

1 Evaluation of Landfalling Atmospheric Rivers along the U.S. West  
2 Coast in Reanalysis Data Sets

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17 KEY POINTS

- 18 • Satellite data are used to assess atmospheric river landfall events in four reanalysis data  
19 sets
- 20 • Overall landfall detections for three reanalysis data sets were within 5% of satellite data  
21 result

28 ABSTRACT

29

30 An intercomparison of landfalling atmospheric rivers (ARs) between four reanalysis data sets  
31 using one satellite-derived AR detection method as a metric to characterize landfalling  
32 atmospheric rivers (ARs) along the U.S. West Coast is performed over 15 cool seasons (October  
33 – March) during the period from water years 1998 to 2012. The four reanalysis data sets  
34 analyzed in this study are the Climate System Forecast Reanalysis (CFSR), Modern-Era  
35 Retrospective Analysis for Research and Applications (MERRA), ERA-Interim (ERA-I), and the  
36 Twentieth Century Reanalysis version 2 (20CR) data set. The Atmospheric River Detection  
37 Tool (ARDT) is used to identify AR features in the total vertically integrated water vapor (IWV)  
38 data of the reanalysis data and validation of the reanalysis AR data are compared with AR data  
39 derived from satellite IWV observations. The AR landfall data from reanalysis were generally  
40 found to be in good agreement with satellite observations. Reanalysis data with less (CFSR) or  
41 no assimilation (20CR) of the satellite data used in this study had greater bias with AR  
42 characteristics such as IWV, width, and landfall location. 20CR ensemble data was found to  
43 better characterize the AR landfall characteristics than the 20CR ensemble mean although all  
44 20CR data underestimated AR landfalls particularly in the southern section of the U.S West  
45 Coast. Overall AR landfall detections for the 15-year cool season period were within 5% of the  
46 satellite for the CFSR, MERRA, and ERA-I data.

47 1. INTRODUCTION

48  
49 Atmospheric rivers (ARs) are long and narrow water vapor features of enhanced water vapor  
50 flux found in the lower troposphere that are responsible for transporting approximately 90% of  
51 water vapor from the tropics to the mid-latitudes [Zhu and Newell, 1998]. ARs are responsible  
52 for up to 50% of U.S. West Coast annual rainfall [Dettinger et al., 2011], and they are the  
53 primary mechanism for generating extreme precipitation and major flooding in this region  
54 [Ralph and Dettinger, 2012]. Evaluating sub-seasonal, seasonal, and interannual variability of  
55 AR landfalls for the U.S. West Coast with reanalysis data sets is attractive because these data  
56 have better temporal sampling than polar orbiting satellite observations and can extend well  
57 before the satellite era as is the case for the Twentieth Century Reanalysis (20CR) [Compo et al.,  
58 2011] and the National Center for Environmental Prediction (NCEP)/ National Center for  
59 Atmospheric Research (NCAR) reanalysis data sets. This study evaluates AR features in four  
60 different reanalysis data sets and compares them to an established satellite AR data set [Wick et  
61 al., 2013a] over 15 cool seasons (October – March) for water years 1998 through 2012.

62  
63 Numerous AR studies characterizing the structure and frequency of AR events using satellite  
64 data have been conducted over the past 10 years. Ralph et al. [2004] provided the framework for  
65 using satellite-derived total vertically integrated water vapor (IWV) in order to characterize U.S.  
66 West Coast ARs and established water vapor plumes with  $IWV > 2$  cm as a threshold and width  
67 and length scales of  $< 1000$  km and  $> 2000$  km, respectively, for AR identification in this region.  
68 Neiman et al. [2008] developed the first climatology of AR landfalling events on the West Coast  
69 from 1997 to 2005 by visually inspecting satellite IWV imagery. However, developing

70 climatologies from visual inspection becomes burdensome when analyzing long term data sets or  
71 determining AR characteristics objectively from real-time evaluation of satellite or model IWV  
72 fields. Therefore, Wick et al. [2013a] developed an AR detection tool (ARDT) using the  
73 characteristics established in these previous studies to identify AR features in satellite IWV  
74 fields derived from Defense Meteorological Satellite Program (DMSP) Special Sensor  
75 Microwave/Imager (SSM/I) and Special Sensor Microwave Imager/Sounder (SSMIS)  
76 observations. The ARDT successfully identified ARs along the U.S. West Coast with a  
77 probability of detection of 98.5% and false detection rate of < 5% when compared with the  
78 visual AR climatology in Neiman et al. [2008]. An additional study by Wick et al. [2013b] used  
79 the ARDT to evaluate AR forecasts from model IWV data for five numerical weather prediction  
80 models and found the occurrence of ARs to be well forecasted with probability of AR detection  
81 greater than 84% and false alarms less than 12 % at a 10-day lead time.

82

83 Reanalysis data sets have been used to study ARs typically in the context of examining impacts  
84 on extreme precipitation and flooding events. Studies using NCEP/NCAR reanalysis [Neiman et  
85 al., 2008, Ralph et al., 2011], North American Regional Reanalysis data set [Neiman et al.,  
86 2011], ERA-I [Runtz et al., 2014; Lavers and Villarini, 2013], MERRA [Ryoo et al., 2013;  
87 Payne and Magnusdottir, 2014], and 20CR [Lavers et al., 2012] have identified ARs in these data  
88 sets and relationships to extreme precipitation and flooding. Alternative methods for detecting  
89 ARs using an IVT thresholding method [Rutz et al., 2014] or percentiles of the IVT distribution  
90 [Lavers et al., 2012, Payne and Magnusdottir, 2014] have been developed for reanalysis data  
91 sets. Lavers et al. [2012] featured five reanalysis products (CFSR, MERRA, ERA-I, 20CR, and  
92 NCEP) in their study to determine linkages with British winter floods with ARs. They

93 conducted a 31-year study for this region and found good agreement for AR occurrences  
94 between the five reanalysis products. However, no comprehensive study has been done to assess  
95 the skill of AR landfalls along the U.S. West Coast among these various reanalysis data sets  
96 against satellite observations.

97

98 In this study, the ARDT is applied to IWV data from four reanalysis data sets and evaluated  
99 against the AR statistics derived from satellite data for the U.S. West Coast region for the cool-  
100 season portion of the water years from 1998 to 2012. While IVT is a more direct parameter for  
101 identifying water vapor flux associated with AR signatures, satellite retrievals of IWV data are  
102 used here because satellites are not capable of discerning vertical wind profiles required to derive  
103 IVT. The evaluation is primarily focused on comparing AR landfall similarities and differences  
104 between the reanalysis products. Should the evaluation of ARs derived from reanalysis data  
105 against satellite data prove that reanalysis data can reliably produce AR features, then greater  
106 confidence can be subscribed to the AR climatologies developed from these products particularly  
107 during the satellite era.

108

109 This paper will describe the data sets used for this study in Section 2. The methodology of AR  
110 identification is provided in Section 3 along with the issues of AR identification from data sets of  
111 various spatial resolutions. Section 4 evaluates AR landfalls among the various reanalysis  
112 products and how they compare with the AR landfall data from satellite observations.  
113 Conclusions are then provided in Section 5.

114

## 115 2. DATA

116

### 117 2.1 Satellite data

118

119 IWV data derived from satellite observations were used to develop the AR validation data set for  
120 the reanalysis data products. SSM/I and SSMIS observations from the Defense Meteorological  
121 Satellite Program (DMSP) polar-orbiting satellites were used in this study. Twice daily  $0.5^\circ \times$   
122  $0.5^\circ$  gridded data were generated utilizing all available sensors from 1997 through 2012 for time  
123 periods 0000–1159 UTC and 1200–2359 UTC, and it is these satellite data that define the time  
124 period for this study. Combining data from multiple sensors eliminated most gaps in coverage;  
125 however, gaps were identified and accounted for in the analysis as will be discussed in section  
126 4.1. Retrievals of satellite IWV were generated using the Wentz [1995] statistical algorithm  
127 which has been used extensively in previous AR studies [Wick et al., 2013b, Neiman et al.,  
128 2013].

129

### 130 2.2 Reanalysis data

131 Reanalyses are multiyear gridded data that represent the state of the atmosphere using a constant  
132 model and a data assimilation system. Four reanalysis data sets were used in this study: The  
133 NCEP Climate System Forecast Reanalysis (CFSR) [Saha et al., 2010], the NASA Modern-Era  
134 Retrospective Analysis for Research and Applications (MERRA) [Rienecker et al., 2011], the  
135 ERA-Interim (ERA-I) [Dee et al., 2011], and the Twentieth Century Reanalysis version 2

136 (20CR) data set [Compo et al., 2011]. Table 1 provides the temporal and spatial characteristics  
137 from each of the data sets. All four data sets have 6-hourly product output but only 0000 and  
138 1200 UTC times were used in this study since they best correspond in time with the satellite  
139 observations. All four data sets have a time range coincident with the satellite time period used  
140 in this study.

141

142 Different levels of assimilating the satellite observations used in this study were incorporated for  
143 the four reanalysis data sets. CFSR, MERRA, and ERA-I assimilated SSM/I data (among many  
144 other observations and products), although the specifics of the SSM/I assimilation varied: CFSR  
145 assimilated only the retrievals of the SSM/I-derived surface winds, MERRA assimilated SSM/I  
146 radiances, retrievals of rain rate and wind speed, and ERA-I assimilated both SSM/I and SSMIS  
147 radiances. The 20CR only assimilated sea-surface temperature and sea ice concentration fields  
148 from the Hadley Centre Sea Ice and SST dataset (HadISST) [Rayner et al., 2003] and surface  
149 pressure provided through the International Surface Pressure Databank (ISPD).

