Assessing surface heat fluxes in atmospheric reanalyses with a decade of data from the NOAA Kuroshio Extension Observatory

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- 12 Key Points
- 13 A decade of hourly surface fluxes observed from the NOAA Kuroshio Extension
- 14 Observatory moored buoy is now available
- 15 Overall surface heat fluxes in CFSR and ERA-I at KEO are significantly improved
- 16 compared to earlier reanalyses
- 17 Bulk algorithm in CFSR severely overestimates the winter heat release in the Kuroshio
- 18 and Kuroshio Extension
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24 Abstract. Previous studies have found large biases and uncertainties in the air-sea fluxes from 25 Numerical Weather Prediction model reanalyses, which must be identified and reduced in order to make 26 progress on weather and climate predictions. Here, air-sea heat fluxes from NOAA Kuroshio Extension 27 Observatory (KEO) measurements are used to assess two new reanalyses, NCEP's Climate Forecast 28 System Reanalysis (CFSR) and ECMWF Reanalysis-Interim (ERA-I), suggesting that these two new 29 generation reanalyses have significantly improved. In both reanalyses, all four flux components 30 (sensible and latent heat flux and net longwave and shortwave radiation) are highly correlated with 31 observation, with the correlation of total net surface heat fluxes above 0.96. Although errors of the net 32 surface heat flux have significantly reduced from previous reanalyses, the Root Mean Square Errors (RMSEs) and biases remain high especially for CFSR: the RMSEs of CFSR and ERA-I are reduced by 33 25-30% to 64 and 61 W/m² respectively, while biases are reduced by 40-60% to 28 and 20 W/m². But 34 CFSR overestimates the winter heat release by 90 W/m^2 . The main cause of biases is the latent heat flux, 35 36 while RMS errors are primarily due to latent heat flux and shortwave radiation errors. Both reanalyses 37 overestimate the wind speed associated with winter storms and underestimate specific humidity in 38 summer. The ERA-I latent heat flux, and its total net surface heat flux, are however closer to 39 observation. It is the bulk algorithm in CFSR that is found to be mainly responsible for overestimates of 40 winter heat release in CFSR.

41 **1. Introduction**

The ocean and atmosphere interact through air-sea fluxes. These fluxes are the most direct ocean 42 43 climate indicator of how the ocean influences climate and weather and their extremes; and how the 44 atmosphere forces ocean variability. Momentum fluxes (wind stress) drive general ocean circulation, 45 setting up the ocean gyres and current systems that can redistribute heat and properties within the ocean. 46 Air-sea fluxes of heat are the primary mechanism by which the ocean influences the atmosphere. The 47 intense heat release along the western boundary current (WBC) and its extension (WBCE) plays a key 48 role in setting and modulating the upper ocean stratification, mode water formation, and large scale 49 ocean circulations [Qiu et al. 2006; Cronin et al. 2010], as well as biogeochemical processes.

50 In addition to being dynamically important, WBCE regions, and the Kuroshio Extension 51 Observatory (KEO) region in particular, offer a wide range of conditions for testing models. The KEO 52 surface mooring is located in the recirculation gyre south of the Kuroshio Extension (KE). During the 53 quasi-stable periods of the KE, currents can be very weak; during other periods, the site can experience 54 strong currents associated with the meandering jet and drifting eddies. The jets and eddy field can also 55 be associated with strong SST fronts that can organize cloud formation processes and various air-sea interactions. Over the course of a year, the SST variation in WBC, and the KE in particular, can range 56 57 over 15°C; with smaller variations occurring on time scales down to subdiurnal. Comparison with KEO 58 data thus provides a stringent test for the ability of models to replicate air-sea interactions that are also 59 relevant to many other regions.

Atmospheric Reanalysis is an important source for gridded global air-sea flux products that are derived from Numerical Weather Prediction (NWP) models run with a consistent analysis system. There are large uncertainties, however, in air-sea fluxes obtained from atmospheric model analyses and reanalyses, as well as remotely sensed data [*Jiang et al.* 2005; *Kubota et al.* 2008; *Tomita et al.* 2010; 65 progress with weather forecasts and climate projection [Bourassa et al. 2013, Yu et al. 2013].

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66 Errors in the air-sea fluxes in these numerical products can arise due to inadequacies in assimilated 67 data (e.g. satellite measurements that are obscured by clouds, too sparse in situ observations), inadequate 68 parameterizations and bulk algorithms, and inadequate resolution and model dynamics. In some cases, 69 the errors may be dominated by a mean bias, while in other cases the errors may be associated with a 70 particular time scale or dynamical phenomena. Only by understanding the nature of the error can the 71 models be improved. High quality measurements that can be used to calculate the heat fluxes are 72 however difficult to obtain in the WBC region due to strong currents, high winds and high seas. So far, 73 validation of air-sea fluxes in these regions have been mostly based on relatively short records that last 74 about 1 to 2 years, from which not even seasonal cycle can be reliably derived. This limits our 75 understanding of the identified systematic errors in the context of long term mean and seasonal cycles 76 [e.g., Kubota et al. 2008; Tomita et al.; Jin and Yu 2013]. Since 2004, the KEO moored surface buoy has 77 been monitoring the air-sea heat exchanges and upper ocean temperature, salinity and near surface 78 currents [Cronin et al. 2008; Cronin et al. 2013] in the recirculation gyre just south of the Kuroshio 79 Extension jet. These high-resolution, high-quality mooring measurements thus provide an excellent 80 platform for testing model analyses and reanalyses in this very dynamic region.

Using measurements from the first two deployments of KEO (July 2004 - November 2005), *Kubota et al.* [2008] evaluated the National Centers for Environmental Prediction (NCEP) / National Center for Atmospheric Research reanalysis (NRA1) and NCEP / Department of Energy reanalysis (NRA2). They found large total net flux biases (Root Mean Square errors) of 49 (77) W/m² for NRA1 and 56 (86) W/m² for NRA2, with ocean losing too much heat during much of the year mainly caused by errors in latent heat flux. Recently, two new generation reanalyses have been developed: the NCEP Climate Forecasting System Reanalysis (CFSR) and the European Centre for Medium-range Weather Forecasts
(ECMWF) Reanalysis Interim project (ERA-I). Figure 1 however shows large differences of total net
surface heat flux in the Kuroshio and Kuroshio Extension (KKE) region between CFSR and ERA-I.

