



## 10 **Abstract**

11       Precipitation, soil moisture, and air temperature are the most commonly used climate  
12 variables to monitor drought, however other climatic factors such as solar radiation, wind speed,  
13 and specific humidity can be important drivers in the depletion of soil moisture and evolution  
14 and persistence of drought. This work provides an assessment of the Evaporative Demand  
15 Drought Index (EDDI) at multiple time scales for several hydroclimates as a companion study to  
16 Hobbins et al. (2015) by examining EDDI and individual evaporative demand components as  
17 they relate to the dynamic evolution of flash drought over the central US, characterization of  
18 hydrologic drought over the western US, and comparison to commonly used drought metrics of  
19 the US Drought Monitor, Standardized Precipitation Index (SPI), Standardized Soil Moisture  
20 Index (SSI), and the Evaporative Stress Index (ESI). Results show that EDDI has the strongest  
21 relationships to SPI and SSI over Texas, Oklahoma, and much of the desert Southwest, while  
22 comparisons to summer ESI revealed a hotspot over much of the central US. At short time  
23 scales, spatial distributions and time series results illustrate that EDDI is useful for flash drought  
24 identification, and can serve as a leading indicator by as much as two months in advance of the  
25 USDM, SPI, and SSI. Our results illustrate the benefits of physically based evaporative demand  
26 estimates, and demonstrate EDDI's utility and effectiveness in an easy-to-implement operational  
27 early warning and long-term hydrologic drought monitoring tool for agricultural and drought  
28 monitoring, and potential application to seasonal forecasting and fire-weather monitoring.

## 29 **1. Introduction**

30       Drought is a complex and naturally occurring process with adverse effects on society,  
31 primarily through degradation and loss of agricultural crops and depletion of water resources

32 (i.e., streamflow and reservoir storage). Recent examples are instructive: in California, the  
33 extended drought that began in late 2011 is still ongoing, and the 2011-2014 three-year average  
34 precipitation (Pr<sub>cp</sub>) record indicates that this period is the second driest in recorded history  
35 (Seager et al., 2015); in 2011, Texas experienced extreme Pr<sub>cp</sub> deficits; while in 2011 and 2012  
36 record-breaking temperatures ( $T_{\text{air}}$ ) and high wind speed ( $U_z$ ) played a significant role in drought  
37 intensification over much of the central US (Karl et al. 2012, Cattiaux and Yiou 2013). Total  
38 economic losses are estimated to be \$2.7 billion, \$7.7 billion, and more than \$35 billion for the  
39 California, Texas, and central US droughts, respectively. While conditions in Texas deteriorated  
40 over many months in 2011, the depletion of moisture over the central US in 2011 occurred at a  
41 much faster rate. This fast onset of drought has recently been termed “flash drought” (Svoboda et  
42 al. 2002). The physical mechanisms driving flash droughts have been largely neglected from  
43 traditional drought metrics. Hence there is a growing need for continued development of  
44 physically based drought metrics that capture important land surface-atmospheric feedbacks, and  
45 provide sufficient early warning.

46 It has been common practice in recent decades to monitor and analyze drought using metrics  
47 driven by Pr<sub>cp</sub> and  $T_{\text{air}}$  only. The two most commonly used drought indices are the Palmer  
48 Drought Severity Index [PDSI; Palmer (1965)], which relies on monthly  $T_{\text{air}}$  and Pr<sub>cp</sub>, and the  
49 Standardized Precipitation Index [SPI; McKee (1993)], which relies on Pr<sub>cp</sub> only. While the  
50 PDSI and SPI have proven useful for providing valuable information regarding hydrologic and  
51 meteorological drought, these metrics have limitations at short time scales and fail to account for  
52 the effects of other important drought meteorological and radiative forcings such as specific  
53 humidity ( $q$ ),  $U_z$ , and downwelling shortwave radiation ( $R_d$ ). The most heavily used dataset for  
54 decision making with regards to drought is the US Drought Monitor [USDM; Svoboda et al.

55 (2002)], which relies on a blend of metrics (including PDSI and SPI) and climate data (e.g., soil  
56 moisture (SM), streamflow, and snow water equivalent) to produce weekly maps of drought  
57 severity. The USDM could be improved through the inclusion of important hydrometeorological  
58 forcings key to identifying flash and long-term drought through the use of physically based  
59 evaporative demand ( $E_0$ ) estimates.

60 Other operational products could similarly be improved with the inclusion of physically  
61 based  $E_0$  estimates. For example, the U.S. operational PDSI, produced by the National Oceanic  
62 and Atmospheric Administration (Heddinghaus and Sabol 1991), continues to use  $T_{\text{air}}$ -based  $E_0$   
63 estimates (i.e. Thornthwaite 1948) within the PDSI formulation despite the fact that there have  
64 been a number of studies that recommend the use of physically based formulations of  $E_0$  (Milly  
65 and Dunne 2011; Hobbins et al. 2008, 2012; Hobbins 2015). Both Dai (2011) and van der  
66 Schrier et al. (2011) found PDSI to be largely insensitive to  $E_0$  parameterization during the 20<sup>th</sup>  
67 and early 21<sup>st</sup> century. On the other hand, Sheffield et al. (2012) found major differences  
68 between the PDSI driven with  $T_{\text{air}}$ - and physically-based  $E_0$  estimates, especially from the mid-  
69 1990s through 2008, with  $T_{\text{air}}$ -based  $E_0$  estimates showing a significant drying trend in PDSI, and  
70 physically based  $E_0$  estimates indicating no significant trend in global drought severity. The role  
71 of physically based  $E_0$  estimates in drought monitoring and prediction remains an active—and to  
72 some degree, controversial—area of research, and is a focus of this paper.

73 Recent studies have shown that actual evapotranspiration (ET), which is obtained through the  
74 use of thermal and optical satellite remote sensing or land surface models, used in combination  
75 with physically based  $E_0$  can be used as a drought indicator by inherently accounting for  
76 feedbacks between the land surface-atmosphere interface through the use of ratios of ET to  $E_0$   
77 (Yao et al. 2010; Anderson et al. 2007a, 2007b, 2011; Mu et al. 2013; Otkin et al. 2013a, 2013b).

78 However, the use of thermal and optical remote sensing data for operational drought monitoring  
79 has limitations, such as cloud cover, spurious ET estimates in semi-arid and arid regions, satellite  
80 inter-arrival times that have to be interpolated, and uncertain simulated surface energy balance in  
81 mountainous regions, especially where seasonal snowpack exists.

82 In an effort to complement and overcome some of the limitations of the aforementioned  
83 metrics, the companion paper (Hobbins et al. – this issue) developed the Evaporative Demand  
84 Drought Index (EDDI), which relies solely on physically based  $E_0$  estimates derived from a near-  
85 real-time (2-5 day latency), easily accessible land surface forcing dataset: the North American  
86 Land Data Assimilation System Phase-2 [NLDAS-2; Mitchell et al. (2004)]. Hobbins et al. (this  
87 issue) describe two primary physical feedbacks between ET and  $E_0$  that form the rationale for  
88 EDDI: a complementary relationship under water-limited conditions (extended drought) where  
89 ET and  $E_0$  vary in opposing directions (Bouchet 1963), and parallel variations under energy-  
90 limited conditions at the onset of flash drought. Under both scenarios, EDDI was found to  
91 respond to drying and wetting anomalies of major components of the hydrologic cycle at various  
92 time scales (Hobbins et al. - this issue).

93 This paper builds upon the work of Hobbins et al. (this issue) through a robust CONUS-wide  
94 assessment of EDDI against several commonly used drought indices, and outlines a second  
95 standardization option that acts to reduce errors in comparing multiple drought indices through  
96 space and time. Data sources,  $E_0$  formulation, and statistical procedures to calculate EDDI are  
97 presented first, followed by comparisons of EDDI to other commonly used drought metrics, a  
98 flash drought case study over the central US, and finally, extended drought case studies over the  
99 western US.

## 100 **2. Data and Methods**

101 *2.1. Evaporative demand*

102 Daily bias-corrected and spatially disaggregated (from 12 km to 4 km) NLDAS-2 gridded  
103 meteorological data [METDATA; Abatzoglou (2011)] are used to compute  $E_0$  on a daily basis  
104 for 1979 to 2013. Maximum and minimum temperature at 2-m ( $T_{\max}$  and  $T_{\min}$ ),  $q$  at 2-m,  $R_d$ , and  
105 10-m wind speed ( $U_{10}$ ) were obtained from the University of Idaho  
106 (<http://metdata.northwestknowledge.net/>). A variety of methods has been developed to compute  
107  $E_0$  including  $T_{\text{air}}$ -based methods (e.g., Thornthwaite 1948, Hargreaves and Samani 1985),  
108 radiation-based methods (Priestley and Taylor 1972), and radiation - aerodynamic combination  
109 methods that incorporate  $T_{\max}$ ,  $T_{\min}$ ,  $R_d$ ,  $U_{10}$ , and  $q$ , such as the Penman-Monteith (PM) approach  
110 (Monteith 1965). A priori, it is generally assumed that if the necessary data resources are  
111 available, a full-form physically based method, such as PM, should be used over methods based  
112 only on  $T_{\text{air}}$  or radiation. Hobbins et al. (2012) and Hobbins (2015) demonstrated that the  
113 primary drivers of  $E_0$  variability differ across the US, and with aggregation period (e.g., monthly  
114 vs. annual) and season. For example, during summer months  $U_{10}$  is the primary driver of  $E_0$   
115 variability over much of the Great Basin, while  $R_d$  is the primary driver of variability over much  
116 of the southeast US. In this study, we use reference ET ( $ET_0$ ) from the PM-based American  
117 Society of Civil Engineers Standardized Reference ET equation (ASCE-EWRI, 2005) for  $E_0$ .