150

### 151 3. METHOD

152

153 The ARDT is an automated objective tool for identifying and characterizing ARs using IWV  
154 fields [Wick et al., 2013a]. We applied the ARDT to SSM/I and SSMIS satellite retrievals of  
155 IWV and to the four reanalysis IWV fields over 15 cool seasons (October–March) for water  
156 years 1998 to 2012. The ARs were identified using the ARDT over the domain from 15°N–55°N  
157 and 160°W–110°W which covers the entire western coastline of the continental United States.

158 The ARDT was applied to twice daily SSM/I and SSMIS satellite observations which were  
159 composited for the 0000–1159 UTC and 1200–2359 UTC time periods. Since most of the DMSP  
160 satellite observations in the northeast Pacific region occur in the 0100–0400 UTC and 1300–  
161 1600 UTC time period, the ARDT was applied to only the 0000 and 1200 UTC reanalysis data  
162 fields. AR satellite data are used primarily as a validation dataset rather than a climatological  
163 data set in this study.

164

165 The ARDT identifies ARs using a skeletonization method [Wick et al., 2013a] to locate potential  
166 core points along IWV features determined by thresholds  $\geq 2$  cm. Wick et al. [2013a] provides  
167 details of the AR identification method. Key outputs from the ARDT for each IWV field are the  
168 number of identified ARs and their locations. Locations are provided as a list of axis points  
169 determined by the ARDT. At each axis point, other key parameters provided are the (1) IWV  
170 core value at the axis location, (2) the width of the AR at pre-defined IWV thresholds of 2.0,  
171 2.67, 3.0, 3.33, 3.67, and 4.0 cm, (3) the AR directional angle, and (4) landfall latitude.

172

173 AR landfall characteristics of these key parameters from the reanalysis and satellite products are  
174 examined in this study. All landfall statistics were averaged using all available AR data from  
175 100–200 km from the coast and conditioned on the presence of an observed satellite AR. Since  
176 ARs typically propagate westward and have a minimum width of ~250 km, these statistics were  
177 considered representative of ARs associated with a landfall. The IWV core value is the IWV at  
178 the axis location which is defined as the center point along the shortest transect crossing the AR  
179 at that location. AR width values are defined at various IWV thresholds (2.0, 2.33, and 2.67 cm).

180 Here we use the minimum width for these thresholds to define the average since slight variations  
181 in IWV values between the reanalysis products could bias widths for any given threshold.  
182 Landfall latitude is the average latitude location defined by the set of AR axis locations within  
183 the 100–200 km coastal region. Information within 100 km of the coast was not included since  
184 microwave satellite observations risk having sidelobe contamination due to high land emissivity.  
185 Figure 1 shows an example of the domain used to identify ARs in this study and an AR signature  
186 in the satellite and reanalyses IWV fields that occurred March 27, 2005. This AR event [Ralph  
187 et al., 2011] induced orographically-enhanced precipitation that was responsible for high stream  
188 flows and ended a drought in the Pacific Northwest. Over the AR axis locations (grey dots of  
189 Figure 1), a flag is set indicating whether the axis location is near the coast. It is from application  
190 of the ARDT to IWV fields shown in Figure 1 that AR landfall characteristics are developed for  
191 this study.

192

193 Gaps in satellite coverage, due to missing satellite orbits or gaps between orbits that prevented  
194 the ARDT from detecting AR events were accounted for in the reanalysis products when directly  
195 comparing AR landfall events between reanalysis and satellite observations. The number of grid  
196 cells with missing satellite IWV data that have a minimum distance from the North American  
197 coast line between 100 and 2000 km were accumulated for each 12-hour period (0000–1159 and  
198 1200–2359 UTC) for the 15 winter seasons. Missing data in other regions of the domain were  
199 not identified as gaps because the missing data were sufficiently far from coast line to not impact  
200 identifying an AR landfall. A significant gap was identified as having  $> 1\%$  of the grid cells  
201 missing in the 100 to 2000 km region near the coast line for each 12-hour period (hereafter  
202 referred to as fields). From a total of 5468 fields, 4404 fields were deemed viable for input to

203 ARDT and 1064 were excluded. Of the 1064 excluded fields, 1015 fields were found to have  
204 significant gaps and 49 fields had no valid data in the entire domain. Among the 4404 fields  
205 deemed viable, 70 fields had missing data in 100–2000 km region near the U.S. West Coastline  
206 but did not exceed 1%. And finally, 22 of the 4404 fields had an identified AR landfall despite  
207 exceeding the 1% significant gap threshold. These fields were used and considered valid since  
208 missing data did not negatively impact ARDT from identifying an AR landfall.

209

210 A common 0.5° spatial resolution was selected for all reanalysis and satellite data used as input to  
211 the ARDT. 20CR, MERRA, and ERA-I were bilinearly interpolated to 0.5° spatial resolution  
212 while CFSR required no interpolation since it was already at this resolution. Increasing the  
213 resolution of the lower resolution data sets to the highest resolution data set was desirable since  
214 subsampling to a lower resolution grid would result in losing information in the IWV imagery  
215 that would adversely impact the ability of the ARDT to identify an AR. A 7x7 median filter was  
216 applied to the native 0.25° satellite grid data, prior to subsampling the satellite to 0.5° grid data,  
217 in order to reduce measurement noise and fill small gaps that sometimes impacts identification of  
218 continuous features in the IWV imagery [Wick et al., 2013a]. A smaller 3x3 median filter was  
219 applied to the 0.5° CFSR, MERRA, and ERA-I reanalysis data sets due to less noise in these  
220 fields, and no filter was applied to the 20CR data set because minimal impact was found using a  
221 filter due to its lower spatial resolution. Reanalysis data were masked over land to simulate the  
222 satellite IWV data which are not available over land and to prevent AR detections in reanalysis  
223 data that would extend over land.

224

225 Because the ARDT relies on specific IWV thresholds to identify ARs, bias in IWV between the  
226 various data sets was computed and IWV bias adjustments were applied to minimize AR  
227 identification differences between the data sets. The bias between satellite and each reanalysis  
228 data set was determined by computing a mean bias over the whole northeastern Pacific domain  
229 [Wick et al., 2013b]. The cool season of water year 2005 was chosen for this correction since it  
230 centered the study period and only small interannual variations in bias between reanalysis and  
231 satellite data were found. The largest bias correction was 0.12 cm for the 20CR data.

232

#### 233 4. AR LANDFALL ANALYSIS

234

235 Characteristics of the U.S. West Coast AR landfalls are first examined through application of the  
236 ARDT to the satellite observations. The four reanalysis data sets are then compared with the AR  
237 statistics derived from satellite observations to compare differences with observations and among  
238 the different reanalysis products.

239

##### 240 4.1 Satellite AR validation data

241

242 The first step in the analysis of 15 years of AR detection data was to examine the satellite  
243 validation data for AR locations and landfalls during the cool season for water years 1998–2012.  
244 A frequency map of AR axis locations from the satellite IWV observations over the 15-year  
245 period during the cool season is shown in Figure 2. Highest values occur in the western side of

246 the domain north of Hawaii and extend to the northeast toward northwest coast of the United  
247 States. This southwest to northeast orientation remains throughout the six month cool season but  
248 shifts southward from October to March (not shown). The southward shift is related to the  
249 seasonal reduction in the IWV background state during the cool season. Neiman et al. [2008]  
250 also found the cool season ARs in this region to have this preferential orientation.

251

252 Since the focus of this paper is to examine AR landfalls along the United States West Coast,  
253 Figure 3a shows the frequency and percentage of AR landfalls as determined from the satellite  
254 data for each cool season during the 15-year period. The AR frequency is the total number of  
255 AR landfall detections based on twice daily IWV fields over the cool season which has a  
256 maximum of 362 analyzed IWV fields per cool season (during 182 non-leap year days). The AR  
257 landfall percentage is the number of fields with ARs divided by the total number fields (but  
258 excluding fields with data gaps). Note that frequency statistics do not measure the number of  
259 AR days as in previous studies, and do not account for AR evolution over consecutive time steps.  
260 Figure 3b shows the number of fields removed each year due to gaps in satellite coverage  
261 (Section 3). The decrease in gap occurrences starting in 2008 is related to the transition from  
262 SSM/I to SSMIS observations which increases the swath width ~300 km and reduces gaps  
263 between satellite orbits. The frequency time series in Figure 3a shows significant variability  
264 from ~75 to ~135 landfall detections for a given cool season. Gaps in satellite coverage explain  
265 some of the variability and the increase in AR frequency in the later part of the period; however,  
266 the percentage of AR detections available each year still shows an annual variation from 27 to  
267 43% from 2009 to 2011 when satellite coverage was relatively high. Seasonal and interannual  
268 variations in AR landfalls due to natural phenomena, such as the Madden-Julian Oscillation

269 (MJO) and the El Niño/Southern Oscillation (ENSO), also likely impact AR landfall frequency  
270 but quantifying their effects are beyond the scope of this study. The percentage of days detected  
271 to have ARs each cool season (not shown) ranges from 30–50% which is a little higher than  
272 shown in Figure 3a since only one detection per day is required to meet this criterion.

273

274 The frequency and percentage of AR detections over the 15-year period as a function of month is  
275 shown in Figure 4. AR landfall frequency and percentage maximum occurs in October with 47%  
276 of the satellite IWV images showing a U.S. West Coast AR landfall during this month. AR  
277 frequency is highest in October due to the high number of northern U.S. West Coast AR landfalls  
278 during the summer and fall seasons [Neiman et al., 2008] and due to the higher background  
279 water vapor state in the early period of the cool season. Both AR landfall percentage and  
280 frequency decrease through the cool season with only a 22% AR landfall percentage in February  
281 followed by an increase in March AR landfalls. A reduced number of detected AR landfalls in  
282 January and February may also be affected by a lower IWV background where AR-like features  
283 fall below the 2 cm threshold. This monthly climatology agrees well with the manually-  
284 identified climatology shown in Neiman et al. [2008] even though they use a different AR  
285 landfall statistic (AR days) and base time period for their study.

