury\\_procedure.pdf](http://www.nmfs.noaa.gov/pr/pdfs/serious_injury_procedure.pdf)). A major goal of the new guidelines was to establish national consistency and transparency in SI determinations. To implement the new guidelines, Science Center SI determination staff (SID) are required to annually review the observer (OBS) and at-sea monitor (ASM) records on all incidentally caught marine mammals that were released alive. Determinations made on these fishery interactions are independently reviewed by another center's SID (e.g., Northeast Fisheries Science Center [NEFSC] determinations were sent to Southwest Fisheries Science Center [SWFSC]), the Greater Atlantic Regional Fisheries Office (GARFO), and the Atlantic Scientific Review Group (ASRG) before final determinations are published.

## METHODS

Electronic records of all small cetacean and pinniped bycatch that were coded as alive or condition unknown for the 5-year period (2011-2015) were extracted from the Northeast Fisheries Observer Program (NEFOP) database. A principal component of these records included OBS/ASM notes that provided information on entanglement characteristics (e.g., animal in cod end), crew handling (e.g., rope tied animal, animals lifted overboard), animal condition (e.g., cut on dorsal flank, some blood), and state of released animal (e.g., swam away quickly, swimming sluggishly at surface, immediately sank). These data were independently compared to small cetacean (S) and pinniped (P) criteria contained in the aforementioned SI guidance document by 2 marine mammal researchers in the NEFSC Protected Species Branch. The 2 evaluators compared their determinations, and all differences were discussed to obtain agreement. In cases where a determination could not be made with the available data, the severity was prorated based on other determinations for that species or taxonomic group (Forney 2009). Final injury determinations and mortality events were tabulated annually to estimate the proportion of observed SI animals relative to the other observed determinations (e.g., nonserious injury (NSI), uninjured (UI), and Dead (D) by gear type and species (Table 4). Proportions are used in stock assessment reports to partition the extrapolated bycatch estimate into SI vs. Mortality (see Waring et al. 2016). Determinations for 2011, 2012, 2013 and 2014 have been previously published (Waring et al. 2014, 2015; Wenzel et al. 2015, 2016).

Species codes and gear codes used in this report are contained, respectively, in Tables 1 and 2. The statistical area designations are presented in Figure 1.

## RESULTS AND DISCUSSION

### Small Cetaceans

In 2011, 17 observer records of small cetaceans were reviewed for injury determination. One white-sided dolphin (*Lagenorhynchus acutus*), 3 common dolphins (*Delphinus delphis delphis*), 2 pilot whales (*Globicephala* spp.), and 5 unidentified small cetaceans were recorded as alive. The conditions of 1 white-sided dolphin, 1 harbor porpoise (*Phocoena phocoena*), 1 pilot whale, and 3 unidentified small cetaceans were recorded as unknown. One of the unidentified cetaceans was taken in the Gulf of Maine sink gillnet fishery targeting pollock (*Pollachius virens*), and 1 in a gillnet fishery targeting monkfish (*Lophius americanus*). All other takes were in bottom trawl fisheries.

Based on OBS/ASM notes, both white-sided dolphins were designated as SI. The photographs of 1 animal showed broken teeth, blood, and abrasions dorsally and around the eye. The second remained on the deck with a rope tied around the tail stock for an extended period of time; crew stated that the animal was released alive. The ASM did not see the animal.

The 2 common dolphins taken in January in the same tow were designated as NSI, as the observer stated they swam vigorously away and joined a group that was following the vessel. No injuries or signs of blood were reported. The third common dolphin taken in December, was wrapped “lightly” around the net reel, thus was considered a SI.

The harbor porpoise was brought onboard and the crew released it overboard. The crew stated that it was still alive, but the observer could not confirm. This animal was coded as SI.

The 3 pilot whales were taken in December on Georges Bank, 2 from a trip that was targeting redfish (*Sebastes* sp.). The observer did not get a good look at the 2 animals on the redfish trip. As soon as the net was onboard, the crew cut the animals out of the net and hoisted them overboard. The observer did not see 1 animal; crew cut it out of the net and said it swam away. The observer could not determine if the second animal was dead or in shock. Both of these animals were determined to be SI (Tables 3-4). The third was taken in a tow targeting haddock (*Melanogrammus aeglefinus*). The animal in the haddock tow was also brought aboard the vessel. The crew cut the animal out of the net, then tied a rope around the tail stock, and hoisted it over the side. The dangling whale hit the boat, and the ASM saw blood flowing from the mouth. This single pilot whale was also coded as SI.

In addition, captain/crew reported 2 alive and 1 dead “common dolphins” in a December tow on Georges Bank. As the observer did not see the animals, they were coded as unknown species, and designated as SI.

Four additional unidentified small cetaceans, 3 “dolphins” and 1 “porpoise/dolphin,” were taken in bottom trawls. One dolphin had a fresh “propeller” cut on the dorsal fin, another was cut from the trawl belly prior to bringing the net on deck, and the third animal was lightly wrapped around the net reel (Table 3). The 3 “dolphins” were designated as SI. The “porpoise/dolphin” was released from the gear while the net was alongside the vessel. This animal was designated as Cannot Be Determined (CBD) (Table 3). The unidentified small cetacean (coded as “porpoise/dolphin”) taken in a monkfish gillnet was also unseen by the observer, but because it was cut from the gear, it was determined to be SI (Table 3).

In 2012, observer records of 3 pilot whales, 2 common dolphins, and 1 unidentified dolphin were examined (Table 3). The animal condition for the 3 pilot whales, 1 common dolphin, and the unidentified dolphin was coded as “alive” (Table 3). The second common

dolphin was coded as “unknown.”

The 3 pilot whales were taken in February groundfish bottom trawl fisheries on the northwestern portion of Georges Bank. For each interaction, the ASM reported that the animals fell out of the net onto the deck. Bleeding (several cups) was only observed for the 01 February whale. Crew returned the whales to the water by tying a rope around the tail stock and dragging them off the stern. The 01 February animal resurfaced twice before diving, and no blood was seen in the water; the 02 February animal was not very active on deck but swam away upon release with some loose rope attached; the 05 February whale swam alongside the boat upon release. Based on the fact that they had been brought on deck, the 3 whales were designated as SI (Table 3).

The 2 common dolphins were taken in the mid-Atlantic region longfin inshore squid (*Doryteuthis [Amerigo] pealeii*) bottom trawl fishery. Both of these interactions were in bottom trawl gear, one in January in midshelf waters north of Hudson Canyon and the other in December within Hudson Canyon. The January animal was entangled in the belly of the trawl. During haulback the crew released it from the cod end, and the dolphin swam away. This animal was designated as NSI since the animal actively swam away with no visible injuries recorded. The December animal was brought onto the deck, and crew stated that it was alive when they released it down the stern ramp. This animal was designated SI in category S4 as it had been brought on deck (Table 3).

The unidentified dolphin was also taken in bottom trawl gear in the mid-Atlantic region north of Hudson Canyon, where the target species were scup (*Stenotomus chrysops*) and summer flounder (*Paralichthys dentatus*). The dolphin came up in the trawl with gillnet gear wrapped around its fluke. It was alive when crew cut the net, and the animal fell into the water (Table 3). The crew stated that the dolphin swam away. This animal was designated as SI because the entanglement was likely to become constricting.

In 2013, 4 records of observed takes recorded as alive or unknown were examined. Only 1, a coastal common bottlenose dolphin (*Tursiops truncatus*), was recorded as alive (Table 3; Appendix). This dolphin was observed wrapped from just anterior of the dorsal fin to the leading part of the tail stock with a single layer of 12-inch mesh net. On its fluke was a “tangled mess” of approximately 100 meshes wrapped in a clump. The report states that the captain and crew were able to free the animal from the net within 5 minutes. No indents from net or gear, wounds, marks, or bleeding were observed on the dolphin. Once all gear was removed, the dolphin swam out of sight quickly. The animal was designated as NSI (Appendix Table 2). A record of a pilot whale was determined to have been coded as unknown condition in error as it was clearly dead. Two reported takes of unidentified dolphins did not have enough information for the injury status to be determined; CBD was the determination assigned to these animals (Table 3).

During 2014, NEFOP records of 1 Atlantic white-sided dolphin, 4 common dolphins, 1 pilot whale, 1 harbor porpoise, 1 Risso’s dolphin (*Grampus griseus*), and 1 dolphin of unknown species were reviewed. The condition codes for these above cetaceans were recorded as “alive” or “unknown” (Table 3).

In February 2014, an otter trawl captured a common dolphin. The animal was dumped with the catch to the deck and had net loosely caught on its dorsal fin. The animal did not fall from any height to the deck and landed on the catch. It was slowly moving its jaw and fluke about 3-4 inches, as if it were trying to breathe through its mouth. In the photo the animal appears to have a dark, approximately 1 inch wide mark around mid-mouth that the observer did not note. No other wounds, marks, or bleeding were seen. The animal was on deck about 1 minute

and then dragged off deck by its fluke by the crew. The animal was released down the stern ramp with no gear left on it. About 15-20 seconds after its release, the dolphin was seen swimming slowly near surface and stayed on the surface the whole time, although the observer could not see well, as it was dark and the boat was steaming away. The animal was designated as SI.

