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RRH: Phylogeography of *Corallochaetodon* butterflyfishes

Phylogeography, population structure and evolution of coral-eating butterflyfishes (Family Chaetodontidae, genus *Chaetodon*, subgenus *Corallochaetodon*)

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ABSTRACT

Aim This study compares the phylogeography, population structure and evolution of four butterflyfish species in *Chaetodon* subgenus *Corallochaetodon*, with two widespread species (Indian Ocean – *C. trifasciatus* and Pacific Ocean - *C. lunulatus*), and two species that are largely restricted to the Red Sea (*C. austriacus*) and northwestern (NW) Indian Ocean (*C. melapterus*). Through extensive geographical coverage of these taxa, we seek to resolve patterns of genetic diversity within and between closely-related butterflyfish species in order to illuminate biogeographical and evolutionary processes.

Location Red Sea, Indian Ocean and Pacific Ocean.

Methods A total of 632 individuals from 24 locations throughout the geographical ranges of all four members of the subgenus *Corallochaetodon* were sequenced using a 605 bp fragment (cytochrome *b*) of mtDNA. In addition, 10 microsatellite loci were used to assess population structure in the two widespread species.

Results Phylogenetic reconstruction indicates that the Pacific Ocean *C. lunulatus* diverged from the Indian Ocean *C. trifasciatus* approximately 3 million years ago, while *C. melapterus* and *C. austriacus* comprise a cluster of shared haplotypes derived from *C. trifasciatus* within the last 0.75 Myr. The Pacific *C. lunulatus* had significant population structure at peripheral locations on the eastern edge of its range (French Polynesia, Johnston Atoll, Hawai‘i), and a strong break between two ecoregions of the Hawaiian Archipelago. The Indian Ocean *C. trifasciatus* showed significant structure only at the Chagos Archipelago in the central Indian Ocean, and the two range-restricted species showed no population structure but evidence of recent population expansion.

Main conclusions Patterns of endemism and genetic diversity in *Corallochaetodon* butterflyfishes have been shaped by 1) Plio-Pleistocene sea level changes that facilitated evolutionary divergences at biogeographical barriers between Indian and Pacific Oceans, and the Indian Ocean and Red Sea, and 2) semi-permeable oceanographic and ecological barriers working on a shorter timescale. The evolution of range-restricted species (Red Sea and NW Indian Ocean) and isolated populations (Hawai‘i) at

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60 peripheral biogeographic provinces indicates that these areas are evolutionary incubators for reef
61 fishes.

62

63 **Keywords**

64 **biogeography, *Chaetodon austriacus*, *Chaetodon lunulatus*, *Chaetodon melapterus*, *Chaetodon***
65 ***trifasciatus*, microsatellites, mtDNA, reef fish, speciation**INTRODUCTION

66 How do new species with high dispersal potential arise in an aquatic medium? The Indo-Pacific reef
67 fishes have two biogeographic traits that inform this issue. First, the biodiversity of fishes and other
68 coral-associated species peaks at the central Indo-Australian Archipelago, where Indian and Pacific
69 Ocean faunas overlap (Blum, 1989; Gaither & Rocha, 2013). Second, the highest endemism is in
70 peripheral regions at the ends of the range, including the Red Sea and Hawai‘i (Randall, 1998).

71 Evidence supporting genetic differentiation in peripheral biogeographical regions comes from both
72 peripheral locations, which are the western and eastern limits for numerous Indo-Pacific species
73 (DiBattista *et al.*, 2013; Eble *et al.*, 2015). Phylogeographical studies indicate that new species are
74 arising in both the peripheral regions and the biodiversity centre (Bowen *et al.*, 2013). However, few
75 studies have focused on diversification in the Red Sea and northwestern (NW) Indian Ocean.

76

77 The well-resolved phylogeny of butterflyfishes (family Chaetodontidae), has made this group an
78 appropriate model for understanding the evolution of reef fishes (Fessler & Westneat, 2007; Cowman
79 & Bellwood, 2013; Hodge *et al.*, 2014). Butterflyfishes embody the primary biogeographic patterns
80 outlined above, with greatest diversity in the Indo-Australian Archipelago and highest endemism in
81 peripheral areas. The Red Sea and adjacent Gulf of Aden has 32% endemism in butterflyfishes,
82 compared to 13% in Hawai‘i and < 10% elsewhere in the Indo-Pacific (Randall, 2007; DiBattista *et al.*,
83 in review). Understanding how the highest levels of endemism arose far from the center of diversity
84 remains an enigma. Biogeographical barriers at these locations may have created isolated populations
85 or endemic species depending on the divergence time (Briggs & Bowen, 2013).