286

287 The number of AR landfall detections in this study exceeds those shown in previous papers  
288 [Neiman et al., 2008; Wick et al., 2013a] for two primary reasons. First, this study counts the  
289 total number (or frequency) of twice daily AR landfall detections while these previous papers  
290 identified the number of AR days. Second, data gap removal was handled differently here

291 resulting in fewer identified data gaps in this study. AR days have previously been defined  
292 requiring landfalls in both IWV images in the twice daily data. This more restrictive definition  
293 was used in Neiman et al. [2008] and resulted in a mean of ~ 3 AR-days/month along the U.S.  
294 West Coast during the cool season. Wick et al. [2013a] required only one of the two IWV daily  
295 images to contain a landfalling AR to be considered an AR-day thus increasing the seasonal  
296 average to ~9 AR-days/month. Our approach using twice daily landfall data and updated gap  
297 definition results in about 40% more AR landfalls detected over the five year water year period  
298 (2004–2008) than those identified in Wick et al. [2013a].

299

## 300 4.2 Reanalysis ARs

301

### 302 4.2.1 AR frequency

303

304 Maps of the frequency of AR axis locations detected over the Pacific west of the U.S. West  
305 Coast, as is shown in Figure 2 for the satellite data, best displays the regions where ARs most  
306 likely originate and make landfall. The frequency of AR axis locations for the CFSR, MERRA,  
307 ERA-I, and 20CR data sets is shown in Figure 5, and their frequency patterns compare well with  
308 the satellite result shown in Figure 2, with maximum frequency in the southwestern portion of  
309 the domain and peak north of Hawaii. The highest AR frequencies extend northeastward toward  
310 the northern region of the U.S. West Coast. Among these three data sets, CFSR shows the  
311 highest frequency values and ERA-I shows the least. 20CR also peaks in the western part of the  
312 domain but has a more evenly distributed set of AR axis points along the AR corridor between

313 Hawaii and the U.S. Pacific Northwest. The magnitude of the AR frequencies in Figure 5 is  
314 significantly less for 20CR product (note scale change of 20CR color bar) at all grid cells, and  
315 the southeast part of the domain shows little or no AR detections. Fewer detections in the  
316 southeast region for all data sets has been shown in previous studies by Ralph et al. [2004] and  
317 Waliser et al. [2012] and may be influenced by the naturally shorter fetch with ARs extending  
318 from the tropics and exclusion of high IWV regions by the ARDT in the tropical reservoir.  
319 Furthermore, the reduced resolution of 20CR acts to both smooth IWV intensity and spatially  
320 broadens AR features such that some AR features may no longer meet the IWV intensity and AR  
321 width requirements in the AR definition.

322

323 A more direct method of comparing the reanalysis products with the satellite validation data is to  
324 construct the percentage of AR detections with respect to the satellite data at each grid cell.  
325 Figure 6 shows that CFSR, MERRA, and ERA-I all have a north to south gradient of detection  
326 percentages, with the reanalyses estimating fewer ARs in the northern part of the domain and  
327 more ARs in the southern part of the domain. ERA-I has the best agreement with satellite with  
328 mean absolute percentage difference of 20.6%, and CFSR shows the largest north-south gradient  
329 and more AR detections across the entire southern domain. The larger bias in CFSR may reflect  
330 the impact of no SSM/I radiance assimilation. However, MERRA and ERA-I also have a  
331 positive AR bias in the southeastern portion of the domain, concentrated near the Baja Peninsula.  
332 20CR significantly underestimates AR detections relative to satellite at most latitudes but  
333 approaches 100% in the northeastern region off the coast of Washington. The north to south  
334 gradient for 20CR is the reverse of the other reanalyses data with the fewest AR detections in the

335 southern portion of the domain due to less IWV in the southeastern domain ( $15^{\circ}\text{N}$ – $25^{\circ}\text{N}$ ,  $140^{\circ}\text{W}$ –  
336  $110^{\circ}\text{W}$ ).

337

#### 338 4.2.2 AR landfall location

339

340 A comparison of the 15-year landfall statistics for each reanalysis product is compared to the  
341 satellite AR landfall climatology in Figure 7. The percentage of landfalls with respect to satellite  
342 shows CFSR, MERRA, and ERA-I with a slightly positive bias in AR landfalls, ranging from  
343 103% to 105% of the satellite AR climatology. 20CR landfalls are shown for both the ensemble  
344 mean and median of ensemble members, with significantly fewer detected AR landfalls than  
345 satellite and the other reanalysis products. The median of ensembles has ~10% more AR  
346 landfalls than the ensemble mean. The red error bars for the ensemble mean give the minimum  
347 and maximum AR landfall percentage from the individual ensemble members. The ensemble  
348 mean landfall percentage remains outside of and below the spread of the ensemble members.  
349 Fewer AR landfalls in 20CR are due to fewer overall AR detections as was discussed in the  
350 previous section. These results also show that averaging the ensemble of IWV field acts to  
351 smooth out AR features enough to significantly reduce the number of detected landfalls. Finally,  
352 when CFSR is linearly interpolated from  $0.5^{\circ}$  to  $2.0^{\circ}$  (green bar, Figure 7), the percentage of AR  
353 detections decreases by ~20%; therefore, product resolution plays a significant role in AR  
354 identification, and likely contributes about half of 20CR's AR underestimation.

355

356 The seasonal and latitudinal variation of the 15-year AR landfall frequency for the satellite,  
357 CFSR, MERRA, ERA-I, 20CR ensemble mean, and 20CR median of ensembles show important  
358 differences between the reanalysis data sets. All of the data sets are shown in Figure 8 to have  
359 their maximum AR landfall frequency in October in the northern region of the U.S. West Coast  
360 between 45°N and 50°N. The high relative landfall frequencies in October and November agrees  
361 well between satellite and reanalysis data except for 20CR landfalls southward of 45°N which  
362 has fewer landfall frequencies. Landfall frequencies decrease north of 42°N after November but  
363 the decrease of landfall frequencies around 40°N remains more steady until February.  
364 Frequencies are at a minimum in February but increase again around 40°N in March. The 20CR  
365 increase of landfall frequencies in March appears further north than the other data. Differences  
366 between the 20CR ensemble mean and median of ensembles are generally higher values in all  
367 areas for the median data but particularly south of 45°N.

368

369 In order to further identify AR landfall differences as function of latitude location, the 15-year  
370 AR landfall comparison was separated into two regions defined by the latitude of landfall. A  
371 northern region along the coast of Washington was defined to be 45°N–50°N and a southern  
372 region in southern California and Baja region was defined to be 30°N–35°N. AR detection  
373 percentages with respect to satellite AR climatology for these two regions are shown in Figure 9.  
374 AR landfalls in the northern region show better agreement across the four reanalyses than for the  
375 southern region. For the northern region, MERRA and CFSR are slightly under 100% and ERA-  
376 I is slightly above 100%. 20CR shows percentages of ~80% for the northern region,  
377 significantly higher than the ~50% shown for the entire U.S. West Coast. The southern region  
378 shows larger differences across all four reanalyses and greater differences with the satellite

379 climatology. ERA-I best agrees with satellite AR landfalls in the southern region with a  
380 percentage near 100%. The ARDT identified ~20% more AR landfalls for MERRA and CFSR  
381 than satellite, and the AR detection percentage for 20CR was smaller than other reanalyses with  
382 29.3% for the ensemble mean and 55.0% for the median of the ensembles (25.7% difference).  
383 This difference contrasts with the northern region where the 20CR ensemble mean and median  
384 AR percentages had difference of only 3.5%. The ensemble spread for the southern region is  
385 6.6% larger than the northern region indicating more uncertainty with detecting AR landfalls for  
386 the southern region.

387

#### 388 4.2.3 AR landfall characteristics

389

390 AR landfall characteristics including IWV core, minimum width, directional angle, and landfall  
391 latitude biases were examined among the four reanalysis products and the satellite data and  
392 results are provided in Table 2. The satellite mean data for these characteristics compared well  
393 with previous results from Wick et al. [2013b] and was in good agreement with the reanalysis  
394 data sets. The core IWV values for landfalling ARs were drier for the reanalysis data but had  
395 less than 0.1 cm difference with the satellite data for CFSR, MERRA, and ERA-I. The 20CR  
396 ensemble mean had the largest dry bias of 0.21 cm. Small minimum width differences occur  
397 between satellite and CFSR, MERRA, and ERA-I, but 20CR shows a 63 km wider AR landfall  
398 width due to the lower resolution of that product. Directional angle for all reanalysis products  
399 has landfalling ARs oriented about 4 to 11 degrees more northward at landfall than satellite data  
400 with 20CR closest to satellite results and ERA-I having the largest angle difference. However,

401 this slightly more northward directional angle is likely within the uncertainty of the technique  
402 used to determine this angle. Landfall latitude bias was less than 100 km for all reanalysis  
403 products indicating good agreement on landfall position. A latitude landfall bias for all  
404 reanalysis products indicated a northerly bias which is most likely due to a ~ 2 hour delay in the  
405 satellite observation time with the reanalysis output time.

406

#### 407 4.2.4 Simultaneous AR landfall occurrence

408

409 The ability for the reanalysis data sets to represent the satellite observations of AR landfalls was  
410 tested using traditional statistical measures of probability of detection (POD) and false alarm rate  
411 (FAR). The POD is defined as the fraction of cases where a satellite AR landfall was accurately  
412 represented in a reanalysis product. The FAR is the fraction of reanalysis AR landfalls that did  
413 not occur in the satellite observations. An AR landfall match between reanalysis and satellite  
414 was deemed to occur if AR landfall occurred on the same day and within 3° latitude of each  
415 other. This condition was applied to ensure detection statistics were based on matched AR  
416 landfalls. Statistics were derived over the 15-year record of the data sets. 20CR data results are  
417 only from the ensemble mean data.