In March 2014, 1 Atlantic white-sided dolphin was observed alive during the haul back of a trawl. The dolphin was loosely caught in the mouth of the net, near the bridles and head rope, with its head facing the mouth of net and body parallel to the net. It wiggled its body, not really thrashing, and made no noise. The observer saw it for 5-10 seconds before the cod end opened and the catch was released. No photos were taken. CBD was the designation assigned to this animal (Table 3).

In May 2014, 1 Risso's dolphin was observed in a trawl with its tail tangled in the cod end trip line. The animal, which was initially described by the crew as a "marine mammal," freed itself after a couple minutes and swam away without noticeable injuries. The animal freed itself before the crew could cut line. The crew described the animal as a pilot whale, but black and white. A Risso's dolphin was sighted later in trip, and the crew confirmed it was same species as the bycatch interaction. CBD was the designation assigned to this animal (Table 3).

In July 2014, 1 common dolphin fell out of the cod end when the catch was dumped on deck. Signs of breathing (slow, 5-6 breaths) were noticed while the animal was on deck. Slow eye movement was seen but no other movements. The crew pushed it off the stern ramp. The dolphin took a minute to right itself once in the water then slowly swam away, gaining speed with distance. This animal was designated as SI.

In November 2014, a live common dolphin was observed in a trawl fishery. The dolphin was rolling in the net belly, with its pectoral flipper and fluke sticking out of meshes. The cod end was detached to release on deck. The animal was observed on the net reel but was not brought over the net reel. The crew saw the animal moving in the net, and the observer saw some movement of the fluke, but it was not thrashing. The observer only saw the fluke well and some portion of the left side. No sounds were heard. No wounds, marks, or bleeding were seen. The animal was out of the water less than 5 minutes and then was pushed down the stern ramp to release. The observer could not see the animal after release. This animal was designated as SI.

In September 2014, a gillnet trip with an ASM reported a take of 1 live common dolphin. The captain saw a dolphin which appeared tangled by "a flipper" and then popped out as the net was brought out of water. The ASM was alerted to the incident, tried to get a picture of it escaping, but did not get to that side of boat in time. The captain commented that the dolphin swam away. No blood was seen in the water. A pod of common dolphins was seen in the area of the net when it was set, 30 minutes prior. No photos were taken. CBD was the designation assigned to this animal (Table 3).

In October 2014, an ASM aboard a gillnet trip briefly saw only the head of a harbor porpoise. It appeared to be dead, with no meshes seen wrapped around head and no movement. This animal was designated as dead (Table 3).

In October 2014, an unidentified dolphin was observed entangled in net, hanging loosely in the net bag. Photos were inconclusive. CBD was the designation assigned to this animal (Table 3).

In November 2014, a trawl fishery reported a pilot whale take that was sideways in the belly of the net. The pilot whale was pinned onto the net reel for about 10 seconds. The crew cut the gear to get the animal out and released it while the cod end was still in the water. The observer only saw the left side, not the right. No wounds, marks, or bleeding were seen. The

observer saw the mouth opening and closing, but no other movements were seen. The animal was out of water for about 20 seconds. The animal was seen swimming away from boat with a pod that followed the boat for about 5 minutes before disappearing. No photos were taken. The animal was designated as SI (Table 3).

In 2015, 3 small cetacean records were reviewed, 2 of which were determined to be SI cases and the other CBD. The 2 SI interactions were both Risso's dolphins in bottom trawl gear, and category S4 was applied since both animals were brought on deck. A harbor porpoise was seen by an observer on a gillnet trip. The observer described the animal as thrashing out of the net and swimming away quickly. This animal was designated CBD (Table 3).

In summary, from 2011 to 2015 39 records of small cetaceans were reviewed for injury determination. Of these, 25 were designated as SI, 4 as NSI, 8 as CBD, and 2 as dead. Five common dolphins, 1 harbor porpoise, 7 pilot whales, 2 Risso's dolphins, and 2 white-sided dolphins were seriously injured as a result of interacting with bottom trawl gear. Six additional small cetaceans of unknown species sustained serious injury in trawl gear, and 1 unidentified cetacean and 1 porpoise/dolphin were seriously injured in gillnet gear. Of the NSI designations, 3 were common dolphins interacting with bottom trawl gear, and 1 was a bottlenose dolphin in a gillnet. One white-sided dolphin, 1 harbor porpoise, 1 Risso's dolphin, 1 common dolphin, 1 porpoise/dolphin, and 3 unidentified dolphins were designated as CBD during this period, all but the harbor porpoise in bottom trawl gear.

While more mortalities of small cetaceans occurred in gillnet fisheries during this time period, serious injury events were more common in bottom trawl gear (Table 4). In bottom trawl gear during 2011-2015, serious injuries were determined in 2.5% of common dolphins (out of a total of 198 bycaught animals); 16.7% of harbor porpoises (out of a total of 6 bycaught animals); 21.9% of pilot whales (out of a total of 32 bycaught animals); 15.4% of Risso's dolphins (out of a total of 13 bycaught animals); and 2.8% of Atlantic white-sided dolphins (out of a total of 71 bycaught animals). No serious injuries were found in observed alive fishery bycaught marine mammals found in gillnets, midwater trawls or purse seines.

## Pinnipeds

True seals (Phocidae) are the only pinnipeds normally found in waters off the northeastern US coast. In 2011, 3 harbor seals (*Phoca vitulina concolor*), 34 gray seals (*Halichoerus grypus atlantica*), and 8 unidentified seals were recorded in Gulf of Maine Atlantic herring (*Clupea harengus*) purse seine sets. Twenty-eight of the gray seals were captured alive on the same trip. One harbor seal was designated as UI, and the other 2 were designated as NSI. The NSI seals were entrapped in the net and swam away when released. Twenty-eight of the gray seals were designated as UI, as they were observed swimming in and out of the net. Five were designated as NSI and 1 as CBD. Three of the NSI seals were entrapped in the net but escaped and swam away. Two animals came aboard the vessel. One climbed aboard from the net, then went overboard and swam away. The second came up in the net, the fishermen released the seal, and it went off the back of the vessel on its own. The CBD animal was observed swimming in and out of the net. It had a 6-inch gash on the back, pink tissue was exposed, but no blood was visible. Four of the unidentified seals swam out of the net, and the remaining 4 were released from the net after the fish were pumped out. All 8 unidentified seals were designated as UI (Tables 3-4).

One harbor seal and 2 unidentified seals were sublethal takes in sink gillnet fisheries in 2011. The harbor seal was alive when brought on deck, and there were no signs of injury. The crew cut the net off, and the seal was released and swam away. Therefore, it was designated as

NSI (Tables 3-4). The 2 unidentified seals (coded as unknown) were taken on the same trip as 17 other seals (both harbor and gray seals) that were coded as dead. The captain reportedly cut the animals out of the net. It seems likely that the 2 unseen seals shared the same fate as the observed animals. The 2 unseen seals were designated as SI, but it cannot be ruled out that they were dead.

The condition of 1 unidentified seal taken in bottom trawl gear in 2011 was coded as unknown. However, the ASM noted that there was a yellow tag attached to the seal, though the tag information could not be collected before the carcass was dropped overboard. Therefore, it was designated as dead; under the assumption that this capture event was a recapture of a previously tagged carcass (Tables 3-4). However, we cannot rule out that the tag may have been attached to a stranded and rehabilitated/released seal or 1 that was captured and tagged during research operations.

In 2012, at-sea monitors mistakenly recorded the condition of 1 unidentified seal and 1 gray seal taken in monkfish sink gillnet gear as “alive” (Table 3). However, notes in the incidental take log state that the unidentified seal was “fresh dead” and that the gray seal was dead with carcass in moderate condition. Both animals were designated as dead (Tables 3-4).

Observers recorded 1 harbor seal and 33 gray seals taken during summer in Gulf of Maine Atlantic herring purse seine sets as alive in 2012. The vast majority (8/9) of the sets that caught seals contained more than 1 seal, including a set that contained the 1 harbor seal and 2 gray seals (Table 3). The harbor seal was trapped under part of the purse seine but was freed by the crew and actively swam away. The animal was designated as NSI. Of the 33 gray seals observed in purse seine interactions, 18 were temporarily trapped under part of the seine, but all were freed without gear attached and actively swam away. Those seals were designated as NSI (Tables 3-5). The other 15 were not observed to be trapped under the seine. These animals were deemed to be UI. Gray seals exhibited a variety of behaviors prior to being released (e.g., swimming out when the float line was lowered following pumping; swimming in and out of the net during pumping; feeding on captured herring).

In 2013, observers recorded 1 harbor seal and 1 gray seal bycaught during summer in Gulf of Maine Atlantic herring purse seine sets. The harbor seal was trapped under part of the purse seine but was freed by the crew and actively swam away. The gray seal was swimming in the seine but swam off when the crew lowered the top of the net. Both seals were designated as NSI (Table 3).