90 This study will use a decade of KEO moored buoy data to evaluate the air-sea exchanges of heat in 91 the two newer generation reanalyses. In the following, KEO measurements and calculation of the heat 92 fluxes using bulk algorithm will be briefly described in Section 2; in Section 3 the KEO fluxes will be 93 used to validate the CFSR and ERA-I reanalyses. These bulk flux data are now being made publicly 94 available at http://www.pmel.noaa.gov/OCS/data/fluxdisdel/ and this paper is intended to describe 95 details of these flux data. Section 4 will investigate the causes of the latent flux bias, which is found to 96 be mainly responsible for the large bias of total net heat flux in the CFSR; conclusions and suggestions 97 will be made in Section 5.

98

99 2. Data and Methods

100 **2.1 Heat Fluxes from KEO Measurements**

101 KEO is located in the KE's recirculation gyre at 32.3°N, 144.5°E (Figure 1), and is currently the 102 only heavily instrumented surface mooring in any WBC/WBCE regime that has a long-term record of 103 air-sea fluxes [*Cronin et al.* 2010]. KEO measures the meteorological state variables and ocean 104 conditions, including biogeochemical variables, upper ocean temperature and salinity, and near-surface 105 currents. It thus is capable of monitoring the air-sea exchanges of heat, moisture, momentum and carbon 106 dioxide; ocean acidification; and upper ocean variability associated with air-sea interaction.

107 The net surface heat flux, Q_{net} , has both radiative and turbulent components:

$$Q_{net} = Q_{sw,net} - Q_{lw,net} - Q_{lat} - Q_{sen} \qquad (1)$$

109 where $Q_{sw,net}$ and $Q_{lw,net}$ are the net shortwave and longwave radiation at the air-sea interface:

110
$$Q_{sw,net} = (1 - \alpha) SWR \qquad (2)$$

111
$$Q_{lw,net} = \varepsilon \left(LWR - \sigma T_s^4 \right) \tag{3}$$

112 *SWR* and *LWR* are the measured incoming solar and longwave radiation, T_s is the measured surface 113 temperature of the ocean; α is the sea surface albedo derived from the International Satellite Cloud 114 Climatology Project (ISCCP, http://isccp.giss.nasa.gov/projects/browse_fc.html), ε is the broadband 115 emissivity (0.98), and σ is the Stefan-Boltzmann constant (5.67 × 10⁻⁸ W m⁻² K⁻⁴).

116 Air-sea turbulent fluxes can be represented in terms of a bulk aerodynamic formula. In particular, the 117 latent (Q_{lat}) and sensible (Q_{sen}) heat fluxes can be expressed as:

118
$$Q_{lat} = \rho_a L C_e S (q_a - q_s) \tag{4}$$

119
$$Q_{sen} = \rho_a C_p C_T S (T_a - T_s)$$
(5)

where ρ_a is the atmospheric surface density, $S^2 = |(U_a - U_s)|^2 + w_g^2$, $U_a - U_s$ is the hourly wind speed 120 relative to the ocean surface current, w_g is the wind gustiness, (T_a-T_s) is the air-sea temperature 121 122 difference, and q_a is the surface specific humidity, while q_s is the saturated specific humidity at the sea 123 surface temperature. The "state variables" needed for the bulk fluxes include hourly averaged wind 124 speed and direction, ocean surface currents, air and sea surface temperature, and humidity, and are all 125 directly measured by KEO. C_e and C_T are the transfer coefficients for the latent and sensible bulk heat 126 fluxes, which are determined through state-of-the-art COARE v3.0b bulk algorithm. The version 2.5 COARE algorithm [Fairall et al. 1996a] was formulated for the Tropics using data from the Tropical 127 128 Ocean Global Atmosphere (TOGA) Coupled Ocean Atmosphere Response Experiment (COARE). 129 Tuned for low wind speed regions, it introduced several novel features, including a warm layer and cool skin model to extrapolate bulk sea surface temperature (SST) to the skin [Fairall et al. 1996b] and a 130 131 gustiness component to account for the difference between hourly wind speed computed from the 132 averaged vector components (conventional method) and that computed from averaging the scalar wind

speeds directly (appropriate for flux calculation). Version 3.0b [*Fairall et al.* 2003] made the algorithm applicable to global regions with high wind speeds. We therefore used COARE 3.0b to derive Q_{lat} and Q_{sen} in (1)-(5).

Air temperature, humidity, and SST are measured every 10 minutes; and winds are measured for 2 136 137 minutes every 10 minutes. Incoming solar and longwave radiation are recorded as 1-or-2-minute 138 averages. Near surface currents at either 5 m, 15m, or in some cases 35m, have had different sampling 139 schemes through the years ranging 10 minutes before 2007 and 20 minutes afterwards. Full high 140 resolution data are available only after the mooring is recovered. Since 2014, hourly averages of all state 141 variables are transmitted to the lab, and thus the bulk fluxes can be computed in real time (available 142 within 24 hours) with COARE 3.0b. Between September 2007 and June 2014, most of the state 143 variables including winds, air temperature, relative humidity, sea surface salinity and temperature 144 observed hourly were submitted to the Global Telecommunication System (GTS) in near real time and 145 most of the time when satellites were available. Since June 2014, with the upgrade of satellite communication system, all hourly state variables and radiation measurements from KEO have been 146 147 submitted to the GTS in near real time all the time.

148 The air-sea fluxes used in this analysis are from 2004 to 2014; the period during which both ERA-I 149 and CFSR were available when this study started. The fluxes are however available to the research 150 community and public in real time through our new OCS Flux Display and Delivery Web Page 151 developed as part of this study (http://www.pmel.noaa.gov/OCS/data/fluxdisdel/). Both hourly and 152 daily-averaged fluxes are provided. While hourly fluxes are based on hourly means of observed 153 variables as per COARE 3.0b, daily fluxes are the daily averages of their hourly counterparts. Figure 2 is 154 directly obtained from the web page, showing the hourly and daily fluxes in (1). Turbulent heat fluxes 155 $(Q_{lat} \text{ and } Q_{sen})$ and net longwave radiation $(Q_{lw,net})$ are the mechanism by which the ocean heats the