418

419 The monthly climatology of POD statistics for each reanalysis product are shown in Figure 10a.  
420 POD is highest in the first two months of the cool season for all four data sets and a downward  
421 trend occurs through February with March showing higher POD for all but the 20CR data.  
422 CFSR AR POD was the highest over the 15-year period. MERRA and ERA-I AR POD are less

423 than CFSR by no more than 0.06, whereas 20CR had the lowest POD among the four data sets.  
424 MERRA is impacted by the 3° latitude restriction and compares well with CFSR and ERA-I  
425 when this condition is removed as is shown with landfall latitude bias in Table 2. However,  
426 MERRA AR landfall locations have less agreement with the satellite AR data when using the 3°  
427 latitude requirement. 20CR POD results are impacted by the significantly fewer AR detections  
428 along the southern region of the U.S. West Coast. POD results are generally better in the early  
429 season due to better agreement for north U.S. West Coast AR landfalls among all the data sets.  
430 The FAR (Figure 10b) also shows a downward trend throughout the cool season for all four data  
431 sets. The highest FAR values occur during the early cool season during more frequent AR  
432 landfalls, in particular along the northern region of the U.S. West Coast. The 15-year FAR is  
433 highest for MERRA due to mismatched AR locations as noted previously but CFSR and ERA-I  
434 have a similar result. 20CR's underestimation of the number of AR landfalls results in it having  
435 the fewest falsely detected AR landfalls.

436

437 Previous estimates of POD and FAR for AR landfalls within numerical weather prediction  
438 (NWP) models over three cool seasons were calculated in Wick et al. [2013b]. POD of AR  
439 landfalls at the analysis time for these models generally ranged from 0.75 to 0.9 which is higher  
440 than shown here. This difference can be explained by our imposed 3° latitude restriction: When  
441 this restriction is removed the POD results for CFSR, ERA-I, and MERRA increase to between  
442 0.8 and 0.9. FAR results for AR landfalls in Wick et al. [2013b] range from 0.2 to 0.3 which is  
443 significantly higher than with the reanalysis products shown here. The POD also trends to  
444 slightly higher values from 1998 to 2012 for CFSR, ERA-I, and MERRA (not shown). This is

445 likely due to more satellite observations included in these reanalysis products at the end of the  
446 time period.

447

## 448 5. CONCLUSIONS

449

450 An assessment of AR landfall frequency for the U.S. West Coast for the cool season over a 15-  
451 year period from October 1997 to March 2012 was conducted using SSM/I and SSMIS satellite  
452 observations and four reanalysis data sets. While interannual AR landfall frequency varies  
453 greatly, gaps in satellite observations also impact the data sampling and likely impact this  
454 variability. The percentage of twice daily satellite AR detections over a cool season varies from  
455 27%–45%. The frequency in AR detection is higher than previous studies, due in part to fewer  
456 gaps removed from the satellite observations in this study. The monthly climatology using the  
457 ARDT method has the highest AR landfall percentage and frequency in October and the lowest  
458 in February in agreement with previous studies.

459

460 AR U.S. West Coast landfall frequency is generally well characterized in the CFSR, MERRA,  
461 and ERA-I reanalysis data sets as compared to satellite observations. The 15-year percentage of  
462 AR landfalls in these three reanalyses with respect to satellite ranges from 103% to 105%. All  
463 three reanalyses had their highest AR landfalls occur in the northern region in October. ERA-I  
464 AR landfall percentages were slightly greater than 100% for both the northern and southern  
465 region and showed the most consistent AR landfall percentage while MERRA and CFSR had  
466 slightly less than 100% for the northern region but upwards of 120% for the southern region. AR

467 frequency maps also show the lowest AR detection error rate between satellite and ERA-I.  
468 CFSR shows significantly more AR detections south of 25°N than the other three reanalyses.  
469 This larger difference is likely due to the greater degree of independence from the satellite data  
470 in CFSR since SSM/I radiances were not assimilated while MERRA and ERA-I did assimilate  
471 SSM/I radiances.

472

473 Significantly fewer AR landfalls were identified in 20CR due to several factors. One factor is  
474 the lower spatial resolution of the 20CR product reduces the number of AR landfall detections.  
475 Interpolating CFSR data to the 20CR data resolution reduced the AR landfall percentage by  
476 20%, suggesting that about half of 20CR's underestimation is due to its coarse resolution; the  
477 ARDT likely has greater uncertainty in detecting AR features from lower resolution data. 20CR  
478 ensemble mean data was found to have ~10% fewer AR landfall detections than the median of  
479 the ensemble members. Both of these factors act to smooth narrow and marginally weak ARs  
480 such that they are no longer detectable with ARDT. A third factor is notably fewer AR landfalls  
481 in the southern region of the U.S. West Coast for 20CR. While AR landfall percentages for  
482 20CR for the northern region of the U.S. West Coast compare well with satellite and other  
483 reanalysis products, the southern region had significantly fewer AR landfalls, particularly for the  
484 20CR ensemble mean data which had 25% fewer landfalls than the median result.

485

486 Statistical measures of probability of detections (POD) and false alarm rate (FAR) of AR  
487 landfalls show the highest POD for CFSR of 0.73. Even though satellite and reanalysis agreed  
488 well in the total frequency of AR landfalls, a little more than one quarter of landfalls in

489 reanalysis did not match with satellite. POD and FAR monthly climatologies show highest  
490 values in October and November and lowest values in February and March. 15-year FAR is  
491 highest for MERRA at 15% but only 1–2% points higher than CFSR and ERA-I. Because of  
492 fewer AR landfall detections, 20CR had both the lowest POD and FAR. POD and FAR rates are  
493 less than previously reported using AR landfalls detected in NWP models, likely due to a more  
494 restrictive definition of a matched AR between reanalysis and satellite data.

495

496 This analysis provides insight and confidence for using reanalysis products for AR landfall  
497 detections along the U.S. West Coast. Reanalysis products have the advantage over satellite data  
498 of having complete time and space sampling and generally longer time records, but have greater  
499 uncertainty for earlier time periods where few observations are assimilated. From these  
500 reanalysis data, AR landfall climatologies can be developed and compared with other indices of  
501 atmospheric and oceanic variability that may impact the subseasonal-to-decadal variability of  
502 AR landfalls on the U.S. West Coast. Also with reanalysis data, a further step could be taken to  
503 identify AR landfalls using integrated vapor transport (IVT) which is more direct measure of  
504 water vapor flux along an AR. Such climatologies should prove useful in better understanding  
505 U.S. West Coast extreme precipitation events and their possible relationship with seasonal  
506 (MJO) and interannual (ENSO) variability.

507

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509

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515 (GES) Data and Information Center (DISC). Satellite data were acquired from the NOAA  
516 Comprehensive Large Array-Data Stewardship System (CLASS).

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587

588 7. TABLES

589

590 Table 1: Summary of time and spatial characteristics of reanalysis data sets.

591

Product	Time period (years)	Product spatial resolution (longitude x latitude) in degrees	Product time resolution (UTC)
CFSR	1979–present	0.5° x 0.5°	0000,0600,1200,1800
MERRA	1979–present	0.67° x 0.5°	0000,0600,1200,1800
ERA-I	1989–present	0.7° x 0.7°	0000,0600,1200,1800
20CR	1871–present	2.0° x 2.0°	0000,0600,1200,1800

592

593

594 Table 2: Satellite 15-year cool season mean and bias (reanalysis-satellite) in IWV, AR width,  
 595 angle, and landfall latitude statistics conditioned on both satellite and reanalysis AR landfall.  
 596 20CR\_MN is derived from the ensemble mean data, 20CR\_MD is the median of the ensemble  
 597 member data, and 20CR\_SPD is the spread of the ensemble members from minimum to  
 598 maximum.

	Satellite Mean	MERRA Bias	ERA-I Bias	CFSR Bias	20CR_MN Bias	20CR_MD Bias	20CR_SPD	
							Max	Min
IWV (cm)	2.71	-0.02	-0.06	-0.09	-0.21	-0.18	-0.16	-0.21
Width (km)	261.7	-6.5	-12.4	-5.3	63.1	63.4	68.3	56.7
Angle (degrees)	47.3	-5.6	-11.2	-8.0	-3.9	-5.6	-3.4	-8.4
Landfall Latitude (degrees)	41.4	0.37	0.72	0.23	0.87	0.77	0.90	0.58

599

600 8. FIGURE CAPTIONS

601

602 Figure 1: Example of AR for March 27, 2005 depicted with IWV fields from satellite  
603 observations from SSM/I and CFSR, 20CR, MERRA, and ERA-I reanalysis data sets. Grey dots  
604 indicate axis locations determined by ARDT as the center axis locations of the AR. 20CR IWV  
605 data is from the ensemble mean data set.

606

607 Figure 2: A map of the frequency of AR axis locations detected by ARDT using 1.0 degree grid  
608 spacing for twice daily satellite observations from SSM/I and SSMIS data for 15 cool seasons  
609 (October–March) for water years 1998–2012.

610

611 Figure 3: (a) Time series of the frequency (blue) of AR landfalls detected by ARDT using 0.5  
612 degree SSM/I and SSMIS IWV data for the cool season for water years 1998–2012. Frequency  
613 based on twice daily satellite IWV imagery. Time series of percentage of AR landfalls (green)  
614 for the same time period is also shown. (b) Time series of the number of occurrences where gaps  
615 in the SSM/I and SSMIS data prevented ARDT from performing detection for a given IWV  
616 image. Annual maximum occurrences for a non-leap year during the cool season is 364.