One live harbor seal was observed in the trawl fishery in January 2014. Observers noted that the seal swam into the net while it was still in the water during haul back and was trapped in the cod end of the net for about 10 minutes. The seal was not caught in any meshes, just entrapped by net. The net was emptied on deck, not from any height, and the seal climbed out and moved quickly down the trawl ramp. The seal was on deck for less than 15 seconds. While on deck, the seal moved around with no odd behavior or injuries seen. The observer was able to see the entire body and found no wounds, marks, or bleeding. This animal was designated as NSI (Table 3).

In June 2014, ASM monitors recorded the condition of 1 unidentified seal taken in sink gillnet gear as “alive” (Table 3). The seal fell out of the net while coming onboard, and the incidental take log reads, “Pretty sure it was a gray seal.” There were 4 additional dead gray seals observed on this trip. There were no comments in the monitor’s logbook suggesting how or why the seal was reported as alive. This animal was designated as dead (Table 3).

Observers recorded 2 gray seals taken during September 2014 in Gulf of Maine Atlantic herring purse seine sets as alive. The animals were photographed swimming within the catch;

once the float line was low enough the seals slipped right over the float line and swam away. These animals were designated as NSI (Table 3).

In 2015, 6 records of seals condition-coded as alive or unknown were reviewed. A harbor seal and an unidentified seal were observed as nonlethal interactions with gillnet gear and the harbor seal was determined to be NSI while the unidentified seal was CBD because of lack of information. Two gray seals were observed in bottom trawl gear, one initially coded as alive and the other as unknown. Upon review of the log details, the condition unknown animal was determined to be dead. The alive animal was determined to be an NSI, under directive code P4 (Appendix, Table 3), since it was brought on deck but was seen swimming freely after release. There were 2 observed interactions in herring purse seine gear, both seals unidentified as to species, and both determined to be NSI, since they were trapped temporarily but climbed over the float line and escaped.

In summary, from 2011 to 2015 95 pinniped bycatch records were given serious injury review. The most common cases were gray seals interacting with purse seine gear ( $n = 46$ ), and all of those determinations were either UI or NSI. No seals identified as harbor or gray seals were seriously injured from observed bycatch in purse seine, gillnet, bottom trawl, or midwater trawl gear (Table 4). Two unidentified seals were determined to have serious injuries as a result of interactions with gillnet gear.

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**Table 1. List of marine mammal codes, common names, and scientific names.**

<b>Code</b>	<b>Common Name</b>	<b>Scientific name</b>
BODO	Common bottlenose dolphin	<i>Tursiops truncatus</i>
CODO	Common dolphin	<i>Delphinus delphis</i>
HAPO	Harbor porpoise	<i>Phocoena phocoena</i>
UNPW	Long-finned or short-finned pilot whale	<i>Globicephala</i> spp.
PPDO	Harbor porpoise or dolphin	
RIDO	Risso's dolphin	<i>Grampus griseus</i>
WSDO	Atlantic white-sided dolphin	<i>Lagenorhynchus acutus</i>
UNDO	Unidentified dolphin	
GRSE	Gray seal	<i>Halichoerus grypus atlantic</i>
HASE	Harbor seal	<i>Phoca vitulina concolor</i>
UNCE	Unidentified cetacean	
UNSE	Unidentified seal	

**Table 2. Northeast region commercial fishery gear codes.**

<b>Gear code</b>	<b>Gear description</b>
OTB	Otter trawl bottom
OTM	Midwater Otter trawl
OTR	Otter Ruhle trawl
OTH	Otter trawl haddock separator
PSH	Purse seine
SGN	Sink gillnet

**Table 3. Comparison of fishery observer or at-sea monitor animal condition codes and Protected Species Branch (PSB) injury determinations (SI = serious injury, NSI = nonserious injury, UI = uninjured, CBD = cannot be determined) for the 5-year period 2011-2015. Determinations are based on observer notes and small cetacean and pinniped criteria in the National Marine Fisheries Service Determination Directive (NMFS 2012). Northeast Gear codes are listed in Table 2, statistical areas are shown in Figure 1, and species codes are listed in Table 1.**

Northeast Gear	Statistical Area	Take Date	Species Code	Recorded Animal Condition	Revised Animal Condition	PSB Determination	NMFS 2012 SI Determination Directive <sup>2</sup>	PSB comments regarding determination
OTB	521	27-Mar-2011	WSDO	alive		SI	S4, S7b, S9	Photos show broken teeth, blood, abrasions, dorsally and around eye
OTB	521	7-Apr-2011	WSDO	unknown		SI	S4	Rope attached to keel to deposit dolphin onto deck for an extended time period, crew said animal released alive -ASM did not see animal
OTB	623	06-Jan-2011	CODO	alive	unknown	NSI	S7b	Snout, flipper tail, entangled in net, fishermen cut net and animal swam vigorously away & joined group following vessel
OTB	623	06-Jan-2011	CODO	alive	unknown	NSI	S7b	Snout, flipper tail, entangled in net, fishermen cut net and animal swam vigorously away & joined group following vessel
OTB	613	11-Dec-2011	CODO	alive	unknown	SI	S4	Wrapped around drum lightly provides little info on the compression pressure on the dolphin -
OTB	522	23-Oct-2011	HAPO	unknown		SI	S4	Animal brought on deck, crew tossed over side since animals was still alive-observer could not confirm
SGN	513	01-Jan-2011	UNCE	unknown		SI	S7b	Animal fell out of gear- floating on surface, no movement - Alive?
OTB	521	28-Dec-2011	UNPW	alive		SI	S3, S4, S9	Animal brought aboard in net, rope tied to tail stock to hoist animal overboard, head hit side of boat at which point ASM believes blood began flowing from mouth,
OTB	522	16-Dec-2011	UNPW	alive, seen by captain/crew only	unknown	SI	S3, S4, S9	Observer did not see pilot whale (pw), crew stated it was in cod end, net cut to free whale: other pw were taken on this trip and they were DOB
OTB	522	16-Dec-2011	UNPW	unknown		SI	S4	Unable to determine dead or in shock

**Table 3. Comparison of fishery observer or at-sea monitor animal condition codes and Protected Species Branch (PSB) injury determinations (SI = serious injury, NSI = nonserious injury, UI = uninjured, CBD = cannot be determined) for the 5-year period 2011-2015. Determinations are based on observer notes and small cetacean and pinniped criteria in the National Marine Fisheries Service Determination Directive (NMFS 2012). Northeast Gear (NEGEAR) codes are listed in Table 2, statistical areas are shown in Figure 1, and species codes are listed in Table 1.**

NEGEAR	Statistical Area	Take Date	Species Code	Recorded Animal Condition	Revised Animal Condition	PSB Determination	NMFS 2012 SI Determination Directive <sup>2</sup>	PSB comments regarding determination
PSH	511	9-Jul-2011	UNSE	alive		UI		Seals were entrapped in purse, but swam out - no signs of injury
PSH	511	9-Jul-2011	UNSE	alive		UI		Seals were entrapped in purse, but swam out - no signs of injury
PSH	511	9-Jul-2011	UNSE	alive		UI		Seals were entrapped in purse, but swam out - no signs of injury
PSH	511	9-Jul-2011	UNSE	alive		UI		Seals were entrapped in purse, but swam out - no signs of injury
PSH	511	9-Jul-2011	UNSE	alive		UI		Seals were entrapped in purse, and released after fish pumped out - seals feeding on fish and no signs of injury
PSH	511	9-Jul-2011	UNSE	alive		UI		Seals were entrapped in purse, and released after fish pumped out - seals feeding on fish and no signs of injury
PSH	511	9-Jul-2011	UNSE	alive		UI		Seals were entrapped in purse, and released after fish pumped out - seals feeding on fish and no signs of injury
PSH	511	12-Jul-2011	UNSE	alive		UI		Seals were entrapped in purse and escaped during fish pumping out - no signs of injury
SGN	537	10-Apr-2011	UNSE	unknown		SI	P7b	Captain cut seal out of net & did not bring it onboard - note: 17 out of 19 (89%) seals brought aboard this trip were dead
SGN	537	10-Apr-2011	UNSE	unknown		SI	P7b	Captain cut seal out of net & did not bring it onboard - note: 17 out of 19 (89%) seals brought aboard this trip were dead
OTB	514	30-Jun-2011	UNSE	unknown	dead	NA - recapture		Monitor only glimpsed animal, but noted a yellow tag was attached, thus we assumed it was dead (i.e., tagged on previous tow)
PSH	512	24-Aug-2011	HASE	alive		NSI	P7b	Purse seine catch - seal swam away after release

**Table 3. Comparison of fishery observer or at-sea monitor animal condition codes and Protected Species Branch (PSB) injury determinations (SI = serious injury, NSI = nonserious injury, UI = uninjured, CBD = cannot be determined) for the 5-year period 2011-2015. Determinations are based on observer notes and small cetacean and pinniped criteria in the National Marine Fisheries Service Determination Directive (NMFS 2012). Northeast Gear (NEGEAR) codes are listed in Table 2, statistical areas are shown in Figure 1, and species codes are listed in Table 1.**