118 *2.2 Evaporative Demand Drought Index*

119 A probability-based standardized climate variable can be obtained using parametric or non-  
120 parametric methods. Parametric methods use a single probability distribution to fit a time series  
121 (e.g., Gamma distribution for SPI), where probabilities are transformed to standardized values  
122 through an inverse normal approximation. However, a single probability distribution may not  
123 always be appropriate at large spatial scales, and several studies have documented these

124 limitations with SPI (Guttman 1999; Quiring 2009) and Standardized Streamflow Index  
125 (Vicente-Serrano et al. 2012). The Evaporative Demand Drought Index (EDDI) presented in  
126 Hobbins et al. (this issue) is calculated from a simple Z-score based on the mean and standard  
127 deviation of a given accumulated  $ET_0$  time series. Here, we deviate from Hobbins et al. (this  
128 issue) by using a probability-based approach for EDDI to allow for more consistent comparisons  
129 between EDDI against other standardized indices.

130 To overcome the limitations of a parametric approach,  $ET_0$  probabilities ( $P(x)$ ) are obtained  
131 through the empirical Tukey plotting position (Wilkes 2011):

$$P(x_i) = \frac{i - 0.33}{n + 0.33},$$

132 where  $i$  is the rank in the historical time series (from 1 to 35, with 1 being the max  $ET_0$  value and  
133 35 being the min) of the observed value, and  $n$  is the number of observations. EDDI values are  
134 obtained from empirically derived probabilities through an inverse normal approximation  
135 (Abramowitz and Stegun 1965) at time scales of 1, 3, 6, 9, and 12 months. Comparisons between  
136 EDDI values derived from the simple z-score outlined in Hobbins et al. (this issue) and the  
137 formulation presented here showed negligible differences in identifying wet and dry periods, but  
138 the plotting position approach was ultimately chosen in this paper to maintain consistency when  
139 comparing multiple indices outlined below. This method follows Hao and AghaKouchak (2014),  
140 where the plotting position approach was used to compute SPI, Standardized Soil Moisture Index  
141 (SSI) and Multivariate Standardized Drought Index (MSDI). Farahmand and AghaKouchak  
142 (2015) recommend this plotting position approach to maintain consistency when comparing  
143 several standardized drought indices.

### 144 *2.3 NLDAS-based drought metrics*

145 To assess the ability of EDDI to identify historical drought periods, EDDI is compared to SPI  
146 and SSI using monthly Prcp and simulated SM from NLDAS-2 (Xia et al. 2012a, 2012b).  
147 NLDAS-2 Prcp is primarily derived from Climate Prediction Center gridded daily gauge data  
148 {with a topographical adjustment from the Parameter-elevation Regressions on Independent  
149 Slopes Model [PRISM; Daly et al. (1994)]}. NLDAS-2 SM is derived from the Variable  
150 Infiltration Capacity land surface model [VIC; Liang et al. (1994)], and represents the average  
151 SM from the top 100 cm of the soil column. Monthly NLDAS-2 data were obtained for the  
152 period of 1979 to 2013 with a native grid spacing of 0.125°. To compare EDDI to NLDAS-2  
153 drought indices, all NLDAS-2 data were resampled to the 4-km (~1/16°) UI METDATA grid  
154 using a bilinear interpolation. Monthly Prcp and SM were accumulated at five time scales (1, 3,  
155 6, 9, and 12 months), and standardized following the EDDI methodology of plotting positions  
156 and inverse normal approximation. Pearson linear correlation coefficients between EDDI and  
157 standardized NLDAS-2 variables were computed for each month (n = 35 years) at the five time  
158 scales.

#### 159 *2.4 Evaporative Stress Index*

160 The ESI (Anderson et al. 2007b, 2011) represents standardized anomalies in the ET fraction  
161 of reference ET (i.e.,  $ET/ET_0$ ), with ET obtained through satellite-assisted modeling of the land  
162 surface energy balance. ET and other land-surface energy balance components are retrieved  
163 using satellite optical and thermal imagery, to force the Atmosphere-Land Exchange Inverse  
164 surface energy balance model [ALEXI; Anderson et al. (1997, 2007a)]. Atmospheric variables  
165 needed to drive ALEXI come from the North American Regional Reanalysis [NARR; Mesinger  
166 et al. (2006)].



167 Weekly ESI data were provided (courtesy of Martha Anderson, USDA, and Chris Hain,  
168 University of Maryland) over the US for 2000 to 2013 at a 4-km spatial resolution and were  
169 aggregated to time scales of 1, 2, and 3 months. To obtain a constant comparison between EDDI  
170 and ESI, EDDI was recalculated using the same period of record as the ESI, and the same  
171 aggregation time scales. ESI data were resampled using a bilinear interpolation to match the  
172 EDDI grid. No downscaling was necessary as both grids were of identical spatial resolution.  
173 Pearson linear correlation coefficients between EDDI and ESI were computed for each week  
174 over the 14-year period and at all five time scales.

### 175 *2.5 United States Drought Monitor*

176 The USDM (Svoboda et al. 2002) was used as another metric to validate EDDI, with the  
177 primary goal of identifying differences between the two metrics during the evolution of drought  
178 through time and space. The USDM is derived from a blend of drought metrics adjusted using  
179 local expert knowledge to develop weekly drought severity maps over CONUS (Svoboda et al.  
180 2002; Anderson et al. 2013). The USDM classification system of drought ranges from D0  
181 (abnormally dry) to D4 (exceptional drought). For results where the USDM is compared, all  
182 drought metrics were converted to USDM classes (Table 1). The comparisons of EDDI to the  
183 USDM are necessarily qualitative because the USDM is a blend of information at several  
184 different time scales, whereas EDDI represents a single time scale.

185 **< Table 1 here >**

186 USDM data (2000 to 2013) were downloaded as ESRI shapefiles provided by the National  
187 Drought Mitigation Center, and rasterized to match the 4-km EDDI grid, to create a USDM class  
188 map of integer values of drought intensity ranging from 0 to 4 (i.e., D0 = 0, D1 = 1, D2 = 2, D3  
189 = 3, and D4 = 4);

### 190 3. Results and Discussion

#### 191 3.1 NLDAS-2 drought index correlations with EDDI

192 Correlations between EDDI and NLDAS-2 drought indices (EDDI-SPI and EDDI-SSI) for 1,  
193 6, and 12 month time scales are shown in Figure 1. Positive EDDI values indicate drought, and  
194 negative SPI and SSI values indicate drought, therefore strong negative correlations represent  
195 similar drought signals between EDDI and both SPI and SSI over the 35-year period of record.  
196 At the 1-to 12-month time scales correlations between EDDI and SPI and SSI are strongest  
197 (more negative) over much of the southwestern and southcentral US (with the exception of 1-  
198 month SSI), and highest in Texas ( $r < -0.7$ ). The northeast is region of general weak correlations  
199 for both EDDI-SPI and EDDI-SSI, with the Midwestern states of OH, IN, and MI being a weak  
200 spot for EDDI-SPI only. Spatial correlations at 6 and 12 month time scales are quite similar  
201 (Figure 1c-1f), and generally much stronger than at the 1-month time scale (Figure 1a and 1b).  
202 Over the northeastern US, EDDI-SPI correlations remain fairly weak at longer timescales, while  
203 EDDI-SSI correlations improve over OH, WV, NY, and PA (Figure 1c-1f).

204 Weak correlations to 1-month SSI over the west may be explained by above average  $T_{air}$  and  
205  $R_d$  (driving EDDI upwards) that can lead to increased snow melt and SM, and a short term  
206 wetting signal from SSI, particularly during the winter months. Positive correlations of EDDI-  
207 SPI and EDDI-SSI over the northeastern US are caused by energy-limited conditions as opposed  
208 to water-limited conditions. In such energy-limited regions, the rate of change in ET is generally  
209 proportional and in the same direction as  $ET_0$  (Han et al. 2014; Hobbins et al. - this issue).

210 < Figure 1 here >

211 Figure 2 highlights four regions of interest selected for individual monthly correlation  
212 analysis. The Central Valley of California (CA) and Iowa (IA) are two major agricultural regions

213 where drought impacts can have adverse effects on crop production. East-central Texas (TX) is  
214 part of a region that has been identified as a global “hot spot” for strong land surface-  
215 atmospheric coupling (Koster et al. 2004, 2006); therefore strong correlation of SM and Prcp to  
216 EDDI is expected. Pennsylvania (PA) is an area identified by Koster et al. (2009) where SM is  
217 generally high and exerts little control on ET due to prevailing energy limiting conditions, even  
218 during times of severe meteorological drought. This observation is consistent with low  
219 correlations found in Figure 1 in parts of the northeast US. The following section further  
220 highlights how  $ET_0$  anomalies (i.e., EDDI) in PA relate to SM- and Prcp-driven droughts.