156 atmosphere in the WBC/WBCE region. Positive values of these three cooling terms in (1) therefore 157 indicate heat is released from the ocean. For net shortwave radiation $(Q_{sw,net})$, the heating term in (1), 158 and the total net surface heat flux (Q_{net}) , positive values are defined as the heat gained by the ocean 159 (downward), while negative as the heat released from the ocean to the atmosphere (upward). Kubota et 160 al. [2008] presented an extensive analysis of measurement errors in turbulent fluxes based on the pre-161 and post-deployment calibrations and instrument random errors following upon Freitag et al. [2005] and Cronin et al. [2006]. The total measurement errors of daily average Q_{lat} and Q_{sen} are estimated to be 12 162 W/m^2 and 5 W/m^2 respectively, which also include 10% random error due to uncertainties of bulk 163 164 COARE algorithm in high wind conditions [Fairall et al. 2003]. The measurement errors of LWR and SWR are 4 W/m² and 3 W/m². 165

166 **2.2 The New Generation Reanalyses**

The Climate Forecast System Reanalysis (CFSR) [Saha et al. 2010] was designed and executed as a 167 168 global, high-resolution coupled atmosphere-ocean-land surface-sea ice system to provide the best 169 estimate of the state of the ocean-atmosphere coupled system from 1979 to 2010. Since 2011, the CFSR 170 has been updated in real time. New features of the CFSR include 1) coupling of the atmosphere and 171 ocean during the generation of the 6-h guess field, 2) an interactive sea ice model, and 3) assimilation of 172 satellite radiances by the Gridpoint Statistical Interpolation (GSI) scheme over the entire period. The 173 CFSR global atmosphere resolution is ~38 km (T382) with 64 levels extending from the surface to 0.26 hPa. The global ocean's latitudinal spacing is 0.25° at the equator, extending to a global 0.5° beyond the 174 175 tropics, with 40 levels to a depth of 4737 m. CFSR atmospheric, oceanic, and land surface output 176 products are available at an hourly time resolution for some selected variables.

177 Currently, CFSR is the only reanalysis based on a coupled ocean-atmosphere-land data assimilation 178 system. The ocean data assimilation is accomplished by 3D-Var with data input including global observation of subsurface temperature (T) profiles and synthetic salinity (S) profiles based on climatological T-S relationship [*Xue et al.* 2011]. To provide a stronger constraint at the oceanatmosphere interface, the modeled SST is however relaxed to the daily 1/4° NOAA OI SST (Reynolds et al. 2007), a blend of satellite AVHRR/AMSR and in situ measurements. The air-sea fluxes in the coupled CFSR are computed in the model with the bulk algorithm similar to those in NRA1 and NRA2, but using *Zeng et al.* [1998] roughness lengths for heat and moisture which is generally considered as a simplified version of COARE 2.5 bulk algorithm.

The ERA-Interim (ERA-I) is an 'interim' reanalysis in preparation for the next-generation extended reanalysis to replace ERA-40. ERA-I (Dee et al. 2011), however, has many improvements over ERA-40, including a much higher resolution model (T255, ~79km) with improved atmospheric physics and the 12-h 4DVar assimilation system with improvements to the handling of data biases and the background error constraint. While the stability function of the bulk algorithm for calculating air-sea fluxes in ERA-I are based on *Holtslag and de Bruin* [1988] and *Dyer* [1974], the roughness length for momentum is directly computed from the coupled ocean wave model in operational model and reanalysis.

193 Both reanalyses assimilated various satellite measurements, including high resolution satellite winds, 194 in addition to in situ atmospheric measurements. Compared to previous generation atmospheric 195 reanalyses, which have coarse resolution (~200km, for example NCEP reanalyses and ERA40), the 196 CFSR and ERA-I have higher resolution to better resolve many aspects of atmospheric processes, such 197 as storms [Hodges et al. 2011]. Due to the coarse resolution, the Sea Surface Temperature (SST) in the 198 earlier generation reanalyses deviates largely from the in situ buoy measurements, and is responsible for 199 a large part of the differences between buoy measured fluxes and reanalyses [Kubota et al. 2008; Cronin 200 et al. 2006]. The SST in CFSR and ERA-I however compare favorably with the KEO SST (Figure 3), 201 with both correlations higher than 0.99, Root Mean Square errors of 0.5°C, and biases within 0.1°C.

202 Daily fluxes in the two reanalyses are calculated from 6-hourly means. KEO is a slack-line mooring 203 in a strong-current WBC/WBCE regime, the surface buoy therefore has a large drift circle of up to 6 km 204 radius from its anchor location, which is changed with each deployment year. To compare with the KEO 205 measurements, 6-hourly reanalysis fluxes are interpolated to the instantaneous buoy locations tracked by 206 the Global Positioning System. As in Kubota et al. [2008], assessment of the reanalysis products will be 207 provided by the correlation of daily fluxes of measurements and reanalysis, the Root Mean Square 208 Errors (RMSE) measuring the magnitude of daily differences, and the bias measuring the mean 209 differences. Because of the long-term measurements at KEO, for the first time we are able to reliably 210 estimate seasonal cycle of the flux components, and to compare them to reanalyses. The CFSR also 211 provides hourly "state variables" that can be used to calculate turbulent fluxes using COARE 3.0b bulk 212 algorithms as for KEO observation, and to test the bulk algorithms used in CFSR. Throughout the paper, 213 all statistics (correlation, RMSE, and bias) are calculated from daily timeseries, even though sometimes 214 low-pass filtered timeseries are presented in figures to better show the differences. These statistics are 215 tabulated in Table 1a and b, and are compared in the following to those in Table 1c and d for NRA1 and 216 NRA2 [Kubota et al. 2008]. Note that Kubota et al's comparisons were based on a shorter time period 217 (July 2004- November 2005). To make sure that the longer period used to construct Table 1a and b does 218 not favor better comparisons, Table 1e and f are included for reference to show the comparison of CFSR 219 and ERA-I with the KEO observation in the same period as in Table 1c and d. Overall the statistics 220 presented in the Table 1e and f are similar to those in Table 1a and b, suggesting the relative 221 improvements of the two new reanalyses compared to NRA1 and NRA2 are robust regardless of the 222 comparison periods.