86

87 Among butterflyfishes, the subgenus *Corallochaetodon* contains four corallivorous species that have
88 mostly parapatric distributions with narrow areas of overlap on the range edges (Fig. 1). *Chaetodon*
89 *lunulatus* Quoy & Gaimard, 1824 occurs throughout the Pacific Ocean from Hawai‘i and the Tuamotu
90 Islands westward to Indonesia and the eastern Indian Ocean (Christmas Island), while *Chaetodon*
91 *trifasciatus* Park, 1797 is distributed in the Indian Ocean from Indonesia and Christmas Island to East

92 Africa, but is not known from the Red Sea (Allen *et al.*, 1998). *C. lunulatus* and *C. trifasciatus* may be
93 Indian-Pacific Ocean sister species that diverged during Plio-Pleistocene sea level changes that created
94 the transient Sunda Shelf Barrier (Hsu *et al.*, 2007). *Chaetodon melapterus* Guichenot, 1863 is
95 restricted to the Arabian Gulf, Gulf of Oman, Gulf of Aden and the southern Red Sea, while *Chaetodon*
96 *austriacus* Rüppell, 1836 occurs predominantly in the northern and central Red Sea (Zekeria *et al.*,
97 2005), with rare records in the southern Red Sea and adjacent Arabian Sea (DiBattista *et al.*, in review).
98 It is unknown if the two range-restricted species (*C. melapterus* and *C. austriacus*) arose
99 independently, and whether they evolved from the widespread Indian Ocean species *C. trifasciatus*, as
100 current geographic distributions would indicate. Thus the subgenus *Corallochaetodon* provides the
101 opportunity to determine how the speciation of butterflyfishes in peripheral locations (*C. melapterus*
102 and *C. austriacus*) compares to that in the center of diversity (*C. lunulatus* and *C. trifasciatus*).

103
104 This study is motivated by four primary questions. First, what is the evolutionary history of the
105 subgenus *Corallochaetodon*? Second, what are the geographical patterns of genetic diversity within
106 and between species? Third, what is the population structure (as revealed by mtDNA) of all four
107 species across their geographical ranges? Fourth, what is the fine-scale population structure (as
108 revealed by microsatellite DNA) in the two widespread species (*C. lunulatus* and *C. trifasciatus*), and is
109 there evidence of peripheral speciation? These genetic patterns can illuminate the origins of marine
110 biodiversity, and the measures that would conserve building blocks of future biodiversity.

111

112 MATERIALS AND METHODS

113 Sample Collection

114 Tissue (fin clips or gill filament) were obtained from specimens collected using polespears whilst
115 SCUBA diving at 24 locations across the Indo-Pacific (including the Red Sea) from 2005 to 2013 (*C.*
116 *lunulatus* $N = 603$, *C. trifasciatus* $N = 143$, *C. melapterus* $N = 95$, *C. austriacus* $N = 30$) (Table 1).
117 *Chaetodon lunulatus* was intensively sampled in the Hawaiian Archipelago to assess connectivity
118 across this 2600 km island chain. All tissues were preserved in a saturated salt DMSO solution (Seutin
119 *et al.*, 1991). DNA was extracted using a “HotSHOT” protocol (Meeker *et al.*, 2007), and aliquots were
120 stored at -20°C .

121

122 Mitochondrial DNA Sequencing

123 A 605 base pair (bp) segment of mtDNA cytochrome *b* (*cyt b*) gene was resolved for all specimens.
124 Details of the PCR methodology are available in Appendix S1 and Waldrop (2014). The *cyt b* data
125 comprises a single locus but offers the advantage of haploid inheritance, lack of recombination,
126 comparison to existing studies and availability of universal primers for efficient production of sequence
127 data. Unique mtDNA *cyt b* haplotypes are deposited in GenBank under accession numbers KP241594
128 to KP241672.

129

130 **Phylogenetic relationships**

131 Phylogenetic relationships were examined among the four species by constructing neighbour-joining
132 (NJ), maximum-likelihood (ML) and maximum-parsimony (MP) trees from the *cyt b* haplotypes of all
133 individuals (PAUP*, Swofford, 2003, implemented in Geneious Pro 6.0.6, Drummond *et al.*, 2010, and
134 MEGA 5.2.2, Tamura *et al.*, 2011). Bootstrap support values were calculated using default settings
135 with 10,000 replicates in both packages. A single *Chaetodon vagabundus* Linnaeus, 1758 sample
136 (Genbank accession numbers: JF458006) was used to root trees. For simplicity, a subset of unique
137 haplotypes was used to create the final tree. An unrooted network of haplotypes was also assembled
138 using a median-joining algorithm and default settings in NETWORK 4.5.1.0 (Bandelt *et al.*, 1999).
139 Molecular clock rate is provisionally estimated at 2% per Myr (between lineages) for the *cyt b* gene
140 (Bowen *et al.*, 2001; Reece *et al.*, 2011). Evolutionary distances among lineages were calculated with
141 the Tamura-Nei model and 1,000 bootstrap replicates in MEGA.