221 **< Figure 2 here >**

222 Individual monthly correlations between EDDI and NLDAS-2 derived indices at various  
223 time scales are shown in Figure 3 for these regions of interest. For each of the selected regions  
224 shown in Figure 2, EDDI correlations to SSI and SPI were area-averaged over all pixels. For the  
225 TX region (Figure 3a and 3e), seasonality and time scale had little impact on the strength of  
226 correlations, and generally showed strong inverse relationships ( $r < -0.6$  for SPI and  $r < -0.7$  for  
227 SSI) during most months and time scales, reinforcing the conclusions of Koster et al. (2004,  
228 2006).

229 For the CA region, large seasonal and time scale dependent variations were found, especially  
230 at the 1-month time scale for both SPI and SSI (Figure 3b and 3f). Correlations ranged from  
231 +0.20 to -0.82, with the highest correlations occurring at the 6- to 12-month time scales during  
232 the growing season. An exceptionally weak correlation (-0.13) was found with SPI during July at  
233 the 1-month time scale. July is the driest month of the year for the Central Valley of CA, and  
234 most Julys see zero Prcp accumulation. This limits the negative range of the 1-month SPI  
235 (McEvoy et al. 2012) causing poor correlations with EDDI. Furthermore, when it does rain

236 during dry summer months it occurs from isolated convective activity over a single day: even if  
237 most of the month was warm, cloud-free, and dry (leading to a drought signal from EDDI), the  
238 SPI will show a wet anomaly. A more consistent stepped correlation pattern was revealed at  
239 longer time scales, where  $r$  values  $< -0.7$  were found during the spring (April, May, and June) for  
240 3-month, spring and summer (July, August, and September) for 6-month, and summer and fall  
241 (October, November, and December) for 9- and 12-month periods.

242 Iowa was similar to Texas in that little variability was found in correlations ( $r$ -values only  
243 ranged from  $-0.5$  to  $-0.7$ ), with the exception of the 1-month time scale. Lower correlations at 1-  
244 month time scale during the fall and winter should be expected with SSI, since the top 100 cm of  
245 ground is typically frozen during these months, and land surface-atmospheric coupling is weak.  
246 There is a rapid increase in correlation at the 1-month time scale during the late spring and  
247 summer.

248 Correlations for PA region were the weakest of the four analyzed, with notably higher  
249 correlation to SSI (Figure 3h) when compared to SPI (Figure 3d). For SPI (Figure 3d),  $r$ -values  
250 never exceed  $-0.56$ , while for SSI (Figure 3h)  $r$ -values ranged from  $-0.60$  to  $-0.69$  during the  
251 summer and early fall at 1-, 3- and 6-month time scales. Weak correlations were found to be both  
252 slightly positive and negative ( $-0.30 < r < +0.20$ ) for SPI and SSI at the 1-month time scale  
253 during fall and winter, and for winter and spring months at other time scales. Results shown in  
254 Figure 3 illustrate that EDDI may be particularly useful for flash drought and seasonal drought  
255 monitoring, especially during the growing season.

256 **< Figure 3 here >**

257 Soil moisture is typically a slowly varying component of the hydro-climatic system  
258 compared to variations in  $ET_0$ ; therefore EDDI could serve as a leading indicator for identifying

259 soil moisture deficits. Correlations between EDDI and SSI at coincident time scale and ending  
260 month (as presented in Figure 3) may not be the most robust due to this time lag between SM  
261 and  $ET_0$ . To demonstrate the potential value of EDDI as a leading drought indicator during the  
262 growing season a lagged correlation analysis was performed between 3-month SSI ending in  
263 August and EDDI at every time scale and ending month.

264 Figure 4 shows that in all four regions EDDI leads SSI, where 3-month SSI ending in August  
265 (blue dots in Figure 4 show fixed time scale and ending month for SSI) is better correlated to 3-  
266 month EDDI ending in June (CA; Figure 4a) or July (TX, IA, and PA; Figure 4b, 4c, and 4d  
267 respectively). An interesting feature of Figure 4 is shown for IA, where 12-month EDDI ending  
268 in August was found to have highest correlation to 3-month SSI ending in August, highlighting  
269 the extremely low summer SM moisture variability in this region. This is further reinforced later  
270 in Figure 6, where monthly SSI variability was found to be low relative to EDDI and SPI during  
271 the 2012 drought. These results highlight that EDDI is a leading indicator when compared to  
272 SSI, and therefore could be used to complement and perhaps improve the USDM since SM  
273 percentiles are primary inputs for USDM objective blends.

274 < **Figure 4 here** >

### 275 *3.2 ESI correlations with EDDI*

276 Seasonal temporal correlations between EDDI and ESI for CONUS are shown in Figure 5.  
277 Only spring (April, May, and June) and summer (July, August, and September) periods are  
278 evaluated due to limited availability of continuous monthly ESI data during fall and winter. ESI  
279 data were frequently missing in snow-covered mountainous regions of the west during spring  
280 and summer periods, and ESI pixels were masked (indicated by white shading in Figure 5, as in  
281 the mountain ranges of western US) when less than 75% of the monthly time series was available

282 over the period of 2000 to 2013. Pixels with spurious ESI data (ESI <-5 and >5) were also  
283 masked. One benefit of EDDI over ESI and other remote sensing based metrics is that EDDI can  
284 be used during all seasons. This may be particularly useful for high-elevation  
285 hydrometeorological monitoring in seasonally snow-covered areas.

286 Figure 5 illustrates fairly large differences between spring and summer periods, with  
287 negligible differences between different time scales of 4-, 8-, and 12-weeks. During the spring  
288 period negative correlations are strongest (r values < -0.7) over much of TX, the desert SW, and  
289 central valley of CA, while weaker relationships were found over the NE, and parts of the Pacific  
290 NW (Figure 5a, 5c, and 5e). The low positive correlations in the NE are due to energy-limited  
291 evaporative conditions described in section 3.1. Summer correlations (Figure 5b, 5d, and 5f) are  
292 strongest and spatial patterns most consistent over the central US, and lower correlations are  
293 evident over parts of NV, CA and into the Pacific Northwest when compared to the spring  
294 period. Inspection of the summer time series from the regions of low correlation in the west and  
295 Pacific Northwest showed that during certain summers ESI and EDDI were strongly negatively  
296 correlated, but positively correlated in others (not shown). ET rates in semi-arid regions are  
297 typically low during summer periods; therefore small variations in ET can potentially lead to  
298 large changes in ESI, making for poor correlations with EDDI. For example, most of NV  
299 experienced below normal Prcp and high temperatures for July of 2005, and EDDI and SPI  
300 indicated drought conditions, whereas ESI indicated wet conditions (not shown). In general,  
301 EDDI is strongly correlated to ESI (r values < -0.7) during spring and summer months over  
302 much of the southwest, southcentral, and northcentral US.

303 < **Figure 5 here** >

304 *3.3 Flash drought over the central US*

305 Flash drought can develop even during periods of excess Prcp, and evaporative drivers can  
306 potentially uniquely identify the onset and evolution of flash drought. For example, in some  
307 situations (i.e., the 2011 central CONUS case), a T-based  $E_0$  would fail to identify rapid drying  
308 due to below normal  $T_{\text{air}}$  coincident with high  $U_2$  and low  $q$ . The following highlights the  
309 Midwest droughts of 2011 and 2012 as a case study to demonstrate how EDDI can serve as an  
310 effective early warning of flash droughts, as well as extended droughts.

311 Area-averaged time series of 1-month EDDI are compared to 1-month SPI and SSI during  
312 2011 and 2012 in Figure 6a for the IA domain. Figure 6b illustrates the sensitivity of EDDI to  
313 individual  $ET_0$  forcings averaged over the IA domain. Note that in Figures 6a and 6b the vertical  
314 axis of EDDI is reversed to better visualize drought onset and duration when compared to SPI  
315 and SSI. Figure 6a illustrates that in April, 2011, all indices are near neutral (i.e., close to zero),  
316 and over the next two months EDDI changes to a moderate drought class ( $<-0.78$  or USDM D1  
317 class), while both SPI and SSI increase to slightly wet conditions. SPI and SSI values do not  
318 decrease towards moderate drought conditions until July of 2011. SPI falls below moderate  
319 drought in September, and SSI follows one month later in October. Both EDDI and SSI maintain  
320 extended drought conditions throughout all of 2012, with the exception of February when EDDI  
321 is slightly above moderate drought ( $-0.78$ ), but still below zero. During this extended drought of  
322 2012, SPI is highly variable and indicates wet conditions for many months.