224 **3.** Comparison of Heat Fluxes

225 **3.1 Radiation Heat Fluxes**

226 The net longwave radiation $Q_{lw,net}$ at KEO is predominantly positive (losing heat from the ocean in (1)), changing from close to 0 in summer to over 100 W/m^2 in winter (Figure 2b). Statistics of the 227 228 comparison between KEO and CFSR/ERAI are shown in Table 1a and 1b. Compared to NRA1 and 229 NRA2 (Table 1c and 1d, reproduced from [Kubota et al. 2008]), the two new reanalyses show 230 significant improvement in terms of correlation. Even when seasonal cycles are removed, the 231 correlations are significantly high (> 95% significance level), suggesting a good correspondence 232 between the reanalyses and observation in synoptic weather events, which are dominant contributors to 233 the variability and degrees of freedom in the anomaly timeseries. The biases are also low, indistinguishable from KEO measurement errors. The RMSEs however remain above 10 W/m². Since 234 235 SST in the two new reanalyses are highly correlated with the KEO measurements (Figure 3), the RMSEs 236 are mainly induced by the downward longwave radiation (LWR). This is confirmed by the comparison of 237 directly measured LWR by KEO and its counterpart in the reanalyses (Table 1 a and b, Figure 4 a and c). 238 Even with low biases and high correlations with observation, the reanalysis LWRs have large RMSEs. 239 While the CFSR tends to overestimate the *LWR* in winter and underestimate in summer, the ERA-I tends 240 to underestimate it in later winter to early spring though within the standard errors of computed seasonal cycles (Figure 7). The differences between the seasonal cycles of LWR are small, within 10 W/m² 241 242 throughout the year.

The net shortwave radiation is the main heating source of the ocean at KEO as in (1), noting that positive values of $Q_{sw,net}$ in Figure 2 refer to downward heat flux into the ocean. While hourly net shortwave radiation at KEO change dramatically in a day from 0 to over 1000 W/m² following the sun, daily means range from a few 10 W/m² in winter to 300 W/m² in summer. $Q_{sw,net}$ in both reanalyses are 247 significantly correlated with that based on KEO measurements, though with very high RMSEs (Table 1 a and b). The CFSR has a much larger bias than ERAI (10 W/m² in CFSR vs. 2 W/m² in ERAI). These 248 249 errors are mainly caused by SWR since observed albedo values in (2) are small ranging from 0.05-0.11 250 with a clear seasonal cycle (Figure 5). Kubota et al. [2008] reported that although NRA1 simulates the 251 correct amplitude of albedo seasonal cycle compared to the ISCCP climatology, its absolute albedo 252 values, ranging from 0.14 to 0.2, are 100% larger than observed. NRA2 on the other hand has a much 253 smaller seasonal change of albedo, ranging from 0.06-0.08. Figure 5 compares the albedo in CFSR and 254 ERAI reanalyses with two satellite products, the ISCCP climatology and daily Cloud and the Earth's 255 Radiation System (CERES) (ceres.larc.nasa.gov). It is interesting to note that the albedo seasonal cycle 256 in both CFSR and ERA-I are much smaller than ISCCP, but closer to CERES. Details of the differences 257 in ISCCP and CERES will be addressed in a separate study. However the small absolute values of 258 albedo at KEO coupled with their anti-phase relation with SWR means that the impacts of the differences in albedo on $Q_{sw,net}$ are small. Like NRA2, CFSR and ERAI simulate correctly the phase of seasonal 259 260 cycle, but with much smaller amplitude. The larger albedo difference in winter (~0.03 between the reanalyses and ISCCP) would only result in less than 3 W/m^2 difference in $Q_{sw,net}$ due to the relatively 261 262 weak SWR during winter.

Since variability of $Q_{sw,net}$ is largely determined by SWR, directly measured *SWR* at KEO is compared to the reanalyses (Figure 4). The instantaneous differences can be up to over 100 W/m² inducing the large RMSEs (Table 1 a and b) although the correlations of the reanalyses and observation are significant. To better illustrate the differences, Figure 6 zooms in the timeseries in 2005. The timeseries follow each other closely even on synoptic weather time scales suggesting that the reanalyses successfully capture the weather events, which can change the *SWR* by up to 200 W/m² in just a few days during spring and summer. The good correspondence between the synoptic weather events in the 270 reanalyses and observed timeseries is consistent with the significantly high correlations between them 271 even when the dominating seasonal cycles are removed (Table 1a and 1b). It is therefore the difference in amplitudes of these weather events that result in the large RMSEs of SWR on the order of 40 W/m² in 272 273 both reanalyses. Both reanalyses show overestimates of SWR in spring 2005 and underestimates of SWR 274 in summer in 2005, similar to Kubota et al.'s assessment of NRA1 and NRA2 using the first 15 months 275 of KEO measurements. But the seasonal cycle derived from longer timeseries suggest that CFSR 276 overestimates the SWR in both spring and early summer, while ERA-I underestimates in late summer 277 and early fall (Figure 7).

278 The CFSR overestimate of SWR and underestimate of LWR in much of the spring and summer is 279 consistent with the negative cloud bias in the KEO region [Wang et al. 2011]. Because of the 280 compensation of LWR and SWR biases, the total downward radiative flux of CFSR is within the standard 281 errors of the KEO seasonal cycle in most of the year (Figure 7c). On the other hand, the large negative 282 bias of total downward radiative flux in ERA-I in late summer and early fall is significant. However, the 283 annual mean, the winter and summer means of ERA-I SWR and LWR are in excellent agreement with the 284 KEO measurement for ERA-I (Table 2). The summer mean positive bias of 19 W/m² (Table 2) in CFSR SWR due to cloud biases is particularly large, and is mostly responsible for the 10 W/m^2 SWR annual 285 286 mean bias (Table 1a and Table 2).

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288 **3.2 Turbulent Heat Fluxes**

 Q_{lat} and Q_{sen} are the other two cooling terms in (1), both having large seasonal variations with close to 0 in summer and maximum heat release in winter (Figure 2). While Q_{sen} winter heat release is comparable to $Q_{lw,net}$, winter cooling from Q_{lat} is much larger, more than Q_{sen} and $Q_{lw,net}$ combined. Q_{sen} in both CFSR and ERA-I compare well with the KEO observations, with very high correlation and low biases (Table 1 a and b). The RMSEs of CFSR and ERA-I Q_{sen} are 14 and 13 W/m² respectively. Compared to NRA1 and NRA2, the two new generation reanalyses have similar or higher correlations with KEO observation, but also have significantly lowered RMSEs and biases. The errors are however concentrated in winter season (Figure 8c), which leads to the more substantial systematic winter bias of more than 20 W/m² cooling in the seasonal cycle (Figure 7d).

