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1	Atmospheric conditions during the Arctic Clouds in Summer Experiment
2	(ACSE): Contrasting open-water and sea-ice surfaces during melt and freeze-up
3	seasons.
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- 1 Abstract
- 2

3 The Arctic Clouds in Summer Experiment (ACSE) was conducted during summer 4 and early autumn 2014, providing a detailed view of the seasonal transition from ice 5 melt into freeze-up. Measurements were taken over both ice-free and ice-covered sur-6 faces, near the ice edge, offering insight to the role of the surface state in shaping the 7 atmospheric conditions. The initiation of the autumn freeze-up was related to a 8 change in air mass, rather than to changes in solar radiation alone; the lower atmos-9 phere cooled abruptly leading to a surface heat loss. During melt season, strong sur-10 face inversions persisted over the ice, while elevated inversions were more frequent 11 over open water. These differences disappeared during autumn freeze-up, when ele-12 vated inversions persisted over both ice-free and ice-covered conditions. These results 13 are in contrast to previous studies that found a well-mixed boundary layer persisting 14 in summer and an increased frequency of surface-based inversions in autumn, sug-15 gesting that our knowledge derived from measurements taken within the pan-Arctic 16 area and on the central ice-pack does not necessarily apply closer to the ice-edge. This 17 study offers an insight to the atmospheric processes that occur during a crucial period 18 of the year; understanding and accurately modeling these processes is essential for the 19 improvement of ice-extent predictions and future Arctic climate projections.

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3 The Arctic is warming faster than the global climate, a phenomenon widely known as 4 Arctic amplification (Serreze and Francis 2006; Serreze and Barry 2011). While 5 boundary layer processes, and their impact on Arctic warming, have received wide 6 attention (Bintanja et al. 2011, 2012; Lampert et al 2012; Pithan and Mauritsen 2014, 7 Pithan et al. 2014; Vihma et al. 2014), errors in the representation of the Arctic lower 8 atmosphere in climate and weather forecast models (Medeiros et al. 2011; de Boer et 9 al. 2013; Barton et al. 2012; 2014; Wesslén et al. 2014), low-level clouds (Tjernström 10 et al. 2008; Karlsson and Svensson 2013; Sotiropoulou et al. 2016) and surface fluxes 11 (Tjernström et al. 2005; 2008; Svensson and Karlsson 2011) make additional obser-12 vational studies necessary to improve scientific understanding and hence predictive 13 capabilities of the Arctic climate.

14 Limited understanding of processes important for Arctic climate change is 15 mainly due to a paucity of detailed observations over the Arctic Ocean. A few Arctic 16 campaigns were conducted during 70-80s, such as Arctic Ice Dynamics Joint Experi-17 ment (AIDJEX: Bjornert, 1975), Marginal Ice Zone Experiment (MIZEX -83, -84 -18 87: Johannessen 1987; Guest and Davidson 1988) and Coordinated Eastern Arctic 19 Experiment (CEAREX: Johannessen and Sandven 1989). More detailed information 20 comes from more recent expeditions, which included more extensive suites of instru-21 mentation: Surface Heat Budget of the Arctic Ocean (SHEBA: Uttal et al. 2002), Arc-22 tic Ocean Experiment 2001 (AOE-2001: Tjernström 2004), Arctic Summer Cloud-23 Ocean Study (ASCOS: Tjernström et al. 2012). Additional information comes from 24 airborne observations, operating from land: Mixed-Phase Arctic Cloud Experiment 25 (M-PACE: Verlinde et al. 2007), the Arctic STudy of Aerosol, clouds and Radiation

(ASTAR 2007: Ehrlich et al. 2008b), the Indirect and Semi-Direct Aerosol Campaign
 (ISDAC: McFarquhar et al. 2011), Vertical Distribution of Ice in Arctic mixed-phase
 clouds (VERDI, Klingebiel et al. 2015). Longer-term data streams come from a set of
 permanent observatories (Shupe et al. 2011), all land-based, and from satellites (e.g.,
 Devasthale et al. 2012; Stroeve et al. 2014).

6 SHEBA data are still widely analyzed today because of the detailed observa-7 tions of the surface energy budget and cloud-atmosphere interactions over a full annu-8 al cycle; however, these observations were made nearly 20 years ago in a climate sys-9 tem that has changed vastly since then. Over the last decades the Arctic has experi-10 enced considerable changes in ice cover and a substantial warming (e.g., Jeffries et al. 11 2015). The current Arctic climate is now often referred to as "the new Arctic" (Car-12 mack et al. 2015), with substantially reduced and thinner sea ice. These changes ac-13 centuate the gap in detailed Arctic process observations, especially in seasonal transi-14 tions and in the transition between open water and sea ice.

15 Summer and early autumn are crucial seasons, corresponding to the sea-ice 16 melt period and the beginning of the freeze-up. With increasing areas of open water 17 in summer there is also a growing interest in processes related to the Marginal Ice 18 Zones (MIZ) and in newly-formed ice. Sea-ice and open-water surfaces are expected 19 to have significantly different impacts on lower atmosphere structure. Enhanced flux-20 es from the open ocean contribute to a warmer well-mixed boundary layer (Pinto and 21 Curry 1995), potentially altering northern hemisphere weather patterns in subsequent 22 seasons (Francis and Vavrus 2015).

Here, we investigate processes determining lower atmosphere and cloud structure. Using observations from Arctic Clouds in Summer Experiment (ACSE: Tjernström et al. 2015), we analyze these impacts by segregating observations into

different surface conditions (ice, open water), as well as seasons, transitioning from
 summer melt to autumn freeze-up.

3

4 2. Data and Methods

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6 *a. Arctic Clouds in Summer Experiment (ACSE)* 

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8 ACSE was a sub-program of the Swedish-Russian-US Arctic Ocean Investigation of 9 Climate-Cryosphere-Carbon Interactions (SWERUS-C3) expedition. ACSE's objec-10 tives were to study Arctic clouds and their interactions with boundary layer structure 11 and the ocean surface during the melt and early freeze-up seasons, along with the in-12 fluence of larger-scale atmospheric dynamics. It was conducted on the Swedish re-13 search icebreaker Oden, leaving Tromsö on 5 July 2014 crossing the Kara, Laptev, 14 East Siberian and Chukchi Seas, following the Siberian Shelf and arriving in Barrow 15 on 18 August. A second leg left Barrow on 21 August following a similar route back, 16 albeit further north. The expedition ended on 5 October when Oden arrived back in 17 Tromsö. The expedition cruise track is displayed in Fig. 1.

18 The Oden is a Polar Class icebreaker (Ice class: GL 100 A5 ARC3) capable of 19 continuously breaking ~2 meter thick ice at a speed of 3 kn. Maneuvering, and using 20 bow thrusters and healing, it can easily break even thicker ice. While operating in ice, 21 a range of sea-ice conditions were encountered: from thick multi-year ice, especially 22 during the first leg (Fig. 1a), over broken up melting ice to thin newly-formed ice, 23 especially during the second leg (Fig. 1b). Although continuous ice-thickness meas-24 urements were not performed, the ice conditions sampled were reasonably representa-25 tive for the seasons and the year.

1 ACSE included an extensive suite of in-situ and remote sensing instrumentation 2 (Tjernström et al. 2015), largely following the design from ASCOS (Tjernström et al. 3 2014). Vertical atmospheric structure was measured with radiosondes (Vaisala RS92) 4 with ~10 m vertical resolution, four times daily (341 profiles in total). Cloud proper-5 ties were observed with a vertically pointing, motion-stabilized 94 GHz (W-band) 6 Doppler cloud radar (Moran et al. 2011) with the first radar gate at 65 m and a vertical 7 resolution of 30 m. A combination of measurements from cloud radar, a motion-8 stabilized scanning Doppler lidar (Achtert et al. 2015) and multiple laser ceilometers 9 were used to determine cloud boundaries. Cloud cover was provided by a ceilometer 10 (Vaisala CL 51, see the User's Guide for a description of the algorithm). A visibility 11 sensor (Vaisala FD12P) and digital imagery from cameras directed off the bow, port 12 and starboard were useful to separate fog from clouds.