323 To highlight the  $ET_0$  drivers that caused EDDI to signal first a flash drought and then an  
324 extended drought, a simple sensitivity analysis of EDDI was performed (Figure 6b and 6c). For  
325 this analysis,  $ET_0$  was calculated while constraining the variable of interest to daily climatology  
326 values in order to isolate the impact of each forcing on the EDDI drought signal. Results are  
327 presented as estimates of EDDI with a notation of the variable constrained to its daily

328 climatology (i.e., EDDI-T, EDDI-q, EDDI-R<sub>d</sub>, and EDDI-U<sub>2</sub>). For example, EDDI-T was  
329 calculated using the daily climatology of T<sub>max</sub> and T<sub>min</sub>, and with METDATA-observed forcings  
330 values of all other variables. During the period of 20 May to 25 May, EDDI-q and EDDI-U<sub>2</sub> had  
331 the greatest separation from standard EDDI values in the negative direction (note y-axis is  
332 reversed), which indicates that the drying power of the air term in the ET<sub>0</sub> equation, (U<sub>2</sub>  
333 multiplied by vapor pressure deficit), initiated the flash drought signal—approximately 20 May  
334 through 5 June—in EDDI via increased U<sub>2</sub> and below normal *q* (Figure 6c). In this case, using  
335 daily climatology *q* and U<sub>2</sub> values mitigated the drought signal relative to the standard EDDI. By  
336 June, 2011, EDDI decreased below the moderate drought threshold (-0.78), with the primary  
337 difference from May being that U<sub>2</sub> and T<sub>air</sub> were then acting in combination to exacerbate the  
338 drought signal—as opposed to T<sub>air</sub> moderating it in May. Despite below-normal T<sub>air</sub> conditions in  
339 September, 2011, the standard EDDI drought signal was maintained due to extremely low *q*  
340 values evidenced by a large difference between EDDI and EDDI-q (absolute difference of 1.17).  
341 From November, 2011, through the following May, T<sub>air</sub> dominated the EDDI signal, as seen by  
342 the large differences between EDDI and EDDI-T. This increase in T<sub>air</sub> and ET<sub>0</sub> likely contributed  
343 to the persistent SSI drought signal throughout 2012, despite above-normal Prec for February,  
344 April, October, and December (Figure 6a).

345 Results illustrated in Figure 6 and in the companion paper of Hobbins et al. (2015) highlight  
346 two major focal points of this research: (1) EDDI is a leading indicator of flash and extended  
347 drought conditions, and (2) a physically based E<sub>0</sub> is required to capture this signal. This  
348 reinforces the work of Hobbins et al. (2012) and Hobbins (2015) who concluded that T<sub>air</sub> is not  
349 always the dominant driver of ET<sub>0</sub>, and T-based parameterizations could lead to false drying (or  
350 wetting) signals when used for drought monitoring applications. Our findings illustrated in



351 Figure 6 also contradict the notion that 2012 should be considered a flash drought case over the  
352 central US (e.g. Mo and Lettenmaier 2015): our results clearly indicate a well-established and  
353 persistent drought signal by both EDDI and SSI, with SPI being the only indicator to signal a  
354 rapid transition from wet to dry over the period of April through July. Figure 6 illustrates that the  
355 flash drought signal appeared in EDDI starting in May, 2011, and in SPI and SSI starting in  
356 August, 2011.

357 **< Figure 6 here >**

358 To spatially assess EDDI during the extended 2012 drought a comparison was made between  
359 the USDM, SPI, SSI, and ESI. Recall from Section 2.5 that the USDM is at a blended time-scale,  
360 against which a fixed time-scale EDDI is being compared: thus, the EDDI and the USDM  
361 distributions should not be expected to look similar. The objective of the EDDI and USDM  
362 comparisons is to show that EDDI can presage rapid onset droughts before the impacts show up  
363 in the USDM, thus highlighting the substantial added value gained by using EDDI in conjunction  
364 with other drought-monitoring metrics for decision-making applications.

365 Figure 7 shows the evolution of the 1-month EDDI, ESI, SSI, and SPI, and USDM through  
366 time and space over the spring and summer of 2012. The USDM generally indicated no drought  
367 or D1-D2 over much of the central US of 1 May. This is likely a result of the near-normal to  
368 slightly above normal Prcp during April, as illustrated in the April SPI spatial distribution. In  
369 contrast, EDDI indicates at least moderate drought conditions over most of the same region, and  
370 looks similar to the USDM spatial distribution two months later (i.e., of 3 July, 2012). EDDI  
371 responded to anomalously high  $T_{air}$ ,  $U_2$ , and  $R_d$  across the region during the second half of April.  
372 ESI showed widespread neutral conditions for April with a rapid intensification in May. SSI and  
373 SPI show a slower progression and more local intensification (non-uniform spatial distribution)

374 when compared to EDDI and ESI. The 2012 drought evolution illustrated by the USDM over the  
375 central US expands in both spatial extent and severity throughout the summer, however the  
376 progression from D0 to D3 and D4 takes approximately three months. Figure 7 illustrates that 1-  
377 month EDDI presaged the onset of USDM extreme to exceptional drought by as much as two  
378 months. ESI also led the onset of extreme to exceptional drought, but was limited in extent when  
379 respectively compared to April through July EDDI, and July USDM drought spatial  
380 distributions.

381 < **Figure 7 here** >

### 382 *3.4 Extended drought in arid to semi-arid regions*

383 In this section we examine whether EDDI can be used to characterize historical extended  
384 droughts over the western US. Droughts in arid to semi-arid regions of the US are generally  
385 slower to develop than in the central US, primarily due to the manner in which water resources  
386 are both naturally and anthropogenically stored. Natural water storage occurs as winter  
387 snowpack at high elevations that typically reach maximum depth in March or April. During  
388 spring and summer snowmelt, runoff is stored in reservoirs. Hydrologic and agricultural drought  
389 severity in the west are strongly linked to reservoir storage and streamflow (McEvoy et al. 2012,  
390 Abatzoglou et al. 2014).

391 Two extended drought case studies using the USDM, EDDI, SPI, and SSI are shown in  
392 Figure 8. The first case focuses on the drought of the 2007 water year (October 2006 through  
393 September 2007) (Figure 8, left column). The USDM from 02 October, 2007, indicates 78%  
394 (percent area) of the western US in at least a D0 drought class. Figure 8c illustrates the 12-month  
395 EDDI ending in September, 2007, and has the strongest spatial coherence and severity when  
396 compared to the USDM, while SSI and SPI (Figure 8e and 8g, respectively) underrepresent the

397 spatial extent shown by USDM and EDDI, particularly over NV, ID, and western MT. The  
398 second case focuses on the extreme southwestern drought of 2002 (Figure 8, right column), with  
399 the USDM mapped at 25 June, 2002, and the 6-month EDDI, SPI, and SPI mapped for January  
400 through June, 2002. All metrics show a similar spatial structure of drought extent, although  
401 EDDI and SPI indicate little to no drought in MT. Temperatures were lower than normal over  
402 much of MT, WY, and the northern portion of UT and CO, and slightly above normal for the  
403 Four Corners region (not shown). This indicates that  $T_{\text{air}}$  was likely driving EDDI negative in  
404 MT, however  $T_{\text{air}}$ ,  $q$  and  $U_2$  must have all played a role in driving EDDI in the positive direction  
405 over UT and CO.

406 < **Figure 8 here** >

407 The potential usefulness of EDDI to aid in the interpretation of hydroclimatic states at  
408 multiple time scales and over long time periods was assessed for an area of interest. Figure 9  
409 illustrates time series of EDDI averaged over the northern Sierra Nevada for 1979-2013. The  
410 northern Sierra Nevada provides much of the water resources to western NV and CA, therefore  
411 the use of multiple complementary drought metrics for evaluating short and extended drought in  
412 this region is invaluable. EDDI at the 2-wk and 1-month time scales (Figure 9a and 9b,  
413 respectively) closely correspond to documented heat waves and extreme fire weather in the  
414 region (Burt 2007; Trouet et al. 2009), however the high frequency of the time series make it  
415 difficult to characterize hydrologic drought. At longer time scales EDDI (Figure 9c, 9d, and 9e,  
416 respectively) clearly identify all of the major documented hydrologic droughts over the period  
417 from 1979 to 2013 (Seager 2007; Weiss et al. 2009; McEvoy et al. 2012). The longest duration  
418 drought to occur during the period of record analyzed was during the early 2000s, when the 12-  
419 month EDDI remained positive for five continuous years (late 1999 to 2005). Fast recovery of

420 hydrologic droughts are also well captured by EDDI at nearly all time scales when compared to  
421 known “drought-buster” precipitation events (Ralph and Dettinger 2010; Dettinger 2013), and  
422 wet periods associated with El Niño (1982-83 and 1997-98), and La Niña (2010-11).

423 < **Figure 9 here** >

## 424 **5. Summary and conclusions**

425 This work highlights an application and assessment of EDDI at multiple time scales and for  
426 several hydroclimates as a companion study to Hobbins et al. (this issue). The methods and  
427 results of Hobbins et al. (this issue) are reinforced and a robust CONUS-wide evaluation is  
428 performed, by examining EDDI and individual evaporative demand components as they relate to  
429 the dynamic evolution of flash drought over the central US, characterization of hydrologic  
430 drought over the western US, and comparison to commonly used drought metrics (USDM, SPI,  
431 SSI, and ESI). Results highlight the advantages and limitations of EDDI as a monitor of drought  
432 at multiple time scales, and provide leading indications of flash and extended hydrologic  
433 drought. Correlations of EDDI to NLDAS-2 forced drought metrics of SSI and SPI indicate that  
434 over much of the CONUS, EDDI spatial distributions are generally similar to SPI and SSI. Over  
435 parts of the western US where weak correlations were found, EDDI often contained drought  
436 information not found in SPI or SSI. For example, Prcp is bounded by zero at short time scales (1  
437 to 2 months) over many western states, which can lead to a skewed SPI, whereas EDDI will  
438 maintain a consistent distribution during months with no Prcp. At short time scales, spatial  
439 distributions and time series results illustrate that EDDI can be useful for flash drought  
440 identification, and can serve as a leading indicator by as much as two months in advance of the  
441 USDM, SPI, and SSI (i.e. Figures 4, 6, 7; and Figures shown in Hobbins et al. - this issue).