142

143 **Population structure for mtDNA**

144 An Akaike information criterion (AIC) test in JMODELTEST 2.1.3 (Posada, 2008) was used to determine
145 the best nucleotide substitution model for each species. The HKY model (Hasegawa *et al.*, 1985) was
146 selected for *C. lunulatus*, *C. trifasciatus* and *C. austriacus*, and TrN+G (Tamura & Nei, 1993) was
147 selected for *C. melapterus*. The TrN+G is the only one of these models available in ARLEQUIN 3.5.1.3
148 (Excoffier *et al.*, 2005) analytical software and was selected for all phylogeographical inferences.
149 ARLEQUIN was used to calculate haplotype (*h*) and nucleotide diversity (π), Fu's *F_s* test of neutrality
150 (Fu, 1997) and to apply an analysis of molecular variance (AMOVA; Excoffier *et al.*, 1992) to test for
151 patterns of population structure; tests were run for each species separately. Samples with $N < 5$ were
152 excluded from all population-level analyses and pooled into their respective larger sampling locations
153 to provide adequate statistical power. Hawaiian specimens of *C. lunulatus* were subdivided into the
154 Main Hawaiian Islands (MHI, high islands) and Northwestern Hawaiian Islands (NWHI, low islands)

155 and atolls) to test for genetic structure within the archipelago. *C. trifasciatus* specimens from the
156 eastern Indian Ocean (Cocos-Keeling Islands and adjacent Christmas Island) were pooled to increase
157 statistical power as they were indistinguishable in preliminary analyses.

158

159 **Population structure - microsatellites**

160 Microsatellite primers were designed for *C. lunulatus* by Lawton *et al.* (2010; 2011). Here the
161 widespread *C. lunulatus* and *C. trifasciatus* were genotyped at 10 loci (Table S1.1 in Appendix S1).
162 The range-restricted *C. melapterus* and *C. austriacus* were not genotyped because large samples were
163 not available, finances were limited and cross-species applications can be complicated by allele
164 dropout, homoplasmy and other problems (see Selkoe & Toonen, 2006). Details of PCR amplifications
165 are available in Appendix S1 and Waldrop (2014). Initially specimens from Hawai'i were separated
166 into individual sampling locations by island. However mtDNA data revealed a genetic break between
167 the MHI and NWHI concordant with a multi-species connectivity study (Toonen *et al.*, 2011). For
168 subsequent analyses, Hawai'i was partitioned into two groups; MHI and NWHI. However, a full
169 comparison among Hawaiian sample sites is provided in Table S2.1 in Appendix S2.

170

171 For each locus the mean number of alleles (N_A), observed (H_O) and expected (H_E) heterozygosities,
172 departure from Hardy-Weinberg proportions (HWE) and linkage disequilibrium (LD) were assessed
173 with GENEPOP 4.2 (Raymond & Rousset, 1995). MICRO-CHECKER 2.2.3 was used to identify null
174 alleles and excessive stutter peaks (van Oosterhout *et al.*, 2004), and significance levels for multiple
175 comparisons were adjusted using the sequential Bonferonni correction. GENODIVE 2.0b23 (Meirmans
176 & Tienderen, 2004) was used to estimate population structure for each species. STRUCTURE 2.3.4
177 was used to assign individuals to distinct genetic clusters (populations) without presumption of
178 predefined geographical locations (Pritchard *et al.*, 2000). The most likely number of clusters was
179 identified based on the probability of $K = 1$ to $K = 12$ or $K = 1$ to $K = 4$ for *C. lunulatus* and *C.*
180 *trifasciatus*, respectively. Analyses were repeated five times and averaged. Each replicate run consisted
181 of 1,000,000 MCMC repetitions, a burn-in of 10,000 iterations and assumed correlated allele
182 frequencies with admixed populations (as per DiBattista *et al.*, 2012). STRUCTURE HARVESTER
183 0.6.93 was used to determine most likely value of K following Evanno *et al.* (2005) to visualize
184 likelihood values and the number of groups that best fit the data (Earl & von Holdt, 2012).

185

186 RESULTS

187 Phylogenetic relationships

188 The authors recognize the limitations of a single-locus phylogeny, and so here we provide the mtDNA
189 results as an initial hypothesis of relationships among the four species. All tree-building methods used
190 to analyze the mtDNA *cyt b* fragment (605 bp) produced nearly identical tree topologies with bootstrap
191 support values for species level relationships of 80 to 100% (Fig. 2). The primary feature of this
192 phylogeny is a bifurcation with $d = 0.06$ sequence divergence between Pacific Ocean *C. lunulatus* and
193 the Indian Ocean *C. trifasciatus*. The two range-restricted species, *C. melapterus* and *C. austriacus*, are
194 more closely related to the Indian Ocean species ($d = 0.015$). However, they did not form monophyletic
195 groups, and share the most common haplotype (Fig. 2). The relationship within the subgenus
196 *Corallochaetodon* is apparent in the parsimony network (Fig. 3), where Pacific Ocean *C. lunulatus* and
197 Indian Ocean *C. trifasciatus* are separated by 28 diagnostic nucleotide substitutions, and the *C.*
198 *melapterus*–*C. austriacus* cluster is separated from *C. trifasciatus* by three diagnostic nucleotide
199 substitutions.