13 Surface temperature  $(T_{sfc})$  was measured with two independent, downward look-14 ing infrared radiation temperature sensors (Heitronics KT15-II) with a resolution of 15 0.03 °C and an absolute accuracy of ±0.5 °C. Sea-water salinity was measured with a 16 Seabird CTD sensor in the seawater intake, ~8 m below the surface. A heated sonic 17 anemometer (Metek USA-1) and temperature and humidity sensors (aspirated Ro-18 tronic and LI-COR 7500) were deployed ~20 m above the surface on a mast at the 19 bow of the ship; these sensors were used to obtain the turbulent surface fluxes through 20 the eddy-covariance technique. The 10-meter equivalent neutral wind speed  $(U_{10N})$  is 21 derived using the Businger-Dyer stability functions from the 20-m wind speed meas-22 urements. A weather station on the 7th deck at ~25 m measured standard meteorologi-23 cal variables: pressure (P). temperature (T) and relative humidity (RH) (Vaisala 24 PTU300), wind speed (U) and direction (Gill WindSonic, heated), as well as broad-25 band downwelling short- and long-wave radiation fluxes (Eppley SPP & PIR).

1 One great challenge with ship-borne measurements is the elimination of biases 2 and random errors induced by the ship's motion, especially the high frequency mo-3 tions due to ice-breaking or ocean waves. Both radar and lidar were installed on mo-4 tion-stabilization platforms, minimizing the impact of the constantly changing motion 5 and orientation of the ship. Lidar winds showed good agreement with radiosoundings 6 (Achtert et al. 2015), indicating the efficiency of the stabilization. An additional chal-7 lenge is that wind measurements in the lowest atmosphere is affected by flow distor-8 tion imposed by the ship's superstructure. To deal with this, a CFD study of the flow 9 over Oden was undertaken to determine corrections for the wind measurements (Moat 10 et al. 2015). The turbulence measurements were also corrected for ship motion using 11 data derived from a motion sensor collocated with the sonic anemometer on the mast 12 (Edson et al. 1998; Prytherch et al. 2015).

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#### 15 b. Analysis Methods

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17 We separate the data into two seasons, based on lower atmospheric thermal structure 18 (Fig. 2). The lower troposphere, below ~5 km, was relatively warm until 27 August 19 12UTC, with several warm-air intrusions; the warmest occurring 29 July – 8 August 20 was discussed in Tjernström et al. (2015). Following a warm-air pulse on 27 August, 21 the atmosphere abruptly became substantially cooler in a deep layer through the low-22 est kilometers (Fig. 2a). Prior to 27 August 12UTC, the temperature at the base height 23 of the main inversion  $(T_{zi})$ , referring to the strongest temperature inversion, fluctuated 24 around 0°C with brief periods of lower values (note that low  $T_{zi}$ -values may occur also in warm conditions, with high inversion; for example during summer  $T_{zi} < -7^{\circ}$ C corre-25

sponds to inversion base heights > 2 km). After this time,  $T_{zi}$  remained considerably lower, but with infrequent increases to near 0°C (Fig 2b). The mean (median)  $T_{zi}$  for the period prior to 27 August 12UTC was -0.7°C (-0.2°C), while for the following period it was -10.2 °C (-9.4°C). We consider the first period as the summer "melt" season (58% of ACSE duration), whereas the latter is referred to as the autumn "freeze-up".

7 We also segregate data based on surface state. The classification of surface con-8 ditions as open water or sea ice is derived from several sources:  $T_{sfc}$  measurements, 9 supplemented by AMSR2 (Advanced Microwave Scanning Radiometer) and SSMIS 10 (Special Sensor Microwave Imager/Sounder) satellite-derived ice concentrations 11 (Kaleschke et al. 2001; Spreen et al. 2008) and web-cam imagery. Comparing  $T_{sfc}$  to 12 the sea-water freezing point ( $T_{freeze}$ ), derived using 8-m salinity, indicates the local-13 scale state of the surface. However, ice melt and river run-off contribute to freshening 14 of the surface water; cloud precipitation may further enhance the accumulation of 15 fresh water on the ocean surface. In such cases, the 8-m salinity cannot be used to 16 determine the state of the surface, since water will be able to freeze at higher tempera-17 ture than saline water; fresh water freezes at 0°C; measured  $T_{freeze}$  was between -1 and 18 -1.9°C, with a mean at ~-1.6°C. Hence, for a more accurate assessment, a combination 19 of all three datasets is used. Note that satellite products have a 6.25 km horizontal 20 resolution, whereas scales derived from camera images correspond to a maximum of 21 ~1 km.

Initially, if ice concentration  $(n_{ice}) > 40\%$  and  $T_{sfc} \le 0^{\circ}$ C, or  $n_{ice} > 15\%$  and  $T_{sfc}$   $< T_{freeze}$ , the surface is classified as ice, while if  $n_{ice} < 15\%$  and  $T_{sfc} > 0^{\circ}$ C ice-free conditions are assumed. Applying these criteria, 20% (30%) of the melt (freeze-up) cases remain unclassified; for these camera images were additionally used. For melt season,

1 45% of the unclassified cases have  $n_{ice} > 15\%$  and  $T_{sfc} < 0^{\circ}$ C, while the images show 2 ice-covered surfaces. For another 40%, substantial ice concentrations were found in 3 the images and satellite products, but  $T_{sfc}$  was slightly positive (~< 1°C). All of these 4 cases are categorized as ice, while another ~5% have  $T_{sfc} > 0^{\circ}$ C and the corresponding 5 images show open-water conditions. The remaining 10%, corresponding to 3% of the 6 total summer time, generally fall within the MIZ, where only a few ice floes are ob-7 served and  $T_{freeze} < T_{sfc} < 0^{\circ}$ C. Due to the presence of ice, these cases are also included 8 in the ice-condition category. For freeze-up, the images indicate open water for 75% 9 of the initially unclassified cases, whereas the rest indicate mixed conditions (e.g. 10 large open leads within larger-scale ice or MIZ) or newly-formed thin ice. These peri-11 ods, corresponding to 7% of autumn, are included in the ice-conditions category. Alt-12 hough the MIZ is a distinct surface state, neither open water nor ice-covered, and lo-13 cal conditions may be sensitive to the wind direction relative to the ice edge, classify-14 ing them as ice here does not introduce biases in our statistics due to short sampling 15 time within the MIZ (~5%). Finally, during the melt (freeze-up) season 55% (65%) of 16 the measurements were classified as ice and 45% (35%) as open-water surfaces.

17 A drawback of this classification is that it does not account for variability of ice 18 concentration. Concentrations between 80 - 100% was found for 52% of melt and 19 21% of freeze-up cases, respectively. Lower concentration, 40 - 80%, was found for 20 28% of the melting and 54% of the freeze-up period. These percentages are derived 21 from SSMIS; AMSR2 gives similar statistics. This indicates that the ship track in-22 cluded a larger fraction of open leads during the later period. Furthermore, during the 23 melt season, measurements were generally taken over thick multi-year ice, whereas 24 during freeze-up the surface was sometimes covered with thin ice. Potential effects of 25 these factors are discussed along with the results in Section 3.

For our statistical analysis we use a 5-minute time series for the surface state;
 near-surface meteorological, ocean surface, and remote sensing variables are averaged
 around these times. Lower frequency data are also presented: 20-minute averaged
 turbulent fluxes and 6-hourly radiosonde profiles.

5 To identify temperature inversions in the radiosonde temperature profiles, we 6 follow the methodology of Tjernström and Graversen (2009). We identify layers with 7 a positive temperature gradient within the first 3 km, deeper than 20 m and with in-8 version strength  $> 0.4^{\circ}$ C. Inversions separated by < 100 m are merged. The strongest 9 inversion in a radiosonde profile is also considered as the main inversion. When the 10 main inversion has a base < 100 m it is classified as surface-based, while a higher 11 main inversion base is used as a proxy for planetary boundary layer (PBL) top. At 12 least one temperature inversion is detected in 96% of the profiles.