442 Comparisons of EDDI to remotely sensed ESI products also show strong correlations, with  
443 the exceptions of the northeast US during spring, and over parts of the western US during  
444 summer. Weak correlations with ESI over the northeastern US are largely due to energy-limited  
445 land-surface energy-balance conditions over the region, where ET and  $ET_0$  are often positively  
446 correlated. Weak correlations with ESI over the western US during summer months are likely  
447 due to the low and effectively zero-bounded actual ET rates that occur in arid environments. Low  
448 soil moisture and low ET rates make it difficult to accurately estimate ET with thermal and  
449 optical remote sensing. These uncertainties combined with the high variability of estimated ET  
450 relative to average conditions often led to spurious ESI values and low correlations with EDDI.  
451 Comparisons of EDDI with ESI generally demonstrate that EDDI can be effectively used in  
452 conjunction with ESI and other remote sensing products to provide year-round data, with no  
453 limitations during cloudy days or over snow covered areas.

454 For drought monitoring in arid and semi-arid regions of western US, EDDI aggregation to  
455 longer time scales (3 to 12 months) is best suited to capture the complementary relationship  
456 found between ET and  $ET_0$  (Bouchet 1963; Hobbins et al. 2004), and therefore identify extended  
457 hydrologic droughts typical of this region. Results illustrate that in most cases, when Prcp  
458 deficits at the 3- to 12-month time scales were fairly large, EDDI was strongly positive.  
459 However, the complementary relationship was found to not hold true in regions and time periods  
460 where weak land surface-atmospheric coupling and energy limited conditions exist (Figures 3  
461 and 5).

462 Despite some noted limitations, EDDI is shown to provide useful information on the less-  
463 understood and documented dynamical processes associated with drought evolution and  
464 persistence. Results highlighted in this work illustrate the benefits of assimilating physically

465 based  $E_0$  estimates and EDDI into operational monitoring products such as the USDM. The  
466 additional information and early warning provided by EDDI could greatly contribute to a  
467 stronger understanding of drought evolution and dynamics, land surface-atmosphere interactions,  
468 and perhaps more importantly, reduce and/or mitigate future adverse societal effects that have  
469 been associated with past droughts. EDDI could also prove very useful and effective for easy-to-  
470 implement operational early warning for agricultural and fire-weather monitoring (Ham et al.  
471 2014) and seasonal forecasting of drought.

## 472 **Acknowledgments**

473 This research was supported by the Desert Research Institute (DRI) Maki Endowment for  
474 enhancing water resource monitoring in Southern, Nevada, U.S. Bureau of Reclamation Climate  
475 Analysis Tools WaterSMART program, the National Integrated Drought Information System  
476 (NIDIS) program, and U.S. Geological Survey and DRI Great Basin Cooperative Ecosystem  
477 Study Unit collaborative project on drought monitoring and fallow field tracking through cloud  
478 computing of Landsat, MODIS, and gridded climate data archives.

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644 **Table 1:** Drought classes for comparing USDM to SPI, SSI, ESI, and EDDI. Positive EDDI  
645 values indicate drought and the upper percentiles (70-100) must be used to derive USDM  
646 classes.  
647

<b>USDM drought category</b>	<b>Description</b>	<b>SPI, SSI, and ESI percentiles</b>	<b>EDDI percentiles</b>
D0	Abnormally Dry	21-30	70-79
D1	Moderate Drought	11-20	80-89
D2	Severe Drought	6-10	90-94
D3	Extreme Drought	3-5	95-97
D4	Exceptional Drought	0-2	98-100

648

649 **List of figures:**

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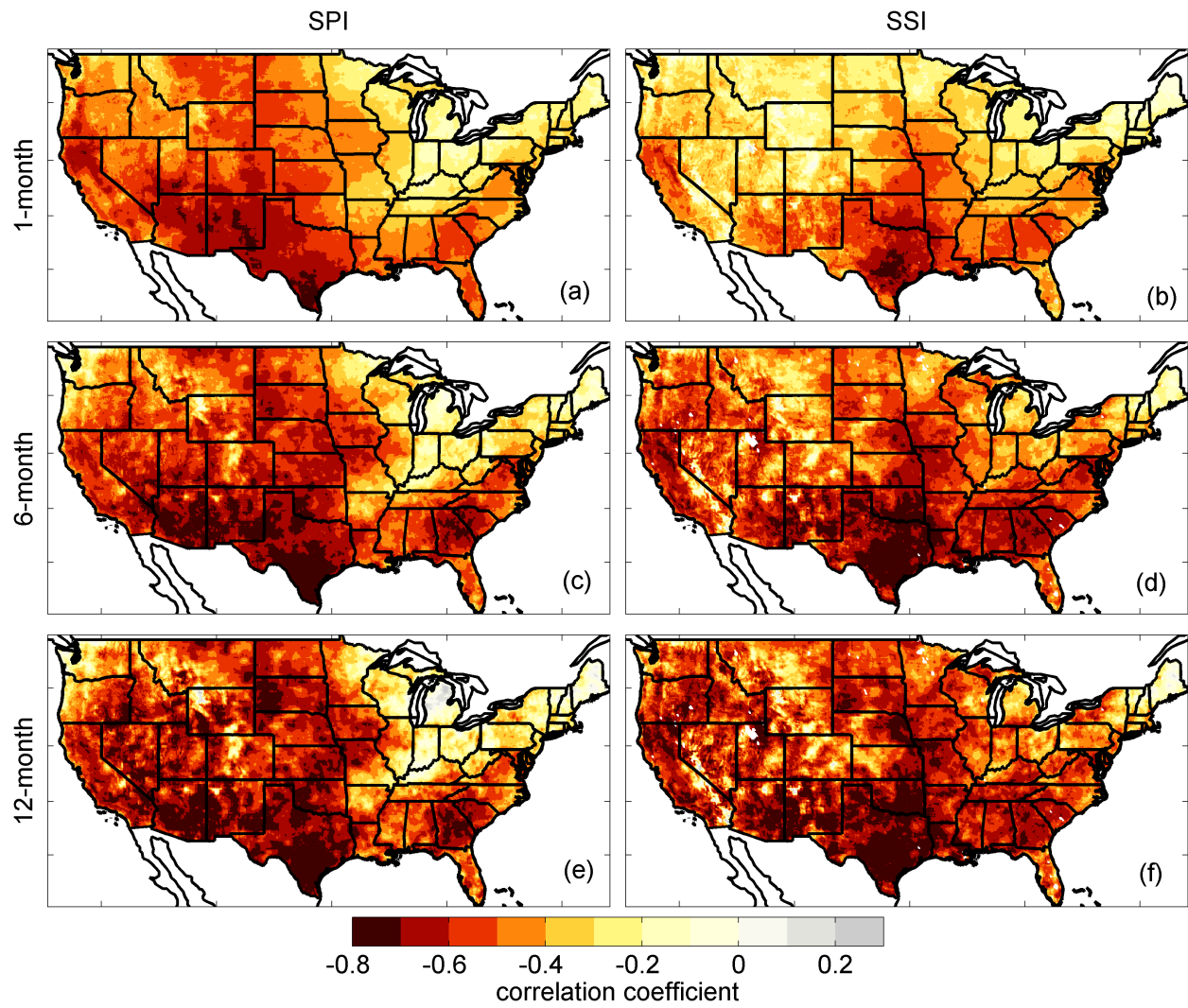