617

618 Figure 4: Monthly climatology of AR landfall frequency and percentage along the U.S. West  
619 Coast (31°N–50°N) derived from SSM/I and SSMIS IWV data for 15 cool seasons for water  
620 years 1998–2012.

621

622 Figure 5: A map of AR axis location frequency using (a) CFSR, (b) MERRA, (c) ERA-I, and (d)  
623 20CR IWV data for water years 1998–2012. Note change in color bar scale for 20CR  
624 (maximum = 600) differs from the other three figures (maximum = 1800).

625

626 Figure 6: Maps of AR axis percentage relative to satellite for (a) CFSR, (b) MERRA, (c) ERA-I,  
627 and (d) 20CR for water years 1998–2012. Mean absolute percentage difference given in  
628 parenthesis. Note that 20CR color bar maximum is 100 which is half of the maximum value for  
629 the other three figures.

630

631 Figure 7: Percentage of AR landfalls relative to satellite for water years 1998–2012 for MERRA,  
632 ERA-I, CFSR, 20CR ensemble mean (20CR\_MN), and 20CR median of ensembles  
633 (20CR\_MD). Green bar indicates CFSR result using 2.0° IWV data rather than 0.5° used for all  
634 other data in this bar plot. Red indicates maximum and minimum percentages for the 56  
635 ensemble members of 20CR.

636

637 Figure 8: Total AR landfall frequency for each month in 1° latitude bins over the 15-year period.

638

639 Figure 9: Percentage of reanalysis AR landfalls relative to satellite for water years 1998–2012 for  
640 MERRA, ERA-I, CFSR, 20CR ensemble mean (20CR\_MN), and 20CR median of ensembles  
641 (20CR\_MD). Blue bar indicates AR landfall percentage for 45°N–50°N and green bar indicates

642 AR landfall percentage for 30°N–35°N. Light blue indicates 20CR ensemble spread and dark  
643 line in middle of light blue indicates median.

644

645 Figure 10: (a) The probability of detection (POD) and (b) the false alarm rate of AR landfalls for  
646 water years 1998–2012 as a function of month. AR match required AR landfall to occur on the  
647 same day and within 3° of latitude. 15-year mean POD and FAR values given in legend.

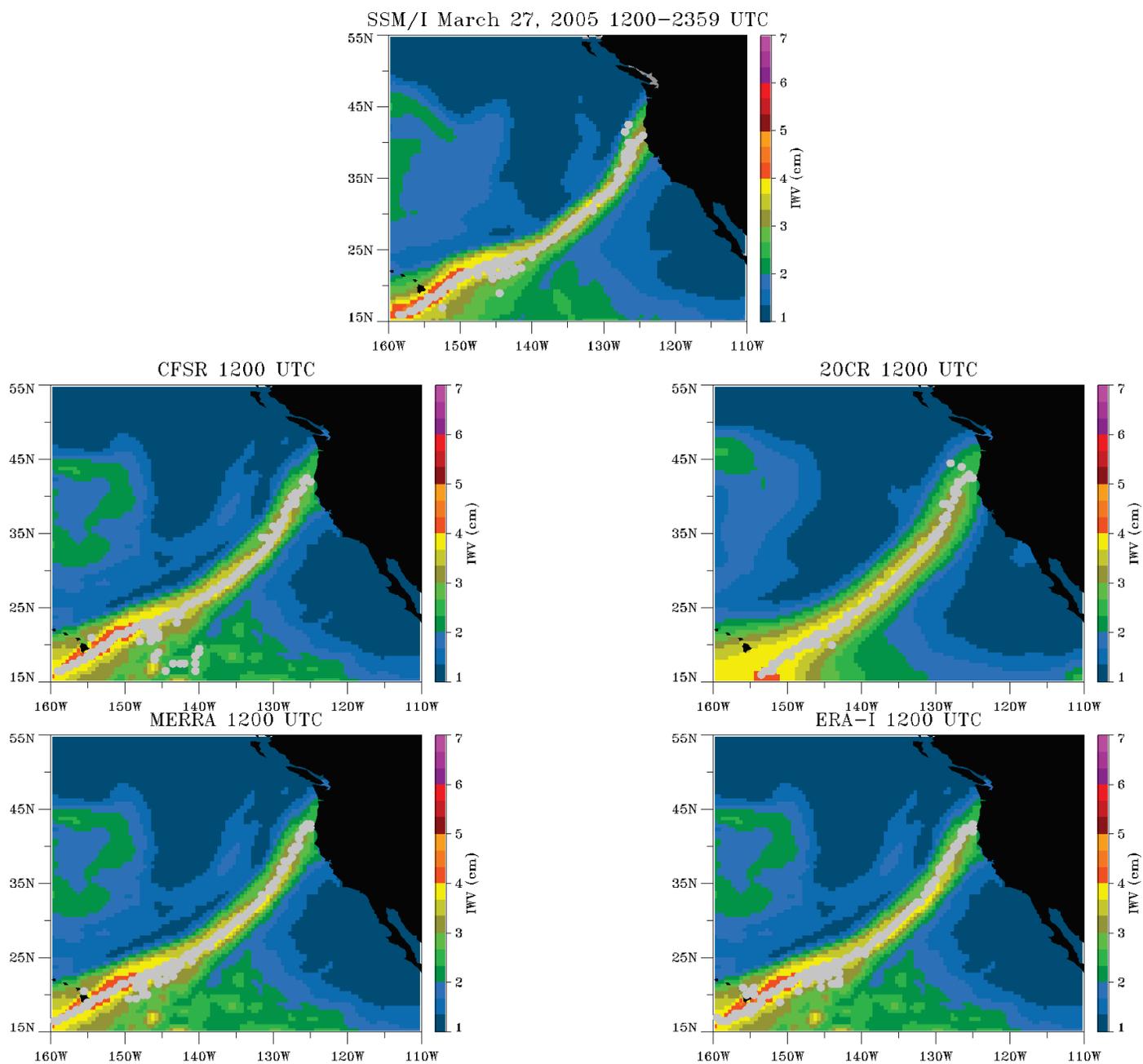


Figure 1: Example of AR for March 27, 2005 depicted with IWV fields from satellite observations from SSM/I and CFSR, 20CR, MERRA, and ERA-I reanalysis data sets. Grey dots indicate axis locations determined by ARDT as the center axis locations of the AR. 20CR IWV data is from the ensemble mean data set.

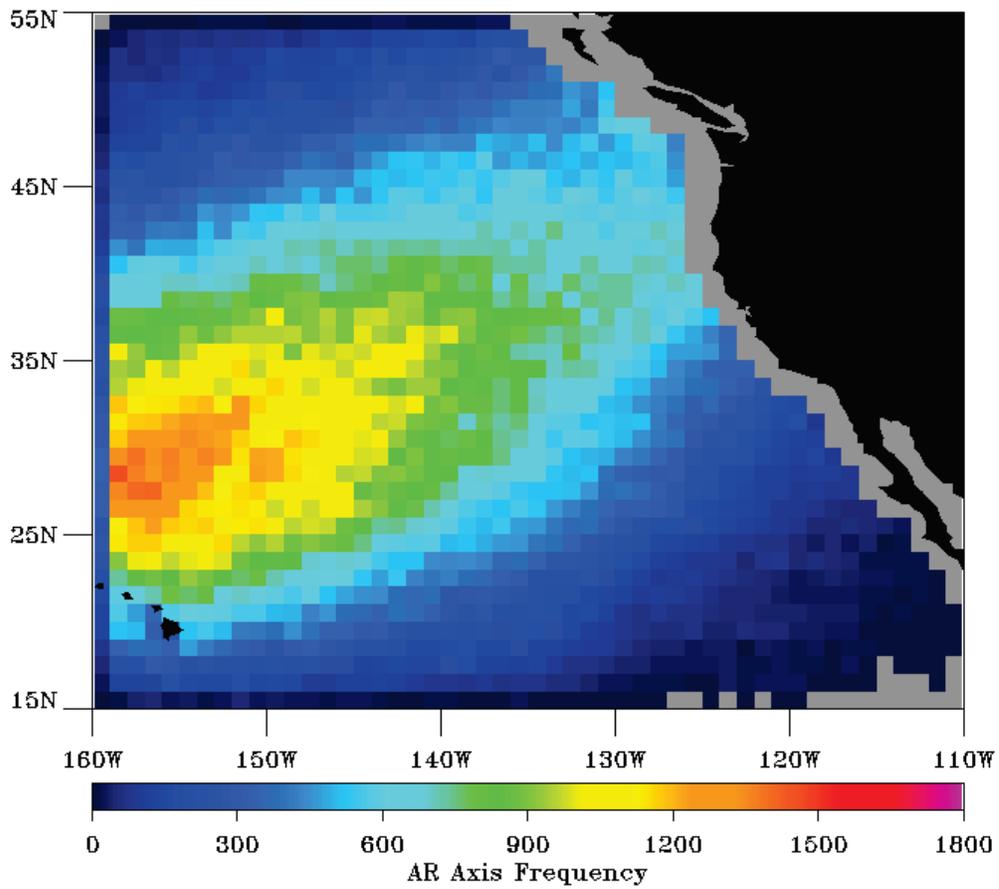


Figure 2: A map of the frequency of AR axis locations detected by ARDT using 1.0 degree grid spacing for twice daily satellite observations from SSM/I and SSMIS data for 15 cool seasons (October–March) for water years 1998–2012.