 Q_{lat} in CFSR and ERAI are more problematic (Figure 8 b and d). Although they are very highly 298 299 correlated with the KEO observation (0.96 for CFSR and 0.94 for ERAI), they have large RMSEs and 300 biases (Table 1 a and b). The instantaneous differences of reanalyses and observation can be more than 100 W/m², especially for CFSR (Figure 8d). Both reanalyses are biased to lose more heat than they 301 302 should throughout most of the year for CFSR and in summer and early fall for ERA-I, which is clearly seen in the seasonal cycle (Figure 7e). The CFSR bias (31 W/m^2) is particularly pronounced with its 303 enhanced systematic winter bias of more than 70 W/m². Compared to NRA1 and NRA2, both the two 304 new reanalyses however have made substantial progress of improving Q_{lat} , with higher correlations, 305 lower RMSEs and biases (Table 1 a and b vs. Table 1 c and d). More detailed diagnostics on what 306 307 caused the still exceedingly large biases in the new reanalyses, particularly for CFSR, will be presented 308 later in Section 4.

309

310 **3.3 Total Net Heat Fluxes**

The total net surface heat flux Q_{net} at KEO, as the sum of the warming term ($Q_{sw,net}$) and cooling terms ($Q_{lw,net}$, Q_{sen} , and Q_{lat}), have extraordinary seasonal extremes with up to 300 W/m² warming to the ocean in summer and up to 1000 W/m² cooling in winter (Figure 9a). Although the correlations (Table 1 a and b) between the reanalyses and KEO observation are very high (even when large seasonal cycles are removed), the instantaneous differences can be more than 200 W/m² (Figure 9b). The RMSEs are 64

 W/m^2 for CFSR and 61 W/m^2 for ERAI, while biases are 28 W/m^2 for CFSR and 20 W/m^2 for ERAI. 316 Though still large, these errors are much smaller than NRA1 and NRA2 (Table 1 c and d). The large 317 RMSEs are mainly contributed by the errors in SWR and Q_{lat} , while the large cooling biases are due to 318 319 Q_{lat}. The ERA-I biases are mostly in late summer and early fall (Figure 7f), induced by overestimates of 320 latent heat loss (Figure 7e) and underestimates of SWR (Figure 7b). The CFSR, however, has the largest bias of up to 90 W/m^2 during winter in the seasonal cycle (Figure 7). If a negative 90 W/m^2 is 321 322 distributed over a mixed layer of 50-m, it could induce a cooling of about 2.2°C within two months. 323 KEO is located at the center of North Pacific Subtropical Mode Water (STMW) formation region. If the 324 CFSR fluxes are used to force an ocean circulation model, such a large cooling bias at this region would generate significant biases on the STMW formation and therefore the heat/gas uptake, as well as the 325 326 large scale ocean circulation in the North Pacific.

327

328 4. Biases in Latent Heat Flux

329 The mechanism responsible for the large winter heat release in the Kuroshio and Kuroshio Extension 330 region is the cold winter outbreaks that bring cold and dry continental air from the northwest over the 331 warm Kuroshio water. This is clearly seen in Figure 10 a and b, showing the maximum winter cooling of Q_{lat} which contributes to most of the Q_{net} (Figure 1). These synoptic scale winter weather outbreaks are 332 333 associated with the winter storms in the North Pacific Storm Track [Bond and Cronin 2008, Konda et al. 334 2010]. Figure 10 c and d show that the composite cooling anomalies correspond to the southwest 335 quadrant of the storms when the centers of the storms are located to the northeast of KEO. During these 336 events, the anomalous winds (Figure 10 c and d) are similar to the winter mean (Figure 10 a and b) in 337 terms of both strength and direction passing over the Kuroshio and KEO. Figure 10 also suggests that 338 the anomalous cooling events measured by KEO have spatial scales of more than several hundred kilometers. It is interesting to note that although ERA-I has similar wind to CFSR in winter mean (Figure 10a and b) and anomalous cooling events (Figure 10c and d, Figure 12), the associated Q_{lat} in ERA-I are much weaker than CFSR.

342 According to (4), the "state variables" that determine Q_{lat} are wind speed (relative to ocean 343 currents), specific humidity of the air q_{a} , and saturated specific humidity q_s at sea surface temperature. 344 Since q_s (largely determined by SST) in the two reanalyses are close to observation (not shown, but see 345 SST in Figure 3) and speed of ocean currents are an order of magnitude smaller than wind speed, we compare the wind speed and q_a in the reanalyses with KEO observation in Figure 11, where KEO 346 347 measured variables are adjusted to the same height as reanalysis variables using COARE algorithm. 348 Both reanalysis wind speeds are highly correlated with observation (0.83 for CFSR and 0.84 for ERA-I), 349 and both tend to overestimate the wind speeds especially during winter and with similar magnitude. The 350 RMSE and bias of CFSR wind are 2.1 m/s and 1.0 m/s respectively, while those of ERA-I are 1.9 m/s and 0.7 m/s. q_a in the reanalyses are even more highly correlated with observation (with correlation of 351 352 both higher than 0.99). The RMSE and bias of q_a in CFSR are 0.8 and -0.4 g/kg respectively, while those in ERAI are 0.9 and -0.5 g/kg. Kubota et al. [2008] found that q_a in NRA1 and NRA2 was 353 354 overestimated in winter and underestimated in summer so that the errors in q_a contribute most to errors 355 of Q_{lat} . q_a in the two new reanalyses, however, are much better during winter, though there is still a clear 356 underestimate of q_a during summer (Figure 11). Figure 12 zooms in winter 2013 and shows that q_a in 357 CFSR and ERAI follow almost exactly the KEO measurement, and both reanalyses overestimate the 358 wind speeds by similar magnitude during anomalous synoptic weather events corresponding to the 359 composite storm pattern (Figure 10). Thus it may be surprising that while the CFSR overestimates Q_{lat} by 50-100 W/m², Q_{lat} in ERAI is much closer to observation. 360

Another factor that determines Q_{lat} according to (4) is the transfer coefficient C_e that is calculated through bulk algorithm. Since CFSR also provides hourly data we can calculate Q_{lat} using CFSR "state variables" and COARE 3.0b and compare it with KEO latent heat flux calculated the same way. Compared to Figure 13a, Figure 13b shows that using COARE 3.0b improves the CFSR Q_{lat} dramatically: the correlation with observation rises from 0.96 to 0.97; the RMSE reduces from 46 to 32 W/m²; the bias reduces from 31 to 18 W/m² (Table 3). So by using the COARE 3.0b bulk algorithm with CFSR state variables, the calculated latent heat flux $Q_{lat,coare}$ is comparable or better than ERA-I.