NEGEAR	Statistical Area	Take Date	Species Code	Recorded Animal Condition	Revised Animal Condition	PSB Determination	NMFS 2012 SI Determination Directive <sup>2</sup>	PSB comments regarding determination
PSH	512	12-Aug-2011	HASE	alive		NSI	P7b	Seals were entrapped in purse, but swam out - no signs of injury
PSH	512	19-Jul-2011	HASE	alive		UI		Purse seine - seal escaped over net
SGN	513	29-Jun-2011	HASE	alive		NSI	P7b	Animal alive when brought on deck, no signs of injury; net cut off animal and it was released and swam away
PSH	512	16-Aug-2011	GRSE	alive		NSI	P7b	Seal entangled in purse seine, brought aboard boat and fishermen released it from net, seal went off the back of the vessel- no external injuries
PSH	512	22-Sep-2011	GRSE	alive		NSI	P7b	Purse seine - seals swimming in & out of net
PSH	512	23-Sep-2011	GRSE	alive		CBD		Purse seine - seals swimming in & out of net, but seal had 6 inch gash on back, pink tissue exposed, no visible blood
PSH	512	23-Sep-2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23-Sep-2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23-Sep-2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23-Sep-2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23-Sep-2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23-Sep-2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23-Sep-2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23-Sep-2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23-Sep-2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net

**Table 3. Comparison of fishery observer or at-sea monitor animal condition codes and Protected Species Branch (PSB) injury determinations (SI = serious injury, NSI = nonserious injury, UI = uninjured, CBD = cannot be determined) for the 5-year period 2011-2015. Determinations are based on observer notes and small cetacean and pinniped criteria in the National Marine Fisheries Service Determination Directive (NMFS 2012). Northeast Gear (NEGEAR) codes are listed in Table 2, statistical areas are shown in Figure 1, and species codes are listed in Table 1.**

NEGEAR	Statistical Area	Take Date	Species Code	Recorded Animal Condition	Revised Animal Condition	PSB Determination	NMFS 2012 SI Determination Directive <sup>2</sup>	PSB comments regarding determination
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net
PSH	512	23- Sep -2011	GRSE	alive		UI		Purse seine - seals swimming in & out of net

**Table 3. Comparison of fishery observer or at-sea monitor animal condition codes and Protected Species Branch (PSB) injury determinations (SI = serious injury, NSI = nonserious injury, UI = uninjured, CBD = cannot be determined) for the 5-year period 2011-2015. Determinations are based on observer notes and small cetacean and pinniped criteria in the National Marine Fisheries Service Determination Directive (NMFS 2012). Northeast Gear (NEGEAR) codes are listed in Table 2, statistical areas are shown in Figure 1, and species codes are listed in Table 1.**

NEGEAR	Statistical Area	Take Date	Species Code	Recorded Animal Condition	Revised Animal Condition	PSB Determination	NMFS 2012 SI Determination Directive <sup>2</sup>	PSB comments regarding determination
PSH	512	10-Jul-2011	GRSE	Alive		UI		Purse seine- seal swam around inside of net, finally escaped after fish pumped out -
PSH	511	10-Jul-2011	GRSE	Alive		UI		Purse seine- seal trapped in net, but remained calm, finally escaped after fish pumped out -
PSH	512	28-Jun-2011	GRSE	Alive		NSI	P7b	Purse seine - seals escape over net
PSH	512	28-Jun-2011	GRSE	Alive		NSI	P7b	Purse seine - seal climbed aboard vessel from net, they went back into water
PSH	512	19-Jul-2011	GRSE	Alive		NSI	P7b	Purse seine - seal escaped over net
OTB	522	06-Dec-2011	UNDO	alive, seen by captain/crew only	unknown	SI	S4	Captain stated 3 common dolphins in net, 2 alive and 1 dead, and all 3 dropped over the side; as observer did not see they are ID as UNDO
OTB	522	06-Dec-2011	UNDO	alive, seen by captain/crew only	unknown	SI	S4	Captain stated 3 common dolphins in net, 2 alive and 2 dead, and all 3 dropped over the side; as observer did not see they are ID as UNDO
OTB	623	29-Jan-2011	UNDO	Unknown		SI	S13a	Fresh cut (propeller?) dorsal fin was pulled from net - pod of common dolphins around vessel
OTB	513	14-Apr-2011	UNDO	Unknown	unknown	SI	S4	Dolphin cut from trawl net belly prior to bringing net on deck; at-sea monitor was unable to ID dolphin
OTB	613	11-Dec-2011	UNDO	alive, seen by captain/crew only	unknown	SI	S4, S7b	Maybe more than 1 animal; animal lightly wrapped around net reel, animal dropped on deck and crew put into water
SGN	537	11-Feb-2011	PPDO	alive, seen by captain/crew only	unknown	SI	S7b	Only crew observations; captain cut animal from gillnet
OTB	512	12-Dec-2011	PPDO	alive, seen by captain/crew only	unknown	CBD		Only crew observations; animal released from gear while net in water, not brought onboard

**Table 3. Comparison of fishery observer or at-sea monitor animal condition codes and Protected Species Branch (PSB) injury determinations (SI = serious injury, NSI = nonserious injury, UI = uninjured, CBD = cannot be determined) for the 5-year period 2011-2015. Determinations are based on observer notes and small cetacean and pinniped criteria in the National Marine Fisheries Service Determination Directive (NMFS 2012). Northeast Gear (NEGEAR) codes are listed in Table 2, statistical areas are shown in Figure 1, and species codes are listed in Table 1.**

NEGEAR	Statistical Area	Take Date	Species Code	Recorded Animal Condition	Revised Animal Condition	PSB Determination	NMFS 2012 SI Determination Directive <sup>2</sup>	PSB comments regarding determination
OTB	623	19-Jan-2012	CODO	alive		NSI	S7b	Dolphin entangled in belly of trawl, released from cod end and actively swam away
OTB	616	05-Dec-2012	CODO	unknown		SI	S4	Dolphin brought on deck, crew stated that it was alive when they slid it down the ramp
OTB	522	01-Feb-2012	UNPW	alive		SI	S3, S4, S9	Animal fell out of net onto deck, several cups of blood on deck. Crew tied rope around tail and dragged animal off stern, animal resurfaced twice before diving, no blood seen in water
OTB	522	02-Feb-2012	UNPW	alive		SI	S4	Animal fell out of net onto deck, crew tied rope around tail and dragged animal off stern. It swam with boat after release. Whale not very active on deck.
OTB	522	05-Feb-2012	UNPW	alive		SI	S4, S8a	Animal fell out of net onto deck, crew tied rope around tail and dragged animal off stern. It swam with boat after release.
OTB	616	10-Mar-2012	UNDO	alive		SI	S8a	Dolphin came up in trawl with gillnet wrapped around fluke; it was still alive when deck hand cut net and fell into water. Deckhand said it swam away, but not mention of gillnet attachment.
SGN	537	06-Apr-2012	UNSE	unknown	fresh dead	Dead		Fresh dead, sank immediately when returned to water
SGN	537	19-May-2012	GRSE	alive	moderately decomposed	Dead		Dead - moderate condition
PSH	512	18-Jul-2012	HASE	alive		NSI	P7b	Released alive from purse seine
PSH	512	18-Jul-2012	GRSE	alive		NSI	P7b	Released alive from purse seine

**Table 3. Comparison of fishery observer or at-sea monitor animal condition codes and Protected Species Branch (PSB) injury determinations (SI = serious injury, NSI = nonserious injury, UI = uninjured, CBD = cannot be determined) for the 5-year period 2011-2015. Determinations are based on observer notes and small cetacean and pinniped criteria in the National Marine Fisheries Service Determination Directive (NMFS 2012). Northeast Gear (NEGEAR) codes are listed in Table 2, statistical areas are shown in Figure 1, and species codes are listed in Table 1.**

NEGEAR	Statistical Area	Take Date	Species Code	Recorded Animal Condition	Revised Animal Condition	PSB Determination	NMFS 2012 SI Determination Directive <sup>2</sup>	PSB comments regarding determination
PSH	512	18-Jul-12	GRSE	alive		NSI	P7b	Released alive from purse seine
PSH	515	29-Jul-12	GRSE	alive		UI		Released alive when purse seine was reopened
PSH	515	29-Jul-12	GRSE	alive		UI		Released alive when purse seine was reopened
PSH	515	29-Jul-12	GRSE	alive		UI		Released alive when purse seine was reopened
PSH	512	30-Jul-12	GRSE	alive		UI		Animal swimming around net, escaped prior to pumping
PSH	512	30-Jul-12	GRSE	alive		UI		Animal swimming around net, escaped prior to pumping
PSH	512	30-Jul-12	GRSE	alive		UI		Animal swimming around net, escaped prior to pumping
PSH	512	30-Jul-12	GRSE	alive		UI		Animal swimming around net, escaped prior to pumping
PSH	512	30-Jul-12	GRSE	alive		UI		Animal swimming around net, escaped prior to pumping
PSH	512	30-Jul-12	GRSE	alive		NSI	P7b	Body in purse net w/head stuck in mesh, but above water, caught 10-15 min, seen eating fish while caught. Seal escaped and swam away.
PSH	512	30-Jul-12	GRSE	alive		UI		Seal swimming around net eating fish, trapped when floatline was raised for pumping. Seal swam out when float line was lowered
PSH	512	30-Jul-12	GRSE	alive		UI		Seal swimming around net eating fish, trapped when floatline was raised for pumping. Seal swam out when float line was lowered