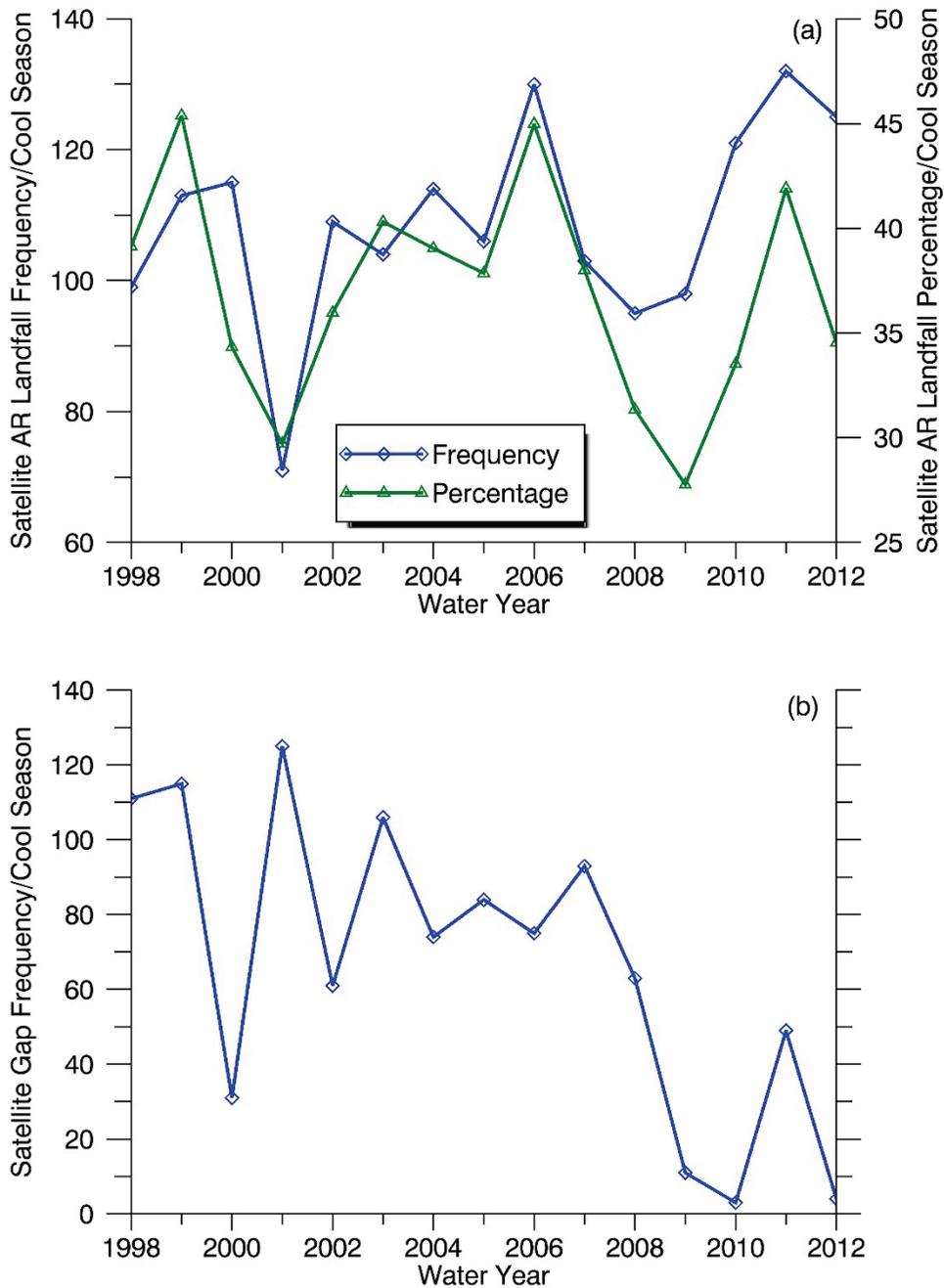


Figure 3: (a) Time series of the frequency (blue) of AR landfalls detected by ARDT using 0.5 degree SSM/I and SSMIS IWV data for the cool season for water years 1998–2012. Frequency based on twice daily satellite IWV imagery. Time series of percentage of AR landfalls (green) for the same time period is also shown. (b) Time series of the number of occurrences where gaps in the SSM/I and SSMIS data prevented ARDT from performing detection for a given IWV image. Annual maximum occurrences for a non-leap year during the cool season is 364.

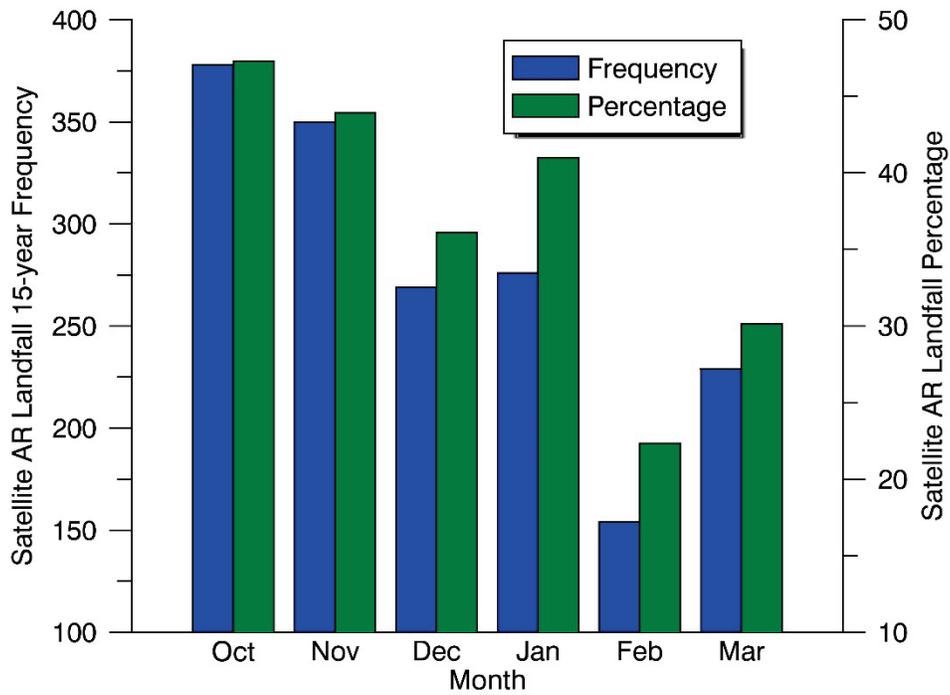


Figure 4: Monthly climatology of AR landfall frequency and percentage along the U.S. West Coast (31°N–50°N) derived from SSM/I and SSMIS IWV data for 15 cool seasons for water years 1998–2012.

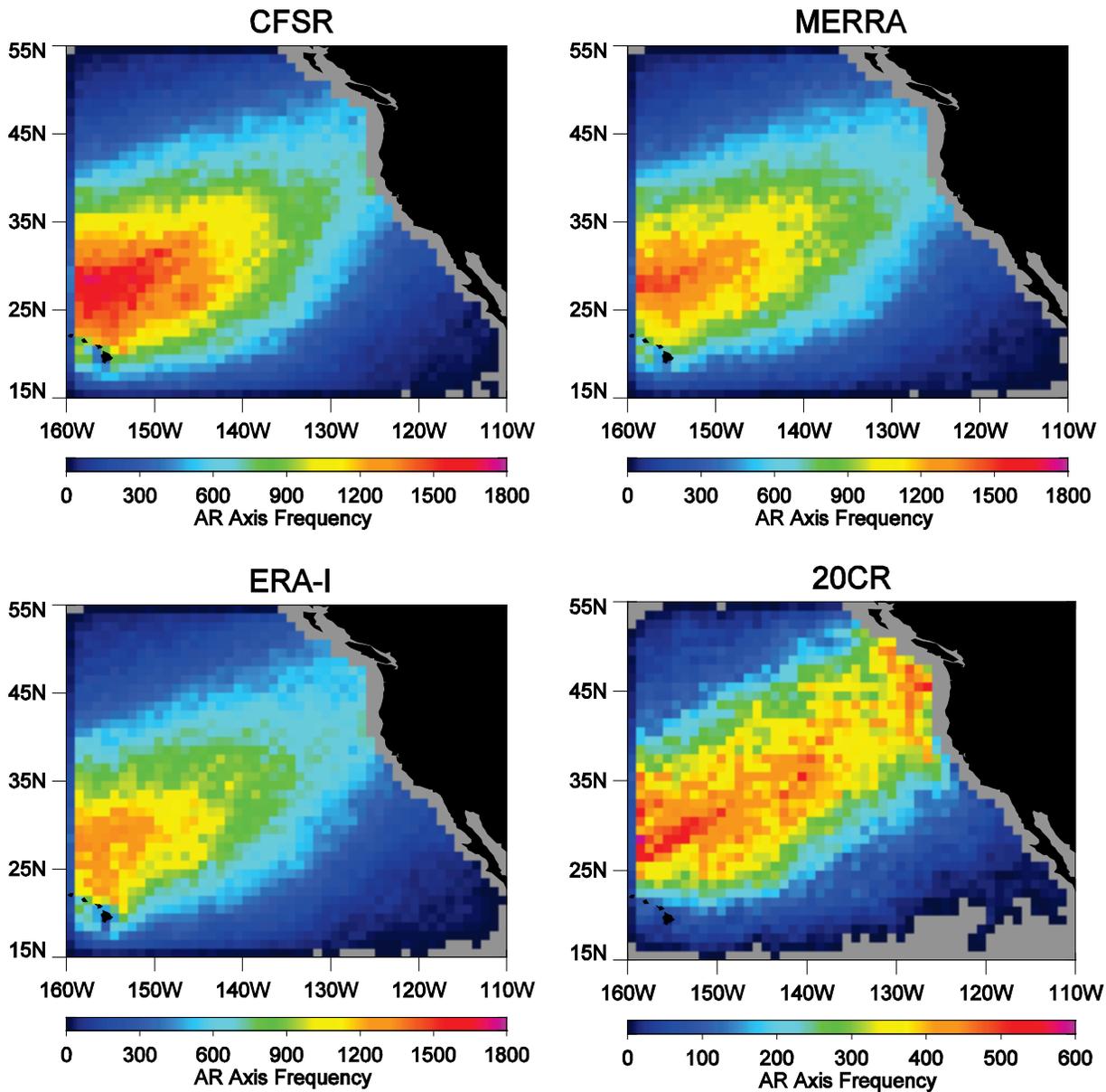


Figure 5: A map of AR axis location frequency using (a) CFSR, (b) MERRA, (c) ERA-I, and (d) 20CR IWV data for water years 1998–2012. Note change in color bar scale for 20CR (maximum = 600) differs from the other three figures (maximum = 1800).