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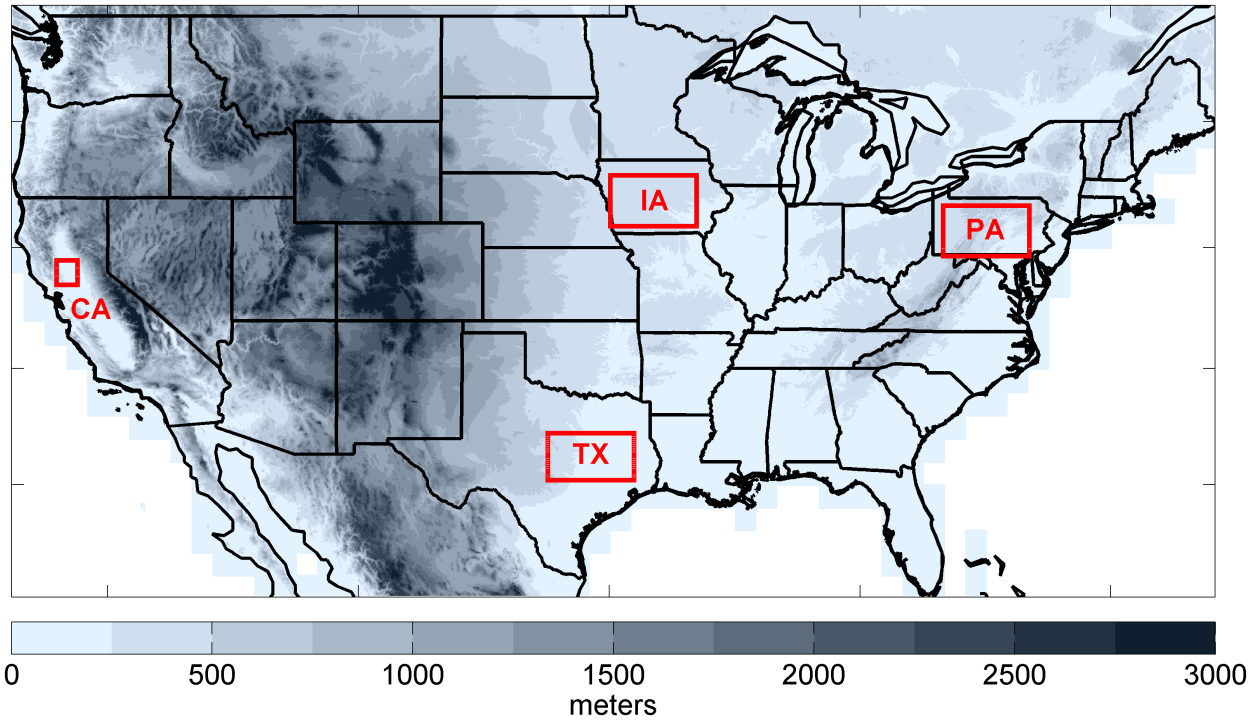
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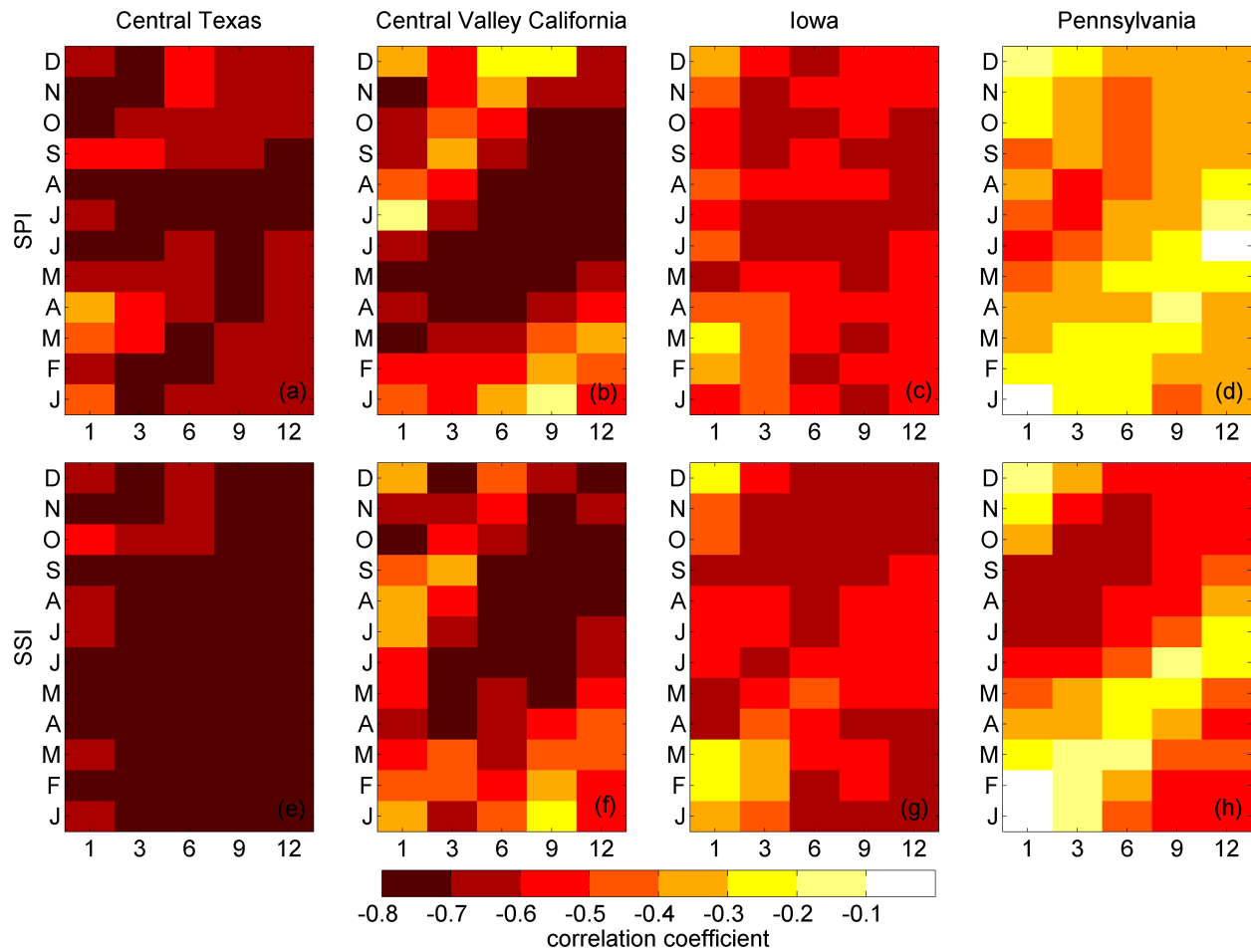
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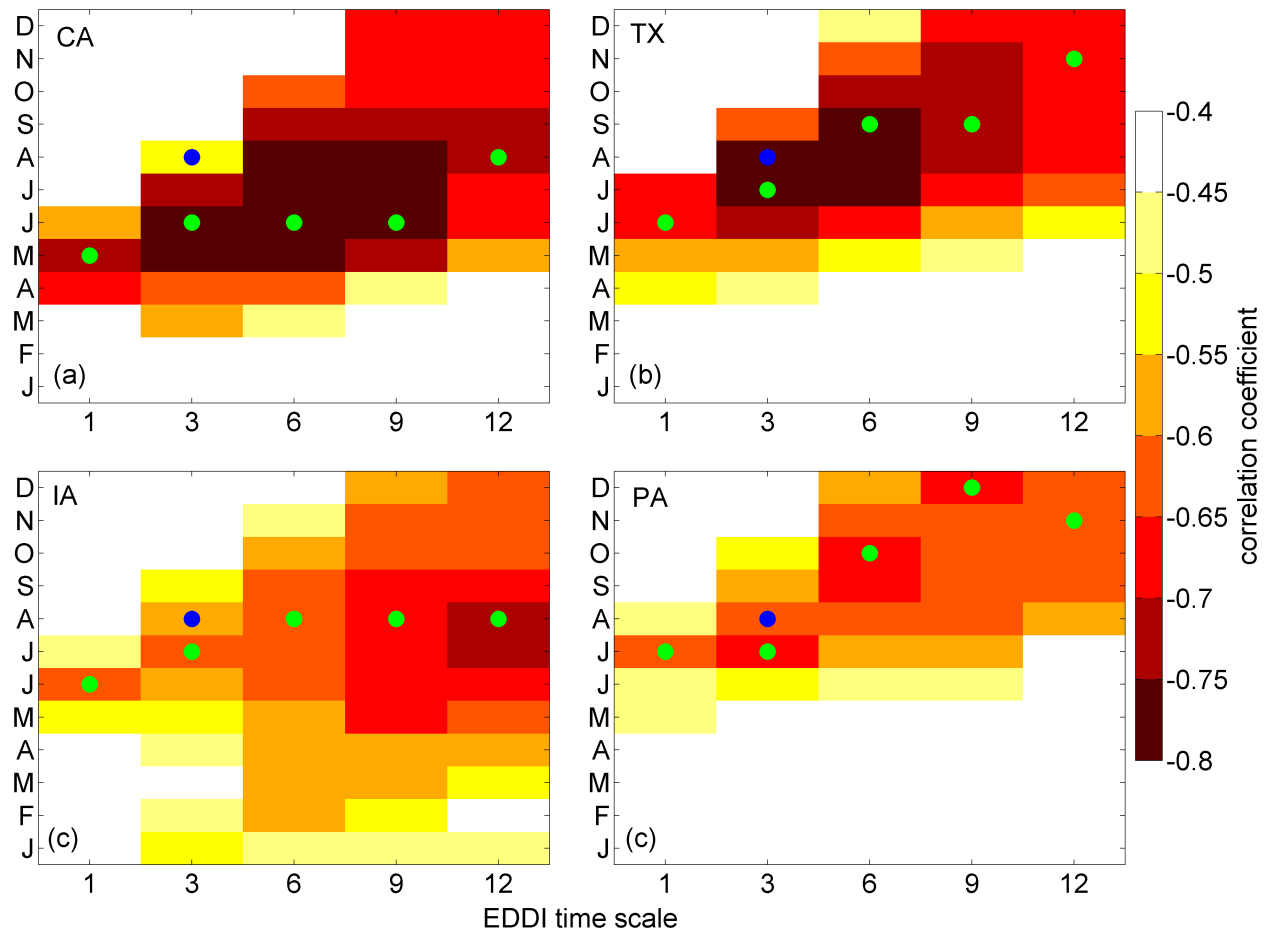