Coexisting temperature and moisture inversions are considered an important feature for Arctic low clouds (Sedlar et al. 2012; Solomon et al. 2011). Hence we also seek specific-humidity inversion bases near main temperature inversion bases, and define humidity inversion boundaries similarly as described above. Moisture inversions with strengths < 0.2 g kg<sup>-1</sup> are ignored (Nygård et al. 2014). Collocated inversions are present in 65% of the profiles.

Low-level wind speed maxima, commonly known as low-level jets (LLJs), can occur for several reasons, for example as inertial oscillations after frictional decoupling at the surface (Andreas et al. 2000) or because of low-level baroclinicity (Langland et al. 1989), for example associated with the ice edge. Many different criteria to detect LLJs are found in the literature (Stull 1988; Andreas et al. 2000; Baas et al. 2009). Here, we identify the LLJ core as a maximum in the wind speed in the lowest 3 km of the atmosphere, which is larger than 3 m s<sup>-1</sup> and also exceeds the wind speed at the next minimum above (LLJ top) by both 2 m s<sup>-1</sup> and 20%. A local minimum aloft is ignored if the wind speed above it increases less than 1 m s<sup>-1</sup> before again decreasing to even lower values. If the wind speed above the jet core continuously decreases without a local minimum within the lowest 3 km, the lowest wind speed in the profile above the jet is taken as the LLJ top (Baas et al. 2009).

6

7 3. Results

8

9 All parameters are initially separated by season, and the seasonal categories are then 10 further separated with respect to surface conditions: open water and sea ice. In this 11 way, we attempt to isolate both seasonal features and the effect of the surface type. 12 Results are often illustrated by Relative Frequency Distributions (RFDs); although 13 conclusions based on the mean statistics of a RFD are not representative of all the 14 individual cases, this simple approach facilitates comparison between the different 15 classes.

As a backdrop to the detailed results, it is interesting to first note that the transition from melt to freeze-up season was not gradual, as one might expect if it had been due to the gradual reduction in incoming solar radiation and a resulting cooling of the atmosphere from below. Instead, the transition is abrupt (Fig. 2) and appears as a rapid cooling of the whole lower atmosphere. In addition to the gradual reduction in available solar energy, providing reduced surface warming, this allows the surface to also lose heat by turbulent latent and sensible heat fluxes.

During ACSE, other studies conducted aboard the ship dictated the navigation to different areas at different times and the sampling is likely affected by different regional weather patterns at different times. Hence, the rapid freeze-up may character-

ize only a specific region of the Arctic. Such abrupt seasonal transitions have been
 reported before in the Arctic (Sedlar et al. 2012; Persson et. al. 2012) but, to our
 knowledge, documented events are limited in number.

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a. Surface and near-surface variables

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7 The RFDs for  $T_{sfc}$  are presented in Fig. 3a and b. The long positive tail in the RFD for 8 the melt season is partly due to *Oden's* passage through the discharge from the Lena 9 River, where  $T_{sfc}$  locally reached ~7°C. The  $T_{sfc}$  RFD for summer melt has an absolute 10 peak near the melting point of fresh water (~  $0^{\circ}$ C), whereas during autumn freeze-up 11  $T_{sfc}$  peaks closer to the melting point of saline water (~ -2°C). These peaks also corre-12 spond to the RFD peaks for the ice surface conditions. Open-water  $T_{sfc}$  was mainly 13 above zero during melt (Fig. 3a), and shifted toward cooler temperatures, mostly be-14 low 0°C, in freeze-up (Fig. 3b).

15 During melt, the surface pressure  $(P_{sfc})$  was less variable than in freeze-up (Fig. 16 3c-d) and the latter frequently experienced lower values (~<1000 hPa), indicating 17 more synoptic-scale activity in freeze-up than in the melt season. To investigate the 18 significance of these differences, we further examined the 5-min  $P_{sfc}$  anomalies rela-19 tive to the mean  $P_{sfc}$  for the whole ACSE (not shown). Positive anomalies greater than 20 1 standard deviation are found in 14% of melt and 12% of freeze-up measurements 21 (not shown), whereas corresponding negative anomalies were found in 2% and 37%, 22 respectively. Hence, enhanced synoptic activity occurred in > 1/3 of the freeze-up 23 period. The RFDs for the two surface types are similar for melt (Fig 3c), whereas the 24 peak in freeze is lower over ice than open water (Fig 3d), suggesting that more fronts 25 passed when Oden was in the ice.

1  $U_{10N}$  was generally also stronger during freeze-up than melt (Fig. 3e, f); the 2 higher occurrence of wind speeds > 10 m s<sup>-1</sup> (Fig. 3f) supports the hypothesis of more 3 enhanced synoptic activity in this period than in melt season. The highest winds were 4 more frequent over open water than over ice during summer melt (Fig. 3e), whereas 5 the opposite is the case for autumn freeze-up (Fig. 3f); however, these differences 6 may be due to insufficient sampling.

7 Finally, the near-surface atmosphere was close to saturation (with respect to wa-8 ter) in summer melt, almost independent of the surface state (Fig. 3g). Near saturation 9 was also observed over ice-covered cases during freeze-up, whereas a less moist low-10 er atmosphere often persisted over open-water (Fig. 3h). High RH<sub>w</sub> (with respect to 11 liquid water) over sea ice, regardless of season, is consistent with Andreas et al. 12 (2002), who concluded that atmospheric moisture over sea ice is always close to satu-13 ration, and with previous summer observations (Tjernström 2005; Tjernström et al. 14 2012). However, RH<sub>w</sub> over open water (Fig. 3h) peaks at lower values compared to 15 the other classes. A near-surface RH<sub>w</sub> around 85% is typical for marine boundary lay-16 ers (e.g. Heard et al. 2006), resulting from a balance between the surface flux over 17 open water, precipitation and entrainment of dry air near PBL top.

18

19 b. Temperature inversion characteristics

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The relationships between temperature inversion base, cloud top and fog top heights are shown in Fig 4. Statistics of inversion base heights, thicknesses, and strengths are additionally provided in Fig. 5. During melt, the strongest and lowest inversions coincided for 90% of the profiles over ice, and for 72% over open water (Fig. 4a), indicating a strong link between PBL depth and the strongest inversion. The peak in the in-

1 version base RFD lies at the surface with 40% of inversions being surface-based, and 2 the vast majority having a base < 500 m (Fig. 5a). Furthermore, surface-based inver-3 sions most frequently occurred over ice (60%), whereas over open water inversions 4 were more usually elevated (Fig. 5a), indicating stronger vertical mixing consistent 5 with higher surface temperatures (Fig. 3b). Cloud tops were usually higher than the 6 inversion base over ice (Fig. 4e); cloud tops residing within the inversion layer are 7 frequently observed in the Arctic and considered an important feature of this region 8 (Sedlar et al. 2012). Over melting ice, there were also several cases of fog, often re-9 siding within the inversion (Fig. 4e). Conversely, over open water cloud tops were 10 frequently at or below the inversion base (Fig. 4c), as in more prototypical marine 11 stratocumulus, where the capping inversion is a combined consequence of cloud-top 12 radiative cooling, turbulent mixing and often subsidence (e.g., Bretherton et al. 2004). 13 Inversion strength is mainly determined by the surface conditions and advection 14 above the PBL, along with turbulent mixing and cloud-top cooling. In Fig. 4c, greater

inversion strengths generally coincided with surface-based inversions and occurred
over ice. The greatest magnitudes occurred during 29 July – 8 August, when warm
continental air was advected over melting sea ice, with a near-surface temperature
close to the fresh-water melting point while temperatures aloft reached ~19°C
(Tjernström et al. 2015). Although statistics in Fig. 5c, e are likely skewed due to this
event, the RFDs suggest that for the bulk of the data, inversions were stronger and
deeper over ice than over open water during the summer melt.