368 To test the influence of wind bias on Q_{lat} calculation in the bulk algorithm, the observed KEO winds 369 are used to substitute for the CFSR winds (Figure 13c). The calculated Q_{lat} with COARE algorithm and observed wind $(Q_{lat,coare,wind})$ shows only minor correction with respect to $Q_{lat,coare}$ (Table 3). The 370 $Q_{lat,coare,wind}$ correlation with observation is increased to 0.98 from 0.97 (for $Q_{lat,coare}$); the RMSE and bias 371 of $Q_{lat,coare,wind}$ are reduced to 25 W/m² and 15 W/m² from 32 W/m² and 18 W/m² respectively (for 372 $Q_{lat,coare}$). To test the influence of q_a bias on Q_{lat} , the observed KEO q_a is used to substitute for the CFSR 373 q_a (Figure 13d). The calculated Q_{lat} with COARE algorithm and observed q_a ($Q_{lat,coare,qa}$) shows only 374 375 minor improvement in correlation and RMSE but a relatively large bias reduction with respect to $Q_{lat,coare}$ (Table 3). The $Q_{lat,coare,qa}$ correlation with observation is 0.98 and the RMSE is reduced to 26 376 W/m², comparable to the improvement from wind-substitute case $Q_{lat,coare,wind}$. But the bias of $Q_{lat,coare,qa}$ 377 is further reduced to 7 W/m², another 11 W/m² reduction from $Q_{lat,coare}$, suggesting the importance of 378 379 reducing q_a summer biases in the reanalyses (Figure 11c and 11d).

380

5. Summary and conclusions

Heat release from the Kuroshio and Kuroshio Extension (KKE) to the mid-latitude atmosphere is an important conduit for poleward heat transport in the global energy balance, and is a major mechanism 384 for the ocean to influence the atmosphere. Exchanges of air-sea fluxes also help determine the upper 385 ocean stratification and play a key role in the North Pacific STMW formation, thereby influencing the 386 heat and gas uptake and the large scale ocean circulation. Earlier generation NWP reanalyses (NRA1 387 and NRA2) have been shown to have alarmingly large RMS errors in the net surface heat flux of more than 77 W/m^2 and bias of more than 49 W/m^2 in the KKE region. These studies were based on the first 388 389 two deployments of the NOAA Ocean Climate Station (OCS) KEO buoy with a total record of 15 390 months; a time series that is now over 10 years in length. OCS buoys measure upper ocean properties 391 and state variables from which air-sea fluxes can be computed; fluxes from the KEO buoy data provide 392 a very challenging test for NWP due to the large range of meteorological and oceanic conditions 393 experienced there.

394 In this study we use a decade of KEO measurements to evaluate surface heat fluxes in two newer 395 generation reanalyses, the NCEP CFSR and ECMWF ERA-I, which have large difference of total net 396 surface heat flux during winter in the Kuroshio and Kuroshio Extension (Figure 1). It is found that both 397 the two new reanalyses have significantly improved in representation of surface heat fluxes compared to 398 NRA1 and NRA2 in this region. In both new reanalyses, all four flux components (sensible and latent 399 heat flux and net longwave and shortwave radiation) are highly correlated with observation, with the 400 correlation of total daily net surface heat fluxes above 0.96 (Table 1). Correlations are also high (> 95%) 401 significance level) even when the large seasonal cycles are removed, consistent with the good 402 correspondence between the synoptic weather events in reanalyses and observation. Although errors of the net surface heat flux Q_{net} have significantly reduced from previous reanalyses, the RMSEs and biases 403 remain high. The RMSEs of Q_{net} in CFSR and ERA-I are 64 and 61 W/m² respectively, compared to 77 404 and 85 W/m² in NRA1 and NRA2 [Kubota et al. 2008]. The biases of Q_{net} in CFSR and ERA-I are 28 405 and 20 W/m² respectively, compared to 49 and 56 W/m² in NRA1 and NRA2. However there is still an 406

407 alarmingly large cooling bias of 90 W/m² in winter Q_{net} of CFSR. The Q_{net} in ERA-I in winter is closer 408 to KEO observation, but its late summer and early fall biases are close to 40 W/m² (Figure 7f).

Among the four flux components of Q_{net} , low errors of net longwave radiation $Q_{lw,net}$ at KEO in both 409 410 reanalyses (Table 1) are mainly caused by the downward longwave radiation LWR which are close to observation within 10 W/m² throughout the seasonal cycle (Figure 7). Sensible heat flux Q_{sen} in CFSR 411 and ERA-I are also reasonably reproduced, though both show amplified cooling bias of $\sim 20 \text{ W/m}^2$ in 412 winter. The errors from both net shortwave radiation $Q_{sw,net}$ and latent heat flux Q_{lat} contribute to the 413 large RMSEs of Q_{net} , while Q_{lat} is the main cause of large cooling biases of Q_{net} . The downward 414 415 shortwave radiation SWR, that dominates the $Q_{sw,net}$ variability, is overestimated in CFSR in both spring 416 and summer, while in ERA-I it is overestimated in spring but underestimated in late summer and early 417 fall (Figure 7b). Q_{lat} in both reanalyses are biased to lose more heat than they should be throughout most 418 of the year for CFSR and in summer and early fall for ERA-I, as clearly seen in the seasonal cycle (Figure 7e). The CFSR Q_{lat} bias is particularly worrisome with its large winter bias of more than 70 419 W/m^2 , responsible for most of the 90 W/m^2 bias of Q_{net} in winter. 420

421 It is further found that Q_{lat} winter heat release in CFSR is much larger than in ERA-I in the entire 422 Kuroshio and Kuroshio Extensions region (Figure 10a and b). Such a large winter bias in net surface 423 heat flux would have severe consequences when used to force an ocean circulation model. Composite 424 analysis of anomalous cooling events observed at KEO suggests that the anomalous coolings are 425 associated with synoptic winter storms and cold air outbreaks that bring cold dry continental air over the 426 warm Kuroshio water. Both CFSR and ERA-I overestimate the wind speed associated with the winter 427 storms and underestimate the specific humidity in summer. But it is the bulk algorithm that is most 428 responsible for the large bias of Q_{lat} in CFSR particularly for winter. It is therefore recommended that heat fluxes calculated from CFSR state variables and a better bulk algorithm are used to force the oceanmodels, rather than the fluxes directly from the CFSR.