**Table 3. Comparison of fishery observer or at-sea monitor animal condition codes and Protected Species Branch (PSB) injury determinations (SI = serious injury, NSI = nonserious injury, UI = uninjured, CBD = cannot be determined) for the 5-year period 2011-2015. Determinations are based on observer notes and small cetacean and pinniped criteria in the National Marine Fisheries Service Determination Directive (NMFS 2012). Northeast Gear (NEGGEAR) codes are listed in Table 2, statistical areas are shown in Figure 1, and species codes are listed in Table 1.**

NEGEAR	Statistical Area	Take Date	Species Code	Recorded Animal Condition	Revised Animal Condition	PSB Determination	NMFS 2012 SI Determination Directive	PSB comments regarding determination
PSH	512	31-Jul-12	GRSE	alive		NSI	P7b	Seal was wrapped in net during entire pumping process, seal was released after pumping and swam away- no time frame provided
PSH	512	01-Aug-12	GRSE	alive		NSI	P7b	Seal seen swimming in net, and swam out during pumping, no signs of injury
PSH	512	01-Aug-12	GRSE	alive		NSI	P7b	Seal caught under mesh of closed net, but remained active. When pumping was finished and float line lowered all 4 actively swam away
PSH	512	01-Aug-12	GRSE	alive		NSI	P7b	Seal caught under mesh of closed net, but remained active. When pumping was finished and float line lowered all 4 actively swam away
PSH	512	01-Aug-12	GRSE	alive		NSI	P7b	Seal caught under mesh of closed net, but remained active. When pumping was finished and float line lowered all 4 actively swam away
PSH	512	01-Aug-12	GRSE	alive		NSI	P7b	Seal caught under mesh of closed net, but remained active. When pumping was finished and float line lowered all 4 actively swam away
PSH	512	01-Aug-12	GRSE	alive		NSI	P7b	Seal seen swimming in net, and swam out during pumping, no signs of injury
PSH	512	01-Aug-12	GRSE	alive		NSI	P7b	Seal seen swimming in net, and swam out during pumping, no signs of injury
PSH	512	02-Aug-12	GRSE	alive		NSI	P7b	Seal caught under mesh of closed net, but remained active. When pumping was finished and float line lowered all 4 actively swam away
PSH	512	07-Aug-12	GRSE	alive		NSI	P7b	Seal did not escape net prior to folding for pumping, was released afterward w/o visible injuries

**Table 3. Comparison of fishery observer or at-sea monitor animal condition codes and Protected Species Branch (PSB) injury determinations (SI = serious injury, NSI = nonserious injury, UI = uninjured, CBD = cannot be determined) for the 5-year period 2011-2015. Determinations are based on observer notes and small cetacean and pinniped criteria in the National Marine Fisheries Service Determination Directive (NMFS 2012). Northeast Gear (NEGGEAR) codes are listed in Table 2, statistical areas are shown in Figure 1, and species codes are listed in Table 1.**

NEGGEAR	Statistical Area	Take Date	Species Code	Recorded Animal Condition	Revised Animal Condition	PSB Determination	NMFS 2012 SI Determination Directive	PSB comments regarding determination
PSH	512	13-Aug-12	GRSE	alive		NSI	P7b	alive no injury
PSH	512	13-Aug-12	GRSE	alive		NSI	P7b	alive no injury
PSH	512	13-Aug-12	GRSE	alive		NSI	P9	Seal caught in net as it was closed for pumping, seal swam around in pocket and escaped when pumping was completed and float line lowered; abrasion on snout
PSH	512	13-Aug-12	GRSE	alive		UI		Seal caught in net as it was closed for pumping, seal swam around in pocket and escaped when pumping was completed and float line lowered
PSH	512	13-Aug-12	GRSE	alive		UI		Seal caught in net as it was closed for pumping, seal swam around in pocket and escaped when pumping was completed and float line lowered
PSH	512	13-Aug-12	GRSE	alive		UI		Seal caught in net as it was closed for pumping, seal swam around in pocket and escaped when pumping was completed and float line lowered
PSH	512	13-Aug-12	GRSE	alive		UI		Seal caught in net as it was closed for pumping, seal swam around in pocket and escaped when pumping was completed and float line lowered
PSH	512	13-Aug-12	GRSE	alive		UI		Seal caught in net as it was closed for pumping, seal swam around in pocket and escaped when pumping was completed and float line lowered
PSH	512	14-Aug-12	GRSE	alive		NSI	P7b	Seal ID by observer, crew saw seal roll over floatline and swim away after pumping
PSH	512	14-Aug-12	GRSE	alive		NSI	P7b	This seal remained in net during pumping, animal was released alive afterwards and was seen swimming normally with no signs of an injury

**Table 3. Comparison of fishery observer or at-sea monitor animal condition codes and Protected Species Branch (PSB) injury determinations (SI = serious injury, NSI = nonserious injury, UI = uninjured, CBD = cannot be determined) for the 5-year period 2011-2015. Determinations are based on observer notes and small cetacean and pinniped criteria in the National Marine Fisheries Service Determination Directive (NMFS 2012). Northeast Gear (NEGEAR) codes are listed in Table 2, statistical areas are shown in Figure 1, and species codes are listed in Table 1.**

NEGEAR	Statistical Area	Take Date	Species Code	Recorded Animal Condition	Revised Animal Condition	PSB Determination	NMFS 2012 SI Determination Directive	PSB comments regarding determination
SGN	635	05-Feb-2013	BODO	alive		NSI	S7b, S14, and S15	All gear removed while in the water, actively swam away
OTB	522	16-Nov-2013	UNPW	unknown	advanced decomposition	Dead		Decomposed carcass
SGN	514	02-May-2013	UNSE	unknown		CBD		No additional info available
PSH	513	10-Jul-2013	HASE	alive		NSI	P7b	Released alive from purse seine.
PSH	513	14-Oct-2013	GRSE	alive		NSI	P7b	Released alive from purse seine.
OTB	622	13-Mar-2013	UNDO	unknown		CBD		No additional info available
OTB	514	14-Nov-2013	UNDO	alive, seen by captain/crew		CBD		
OTB	616	02-Mar-2014	WSDO	alive		CBD		No additional info available.
OTB	539	09-Nov-2014	CODO	alive		SI	S4	Animal on vessel deck.
OTB	537	15-Jul-2014	CODO	alive		SI	S4	Animal on vessel deck.
OTB	521	19-Feb-2014	CODO	alive		SI	S4	Animal on vessel deck.
OTB	525	09-May-2014	RIDO	alive, seen by captain/crew only		CBD		

**Table 3. Comparison of fishery observer or at-sea monitor animal condition codes and Protected Species Branch (PSB) injury determinations (SI = serious injury, NSI = nonserious injury, UI = uninjured, CBD = cannot be determined) for the 5-year period 2011-2015. Determinations are based on observer notes and small cetacean and pinniped criteria in the National Marine Fisheries Service Determination Directive (NMFS 2012). Northeast Gear (NEGEAR) codes are listed in Table 2, statistical areas are shown in Figure 1, and species codes are listed in Table 1.**

NEGEAR	Statistical Area	Take Date	Species Code	Recorded Animal Condition	Revised Animal Condition	PSB Determination	NMFS 2012 SI Determination Directive	PSB comments regarding determination
OTB	622	05-Nov-2014	UNPW	alive		SI	S4	Animal on vessel deck.
OTB	514	04-Jan-2014	HASE	alive		NSI		Seal observed on deck < 15 secs.
OTB	615	17-Oct-2014	UNDO	alive		CBD		Photos inconclusive.
SGN	521	28-Sep-2014	CODO	alive, seen by captain/crew only		CBD		
SGN	514	04-Oct-2014	HAPO	unknown	dead	DEAD		Appeared to be dead.
SGN	521	05-Jun-2014	UNSE	unknown	dead	DEAD		Appeared to be dead
PSH	512	24-Sep-2014	GRSE	alive		NSI		Released alive from purse seine
PSH	512	25Sep-2014	GRSE	alive		NSI		Released alive from purse seine
OTB	622	08-Jul-2015	RIDO	alive		SI	S4	Caught in net belly. Alive, actively moving head/fins on deck. No wounds, marks, bleeding. Crew roped tail and used net drum to release. Animal floated belly-up in water for ~15 sec then started to move flippers, flipped over, and started swimming at surface. Went beneath surface ~1 min after release.