Freeze-up (Fig. 5b) was characterized by more elevated inversions and deeper PBLs than in summer melt, with no systematic difference with respect to the surface type. The main inversions were also the lowest for 74% of the time over ice and 81% over open water (Fig. 4b), thus well-mixed PBLs were frequent in this season. A good

1 correlation between cloud top and inversion base heights is found for the majority of 2 the profiles, more so for open water than ice conditions (Fig. 4d). Inversions were 3 weaker in autumn (Fig. 4d, 5f), with strengths rarely exceeding 5°C. The maximum 4 inversion strength of ~10°C was reached during a short cloud-free period with a sur-5 face-based inversion (Fig. 4f). Although  $T_{sfc}$  was generally lower in autumn freeze-up 6 than in summer melt (Fig. 3a, b), less than 10% of the inversions were surface-based 7 (Fig. 5b); the RFD is very broad, extending from 0 to > 2 km. This is due to the fact 8 that the free troposphere was substantially colder in this season (Fig. 2a), setting the 9 conditions for weaker inversions (Fig. 4d, 5f) than in summer (Fig. 4c, 5e). Thicker 10 and stronger inversions over ice than open water are also found in freeze-up (Fig. 5d, 11 f), but these differences are less pronounced compared to summer melt (Fig. 5c, e).

12 Inversion characteristics are affected by both surface and large-scale conditions. 13 We speculate that differences between melt and freeze-up are related to different syn-14 optic weather patterns. However, within the same season, variable large-scale condi-15 tions may have occurred over ice and over open water, hence attributing certain char-16 acteristics to the surface state alone may not be entirely trivial. Specifically, the ex-17 treme warming event documented in Tjernström et al. (2015) spans ~1/3 of the sum-18 mer sea-ice data. This obviously skews the statistics in Fig. 5; half of the surface-19 based inversions and all inversion strengths > 12 °C occurred in this period. Neverthe-20 less, advection of warm air from adjacent ice-free areas (ocean or land) over a cooler 21 surface layer is expected to occur frequently during Arctic melt season (Fig. 2a), as 22 ACSE took place near the ice margin relatively far south and relatively close to the 23 continent (Fig. 1). While warm air flows over the surface, the melting sea ice keeps its 24 surface temperature diabatically locked at the melting point (e.g. Persson et al 2002; 25 Persson 2012; Tjernström et al. 2015), resulting in persistent surface-based, strong inversions. Conversely, an open-water surface is expected to respond faster to changes aloft. Hence, the inversion characteristics observed over summer ice are determined by *Oden's* position relatively close to the ice edge, where advection events
frequently occur, and by the melting state of the ice-covered surface.

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#### 6 c. Moisture inversion characteristics

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8 Specific humidity (Q) inversions coexisting with temperature inversions near cloud 9 tops are an important source of moisture for low-level clouds (Sedlar et al. 2012; Sol-10 omon et al. 2011, 2014) and contribute to the high relative humidity in the Arctic PBL. 11 Coexisting inversion layers were identified in 79% of the melt season profiles (86% 12 over ice and 68% over open-water) and in 44% of the freeze-up profiles (53% and 13 23%, respectively). During both seasons, T- and Q-inversions with collocated bases 14 were found more frequently over ice than over open water. The higher frequency of 15 T- and Q-inversion collocations over the summer ice is also consistent with the fact 16 that cloud tops often lay within the inversion layer (Fig. 4e) in these cases.

17 In Fig. 6 statistics similar to Fig. 5 are presented, but for the Q-inversions iden-18 tified near the main temperature inversion. The RFDs in Fig. 6a are qualitatively very 19 similar to those in Fig 5a for T-inversions, for both surface types. This also holds for 20 freezing ice conditions (Fig. 6b), whereas for freeze-up open-water cases clear devia-21 tions are observed, with no Q-inversion base near PBL top for PBL heights < 700 m 22 (Fig. 6b). In Figs. 6c-d, Q-inversion thicknesses appeared somewhat deeper in melt 23 than in freeze-up periods and also deeper over ice than open water, consistent with T-24 inversions (Fig. 5c-d). However, *Q*-inversions were systematically shallower than the 25 corresponding T-inversions in all cases (Fig. 5-6), in agreement with previous results from ASCOS (Sedlar et al. 2012). Moisture inversions were generally stronger in melt
 than in freeze-up season (Fig. 6e-f); the strongest inversions were observed over the
 melting ice.

The low frequency of *Q*-inversions near PBL top in the freeze-up period and the fact that, when they occurred, they were rather weak (especially over open water), indicate that clouds were generally not supported by entrainment of moisture above the PBL in this season. Such processes might be more important in summer and especially during ice-covered conditions, when advection of warmer and moister air played a critical role in shaping the lower atmosphere (see discussion in Section 3.2) and in supporting clouds within the surface-based stable layers.

11

12 *d. Cloud characteristics* 

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14 Clouds were frequent during ACSE; cloud cover < 50% occurred only 5% of the time 15 (4.5% for melt and 1.5% freeze-up), whereas about ~90% of the 5-min averages for 16 both seasons had a cloud cover >= 80%. Fog was more frequent during summer melt 17 (~25%), and was twice as common over ice as over open water, compared with 7% 18 during autumn freeze-up. Fog occurred under both surface-based and elevated *T*-19 inversions, and the mean fog-top height was ~200 m (also see Fig. 4e, f).

Figure 7 provides a statistical view of cloud geometry (excluding fog cases). Generally, low clouds dominated during the whole ACSE campaign, with cloud bases <22 < 200 m for about 50% of the time clouds were present (Fig. 7a, b). Such low clouds were more frequent in summer melt (~60%) with tops often < 500 m (Fig. 7c), hence only a few hundred meters thick (Fig. 7e). During this season, low and thin clouds dominated in ice-covered conditions (Fig. 7a, c), whereas clouds in ice-free conditions

appeared more variable, often slightly higher and thicker (Fig 7c, e), consistent with 1 2 the warmer surface (Fig. 3a). In autumn freeze-up, clouds were often somewhat high-3 er (Fig. 7b, d) and deeper (Fig. 7f) compared to summer melt. Also, they were usually 4 higher over ice-free than over ice-covered areas (Fig. 7b, d), but with no surface-type 5 related thickness difference (Fig. 7f). In general, autumn clouds were higher than 6 summer clouds for the same surface conditions. Note that the lowest and thinnest 7 clouds were associated with stable conditions (as over the summer ice), whereas 8 somewhat thicker clouds were observed in all the other cases where deeper PBLs de-9 veloped; either over warmer water in summer or due to the relatively colder air aloft 10 in autumn.

- 11
- 12 e. Surface fluxes
- 13

14 RFDs for friction velocity  $(u_*)$  normalized by  $U_{10N}$ , sensible and latent heat fluxes are shown in Fig. 8. Momentum flux is proportional to  $u_*^2$ , while scaling  $u_*$  with  $U_{10N}$  is 15 16 the square root of the drag coefficient which, to first order, depends on surface rough-17 ness. No seasonal difference in the scaled  $u_*$  is observed (Fig. 8a, b). However, it ap-18 pears on average higher over ice than over open water. Sea ice has greater roughness 19 than open water (e.g., Elvidge et al. 2016); this difference is more pronounced in melt 20 than in freeze-up, consistent with a larger fraction of new and smoother ice forming in 21 the latter season.