431 Although CFSR and ERA-I have shown significant improvement compared to earlier reanalyses at the Kuroshio and Kuroshio Extension region, they both have large global heat imbalance with 13 W/m^2 432 and 8 W/m² heat flux biases, respectively, when integrated globally [Valdivieso et al 2015; Josey et al. 433 434 2013]. The global heat budget of NRA1 and NRA2, on the other hand, are close to being balanced with 2-3 W/m² globally integrated biases. In addition, a number of variables including radiation fluxes and 435 436 winds in CFSR suffered a noticeable shift around 2000 likely due to the changes of observing systems 437 and change of schemes in assimilating the data from those observations [Saha et al. 2010; Xue et al. 438 2011; Wang et al. 2011]. Caution should be exercised if CFSR fluxes before 2000 are used to drive 439 ocean models. More comparisons with direct observations like this one in other parts of the ocean and 440 on long term variations of air-sea fluxes are needed to understand and quantify the reanalysis biases and 441 to eventually improve the reanalysis products.

442 One recently discovered caveat in the ERA-I air-sea fluxes is the localized anomalies ("bull's-eyes") 443 associated with the ERA-I's assimilation of Tropical Atmosphere Ocean (TAO) moored buoy 444 observations [Josev et al. 2014]. These localized anomalies are particularly strong in the 2m specific 445 humidity q_a , as well as the latent heat flux Q_{lat} and net surface flux Q_{net} . OCS moorings have been 446 designated as the flux reference buoys. And we have been requesting the reanalysis community not to 447 assimilate the OCS data, but to use our data for validation purposes. Special World Meteorology 448 Organization (WMO) reference codes have been assigned and placed the OCS data in the encouraged-449 to-withhold list among the data distributed by the GTS. Localized anomalies in ERA-I similar to those 450 in the tropical Pacific are not found in the KEO region (Figure 10), suggesting that KEO data is not 451 assimilated. We also had direct communications with the NCEP to discourage the OCS data from being assimilated in the CFS. As summarized below, q_a biases are identified in both CFSR and ERA-I at KEO, and need to be corrected to further improve the reanalysis fluxes. But we like to second the *Josey et al.* [2014] recommendation that "A balance needs to be achieved between assimilating enough data to improve the reanalysis representation of atmospheric variability and withholding enough to enable valid evaluations to be carried out.". This certainly needs close communication between the observation, reanalysis, and diagnosis communities.

458 Overestimation of Q_{lat} has been a long standing problem in reanalyses that have been diagnosed by a 459 series of studies on the previous generation reanalyses [Josey 2001; Moore and Renfrew 2002; Sun et al. 460 2003; Jiang et al. 2005; Cronin et al. 2006; Tomita and Kubota 2006; Kubota et al. 2008] in various 461 parts of the ocean. The comparison of earlier KEO data with NRA1 and NRA2 [Kubota et al. 2008] led 462 to three recommendations: 1) use higher resolution global SST products based on satellite microwave 463 measurements at all-weather conditions; 2) reduce large biases in the model simulations of specific 464 humidity in winter and summer; and 3) improve bulk algorithms in the NWP model for the air-sea flux 465 calculation. Both CFSR and ERA-I have adopted the merged high resolution SST with microwave 466 measurements included as the boundary condition for their atmospheric models. Overall, they showed 467 excellent agreement with the SST measured by KEO (Figure 3) with correlations higher than 0.99, even 468 though the high resolution SST missed a few cold events in winter (less than 10 days in the entire KEO record). This is a significant improvement compared to coarse relation SST products used in NRA1 and 469 NRA2 [Kubota et al. 2008]. The large over-estimates of winter q_a in NRA1 and NRA2 have been 470 471 significantly improved in CFSR and ERA-I (Figure 11 c and d), but under-estimates of q_a in warm season still exist. Further improvement of q_a in CFSR could potentially reduce Q_{lat} bias by 11 W/m² and 472 RMSE by 6 W/m² (Table 2). Our study reiterates the importance of appropriate bulk algorithms in 473

474 calculating the latent heat flux in NWP reanalyses, which remains as the most influential factor causing 475 large biases of Q_{lat} in CFSR (Table 2).

476 One goal of the NOAA OCS buoys, as part of the air-sea flux reference sites, is to provide high 477 quality long term baseline dataset to evaluate global air-sea flux products from reanalyses and satellite 478 products. This study only evaluates two of the new reanalyses. There are several other products (e.g., 479 JRA, MERRA, 20CR see https://reanalyses.org/atmosphere/overview-current-reanalyses) are available 480 for comparison. To facilitate air-sea interaction research and assessment of air-sea fluxes in NWP 481 reanalyses and other flux products, we have developed the OCS Flux Display and Delivery Web Page 482 (http://www.pmel.noaa.gov/OCS/data/fluxdisdel/), from which computed hourly and daily fluxes can be 483 viewed and downloaded in real time (Figure 2).

It worth mentioning that the biases identified here are referred to the reanalysis difference with respect to the COARE algorithm derived mooring flux estimates. The COARE 3.0b, which is based on more than 5000 direct covariance flux measurements over the global ocean, is generally accepted as the more accurate among available bulk algorithms [*Brunke et al.* 2003]. More high-quality direct flux measurements in the Kuroshio and Kuroshio Extension region are however justifiable to further improve the accuracy of air-sea fluxes derived from buoy measurements.

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Table 1a: Comparison of flux components between KEO and CFSR. Correlations after seasonal cycles

607 removed are in parentheses.

CFSR	$Q_{sw,net}$	SWR	Qlw,net	LWR	Q_{lat}	Qsen	Qnet
Correlation	0.88	0.88 (0.74)	0.88	0.94 (0.84)	0.96 (0.87)	0.96 (0.90)	0.97 (0.87)
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RMS Error	43	47	13	13	46	14	64
Bias	10	10	4	-2	31	3	-28

609 Table 1b: Comparison of flux components between KEO and ERA-I. Correlations after seasonal cycles

610 removed are in parentheses.