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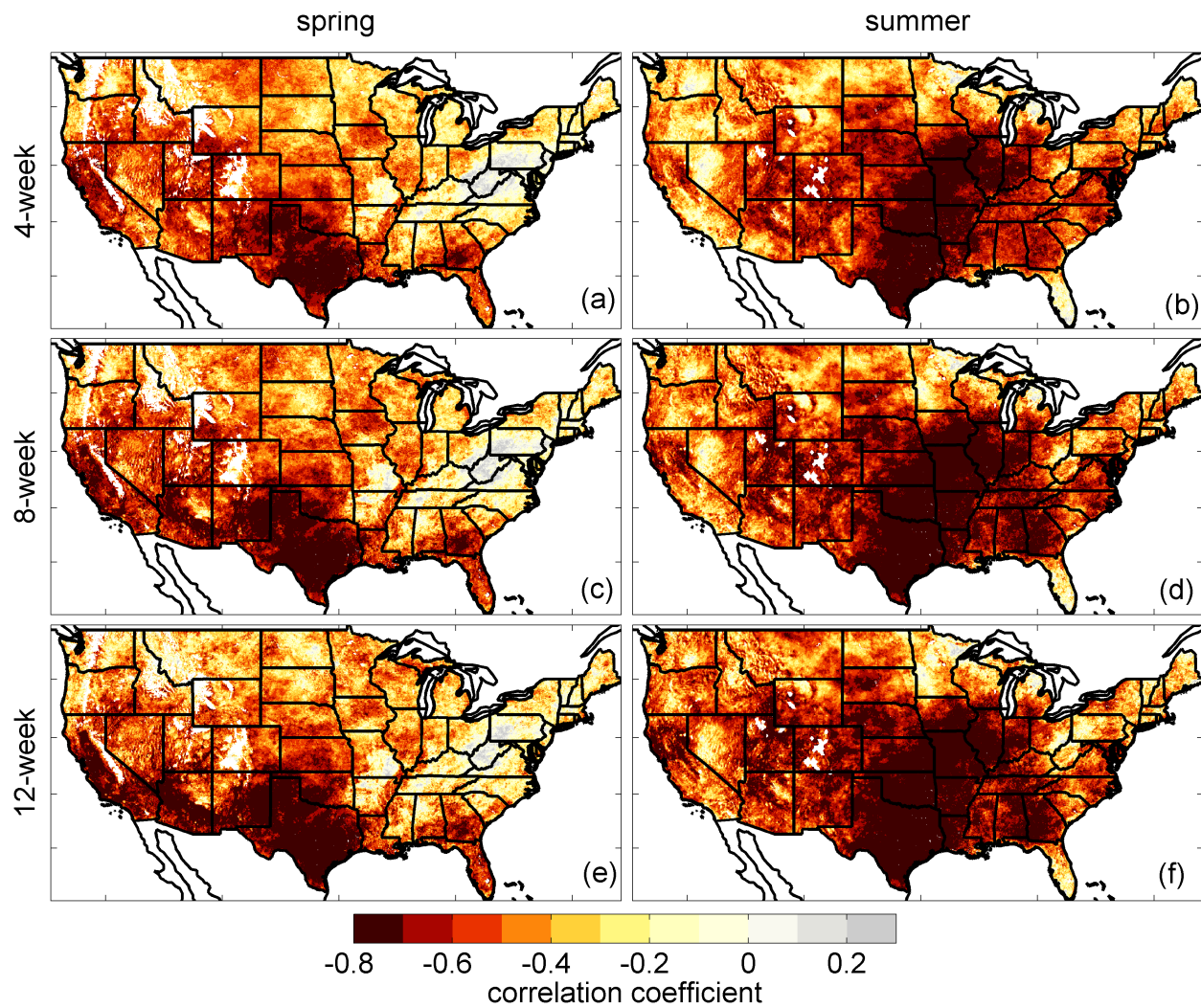
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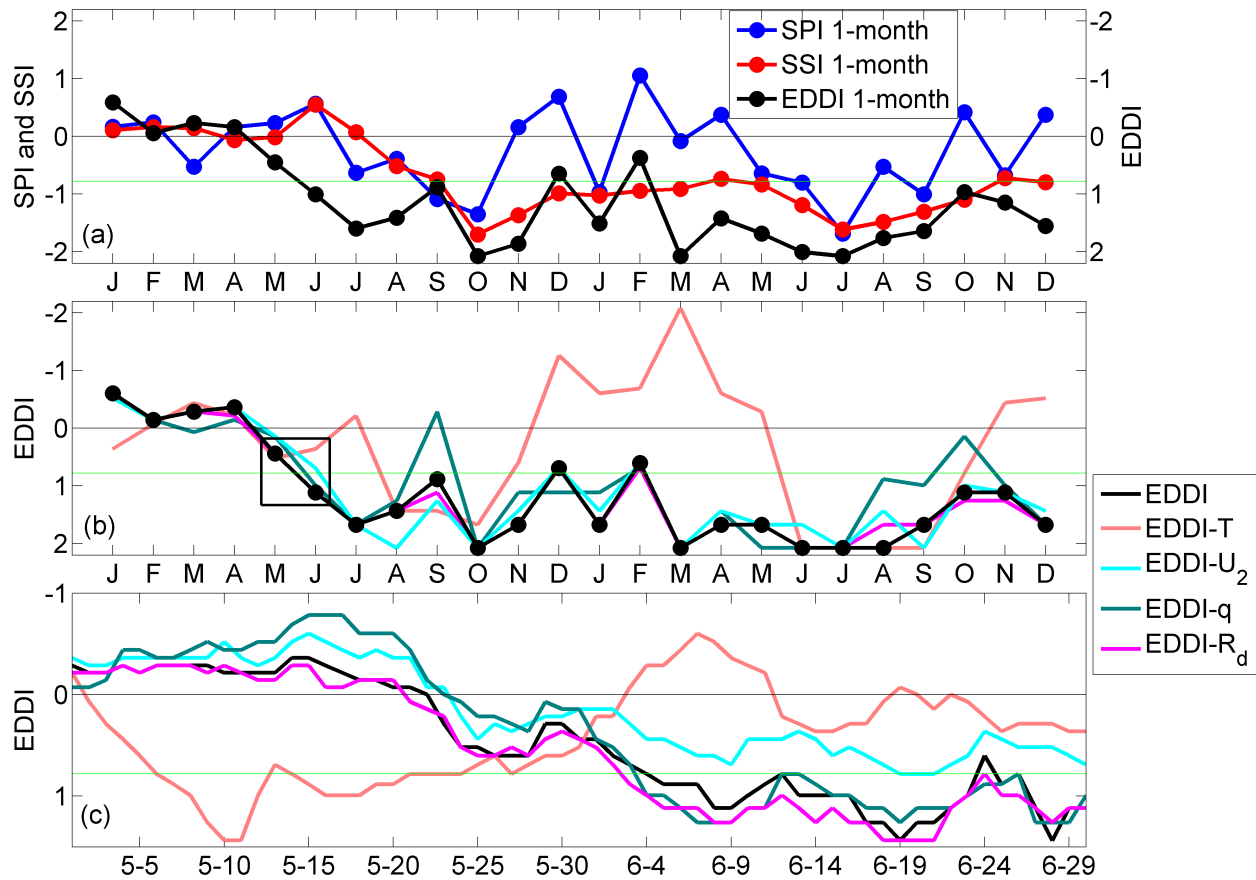
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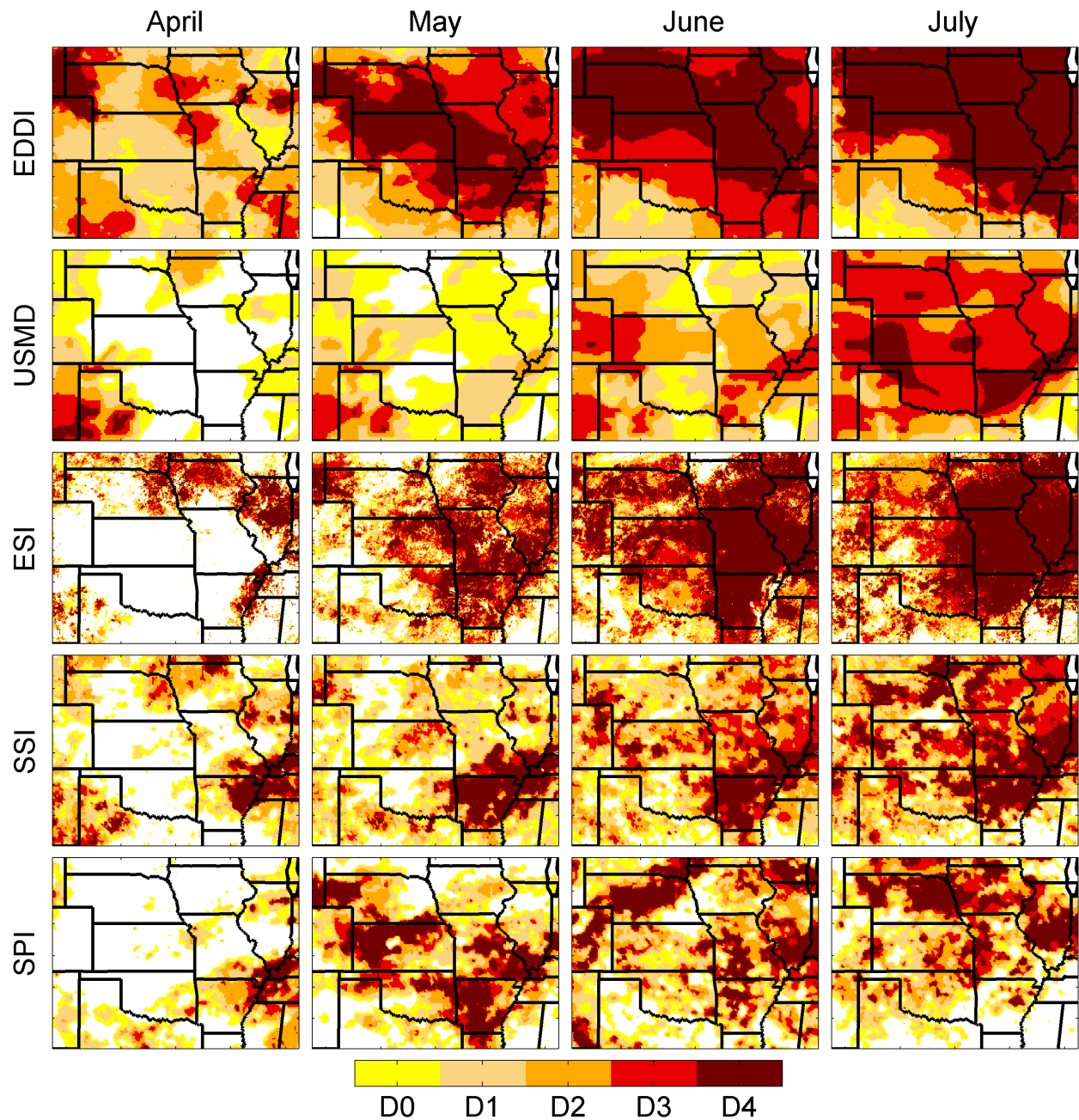