Seasonal differences in sensible heat flux (Fig 8c, d) are mainly due to the annual cycle of melt and freeze. In summer melt the atmosphere adds energy to the surface and melts ice, while the surface temperature cannot increase in response (Persson et al 2002, 2012; Tjernström et al. 2004). In autumn freeze-up the atmosphere is cool-

1 er and the sea surface loses heat and eventually freezes. Hence the surface is cooling 2 both from the changing radiation balance and by losing sensible and latent heat by 3 turbulent transfer. Consistent with the frequent presence of surface inversions, ice-4 covered surfaces during melt time were associated with a downward heat flux for about 60% of the time, most frequently between -10 and 0 W m<sup>-2</sup> (Fig. 8c). Converse-5 6 ly, for ~70% of the freeze-up period, the heat flux remained upward over the ice, usually between 0 and 10 W m<sup>-2</sup> (Fig. 8d). In both seasons, higher  $T_{sfc}$  over open water 7 8 shifts the RFD towards more positive fluxes, compared to ice-covered surfaces. However, while open-water  $T_{sfc}$  was much higher in summer melt (Fig. 3a, b), the atmos-9 10 phere was much colder during freeze-up (Fig. 2), resulting in stronger upward heat 11 fluxes (Fig. 8c, d). This is consistent with the deeper PBLs (Fig. 5b) and generally 12 higher clouds (Fig. 7b, d) over ice-free surfaces during freeze-up season.

13 The RFDs of latent heat fluxes for the two seasons have similar shapes (Fig. 8a, 14 b). During summer melt and over ice, latent heat flux fluctuated near zero ( $\pm$  10 W m<sup>-</sup> 15 <sup>2</sup>), whereas over open water it was significantly larger and directed upward (Fig. 8e), 16 as expected. Conversely, during autumn freeze-up the distributions of the latent heat 17 flux for both surface types span similar ranges (Fig. 8f) and are also more variable 18 compared to summer, indicated by the wider RFDs. The typically larger moisture 19 fluxes over freezing than melting ice probably reflect both larger fractions of leads in 20 the freeze-up season (also see Section 2.2 for a discussion on ice concentrations) and 21 the generally colder, thus drier, atmosphere. Finally, one notable feature in both RFDs is that there appear to be relatively frequent occasions with negative values, condensa-22 23 tion of water on the surface, especially during autumn freeze-up and also over melting 24 ice.

1 In summary, heat and moisture fluxes give further indications about the pro-2 cesses that determine the boundary layer and cloud structure over the two surface 3 types. The overall negative fluxes over the melting ice indicate frequent episodes of 4 advection of warm and moist air over the cooler surface, resulting in surface-based 5 inversions in both temperature and moisture. Advection was also the primary vapor 6 source for cloud formation under these conditions. Over the summer open water, 7 buoyancy fluxes from the warm surface supported the development of deeper PBLs 8 and somewhat higher and thicker clouds. In autumn, the cooling of the atmosphere 9 was the primary factor for the initiation of the freeze-up period, leading to turbulent 10 heat-loss at the surface over both ice and open water.

11

#### 12 f. Thermal and dynamic vertical structure

13

Equivalent potential temperature ( $\Theta_E$ ) is a conserved property during moist adiabatic processes and hence is a good indicator of mixing. In Section 3.2 we found that for the vast majority of temperature profiles, the lowest and strongest inversions coincide. Therefore, either stably-stratified or near-neutral well-mixed PBLs are expected as the most frequent thermal structures of the lower atmosphere. Information on vertical stability is shown in Fig. 9, with profiles of statistics of the  $\Theta_E$  gradient plotted as a function of altitude, scaled by *T*- inversion base and top heights.

In all panels, the *T*- and  $\Theta_E$ -inversions generally coincide. Cases of shallower  $\Theta_E$ - than *T*- inversions are found for the stable surface layers (Fig. 9a, red). This is related to the fact that the *Q*-inversions were strong in these profiles, but less deep than *T*-inversions (Fig. 5, 6c). Hence  $\Theta_E$ -inversions were on average stronger for summer sea ice (Fig. 9a), and especially in cases with surface-based inversions, than for the other classes, consistent with previous discussions on the role of advection in these conditions. Near-zero gradients were generally observed in PBLs with elevated inversions in all panels, indicative of relatively well-mixed conditions. While very large gradients dominate in summer ice conditions (Fig. 9a, red boxes include 65% of summer ice cases), a well-mixed PBL is apparent in autumn freeze-up over both surface types (Fig. 9b, d).

7 The dynamic structure of the PBL is given in Fig. 10, showing vertical profiles 8 of wind-speed gradients. Stronger shear was generally observed during summer melt 9 (Fig 10a, c) than in autumn freeze-up (Fig 10b, d), especially over sea ice, and for 10 both surface-based and elevated inversion cases (Fig 10a). This is consistent with a 11 larger fraction of older, rougher ice and frequent stable stratification in summer melt, 12 and newly-formed smooth ice with more well-mixed stratification in autumn freeze. 13 Over open water in the melt season, several cases of strong shear near the surface are 14 indicated in Fig. 10c, while the median gradients remain small. In contrast, the medi-15 an wind-speed gradient approaches zero rapidly above the surface for freeze-up time 16 (Fig. 10b, d); a few cases of relatively strong shear can be found over freezing ice, 17 whereas fewer cases were observed over open water.

18 LLJs were identified in 24% (21%) of the melt (freeze-up) period. During melt, 19 33% of the jet profiles were detected over open water and 67% (twice as often) over 20 ice, while the corresponding frequencies in freeze-up were 53% and 47%, respective-21 ly. No significant differences with respect to surface conditions were found in the 22 distributions of LLJ depths, strengths and core heights (not shown); however, the total 23 number of profiles is rather small. The structure of the wind-speed profile appeared 24 similar for both periods. Specifically, Figs. 11a and b reveal similar median wind pro-25 files for summer and autumn jets, with winds usually being 65 - 100% higher at the jet core than at the jet top. In both seasons the jet maximum occurred within the low-est 500 m in 70% of the LLJ profiles (not shown).

3 One interesting seasonal feature was observed in the thermal structure encom-4 passing the LLJs. In melt season, the profile shows a near-isothermal PBL extending 5 to midway between surface and jet core height (Fig. 11c), capped by a temperature 6 inversion, the top of which was usually close to the jet core. In contrast, during freeze-7 up LLJs usually had a more well-mixed thermal structure throughout the whole pro-8 file (Fig. 11d), extending through the jet core. As LLJs generated by an inertial oscil-9 lation typically have core heights close to the top of the stable boundary layer (Andre-10 as et al. 2000), we speculate frictional decoupling (Andreas et al. 2000) as the primary 11 generation mechanism for summer jets. It is quite conceivable that advection of warm 12 air over a cooler melting ice surface in summer could lead to a partial frictional de-13 coupling. Other mechanisms may be more important during autumn freeze-up. The 14 later season is characterized by more frontal passages and fronts are also known to be 15 a favorable environment for LLJ generation (Jakobson et al. 2013).

16

17 g. Cloud structure

18

Arctic clouds have a substantial impact on the surface energy budget, especially if they consist completely or partially of liquid droplets (Shupe and Intrieri 2004; Sedlar et al. 2011). Here, the microphysical structure of the lowest observed cloud layer is examined using statistics of Doppler radar moments.

Radar moments can be used to infer microphysical characteristics of the clouds.
Radar reflectivity is primarily dependent upon particle size. When ice crystals are
present, they tend to dominate the reflectivity since they are larger than droplets. Sim-

1 ilarly, mean Doppler velocity can help distinguishing hydrometeor phase (e.g., Shupe 2 et al. 2005); small cloud droplets have a nearly negligible fall velocity, whereas larger 3 ice crystals and rain drops fall with a significant velocity; rain drops fall substantially faster than snow (e.g., Atlas 1990). Finally, the Doppler spectral width provides an 4 5 indication of the spread in Doppler velocities of targets within a radar volume, which 6 is related to hydrometeor size distribution and turbulence intensity. A radar volume 7 containing a homogeneous hydrometeor size-distribution will display a small spectral 8 width, if the turbulent-motion contributions are small, while a mixture of small liquid 9 droplets and ice exhibits a relatively wide range of fall speeds and hence the width of 10 the Doppler spectrum will be relatively large (e.g., Shupe et al. 2004).