**Table 3. Comparison of fishery observer or at-sea monitor animal condition codes and Protected Species Branch (PSB) injury determinations (SI = serious injury, NSI = nonserious injury, UI = uninjured, CBD = cannot be determined) for the 5-year period 2011-2015. Determinations are based on observer notes and small cetacean and pinniped criteria in the National Marine Fisheries Service Determination Directive (NMFS 2012). Northeast Gear (NEGEAR) codes are listed in Table 2, statistical areas are shown in Figure 1, and species codes are listed in Table 1.**

NEGEAR	Statistical Area	Take Date	Species Code	Recorded Animal Condition	Revised Animal Condition	PSB Determination	NMFS 2012 SI Determination Directive	PSB comments regarding determination
OTB	622	04-Mar-2015	RIDO	alive		SI	S4	Seen as net tripped, slid right to deck, head first. Only right side seen. Seen opening and closing mouth, not thrashing just slow movement of fins and fluke. Rake marks seen on R-side of body. No other wound, mark or bleeding seen. On deck ~3 min. Green rope (same as used on cod end) tied around tail, lifted over stern ramp w/crane. Rope snapped dropping directly into water headfirst, tail may have hit ramp, rope still attached. Engine neutral, gear out of H <sup>2</sup> O. Seen in water ~1 min, swam away slowly.
SGN	537	26-Apr-2015	HAPO	alive		CBD		Never came out of water. Only dorsal surface was seen by observer ~8-10 secs. No wounds, marks or bleeding seen. No odors or tissue left on gear, no noise made. Animal thrashed out of the net, splashed, and swam away quickly (~1-2 secs).
SGN	513	17-Sep-2015	HASE	alive		NSI	P4	Entangled with head and front flippers thru mesh. Came up unresponsive but after ~30 secs. began to foam from nostrils (~3 tbps) and move slightly. No other wounds, marks or bleeding seen. Placed on back of vessel until end of haul, ~30mins. Was looking around, alert. Released by crew w/ boat in neutral, swam circles around the boat a few times, then began to follow for ~1min. as boat started to steam.

**Table 3. Comparison of fishery observer or at-sea monitor animal condition codes and Protected Species Branch (PSB) injury determinations (SI = serious injury, NSI = nonserious injury, UI = uninjured, CBD = cannot be determined) for the 5-year period 2011-2015. Determinations are based on observer notes and small cetacean and pinniped criteria in the National Marine Fisheries Service Determination Directive (NMFS 2012). Northeast Gear (NEGEAR) codes are listed in Table 2, statistical areas are shown in Figure 1, and species codes are listed in Table 1.**

NEGEAR	Statistical Area	Take Date	Species Code	Recorded Animal Condition	Revised Animal Condition	PSB Determination	NMFS 2012 SI Determination Directive	PSB comments regarding determination
OTB	521	28-Sep-2015	GRSE	unknown	dead	dead		First spotted seal after cod end was dumped out covered in catch. Seal was orientated belly down and head up. None of the body parts were entangled in the net. Observer was able to pull the seal out of the pile to a better spot to be sampled. When touching the seal the body was very firm to stiff.
OTB	525	07-Apr-2015	GRSE	alive		NSI	P4	Came out of belly of net when bag was tripped. On deck ~3-5 mins. Crew deck hoses, feet & brooms to push off deck. No wounds, marks or bleeding seen. Once stern ramp was reached, seal slid down ramp into water head first, surfaced about 2 seconds later and was observed swimming freely at surface.
SGN	537	08-Feb-2015	UNSE	unknown		CBD		Seal reported by captain, obs seasick. Captain information unclear if dead or alive when released.
PSH	512	24-Oct-2015	UNSE	alive		NSI		Trapped in bunt during pumping but escaped over floatline after pumping complete. Seen surfacing for a minute or two at a time, but disappeared below catch. After pumping seen climbing over floatline - no injuries seen.
PSH	512	24-Oct-2015	UNSE	alive		NSI		Trapped in bunt during pumping but escaped over floatline after pumping complete. Seen surfacing for a minute or two at a time, but disappeared below catch. After pumping seen climbing over floatline - no injuries seen.

**Table 4. Summary of animal conditions (D = dead; DC = decomposed carcass; SI = serious injury; NSI = nonserious injury; UI = uninjured; CBD = could not be determined) by gear type, species, and year.**

Gear Type	Species	Year	Dead		Alive[1]				Proportions	
			D[2]	DC[3]	SI	NSI	UI	CBD	Total [4]	% SI
Bottom Trawl	Bottlenose Dolphin ( <i>Tursiops truncatus</i> )	2011	2						2	0.0%
		2012	1						1	0.0%
		2013							0	0.0%
		2014	3						3	0.0%
		2015	3						3	0.0%
	Common Dolphin ( <i>Delphinus delphis</i> )	2011	52		1	2			55	1.8%
		2012	42		1	1			44	2.3%
		2013	28						28	0.0%
		2014	38		3				41	7.3%
		2015	30	2					30	0.0%
	Gray Seal ( <i>Halichoerus grypus atlantica</i> )	2011	22	3					22	0.0%
		2012	9	3					9	0.0%
		2013	7	1					7	0.0%
		2014	5	1					5	0.0%
		2015	2	1		1			3	0.0%
	Harbor Porpoise ( <i>Phocoena phocoena</i> )	2011	1	3	1				2	50.0%
		2012		4					0	0.0%
		2013	1						1	0.0%
		2014	1	1					1	0.0%
		2015	1					1	2	0.0%
	Harbor Seal ( <i>Phoca vitulina concolor</i> )	2011	3	2					3	0.0%
		2012	4						4	0.0%
		2013	2						2	0.0%
		2014	2			1			3	0.0%
		2015	1						1	0.0%
	Pilot Whale ( <i>Globicephala spp.</i> )	2011	9	2	3			1	12	25.0%
		2012	7	6	3				10	30.0%
		2013	4	6					4	0.0%
		2014	5	2	1				6	16.7%
		2015							0	0.0%
	Risso's Dolphin ( <i>Grampus griseus</i> )	2011	2						2	0.0%
		2012	1	1					1	0.0%
		2013	4	1					4	0.0%
		2014	2	1				1	3	0.0%
		2015	1		2				3	66.7%
	White-sided Dolphin ( <i>Lagenorhynchus acutus</i> )	2011	45	11	2				47	4.3%
		2012	9	1					9	0.0%
		2013	8	1					8	0.0%
		2014	3	1				1	3	0.0%
		2015	3	4					3	0.0%

**Table 4. Summary of animal conditions (D = dead; DC = decomposed carcass; SI = serious injury; NSI = nonserious injury; UI = uninjured; CBD = could not be determined) by gear type, species, and year.**

Gear Type	Species	Year	Dead		Alive[1]			Proportions		
			D[2]	DC[3]	SI	NSI	UI	CBD	Total [4]	% SI
Gillnet	Bottlenose Dolphin ( <i>Tursiops truncatus</i> )	2011								0.0%
		2012								0.0%
		2013	1			1			2	0.0%
		2014								0.0%
		2015	2						2	0.0%
	Common Dolphin ( <i>Delphinus delphis</i> )	2011	5	4					9	0.0%
		2012	7						7	0.0%
		2013	6	1					7	0.0%
		2014	6	5					11	0.0%
		2015	3	3					6	0.0%
	Gray Seal ( <i>Halichoerus grypus atlantica</i> )	2011	209	15					224	0.0%
		2012	87	5					92	0.0%
		2013	62	6					68	0.0%
		2014	155	5					160	0.0%
		2015	127	5					132	0.0%
	Harbor Porpoise ( <i>Phocoena phocoena</i> )	2011	60	17					77	0.0%
		2012	31	5					36	0.0%
		2013	13	8					21	0.0%
		2014	27	2					29	0.0%
		2015	21	4				1	25	0.0%
	Harbor Seal ( <i>Phoca vitulina concolor</i> )	2011	85	6		1			92	0.0%
		2012	35	2					37	0.0%
		2013	20	2					22	0.0%
		2014	53	7					60	0.0%
		2015	83	9		1			93	0.0%
	Harp Seal ( <i>Pagophilus groenlandicus</i> )	2011	4						4	0.0%
		2012								0.0%
		2013	2						2	0.0%
		2014	9	1					10	0.0%
		2015	12						12	0.0%
	Risso's Dolphin ( <i>Grampus griseus</i> )	2011								0.0%
		2012	1						1	0.0%
		2013	1						1	0.0%
		2014								0.0%
		2015								0.0%
	White-sided Dolphin ( <i>Lagenorhynchus acutus</i> )	2011	5						5	0.0%
		2012	1						1	0.0%
		2013	1						1	0.0%
		2014	2						2	0.0%
		2015								0.0%

**Table 4. Summary of animal conditions (D = dead; DC = decomposed carcass; SI = serious injury; NSI = nonserious injury; UI = uninjured; CBD = could not be determined) by gear type, species, and year.**