200

201 Genetic diversity

202 Haplotype diversity within each species was moderate to high (*C. lunulatus* $h = 0.45$ to 0.87 ; *C.*
203 *trifasciatus* $h = 0.67$ to 0.80 ; *C. melapterus* $h = 0.63$ to 0.78 ; *C. austriacus* $h = 0.84$ to 0.87 ; Table 1).
204 For the species with the largest geographic range (*C. lunulatus*), haplotype diversity was highest at the
205 peripheral location on the western edge of its range (Christmas Island), and was generally lowest at
206 peripheral locations on the eastern edge of its range (Johnston Atoll, Main Hawaiian Islands - MHI,
207 Northwestern Hawaiian Islands - NWHI). For *C. trifasciatus*, haplotype diversities are similar across
208 the range. In the two range-restricted species (*C. melapterus*, and *C. austriacus*), haplotype diversity
209 was lower at one sampled location (Table 1). Nucleotide diversity was low for all species (*C. lunulatus*
210 $\pi = 0.001$ to 0.005 ; *C. trifasciatus* $\pi = 0.001$ to 0.088 ; *C. melapterus* $\pi = 0.000$ to 0.001 ; *C. austriacus* π
211 $= 0.000$ to 0.002 ; Table 1), indicating a cluster of closely-related haplotypes within each species.

212

213 For the two widespread species, only one of the 17 sample locations was significant for Fu's F_s (*C.*
214 *trifasciatus* at Diego Garcia). For the two range-restricted species, tests for Fu's F_s could only be
215 conducted on samples from five locations and all produced significant negative values: *C. melapterus*
216 at Maskali, Obock and Oman; *C. austriacus* at Jazirat Baraqan and Yanbu (Table 1).

217

218 **Population structure (mtDNA)**

219 Significant population structure was observed in *C. lunulatus* (overall $\Phi_{ST} = 0.27$; $P < 0.001$). In
 220 comparisons among sample locations, 30 out of 78 pairwise comparisons were statistically significant
 221 ($P < 0.05$; Table 2). Five locations accounted for all the significant comparisons: Fiji with 6 out of 12
 222 significant comparisons, Johnston Atoll with 3 out of 12 significant comparisons, Mo‘orea (French
 223 Polynesia) with 12 out of 12 significant comparisons, MHI with 5 out of 12 significant comparisons
 224 and the NWHI with 12 out of 12 significant comparisons (Table 2). Within the Hawaiian Archipelago,
 225 there were 13 out of 28 significant comparisons among sample locations (Table S2.1 in Appendix S2).
 226 All of the significant comparisons were among the three southernmost sampled locations (Hawai‘i
 227 Island, O‘ahu and French Frigate Shoals) and the most northern sample location (Kure Atoll).

228
 229 No significant structure overall or significant pairwise comparisons were detected among four locations
 230 in *C. trifasciatus* ($\Phi_{ST} = 0.01$; $P = 0.50$), four locations in *C. melapterus* ($\Phi_{ST} = 0.01$; $P = 0.16$), or
 231 three locations in *C. austriacus* ($\Phi_{ST} = 0.04$; $P = 0.21$) (Table 3). However, *C. melapterus* and *C.*
 232 *austriacus* were significantly isolated at a population level ($\Phi_{ST} = 0.06$; $P = 0.001$). Notably, we did not
 233 sample *C. melapterus* in the Arabian Gulf and along the Somalian coastline due to logistical
 234 limitations; additional sampling in these regions could change conclusions about population structure.

235
 236 **Population structure (msatDNA) within *C. lunulatus* and *C. trifasciatus***

237 Significant population structure was also detected for *C. lunulatus* using msatDNA ($F_{ST} = 0.05$, $P =$
 238 0.001). The msatDNA results were similar to that of mtDNA with most of the significant pairwise
 239 comparisons involving locations on the eastern edge of the geographic range: Johnston Atoll, Mo‘orea,
 240 MHI and the NWHI. Microsatellite allele frequencies were significantly different in 49 out of 91
 241 comparisons for *C. lunulatus* (Table 4; see also Table S2.1 in Appendix S2).

242
 243 For *C. lunulatus*, STRUCTURE identified mean probabilities as being highest at $K = 3$ (Fig. 4), which
 244 was verified using STRUCTURE HARVESTER (Fig. S2.1 in Appendix S2). One widespread
 245 population spanned locations from the western range edge (Christmas Island) eastward to Kiribati in
 246 the central Pacific Ocean. The second population was comprised predominately of individuals from
 247 isolated locations on the eastern range edge: Johnston Atoll, MHI and the NWHI. The third population
 248 was largely restricted to the NWHI.