11 Box-and-whisker plots are used to illustrate the distribution of the radar mean 12 moments within the cloud and sub-cloud layers (Figs. 12-14). Precipitating and non-13 precipitating structures are analyzed separately, since for the latter there are no radar 14 signals below the cloud base. Radar returns below 158 m are discarded as they are 15 often contaminated by surface clutter; for clouds with bases below this level, lidar 16 data are used to separate precipitating from non-precipitating cases. Furthermore, 17 clouds overlying fog layers with a fog top  $>\sim$ 158 m are excluded from the analysis. 18 As a result of these restrictions, only about 50 precipitating profiles over summer ice 19 (0.05% of all radar profiles) pass the criteria, thus statistics for this category are not 20 presented. Non-precipitating clouds over open water in autumn also have a small 21 sample size (~5% of all radar profiles); this consists ~30% of autumn open-water 22 conditions. About 10% more non-precipitating than precipitating cases were found 23 over open water in summer melt, whereas for freeze-up ice conditions, the profiles 24 were more equally distributed among the two sub-classes. Another limitation in our 25 method is that precipitation falling over different depths, whether reaching the surface

1 or not, also affects the normalized precipitating profiles: for all four categories, the 2 number of measurements included at each normalized height increases with height. 3 Specifically, the boxes within the cloud level include about 40-50% more values than 4 those at the middle of the sub-cloud layer. During summer melt, precipitating clouds 5 are usually found at very low altitudes. As a consequence, statistics at the lowest two 6 normalized heights are based on < 100 profiles, thus they are not taken into account 7 for the interpretation of the results. There is no optimal scaling method to apply on 8 radar profiles that are grouped by seasonal or surface criteria, as cloud properties and 9 geometry can vary considerably. However, the applied method reveals useful infor-10 mation regarding the microphysical structures for the four different categories.

11 Figure 12 shows vertical profiles of radar reflectivity statistics. Reflectivity in-12 creases from cloud top to cloud base in precipitating clouds (Fig 12b, d) during 13 freeze-up, whereas during summer melt (Fig 12c) cases with both increasing and de-14 creasing in-cloud reflectivity are observed over open water, resulting in a more con-15 stant median profile. Increasing reflectivity suggests that in-cloud particles grew 16 downwards, probably through collision-coalescence for liquid drops, or by deposition 17 for ice crystals. Conversely, non-precipitating cases generally showed a decrease in 18 median reflectivity with decreasing height in the lower portion of the cloud. In Fig. 19 12b-c, these cases have systematically smaller magnitudes than the precipitating ones, 20 since particles in these clouds did not grow to precipitation sizes.

Doppler velocities are generally smaller for non-precipitating than for precipitating clouds (Fig. 13b-d), consistent with the smaller reflectivities (Fig. 12b-d). Moreover, in-cloud Doppler velocities increase from cloud top to cloud base for all precipitating cases. A slight increase with decreasing height is also observed in nonprecipitating cases in Fig. 12b-c, whereas the distributions in Fig. 12a and d are more

homogeneous. The velocity of the precipitation particles also continues increasing
downwards in the sub-cloud layer, indicative of an increase in particle characteristic
size. The tails towards substantially larger velocities for open-water cases in melt season (Fig. 13c) suggest occurrence of rain in this subset of cases, which occurred in
generally warmer conditions (Fig. 2).

6 Precipitating clouds usually had wider spectral widths than non-precipitating 7 clouds (Fig. 14b-d), demonstrating the broadening effect due to a larger spread in par-8 ticle sizes within a radar volume. The sub-cloud structure was similar for all of these 9 subsets of data, with generally consistent spectral widths, except for the near-surface 10 layer (recall that the lower boxes correspond to low sample sizes for summer melt 11 cases).

12 To further investigate the phase of each cloud type, in-cloud temperatures from 13 radiosoundings are also analyzed. Summer clouds (Fig. 15a, c) had a median tempera-14 ture around 0°C; the vast majority of in-cloud temperatures fell within the  $-2 - +3^{\circ}C$ 15 range, indicating that these clouds likely lacked ice particles. Taking also the microphysical differences between non-precipitating and precipitating cases into account 16 17 for this season (Fig. 15a, c), one might conclude that the former were probably stratus 18 clouds, consisting of smaller liquid particles, whereas the latter were more often opti-19 cally-thicker liquid stratiform or cumuliform clouds. In-cloud temperatures, on the 20 other hand, were below 0°C (Fig. 15b, d) during autumn freeze-up, with a median at 21 about -5°C, suggesting that the formation of mixed-phase clouds was more likely. 22 Finally, although certain deviations were observed in the microphysical structures 23 between non-precipitating and precipitating clouds in this season, in-cloud tempera-24 tures and lidar depolarization ratios (not shown) indicated the presence of ice crystals

in both types. Hence, we assume that microphysical differences are due to different
 particle sizes: drizzle formation in the former cases and large crystals in the later.

3

4 4. Discussion

5

6 The delineation between the seasons was chosen based on atmospheric thermal struc-7 ture and the seasonal transition was surprisingly abrupt, rather than gradual as would 8 have been expected if it was primarily related to the change of net solar radiation. 9 Moreover, the transition first took place in the atmosphere and even during periods 10 when local freeze-up was observed, the surface remained warmer than the atmosphere. 11 Hence the surface did not cool the air, as would have happened if the melt- to freeze-12 up transition was controlled by the diminishing solar radiation. Instead the ocean 13 warmed the near surface air by an upward flux of sensible and latent heat; this en-14 hanced the surface cooling and promoted formation of new sea ice. Synoptically-15 driven seasonal transitions in the Arctic have been previously documented (e.g. Sedlar 16 et al. 2011; Persson 2012), but these observations were within the ice pack, where 17 changes in surface albedo, by precipitation or snow melt, were responsible for chang-18 es in the surface energy budget; here changes in the atmospheric circulation appear to 19 be the primary factor.

In summer, atmospheric conditions over sea ice were characterized by frequent surface-based inversions. Two mechanisms dominate the formation of surface-based inversions (Bradley et al. 1992). First, a net surface-radiation imbalance leading to surface cooling; this is expected to be common in Arctic winter. Second, advection of warm air over a cooler surface layer, which is likely the primary factor here. While warmer air in summer was transported over the melting ice, its temperature was restricted to the melting point, causing a down-gradient transport of sensible heat to the surface. In contrast, open water promoted elevated inversions, consistent with an upward heat flux from the surface. Therefore, inversions were systematically thicker and stronger over ice than over open water, consistent with the concept of advection described above.

6 Our results are in contrast to previous studies, which found that the summer 7 Arctic PBL over sea ice is most commonly well-mixed with an elevated inversion 8 (Tjernström and Graversen 2009; Zhang et al. 2011; Tjernström et al. 2012). We 9 speculate that the reason for this difference is the fact that ACSE took place near the 10 ice margin and relatively far south, with more pronounced effects of warm-air advec-11 tion from adjacent ice-free areas (ocean or land), while most other studies were based 12 on data from the interior Arctic ice pack or from land. Presumably, following this air 13 farther downstream over the central Arctic Ocean, the PBL would undergo a trans-14 formation to the well-mixed structure observed to dominate in several previous expe-15 ditions (e.g. SHEBA, AOE-2001 and ASCOS).

Another important PBL feature observed during summer melt was the frequent coexistence between moisture and temperature inversions (~80% of the time), which was more commonly found over ice-covered (~85%) than over ice-free surfaces (~70%). This signature, with increasing specific humidity across the top of clouds, is also consistent with the advection of warm and moist air over a substantially cooler surface discussed above.

Interestingly, differences in the lower atmospheric structure between the two surface types are considerably reduced in autumn freeze-up. Positive heat fluxes and elevated inversions, which on average were less deep and strong than in melt season, were observed over both ice and open water. A well-mixed PBL generally dominated 1 throughout the whole period, while moisture inversions near PBL top were observed 2 for less than 50% of the time and were rather weak. A decreasing frequency of tem-3 perature and humidity inversion coexistence from summer to autumn is consistent 4 with pan-Arctic radiosounding analysis of such inversion structures (Nygård et al. 5 2014). PBL characteristics were likely determined by large-scale conditions, as ad-6 vection of substantially colder air over a cold surface would induce instability and 7 mixing; the partial absence of moisture inversions is also consistent with advection of 8 cool and drier air over a warmer surface.