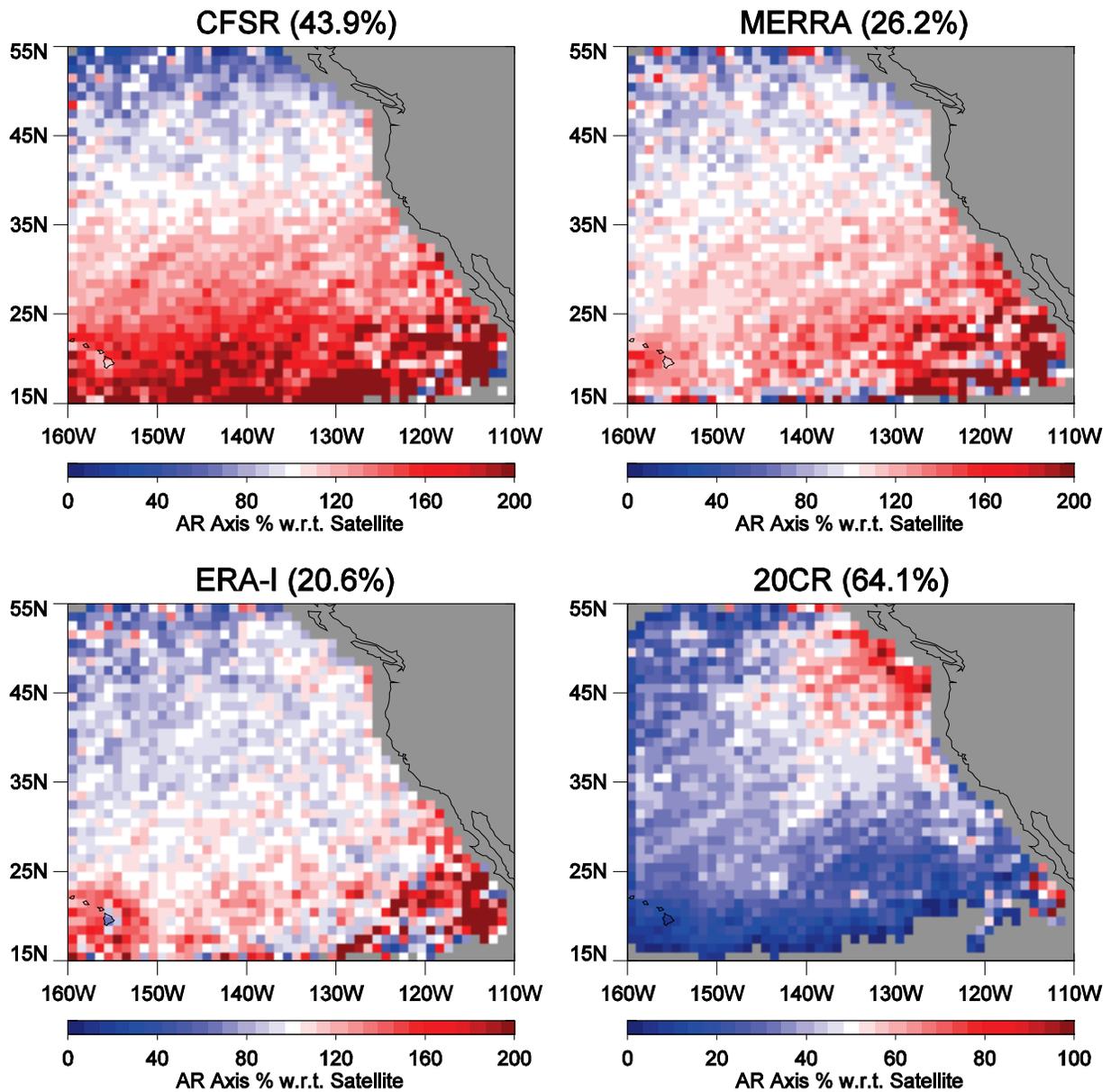


Figure 6: Maps of AR axis percentage relative to satellite for (a) CFSR, (b) MERRA, (c) ERA-I, and (d) 20CR for water years 1998–2012. Mean absolute percentage difference given in parenthesis. Note that 20CR color bar maximum is 100 which is half of the maximum value for the other three figures.

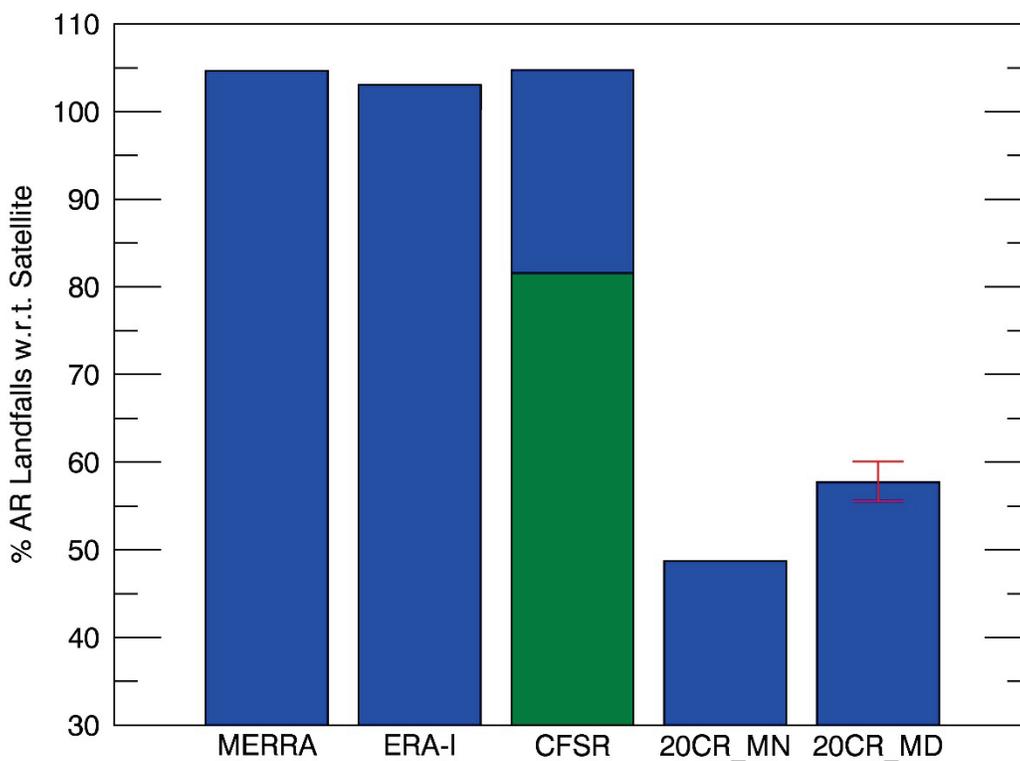


Figure 7: Percentage of AR landfalls relative to satellite for water years 1998–2012 for MERRA, ERA-I, CFSR, 20CR ensemble mean (20CR\_MN), and 20CR median of ensembles (20CR\_MD). Green bar indicates CFSR result using 2.0° IWV data rather than 0.5° used for all other data in this bar plot. Red indicates maximum and minimum percentages for the 56 ensemble members of 20CR.