249

250 The msatDNA data revealed low but significant population structure for *C. trifasciatus* ($F_{ST} = 0.003$, P
251 $= 0.03$). Microsatellite allele frequencies were significantly different in three out of six comparisons
252 (Table 5), between Diego Garcia and all the other sampled locations (Seychelles, Christmas Island and
253 Indonesia). Microsatellite statistics for each location and both species are provided in Table S2.2 in
254 Appendix S2. STRUCTURE identified mean probabilities as being highest at $K = 2$ (Fig. 5), which was
255 consistent with the results from STRUCTURE HARVESTER (Fig. S2.2 in Appendix S2), indicating
256 isolation of Diego Garcia but no distinction of samples from the east (Christmas Island, Indonesia) and
257 west (Seychelles) of this remote location in the Chagos Archipelago. Overall, there was no consistent
258 evidence for departure from HWE, linkage disequilibrium or null alleles across all sampled locations in
259 both species.

260

261 **DISCUSSION**

262 **Phylogenetic relationships**

263 The primary phylogenetic feature of the subgenus *Corallochaetodon* is mtDNA sequence divergence of
264 $d = 0.06$ between Indian Ocean *C. trifasciatus* and Pacific *C. lunulatus*. Based on the conventional
265 molecular clock of 2% per Myr, this corresponds to approximately 3 Myr of separation (Table S2.3 in
266 Appendix S2) (consistent with Hsu *et al.*, 2007; Bellwood *et al.*, 2010), which is close to the onset of
267 modern glacial cycles at 2.6 to 2.8 Ma (Dwyer *et al.*, 1995; Williams *et al.*, 1997). The shallow Sunda
268 Shelf is exposed during glacial periods with low sea levels, forming land bridges through the
269 Indonesian Archipelago that restricted exchange between the Indian and Pacific Oceans (Randall,
270 1998; Rocha *et al.*, 2007). This indicates that transient allopatry may have a role in the formation of
271 this species pair, a process that is apparent (or suspected) in other Indian-Pacific species pairs (Gaither
272 & Rocha, 2013).

273

274 A divergence time of approximately 3 Myr for *C. trifasciatus* and *C. lunulatus* falls within the range of
275 divergence times (0.3 – 6.6 Myr) for other Indian and Pacific sister species of reef fishes (Gaither &
276 Rocha, 2013). However, divergence times in other Indian and Pacific Ocean butterflyfish sister species
277 tend to be less (0.3 – 1.4 Myr) (Fessler & Westneat 2007; Hsu *et al.*, 2007; Bellwood *et al.*, 2010;
278 DiBattista *et al.*, 2012). Variation in divergence times may be due to a number of factors including: 1)
279 potential differences in mutation rates; 2) the intermittency of the Sunda Shelf Barrier during the
280 Pleistocene due to repeated glacial cycles (i.e. different species pairs diverged at different low sea level

281 stands); and 3) the conditions determining secondary contact and reproductive isolation affected
282 species differently.

283

284 The range-restricted *C. austriacus* and *C. melapterus* share a common haplotype, and are closely
285 affiliated with *C. trifasciatus* ($d = 0.015$). The divergence between *C. trifasciatus* and the range-
286 restricted species is approximately 0.75 Myr (Table S2.3 in Appendix S2), which corresponds with
287 Pleistocene sea level changes that repeatedly isolated the Red Sea region from the Indian Ocean (Fig.
288 1; Blum, 1989; DiBattista *et al.*, 2013). Furthermore, strong upwelling in the NW Indian Ocean (off the
289 southern Oman coast) may facilitate allopatric divergence between species from the Indian Ocean (e.g.
290 *C. trifasciatus*) and Red Sea to Arabian Gulf region (*C. austriacus* and *C. melapterus*).

291

292 While the monophyly of *C. austriacus* and *C. melapterus* could not be corroborated, these two putative
293 species are genetically distinct at a population level ($\Phi_{ST} = 0.06$; $P = 0.001$) indicating either early
294 stages of speciation or distinct colour morphs separated by habitat discontinuities. This finding should
295 be interpreted in light of the relatively recent origins of reef faunas inhabiting the Red Sea (DiBattista
296 *et al.*, 2013) and Arabian Gulf (Sheppard *et al.*, 2010). Estimated time since divergence is
297 approximately 50 kyr, and was likely initiated by vicariant isolation at the Strait of Bab al Mandab (at
298 the mouth of the Red Sea – Fig. 1). This barrier flooded about 20 ka, and *C. austriacus* and *C.*
299 *melapterus* now have limited contact in the southern Red Sea (Randall, 1994), a region characterised
300 by changes in environmental conditions (e.g. salinity, temperature, nutrients: Kemp, 1998; Sheppard,
301 1998) that are reflected in the fish community (Roberts *et al.*, 1992; DiBattista *et al.*, in review). Given
302 that *C. austriacus* and *C. melapterus* inhabit different environmental conditions on either side of this
303 area, successful colonisation across this potential barrier may be limited, thereby facilitating
304 divergence. When the two species come into contact, differences in colouration and assortative mating
305 may maintain reproductive isolation (McMillan *et al.*, 1999).