9 Finally, certain differentiations were also observed in cloud characteristics, de-10 pending on season or surface conditions. The relatively warm in-cloud temperatures 11 in melt season indicate that summer clouds were more frequently liquid-only; thin 12 stratus clouds and fog frequently formed in ice conditions due to cooling of warm and 13 moist air advected over melting sea ice, whereas buoyant mixing over open water 14 favored the formation of liquid stratocumulus or cumulus clouds. Conversely, cold in-15 cloud conditions suggest that mixed-phase clouds dominated during freeze-up over 16 both surface types. No pronounced differences were found in cloud characteristics and 17 microphysical structure for this season.

18 Taylor et al. (2015) analyzed cloud profiles within different stability regimes 19 and found a strong dependence between lower tropospheric stability and cloud prop-20 erties. Here, in melt season, ice and open-water conditions fall within different stabil-21 ity regimes: the first was usually characterized by very high stability and the later by 22 lower stability. In contrast, freeze-up cases generally fall within the same stability 23 regime. This indicates that the pronounced differences in cloud properties between 24 ice-covered and ice-free surfaces in summer melt may be primarily due to differences 25 in atmospheric stability. Conversely, the lack of apparent differences in freeze-up can

be explained by the similar stability conditions over the two surface types. Hence,
 cloud properties may primarily be affected by the atmospheric stability and secondari ly by the surface conditions.

4

5 5. Conclusions

6

ACSE observations are used to investigate processes important for the structure of the
Arctic lower troposphere, by dividing the data according to surface type: sea ice or
open water. The observations were taken from the summer melt into the early autumn
freeze-up, allowing a further discrimination by season. A summary of our main findings:

The initiation of autumn during ACSE was abrupt, indicating that it was not
 primarily driven by gradual changes in solar radiation. The thermal structure of
 the lower troposphere and enhanced synoptic activity suggest that this transition
 was associated with changes in the atmospheric circulation patterns.

In summer, persistent surface-based inversions were observed over sea ice, as sociated with warm-air advection from adjacent open water or land over the
 melting ice. In contrast, elevated inversions were observed over open water, due
 to positive buoyancy fluxes from the warm ocean surface. This distinction was
 not observed in autumn; elevated inversions associated with upward heat fluxes
 dominated over both surface types.

In summer, fog and stratus clouds were frequently observed over the sea ice in
 episodes of warm, moist air advection events, while somewhat thicker strato cumulus and cumulus prevailed over the relatively warm open-water surface;
 clouds were most frequently liquid-only. Mixed-phase clouds emerged in au-

tumn. This is in contrast to previous experiments over the central Arctic pack
 ice, finding mixed-phase clouds to be ubiquitous also in summer.

LLJs occurred about 20-25% of the time, summer and autumn alike. The ther mal structure of the summer jets, with the jet core occurring near the top of the
 stable layer, indicates that inertial oscillations were causing these. The thermal
 jet structure in autumn was systematically different, suggesting a different
 mechanism.

8

9 Our findings indicate that understanding the processes that determine the transfor-10 mation that the advected air mass undergoes while moving from open water, over the 11 ice-edge and then further into the ice is important for an accurate representation of the 12 Arctic PBL. The assumption here, based on results from ACSE and previous experi-13 ments, is that strong advection supports the persistence of the surface inversions near 14 the MIZ and suppresses mixing by cloud-radiative cooling, whereas further down-15 stream into the central ice, cloud-driven turbulence would eventually overcome stabil-16 ity and lead to a well-mixed structure. Tjernström et al. (2015) illustrated a significant 17 impact on the surface energy balance by this transition. Pithan et al. (2014) previously 18 demonstrated how similar air-mass transformations in winter could affect the surface 19 temperature of the ice, and we argue that, although the processes are different, there 20 may be a similar impact by summer air-mass transformation. However, further model-21 ing and experimental studies of these processes are required.

Finally, the mechanisms controlling the abrupt transition between melt and freeze-up observed during ACSE need further exploration. The change was so abrupt in our data, despite the fact that the expedition was moving within the Chukchi Sea, that it must have had a regional impact. The pan-Arctic seasonal change is likely

1 much more complicated and the abruptness may disappear when averaging over the2 entire Arctic Ocean.

3

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13	Figure Captions:
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15	Figure 1: Advanced Microwave Scanning Radiometer (AMSR2) daily sea-ice concen-
16	trations for (a) 10 July 2014 and (b) 2 September 2014. Red lines represent the expe-
17	dition track for (a) melt and (b) freeze-up season.
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19	Figure 2: (a) Time-height cross section of radionsonde temperature profiles ( $T$ , $^{\circ}$ C).
20	(b) Time series of the temperature of the main inversion's base $(T_{zi}, {}^{\circ}C)$ from 6-
21	hourly profiles (blue) and daily running means (red). Shading in (b) indicates ice-
22	covered surfaces. The gap shown in both panels corresponds to the rotation period in
23	Barrow (see Section 2.1). The vertical dash line separates melt and freeze-up seasons.
24	

Figure 3: Relative Frequency Distributions (RFDs) of (a) & (b) surface temperature ( $T_{sfc}$ ,  $^{o}$ C), (c) & (d) surface Pressure ( $P_{sfc}$ , hPa), (e) & (f) 10 m neutral wind speed ( $U_{10N}$ , m s<sup>-1</sup>), (g) & (h) relative humidity with respect to liquid water (RH<sub>w</sub>, %) at ~ 25m for (a), (c), (e) & (g) melt and (b), (d), (f) & (h) freeze-up season. Black solid lines give the total distribution for each season, whereas blue lines are for ice surface conditions and red for open water. Dashed black lines represent the RFDs for the whole experiment. Bins in all panels are centered in the interval.

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9 Figure 4: Scatterplots of the heights (km) of the main inversion base heights against 10 (a), (b) the heights (km) of the lower inversion base, (c), (d) the strengths (°C) of the 11 main inversion and (e), (f) the cloud top heights for (a), (c), (e) melt (b), (d), (f) 12 freeze-up season. Blue (red) circles represent ice (open water) conditions. Fog top 13 heights are additionally shown in panels (e), (f) with cyan (magenta) circles for ice 14 (open-water) conditions.

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Figure 5: Relative Frequency Distributions of the main inversion (a) & (b) base heights (km), (c) & (d) thicknesses (km) and (e) & (f) strengths (°C), for (a), (c) & (e) melt and (b), (d) & (f) freeze-up season. Inversion thickness is defined as the difference between inversion top and base heights. Inversion strength corresponds to the temperature difference between these levels. Line colors same as in Fig. 3: black dashed for the whole experiment, black solid for each season, red for open water and blue for ice conditions. Bins in all panels are centered in the interval.

Figure 6: Same as Fig. 5 but for specific humidity inversions found to coexist withtemperature inversions.

Figure 7: Same as Fig. 5 but for (a) & (b) cloud base heights (km), (c) & (d) cloud top
heights (km) and (e) & (f) cloud thicknesses (km). Black dashed line for the whole
experiment, black solid for each season, red for open water and blue for ice conditions.
Figure 8: Same as Fig. 5 but for (a) & (b) scaled friction velocity (*u*\* *U*<sub>10N</sub><sup>-1</sup>), (c) & (d)
sensible heat flux (W m<sup>-2</sup>) and (e) & (f) latent heat flux (W m<sup>-2</sup>). Positive heat fluxes
are defined as upward. Black dashed line for the whole experiment, black solid for
each season, red for open water and blue for ice conditions.