ERA-I	$Q_{sw,net}$	SWR	$Q_{lw,net}$	LWR	Q_{lat}	Qsen	Q_{net}
Correlation	0.85	0.86 (0.71)	0.89	0.95 (0.84)	0.94 (0.83)	0.94 (0.85)	0.96 (0.84)
RMS Error	43	43	12	12	38	13	61
Bias	4	2	4	-2	17	4	-20

NRA1	$Q_{sw,net}$	SWR	$Q_{lw,net}$	LWR	Q_{lat}	Qsen	Q _{net}
Correlation	0.80	0.80	0.79	0.91	0.92	0.93	0.93
RMS Error	48	52	15	15	48	20	77
Bias	1	17	1	1	38	9	-49

621 Table 1c: Comparison of flux components between KEO and NRA1 (reproduced from Kubota et al.)

Table 1d: Comparison of flux components between KEO and NRA2 (reproduced from Kubota et al.)

NRA2	$Q_{sw,net}$	SWR	$Q_{lw,net}$	LWR	Q_{lat}	Qsen	Q _{net}
Correlation	0.88	0.88	0.78	0.90	0.91	0.94	0.94
RMS Error	38	41	15	16	62	23	85
Bias	-5	4	-6	8	60	7	-56

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Table 1e: Comparison of flux components between KEO and CFSR during July 2004-November 2005

CFSR	$Q_{sw,net}$	SWR	$Q_{lw,net}$	LWR	Q_{lat}	Qsen	Q _{net}
Correlation	0.89	0.89	0.80	0.94	0.97	0.97	0.98
RMS Error	36	39	13	12	35	10	46
Bias	7	7	2	-1	25	1	-20

Table 1f: Comparison of flux components between KEO and ERA-I during July 2004-November 2005

ERA-I	$Q_{sw,net}$	SWR	$Q_{lw,net}$	LWR	Q_{lat}	Qsen	Q _{net}
Correlation	0.92	0.91	0.88	0.97	0.96	0.97	0.97
RMS Error	31	34	10	9	34	9	51
Bias	2	1	3	-2	20	4	-24

628 Table 2: Annual Mean (DJF Winter Mean) [JJA Summer Mean] values of flux components in KEO

629 observation, CFSR and ERA-I reanalyses.

	SWR	LWR	Q_{lat}	Qsen	Q _{net}
KEO	171 (97) [243]	375 (339) [410]	135 (232) [38]	25 (62) [0]	-57 (-291) [+140]
CFSR	181 (91) [262]	373 (347) [405]	166 (282) [65]	28 (77) [-3]	-85 (-343) [+138]
ERA-I	173 (99) [244]	373 (339) [409]	152 (242) [67]	29 (72) [2]	-77 (-298) [+121]

631 Table 3: Comparison of KEO latent heat flux Q_{lat} vs. CFSR Q_{lat}, Q_{lat,coare}, Q_{lat,coare}, wind, and Q_{lat,coare,qa}

632 (see text for details).

CFSR	Q _{lat}	$Q_{lat,coare}$	$Q_{lat,coare,wind}$	$Q_{lat,coare,qa}$
Correlation	0.96	0.97	0.98	0.98
RMS Error	46	32	25	26
Bias	31	18	15	7



639 Figure 1. 2004-2014 mean net surface heat flux (color shade) and sea surface temperature (line contours)

- 640 in winter (December-January-February) in two reanalyses: (a) NCEP CFSR; (b) ERA-I. Negative
- 641 values mean that the ocean is losing heat to the atmosphere. KEO location is marked by the star.



- 644 Figure 2. Hourly and daily heat flux components in (1) as measured by KEO. The cyan curve in each
- sub-plot represents the climatology as defined in <u>http://pmel.noaa.gov/ocs/climatology</u>.

- 0+7





652 Figure 3: Scatter plot of daily SST measured at KEO and in CFSR and ERA-I reanalyses. Data points in



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Figure 4. (a) and (b) Downward longwave and shortwave radiation measured by KEO, compared to the
CFSR and ERA-I reanalyses (15-day lowpass filtered to better show the timeseries); (c) and (d)
Differences of daily CFSR and ERA-I downward shortwave and longwave radiation with KEO
measurements.



Figure 5: Comparison of albedos at KEO in the two reanalyses (CFSR and ERA-I) and two satellite

673 products (ISCCP and CERES).

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Figure 6. Downward daily shortwave radiation measured by KEO and in CFSR and ERA-I, and theirdifferences, during 2005.



Figure 7: Seasonal cycle of downward longwave and shortwave radiation (a) and (b), total downward longwave and shortwave radiative flux (c), sensible and latent heat flux (d) and (e), and the total net surface heat flux (f). Error bars are the mean standard errors of the computed seasonal cycle from a decade of KEO fluxes.





693 Figure 8: (a) and (b) Sensible and latent heat fluxes from KEO measurements, compared to the CFSR

and ERA-I reanalyses (15-day lowpass filtered to better show the timeseries); (c) and (d) Differences of

- 695 daily CFSR and ERA-I sensible and latent heat fluxes with KEO measurements.
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698 Figure 9 (a) Total daily net surface heat flux from KEO measurements, compared to the CFSR and

699 ERA-I reanalyses; (b) Differences of daily CFSR and ERA-I net surface heat flux with KEO

700 measurements.



Figure 10: (a) and (b) Winter mean latent flux and wind vectors in CFSR and ERA-I during 2004-2014;
(c) and (d) Composites of cold anomalies due to synoptic weather events, showing patterns of winter
storms with low pressure center around 158°E, 40°N in the two reanalyses. The composites are
computed based on one standard deviation of the difference between daily and 21-day low-pass filtered
daily latent heat fluxes from KEO observation. The 21-day low-pass filter is used to filter out synoptic
weather events. Results are not sensitive to the length of filtering, tested between 15-day to 45-day.



Figure 11: (a) and (b) Scatter plots of wind speed of KEO and reanalyses.; (c) and (d) Scatter plots of surface specific humidity q_a of KEO and reanalyses. Winter data are shown in red.



Figure 12: Latent heat flux, wind speed, and specific humidity during November 2012 to March 2013, 3day lowpass filtered to better show the timeseries.



Figure 13: Scatter plots of latent fluxes of (a) KEO and CFSR; (b) KEO and CFSR using COARE 3.0b
bulk algorithm; (c) KEO and CFSR using COARE 3.0b bulk algorithm and KEO wind speed; (d) KEO
and CFSR using COARE 3.0b bulk algorithm and KEO specific humidity. Winter data points are shown
in red.