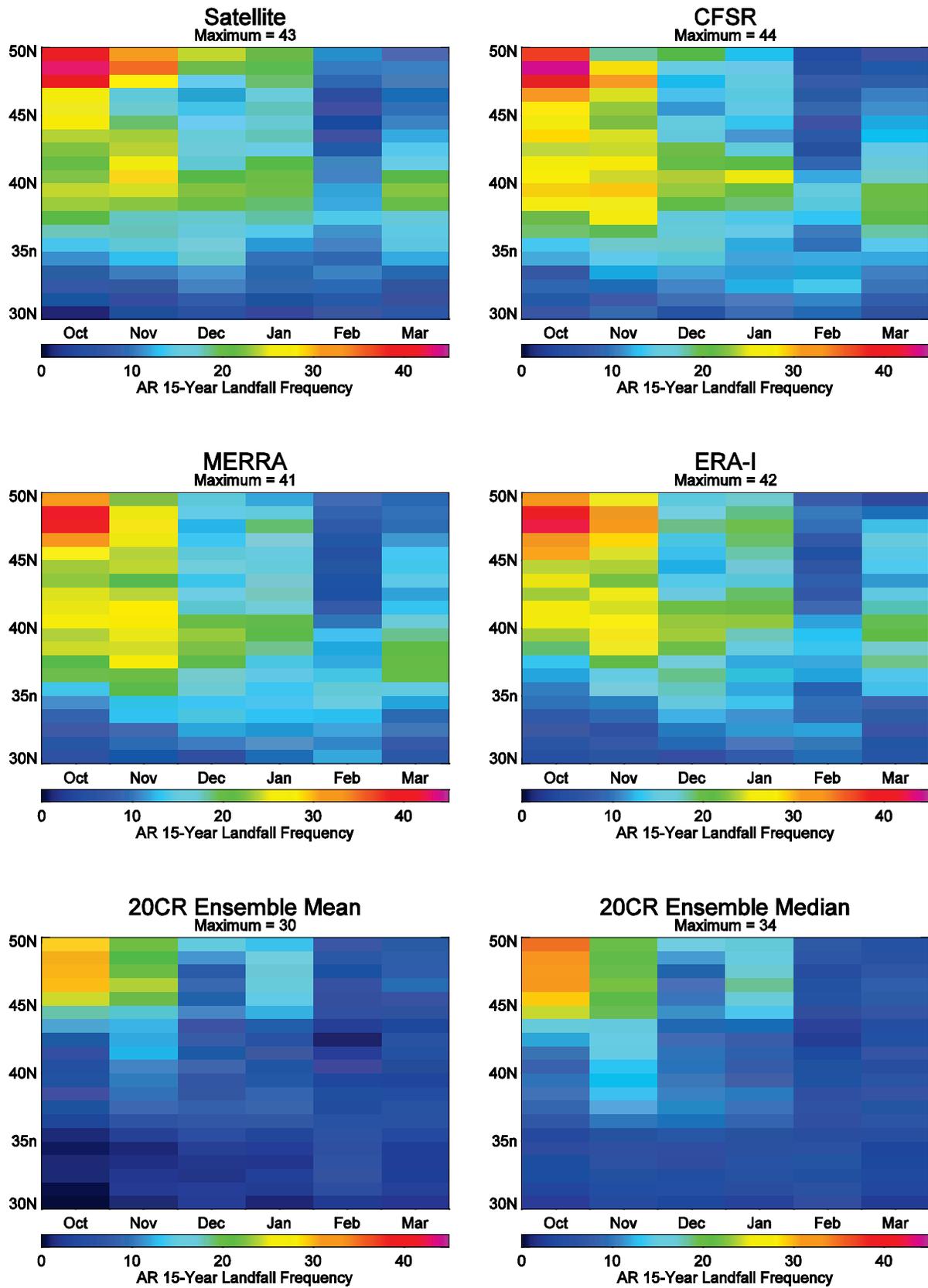


Figure 8: Total AR landfall frequency for each month in 1° latitude bins over the 15-year period.

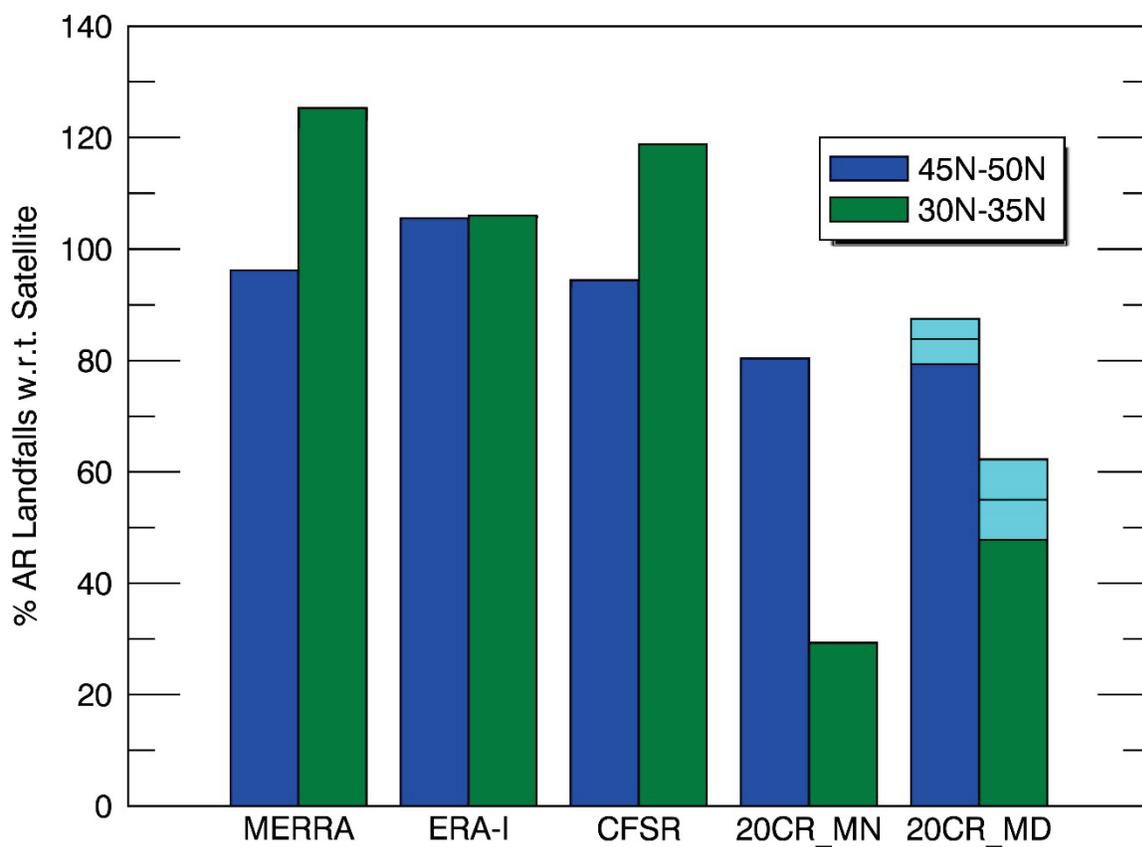


Figure 9: Percentage of reanalysis AR landfalls relative to satellite for water years 1998–2012 for MERRA, ERA-I, CFSR, 20CR ensemble mean (20CR\_MN), and 20CR median of ensembles (20CR\_MD). Blue bar indicates AR landfall percentage for 45°N–50°N and green bar indicates AR landfall percentage for 30°N–35°N. Light blue indicates 20CR ensemble spread and dark line in middle of light blue indicates median.

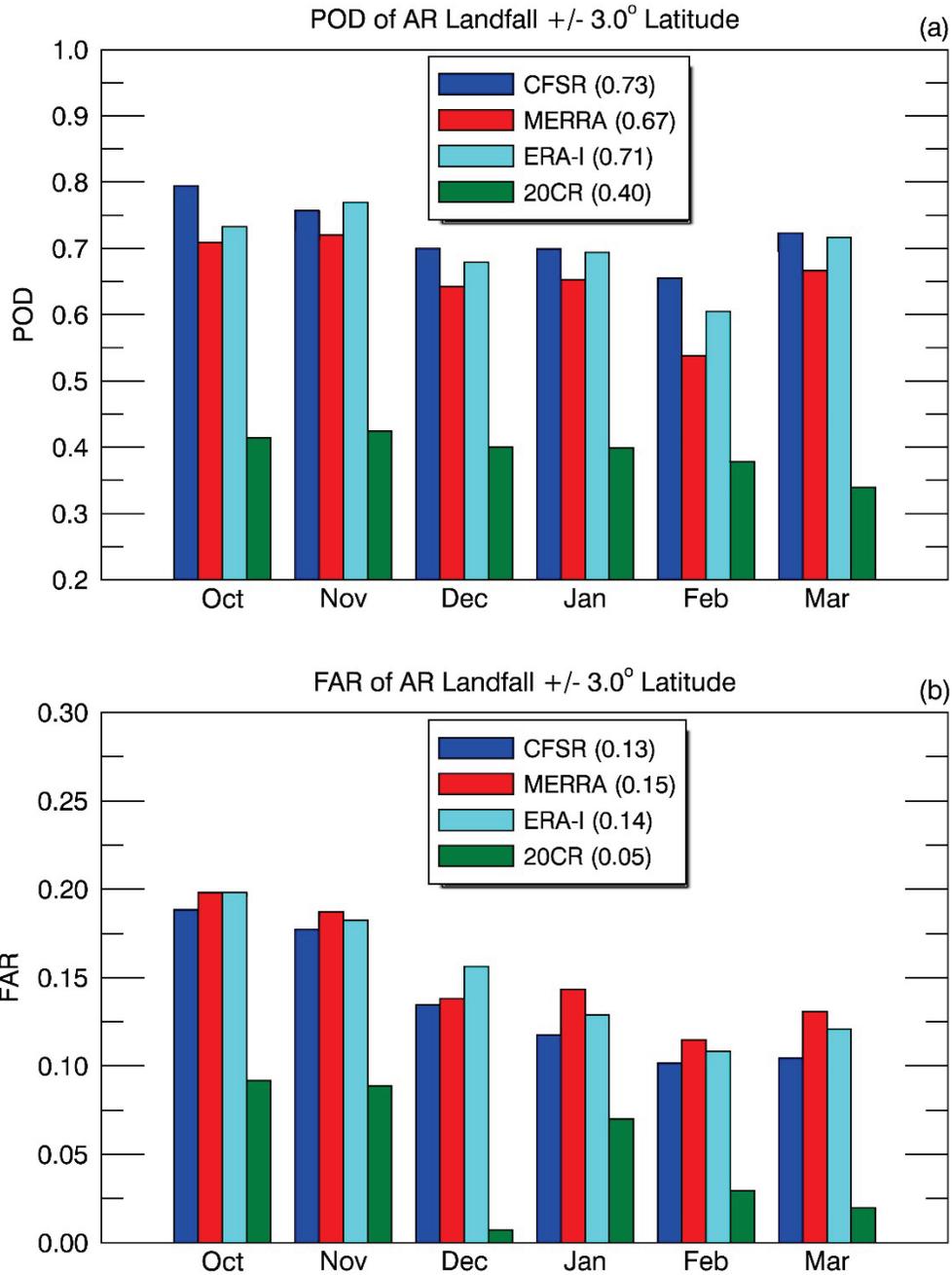


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