306

307 The distribution of all four sister species overlap at their range edges, at (or adjacent to)
308 biogeographical barriers (Fig. 1). In the eastern Indian Ocean, cohabitation and a breakdown in
309 assortative mating between *C. lunulatus* and *C. trifasciatus* at Christmas Island has led to hybridisation
310 (Hobbs *et al.*, 2009; Montanari *et al.*, 2014); however, there has only been limited and localised
311 introgression between the species. In the western Indian Ocean, *C. trifasciatus* and *C. melapterus*
312 hybridise at Socotra, with some evidence of introgression beyond this hybrid zone in Djibouti

313 (DiBattista *et al.*, 2015). In the southern Red Sea, *C. austriacus* and *C. melapterus* cohabit and
314 potentially hybridise (Randall, 1994; Kuitert, 2002), but the former is considered rare in this
315 understudied region (Righton *et al.*, 1996). This pattern of decreasing hybridisation and introgression
316 with increasing divergence time is consistent with other butterflyfish studies (Montanari *et al.*, 2014).
317 Overall, it appears that Plio-Pleistocene sea level changes have facilitated allopatric speciation in both
318 the butterflyfish centers of diversity (Indonesia) and peripheral areas (Red Sea). Secondary contact and
319 hybridisation could erode species boundaries (Coleman *et al.*, 2014); however, abrupt differences in
320 environmental conditions across areas of secondary contact could facilitate evolutionary divergence.

321

322 **Genetic diversity**

323 Although the geographical ranges of the four species in the subgenus *Corallochaetodon* vary by an
324 order of magnitude, there was no obvious relationship between haplotype diversity and range size.
325 Terrestrial studies commonly find low haplotype diversity in range-restricted endemics (Frankham,
326 1998). However, endemic reef fishes can have population sizes numbering in the millions (Hobbs *et al.*,
327 2011) and this may explain why they have haplotype diversities similar to widespread species (Eble
328 *et al.*, 2009; Hobbs *et al.*, 2013; Delrieu-Trottin *et al.*, 2014). Excluding the Arabian Gulf, where
329 atypical conditions have resulted in an unusually low abundance and diversity of butterflyfishes
330 (Pratchett *et al.*, 2013), *C. austriacus* and *C. melapterus* are the most common butterflyfish species in
331 their respective ranges (Berumen & Hobbs, unpub. data). Therefore, the large population sizes of the
332 range-restricted *C. austriacus* and *C. melapterus* would help generate and maintain high haplotype
333 diversity. Nearly all the populations of the two restricted-range species had significant negative Fu's F_s
334 values. Therefore, it appears that *C. austriacus* and *C. melapterus* have undergone recent population
335 expansion.

336

337 **Population structure - mtDNA**

338 Data from the wide-ranging *C. lunulatus* indicates strong population structure, whereas the sister
339 species *C. trifasciatus* showed significant genetic structure only at Diego Garcia (Chagos Archipelago).
340 Data from the two range-restricted species, *C. austriacus* and *C. melapterus*, detected no population
341 structure based on our approach, which may indicate that each represents a single panmictic population.
342 This can be explained by their limited distributions in the NW Indian Ocean, with no apparent
343 biogeographical barriers within each range.

344

345 *Corallochaetodon* mtDNA sequence data revealed that range size was not related to genetic population
346 structure, which is a proxy for realised dispersal ability (Eble *et al.*, 2009). The widespread *C. lunulatus*
347 showed significant population structure at eastern peripheral locations, consistent with known
348 distributional barriers (Blum, 1989; Hsu *et al.*, 2007). The distinction of the Mo‘orea population of *C.*
349 *lunulatus* (Lawton *et al.*, 2011; this study) is concordant with other Pacific Ocean species and may be
350 caused by isolating oceanographic currents (Gaither *et al.*, 2010; Eble *et al.*, 2011). The isolation of
351 Johnston Atoll indicates that the pelagic larval duration (~35 days: Soeparo *et al.*, 2012) of *C.*
352 *lunulatus* is insufficient to make the 40 to 50 day transit to the nearest reef (Hawaiian Archipelago)
353 (Kobayashi, 2006).

354

355 Population differentiation between Hawai‘i and other Pacific locations has been reported in many other
356 reef fishes (Leray *et al.*, 2010; DiBattista *et al.*, 2011; Gaither *et al.*, 2011; Szabo *et al.*, 2014;
357 Fernandez-Silva *et al.*, in press). The recurrent trend of genetic distinctness in this region can be
358 attributed to three factors: (1) isolation due to location and oceanographic currents, (2) dispersal
359 characteristics of the fishes and (3) adaptation to environmental conditions in Hawai‘i (Hourigan &
360 Reese, 1987). Widespread reef fishes usually exhibit genetic homogeneity within the Hawaiian
361 archipelago (Craig *et al.*, 2007; Eble *et al.*, 2009; Gaither *et al.*, 2010, 2011; Reece *et al.*, 2011;
362 DiBattista *et al.*, 2011, 2012; Ludt *et al.*, 2012); however, the genetic differentiation of *C. lunulatus*
363 across the archipelago (between the low islands of the NWHI and the high volcanic islands of the MHI)
364 is more typical of endemic reef fishes and invertebrates (Eble *et al.*, 2009; Craig *et al.*, 2010; Toonen *et*
365 *al.* 2011).