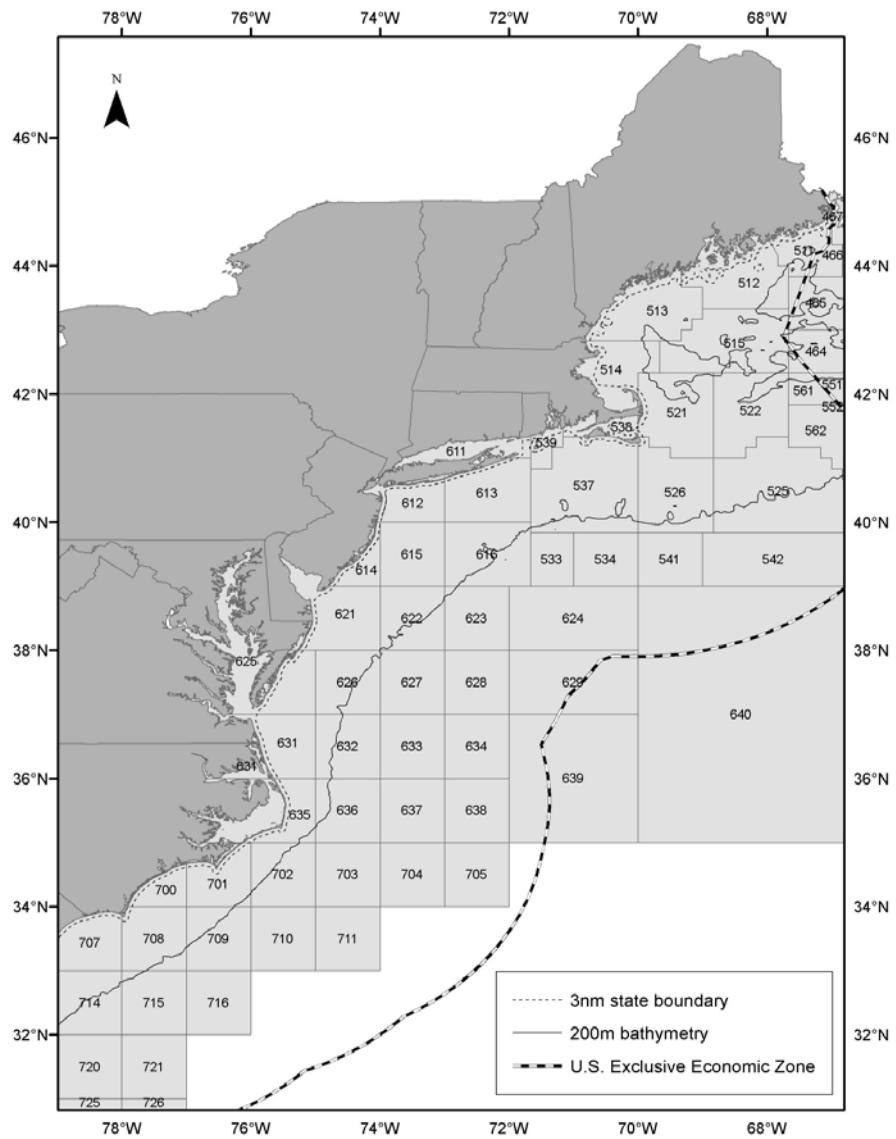
Gear Type	Species	Year	Dead		Alive[1]			Proportions		
			D[2]	DC[3]	SI	NSI	UI	CBD	Total [4]	% SI
Mid-water Trawl	Common Dolphin ( <i>Delphinus delphis</i> )	2011								0.0%
		2012	1						1	0.0%
		2013								0.0%
		2014								0.0%
		2015								0.0%
	Gray Seal ( <i>Halichoerus grypus atlantica</i> )	2011								0.0%
		2012	1						1	0.0%
		2013	1						1	0.0%
		2014								0.0%
		2015								0.0%
	Harbor Seal ( <i>Phoca vitulina concolor</i> )	2011								0.0%
		2012	1						1	0.0%
		2013								0.0%
		2014	1						1	0.0%
		2015	2						2	0.0%
	Pilot Whale ( <i>Globicephala spp.</i> )	2011	1						1	0.0%
		2012	1						1	0.0%
		2013	3						3	0.0%
		2014	4						4	0.0%
		2015								0.0%
Purse Seine	Gray Seal ( <i>Halichoerus grypus atlantica</i> )	2011			5	28	1	33	0.0%	
		2012			18	15		33	0.0%	
		2013			1			1	0.0%	
		2014			2			2	0.0%	
		2015							0.0%	
	Harbor Seal ( <i>Phoca vitulina concolor</i> )	2011			2	1		3	0.0%	
		2012			1			1	0.0%	
		2013			1			1	0.0%	
		2014							0.0%	
		2015							0.0%	

[1] Animals included under the alive category include animals with the following animal conditions: 0 – unknown; 1 – alive; 04 – Alive, hook/gear in/around mouth; 05 – alive, hook/gear in/around flipper; 06 – alive, hook/gear in/around another single body part; 07 – alive, hook/gear in/around several body parts; 08 – alive, seen by captain and/or crew only.

[2] Animals included under the dead category include the following animal conditions: 10 – dead, condition unknown; 11 – dead, fresh; 14 – dead, seen by captain/crew only.

[3] Animals included under the decomposed carcass category include the following animal conditions: 12 – dead, moderately decomposed; 13 – dead, severely decomposed.

[4] Decomposed carcass category (DC) values are not included in bottom trawl totals but are in gillnet totals. CBD values not included in any totals.



**Figure 1. Fishery statistical areas.**

## APPENDIX. TABLES 2 AND 3 FROM NMFS PROCEDURE MANUAL

<http://www.nmfs.noaa.gov/directives/>

### Table 2: Summary of Small Cetacean Injury Categories and Criteria

Instructions: Each small cetacean injury event is recorded to the appropriate injury/information category using all available information and scientific judgment, as described in the Procedural Directive. For a single injury event to which several categories apply, the injury determination with the highest level of severity is assigned. More detailed information or extended observation on an individual case/animal may justify a determination differing from the guidance of this table. Any injury leading to apparent significant health decline (e.g., skin discoloration, fat loss) is a serious injury.

Category	Injury/Information	Injury Determination <sup>2</sup>	Additional factors for evaluating whether “case specific” injuries are serious or non-serious (additional factors at end of table) *
S1	A free-swimming animal observed at a date later than its human interaction, exhibiting signs of declining health believed to be resulting from initial injury (e.g., a marked skin discoloration, fat loss)	SI <sup>3</sup>	
S2	Ingested gear <sup>4</sup> or hook(s)	SI	
S3	Visible blood loss	Case specific <sup>5</sup>	Amount of blood, location of the bleeding injury, duration of bleeding
S4	Animal brought on vessel deck following entanglement/entrapment (excluding scientific research targeting marine mammals and authorized as such under a NMFS scientific research permit, where the animal is brought on and placed on the vessel deck in a controlled manner)	SI	
S5a	Hook(s) in head (excluding criterion S5b), regardless of the presence of gear	SI	
S5b	Hook(s) confirmed in lip only, external tissue outside of teeth, no trailing gear	Case specific	Prolonged restraint or struggle that could lead to capture myopathy, size of hook, depth of hooking, impairing ability to feed, presence of other injuries
S5c	Hook(s) in any body part, but hook(s) is removed or pulls out	Case specific	Prolonged restraint or struggle that could lead to capture myopathy, depth of hook, hook pulls out cleanly vs. causes further injury during dehooking, method used to remove hook, length of time hooked
S5d	Hook(s) in appendage or body (excluding criterion S5a), without trailing gear or with trailing gear that does not have the potential <sup>6</sup> to: 1) become a constricting wrap on animal; 2) be ingested; 3) accumulate drag; or 4) become snagged on something in the environment, anchoring the animal	Case specific	Prolonged restraint or struggle that could lead to capture myopathy, depth and location of hook, type and amount of gear attached
S6	Gear attached to free-swimming animal with potential <sup>7</sup> to: 1) become a constricting wrap on animal; 2) be ingested; 3) accumulate drag; or 4) become snagged on something in the environment, anchoring the animal	SI	

S7a	Anchored, immobilized, or entrapped and not freed	SI	
S7b	Anchored, immobilized, entangled, or entrapped before being freed without gear attached	Case specific	Duration of entanglement/entrapment, prolonged restraint or struggle that could lead to capture myopathy, gear type, where/how gear is attached to animal, associated injury (i.e., where directly or indirectly caused by initial entanglement), response of individual animal, method used by human to remove gear from animal
S8a	Gear wrapped and constricting on any body part or is likely to become constricting as the animal moves or grows	SI	
S8b	Gear wrapped and loose on any body part	Case specific	Gear type, amount of gear, potential for snag, potential to lead to criterion S8a, animal body size relative to gear (e.g., because of species or age), effect on animal movement, species sensitivity (e.g., frightens easily)
S9	Body trauma <sup>8</sup> not covered by any other criteria	Case specific	Location of wound, depth (e.g., superficial or to the bone, penetrating muscle or organs), length, number of lacerations, cleanliness (i.e., compression vs. tearing)
S10	Visible fracture(s), excluding pectoral fins (see criterion S13d for pectoral fin fractures)	SI	
S11	Vertebral transection, including fully severed flukes	SI	
S12	Body cavity penetration <sup>9</sup> by foreign object or body cavity exposure	SI	
S13a	Loss or disfigurement of dorsal fin	Case specific	Cleanliness (i.e., compression vs. tearing), nature of injury causing the loss, extent of fin loss (i.e., full or partial), amount and duration of blood loss
S13b	Partially severed flukes, transecting midline	SI	

S13c	Partially severed flukes, not transecting midline	Case specific	Cleanliness (i.e., compression vs. tearing), nature of injury causing the loss, amount and duration of blood loss
S13d	Partially or completely severed or fractured pectoral fin(s)	Case specific	Cleanliness (i.e., compression vs. tearing), nature of injury causing the loss, extent of fin loss (i.e., full or partial), amount and duration of blood loss, opened or closed fracture
S14	Social animal separated from group and/or released alone post-interaction (excluding criterion S15)	Case specific	Species (e.g., sensitivity, offshore vs. inshore), location of release (e.g., likelihood of animal locating its conspecifics)

S15	Dependent animal (i.e., calf, juvenile) released alone post-interaction or dependent animal left with a seriously injured or dead mother	SI	
S16	Observed or reported collision with vessel	Case specific	Speed of vessel, size of vessel, hull shape, part of vessel to strike the animal, size of animal compared to size of vessel, behavior of animal after collision, extent and location of wound(s) on animal

\*<sup>1</sup> For the purposes of this table, small cetaceans include all odontocetes except sperm whales.