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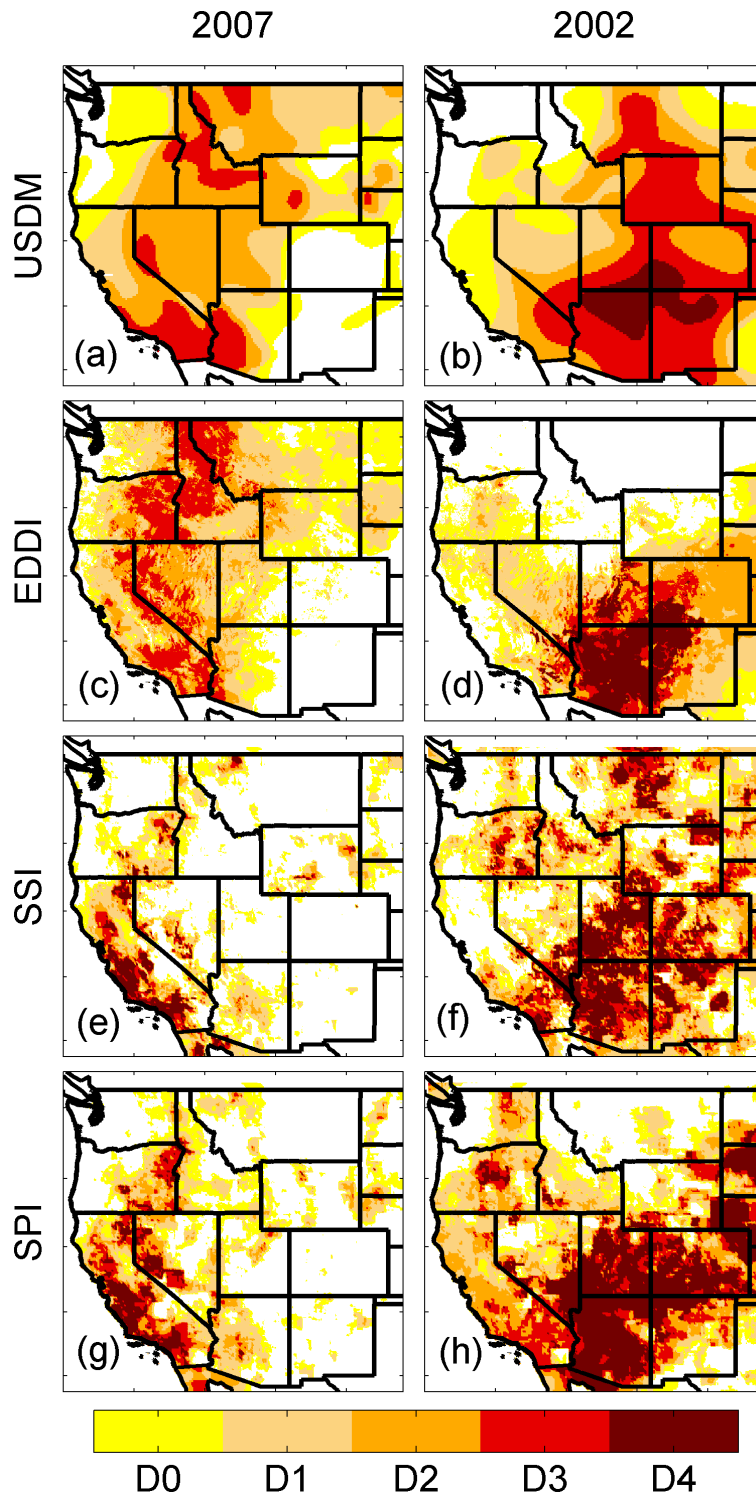
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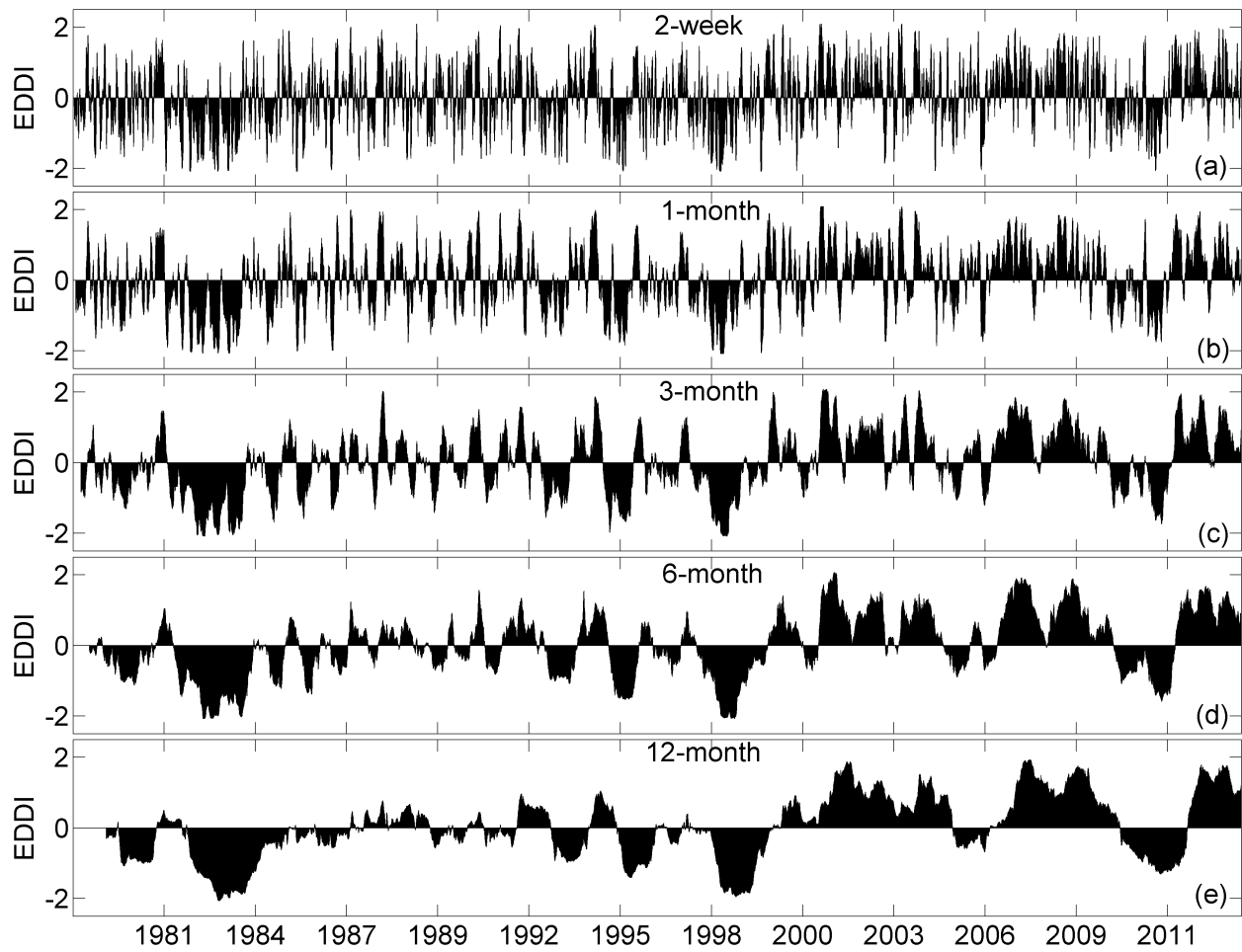
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