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11 Figure 9: Box-and-whisker profiles of equivalent potential temperature gradient ( $d\Theta_E$  $dz^{-1}$ , °C m<sup>-1</sup>) for (a) & (c) melt and (b) & (d) freeze-up season, over ice-covered sur-12 13 face (a) & (b) and open water (c) & (d). The red boxes in (a) represent profiles with a 14 surface-based inversion. Heights are normalized so that -1, 0, 1 represent surface, 15 main inversion base and main inversion top, respectively. In all panels median values 16 are indicated by white circles, edges of the box mark the lower and upper quartiles and whiskers represent the 10<sup>th</sup> and 90<sup>th</sup> percentile. Red bars in panel (a) are slightly 17 18 displaced upwards to be distinguishable from the blue bars.

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Figure 10: Same as Fig. 9 but for horizontal velocity gradients ( $dU dz^{-1}$ , s<sup>-1</sup>).

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Figure 11: Relative Frequency Distribution contour plots of scaled (a), (b) wind (U, m s<sup>-1</sup>) and (c), (d) temperature (T, °C) profiles of low level jets during (a), (c) melt and (b), (d) freeze-up season. T is scaled by extracting the  $T_{sfc}$  and dividing with the absolute T difference between surface and Richardson mixing height ( $z_{Ri}$ ).  $z_{Ri}$  is considered

1	as the top of the turbulent layer and is defined as the lowest height at which $Ri_g >= 0.4$
2	(Andreas et al. 2000; Jakobson et al. 2013). Thus T is 0 at the surface and -1 or 1 at $z_{Ri}$ .
3	U is scaled with the jet core wind speed. Heights in all panels are normalized so that -
4	1, 0, 1 represent surface, jet core and jet top height respectively. Black solid lines rep-
5	resent the median profiles.
6	
7	Figure 12: Box-and-whisker profiles of Doppler radar reflectivity (dBz) for (a) & (c)
8	melt and (b) & (d) freeze-up season, over ice-covered surface (a) & (b) and open wa-
9	ter (c) & (d). The blue (red) boxes represent precipitating (non-precipitating) clouds.
10	Heights are normalized so that -1, 0, 1 represent surface, cloud base and cloud top,
11	respectively. Red bars in all panels are slightly displaced upwards to be distinguisha-
12	ble from the blue bars.
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14	Figure 13: Same as Fig. 12 but for Doppler velocity (m s <sup>-1</sup> ).
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16	Figure 14: Same as Fig. 12 but for Doppler spectral width (m s <sup>-1</sup> ).
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18	Figure 15: Same as Fig. 12 but for radiosonde temperature $(T, ^{\circ}C)$ profiles.
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- 1 Figures:



Figure 1: Advanced Microwave Scanning Radiometer (AMSR2) daily sea-ice concentrations (grid 6.25 km), from University of Bremen, for (a) 10 July 2014 and (b) 2
September 2014. Red lines represent the expedition track for (a) melt and (b) freezeup season.



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Figure 2: (a) Time-height cross section of radionsonde temperature profiles (T, °C). (b) Time series of the temperature of the main inversion's base ( $T_{zi}$ , °C) from 6-hourly profiles (blue) and daily running means (red). Shading in (b) indicates ice-covered surfaces. The gap shown in both panels corresponds to the rotation period in Barrow (see Section 2.1). The vertical dash line separates melt and freeze-up seasons.



Figure 3: Relative Frequency Distributions (RFDs) of (a) & (b) surface temperature (T<sub>sfc</sub>, °C), (c) & (d) surface Pressure (P<sub>sfc</sub>, hPa), (e) & (f) 10 m neutral wind speed  $(U_{10N}, \text{m s}^{-1})$ , (g) & (h) relative humidity with respect to liquid water (RH<sub>w</sub>, %) at ~ 25 

1	m for (a), (c), (e) & (g) melt and (b), (d), (f) & (h) freeze-up season. Black solid lines
2	give the total distribution for each season, whereas blue lines are for ice surface con-
3	ditions and red for open water. Dashed black lines represent the RFDs for the whole
4	experiment. Bins in all panels are centered in the interval.
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3 Figure 4: Scatter plots of the heights (km) of the main inversion base heights against 4 (a), (b) the heights (km) of the lower inversion base, (c), (d) the strengths (°C) of the 5 main inversion and (e), (f) the cloud top heights for (a), (c), (e) melt and (b), (d), (f) 6 freeze-up season. Blue (red) circles represent ice (open water) conditions. Fog top 7 heights are additionally shown in panels (e), (f) with cyan (magenta) circles for ice 8 (open-water) conditions.



Figure 5: Relative Frequency Distributions of the main inversion (a) & (b) base heights (km), (c) & (d) thicknesses (km) and (e) & (f) strengths (°C), for (a), (c) & (e) melt and (b), (d) & (f) freeze-up season. Inversion thickness is defined as the difference between inversion top and base heights. Inversion strength corresponds to the temperature difference between these levels. Line colors same as in Fig. 3: black dashed for the whole experiment, black solid for each season, red for open water and blue for ice conditions. Bins in all panels are centered in the interval.



3 Figure 6: Same as Fig. 5 but for specific humidity inversions found to coexist with4 temperature inversions.



Figure 7: Same as Fig. 5 but for (a) & (b) cloud base heights (km), (c) & (d) cloud top
heights (km) and (e) & (f) cloud thicknesses (km). Black dashed line for the whole
experiment, black solid for each season, red for open water and blue for ice conditions.



Figure 8: Same as Fig. 5 but for (a) & (b) scaled friction velocity  $(u * U_{10N}^{-1})$ , (c) & (d) sensible heat flux (W m<sup>-2</sup>) and (e) & (f) latent heat flux (W m<sup>-2</sup>). Positive heat fluxes are defined as upward. Black dashed line for the whole experiment, black solid for each season, red for open water and blue for ice conditions.



Figure 9: Box-and-whisker profiles of equivalent potential temperature gradient ( $d\Theta_E$  $dz^{-1}$ , °C m<sup>-1</sup>) for (a) & (c) melt and (b) & (d) freeze-up season, over ice-covered sur-face (a) & (b) and open water (c) & (d). The red boxes in (a) represent profiles with a surface-based inversion. Heights are normalized so that -1, 0, 1 represent surface, main inversion base and main inversion top, respectively. In all panels median values are indicated by white circles, edges of the box mark the lower and upper quartiles and whiskers represent the 10<sup>th</sup> and 90<sup>th</sup> percentile. Red bars in panel (a) are slightly displaced upwards to be distinguishable from the blue bars.





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3 Figure 11: Relative Frequency Distribution contour plots of scaled (a), (b) wind (U, m)4 s<sup>-1</sup>) and (c), (d) temperature  $(T, {}^{\circ}C)$  profiles of low level jets during (a), (c) melt and (b), (d) freeze-up season. T is scaled by extracting the  $T_{sfc}$  and dividing with the abso-5 6 lute T difference between surface and Richardson mixing height  $(z_{Ri})$ .  $z_{Ri}$  is considered as the top of the turbulent layer and is defined as the lowest height at which  $Ri_g\!\!>=\!\!0.4$ 7 8 (Andreas et al. 2000; Jakobson et al. 2013). Thus T is 0 at the surface and -1 or 1 at  $z_{Ri}$ . 9 U is scaled with the jet core wind speed. Heights in all panels are normalized so that -10 1, 0, 1 represent surface, jet core and jet top height respectively. Black solid lines rep-11 resent the median profiles.



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Figure 12: Box-and-whisker profiles of Doppler radar reflectivity (dBz) for (a) & (c) melt and (b) & (d) freeze-up season, over ice-covered surface (a) & (b) and open water (c) & (d). The blue (red) boxes represent precipitating (non-precipitating) clouds. Heights are normalized so that -1, 0, 1 represent surface, cloud base and cloud top, respectively. Red bars in all panels are slightly displaced upwards to be distinguishable from the blue bars.

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3 Figure 13: Same as Fig. 12 but for Doppler velocity (m  $s^{-1}$ ).



3 Figure 14: Same as Fig. 12 but for Doppler spectral width (m  $s^{-1}$ ).