366

367 **Population structure – msatDNA**

368 Investigation of fine-scale population structure in the two widespread species using msatDNA revealed
369 patterns similar to the mtDNA with *C. trifasciatus* exhibiting low structure, whereas *C. lunulatus* had
370 more pronounced structure. For *C. trifasciatus*, the msatDNA differed from mtDNA results in one
371 point –the former support the genetic isolation of Diego Garcia (Chagos Archipelago) in the central
372 Indian Ocean. The population genetic separation of Chagos has been observed in other reef fauna
373 (Gaither *et al.*, 2010; Eble *et al.*, 2011; Vogler *et al.*, 2012) and may be related to seasonal monsoon-
374 driven currents that switch direction between easterly and westerly, possibly limiting larval dispersal to
375 this location (Sheppard *et al.*, 2012).

376

377 MsatDNA analyses for *C. lunulatus* were consistent with the mtDNA results in indicating divergent
378 populations at peripheral locations on the eastern range edge: Mo‘orea, Johnston Atoll, MHI and
379 NWHI. The majority of the geographic range of *C. lunulatus* is comprised of relatively close islands
380 and reefs throughout the Central-West Pacific; however, the large distance and prevailing currents
381 work against colonisation of Hawai‘i and French Polynesia, thus explaining the genetic distinctness of
382 populations at these peripheral locations (Hourigan & Reese, 1987; Gaither *et al.*, 2010). This isolation
383 is the starting point for peripheral speciation, explaining why Hawai‘i has one of the highest levels of
384 reef fish endemism in the world (Randall, 2007).

385
386 An interesting outcome for *C. lunulatus* is the population separation between the high islands of the
387 MHI and the low islands and atolls of the NWHI; *C. lunulatus* is the first widespread reef fish to show
388 strong population structure across the Hawaiian Archipelago. Part of the explanation may be habitat
389 preference: this species uses sheltered, coral-rich areas and the lack of this habitat between MHI and
390 NWHI may explain the genetic break. Indeed, at the MHI region adjacent to this break (Kaua‘i),
391 previous transect data (unpub. data) and our own efforts indicate a near absence of *C. lunulatus*.
392 Another part of the explanation may include Johnston Atoll to the south. Johnston has long been
393 postulated to be a gateway into Hawai‘i (Hourigan & Reece, 1987), and STRUCTURE analysis shows
394 an affiliation between Johnston and the MHI, to the exclusion of the NWHI (Fig. 4). This invokes the
395 possibility that Hawai‘i was colonized twice, possibly from different sources.

396

397 **Conclusion**

398 We conclude that Plio-Pleistocene sea level changes have influenced speciation at both the center of
399 diversity and peripheral areas for butterflyfishes of the subgenus *Corallochaetodon*. Evolutionary
400 divergence among *Corallochaetodon* species may have been initiated along the intermittent
401 biogeographical barriers between Indian and Pacific Oceans, and between the Indian Ocean and Red
402 Sea. Phylogenetic analyses revealed that the two species restricted to the Red Sea to Arabian Sea
403 region are indistinguishable at *cyt b*. Genetic diversity decreases from west to east for the widespread
404 *C. lunulatus*, but there are no patterns for the other three species. The two range-restricted species
405 appear to have undergone recent population expansion and exhibit no population structure, while the
406 widespread Indian Ocean species (*C. trifasciatus*) showed little population structure, which is likely
407 attributed to variable local conditions (e.g. seasonal monsoon currents). Peripheral populations on the
408 eastern range edge of the widespread Pacific species *C. lunulatus* were genetically distinct from

409 populations in the center of the range. The recent evolution of *C. melapterus* and *C. austriacus* in the
 410 Red Sea to Arabian Sea region, and genetic distinctness of peripheral populations of the widespread *C.*
 411 *lunulatus*, indicate that such peripheral marine habitats can be engines of biodiversity (Bowen *et al.*,
 412 2013). Thus peripheral speciation (through isolation and vicariant events) would help explain why the
 413 Red Sea and Hawai‘i, at opposite extremes of the Indo-Pacific ranges, are endemic hotspots for reef
 414 fishes.

415

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726

727 SUPPORTING INFORMATION

728 Additional Supporting Information may be found in the online version of this article:

729 **Appendix S1: Additional materials & methods**

730 **Appendix S2: Supporting tables & figures**

731

732 BIOSKETCH

733 **Ellen Waldrop** conducted this research as a M.Sc. thesis project at the University of Hawai‘i. The
734 authors are interested in the origins of marine biodiversity and the prudent management of evolutionary
735 lineages.