\*<sup>2</sup> This table includes only those criteria determined to be serious injuries or case specific based on expert opinion at the 2007 Workshop

\* (Andersen et al. 2008) and by small cetacean experts on the NMFS Determination Staff working group. For the purposes of streamlining the information for the reader, criteria determined to be non-serious injuries are not included in this table.

\*<sup>3</sup> SI = serious injury.

\*<sup>4</sup> For the purposes of this table, gear is defined as any portion of fishing gear excluding the hook, which is considered separately. Lures are considered gear. Gear also generally refers to any type of debris entangling or attached to the animal.

\*<sup>5</sup> Case specific = Could be a serious or non-serious injury, but either 1) there is insufficient information about the impact of a particular injury, or 2) additional factors must be considered on a case-by-case basis to determine the severity

\*<sup>6</sup> For the purposes of this table, “potential” as it relates criterion S5d indicates that the trailing gear IS NOT capable of leading to any of the situations listed.

\*<sup>7</sup> For the purposes of this table, potential as it relates criterion S6 indicates that the trailing gear IS capable of leading to any of the situations listed.

\*<sup>8</sup> For the purposes of this table, “trauma” is defined as a wound or bodily harm caused by an extrinsic agent. Blunt trauma is an injury

\* (abrasion, laceration, contusion or skeletal fracture) produced by a blunt object striking the body or impact of the body against a blunt object or surface. Sharp force trauma is an injury caused by a sharp or pointed object creating a penetrating (stab, chop or incision) wound.

\* Laceration is defined as a ragged incision or a tearing of the skin. Lacerations are caused by blunt trauma that results in stretching, tearing, crushing, shearing, or avulsion of the tissue.

\*<sup>9</sup> For the purposes of this table, “penetration” is defined as a wound occurring when a foreign object punctures the body. Penetrating wounds

\* can be characterized as one of three types: stab (small external wound that is greater in length into the body than is apparent on the skin surface), incised (clean cuts into the skin which are longer on the skin surface than they are deep), or chop wounds (incised wounds that penetrate deep to the bone, leaving a groove or cut in the bone).

**Table 3: Summary of Pinniped<sup>1</sup>Injury Categories and Criteria**

Instructions: Each pinniped injury event is recorded to the appropriate injury/information category using all available information and scientific judgment, as described in the Procedural Directive. For a single injury event to which several categories apply, the injury determination with the highest level of severity is assigned. More detailed information or extended observation on an individual case/animal may justify a determination differing from the guidance of this table. Any injury leading to apparent significant health decline (e.g., skin discoloration, fat loss) is a serious injury.

Category	Injury/Information	Injury Determination <sup>2</sup>	Additional factors for evaluating whether “case specific” injuries are serious or non-serious (additional factors at end of table)
P1	A free-swimming animal observed at a date later than its human interaction, exhibiting signs of declining health believed to be resulting from initial injury (e.g., a marked change in body condition, tissue necrosis, emaciation, gangrene).	SI <sup>3</sup>	
P2	Ingested gear <sup>4</sup> or hook(s)	SI	
P3	Visible blood loss	Case specific <sup>5</sup>	Amount of blood, location of the bleeding injury, duration of bleeding
P4	Animal brought on vessel deck following entanglement/entrapment (excluding scientific research targeting marine mammals and authorized as such under a NMFS scientific research permit, where the animal is brought on and placed on the vessel deck in a controlled manner)	Case specific	Manner in which animal is brought on deck, length of time animal is on deck, environmental conditions (e.g., temperature)
P5a	Hook(s) in mouth (excluding criterion P5b), regardless of the presence of gear	SI	
P5b	Hook(s) confirmed in head (excluding criterion P5a), or in lip only (external tissue outside of teeth), no trailing gear	Case specific	Location on head (e.g., eye), depth of penetration, type of hook, prolonged restraint or struggle that could lead to capture myopathy, size of hook, impairing ability to feed
P5c	Hook(s) in any body part, but hook(s) is removed or pulls out	Case specific	Prolonged restraint or struggle that could lead to capture myopathy, location of hooking on the body, depth of hook, hook pulls out cleanly vs. causes further injury during dehooking, method used to remove hook, length of time hooked
P5d	Hook(s) in appendage or body (excluding criteria P5a-c and P12), without trailing gear or with trailing gear that does not have the potential <sup>6</sup> to: 1) become a constricting wrap on animal; 2) be ingested, 3) accumulate drag; or 4) become snagged on something in the environment, anchoring the animal	NSI <sup>7</sup>	

P6	Gear attached in any manner to free-swimming animal with potential <sup>8</sup> to: 1) become a constricting wrap on animal; 2) be ingested; 3) accumulate drag; or 4) become snagged on something in the environment, anchoring the animal	SI	
P7a	Anchored/immobilized and not freed	SI	
P7b	Anchored, immobilized, or entangled before being freed without gear attached	Case specific	Duration of entanglement, prolonged restraint or struggle that could lead to capture myopathy, type of fishing gear, where/how gear immobilized animal, associated injury (where directly or indirectly caused by initial entanglement), response of individual
P8a	Gear wrapped and constricting any body part or likely to become constricting as the animal moves or grows	SI	
P8b	Gear wrapped loosely on any body part	Case specific	Type and amount of fishing gear, animal body size relative to gear (species, age), effect on movement, species sensitivity
P9	Body trauma <sup>9</sup> not covered by any other criteria	Case specific	Location of trauma on body, depth (superficial or to the bone, penetrating muscle or organs) length of laceration(s), number of lacerations, cleanliness (compression vs. tearing), amount and duration of blood loss, risk of infection or disease transmission (e.g., dog bites)
P10	Visible fracture(s), excluding broken appendages (see criterion P13 for broken appendages)	SI	
P11	Vertebral transection or fully severed flipper(s)	SI	
P12	Body cavity penetration <sup>10</sup> by foreign object or body cavity exposure	SI	
P13	Partially severed or fractured flipper(s)	Case specific	Cleanliness (clean cut vs. tear), nature of injury causing the loss, extent of fin or flipper loss, opened or closed fracture, dislocation, amount/duration of blood loss
P14	Dependent animal (i.e., pup, juvenile) released alone post-interaction or dependent animal left with a seriously injured or dead mother	SI	
P15	Observed or reported collision with vessel	Case specific	Speed of vessel, size of vessel, hull shape, part of vessel to strike the animal (e.g., propeller, hull), size of animal compared to size of vessel, location of strike on animal's body, extent and location of wound(s) to animal

<sup>1</sup> For the purposes of this table, pinnipeds include all pinniped species except walrus.

<sup>2</sup> This table includes only those criteria determined to be serious injuries or case specific based on expert opinion at the 2007 Workshop

(Andersen et al., 2008) and by pinniped experts on the NMFS Determination Staff working group. For the purposes of streamlining the information for the reader, criteria determined to be non-serious injuries are not included in this table.

<sup>3</sup> SI = serious injury.

<sup>4</sup> For the purposes of this table, gear is defined as any portion of fishing gear excluding the hook, which is considered separately. Lures are considered gear. Gear also generally refers to any type of debris entangling or attached to the animal.

<sup>5</sup> Case specific = Could be a serious or non-serious injury, but either 1) there insufficient information about the impact of a particular injury, or 2) additional factors must be considered on a case-by-case basis to determine the severity.

<sup>6</sup> For the purposes of this table, potential as it relates to criterion P5d indicates that the trailing gear IS NOT capable of leading to any of the situations listed.

<sup>7</sup> NSI = nonserious injury.

<sup>8</sup> For the purposes of this table, potential as it relates to criterion P6 indicates that the trailing gear IS capable of leading to any of the situations listed.

<sup>9</sup> For the purposes of this table, “trauma” is defined as a wound or bodily harm caused by an extrinsic agent. Blunt trauma is an injury (abrasion, laceration, contusion or skeletal fracture) produced by a blunt object striking the body or impact of the body against a blunt object or surface. Sharp force trauma is an injury caused by a sharp or pointed object or a bullet from a gunshot creating a penetrating (stab, chop or incision) wound. Laceration is defined as a ragged incision or a tearing of the skin. Lacerations are caused by blunt trauma that results in stretching, tearing, crushing, shearing, or avulsion of the tissue.

<sup>10</sup> For the purposes of this table, “penetration” is defined as a wound occurring when a foreign object punctures the body, such as a bullet from a gunshot. Penetrating wounds can be characterized as one of three types: stab (small external wound that is greater in length into the body than is apparent on the skin surface), incised (clean cuts into the skin which are longer on the skin surface than they are deep), or chop wounds (incised wounds that penetrate deep to the bone, leaving a groove or cut in the bone)

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