736

737 Author contributions: B.W.B. initiated the research; E.W., J.-P.A.H., J.D.D., L.A.R., R.K.K., M.L.B.
738 and B.W.B. conducted field expeditions and sampling; E.W and J.D.D. provided genetic data; E.W.
739 analysed the data; E.W., B.W.B., J.P.H. and J.D.D contributed to the writing; and all authors
740 commented on the final draft.

741

742 Editor: Michelle Gaither

743

744 **Table 1.** Sample size and molecular diversity indices for *Chaetodon lunulatus*, *C. trifasciatus*, *C.*
745 *melapterus* and *C. austriacus* based on mtDNA cytochrome *b* sequence data (significant Fu’s *F_s* values
746 are in bold, $P < 0.02$). For *C. trifasciatus*, specimens from the eastern Indian Ocean (Cocos-Keeling
747 Islands and adjacent Christmas Island) were pooled to increase statistical power as they were
748 indistinguishable in preliminary analyses.

Location	<i>N</i>	Number of Haplotypes	Haplotype diversity (<i>h</i> ± SD)		Nucleotide diversity (π ± SD)			Fu's <i>F_s</i>
<i>C. lunulatus</i>								
Christmas Island	6	4	0.867 +/- 0.129		0.005 +/- 0.004			0.24
American Samoa	15	5	0.714 +/- 0.081		0.005 +/- 0.003			1.40
Fiji	30	10	0.602 +/- 0.104		0.004 +/- 0.003			-1.92
Kanton Island	15	5	0.695 +/- 0.109		0.004 +/- 0.003			0.95
Marshall Islands	29	8	0.727 +/- 0.057		0.005 +/- 0.003			0.91
Mo'orea	32	8	0.669 +/- 0.086		0.005 +/- 0.003			-0.04
Okinawa	8	4	0.643 +/- 0.184		0.004 +/- 0.003			0.73
Pohnpei	30	10	0.782 +/- 0.065		0.005 +/- 0.003			-0.57
Kiribati	22	3	0.589 +/- 0.066		0.004 +/- 0.003			4.63
Palau	26	2	0.471 +/- 0.063		0.004 +/- 0.002			6.68
Johnston Atoll	31	2	0.516 +/- 0.024		0.004 +/- 0.003			7.63
MHI	33	2	0.504 +/- 0.034		0.004 +/- 0.003			7.64
NWHI	161	13	0.452 +/- 0.048		0.001 +/- 0.001			-0.51
<i>C. trifasciatus</i>								
Diego Garcia	29	8	0.672	+/- 0.074	0.001	+/- 0.001		-4.538
Seychelles	21	9	0.795	+/- 0.077	0.088	+/- 0.044		9.843
Christmas Island	14	7	0.802	+/- 0.094	0.010	+/- 0.006		0.959
Indonesia	5	3	0.700	+/- 0.218	0.002	+/- 0.002		0.061
<i>C. melapterus</i>								
Maskali	17	5	0.353	+/- 0.353	0.001	+/- 0.001		-2.527
Obock	29	7	0.778	+/- 0.584	0.001	+/- 0.001		-3.754
Bay of Ghoubbet	15	1	0.000	+/- 0.000	0.000	+/- 0.000		na

Oman	34	9	0.631	+/-	0.507	0.001	+/-	0.001	-7.615
<i>C. austriacus</i>									
Al Lith	10	2	0.200	+/-	0.154	0.000	+/-	0.000	na
Jazirat Baraqaan	10	6	0.844	+/-	0.103	0.002	+/-	0.002	-3.127
Yanbu	10	7	0.866	+/-	0.107	0.001	+/-	0.001	-1.404

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750 **Table 2.** Matrix of population pairwise Φ_{ST} values (above diagonal) and associated P values (below diagonal) based on 605 bp of mtDNA
751 cytochrome b sequence data from *Chaetodon lunulatus*. Significant P values are indicated in bold ($P < 0.05$). All negative Φ_{ST} values were
752 adjusted to 0.

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Location	Christmas Island	American Samoa	Fiji	Kanton Island	Marshall Island	Mo'orea	Okinawa	Pohnpei	Kiribati	Palau	Johnston Atoll	MHI	NWHI
Christmas Island	—	0	0.097	0.012	0	0.284	0.107	0	0	0.084	0.006	0.003	0.597
American Samoa	0.568	—	0.105	0.095	0	0.286	0.074	0	0	0.040	0	0	0.507
Fiji	0.108	0.036	—	0	0.086	0.478	0	0.024	0.022	0.000	0.083	0.162	0.114
Kanton Island	0.333	0.081	0.477	—	0.079	0.470	0	0	0.031	0.040	0.105	0.178	0.245
Marshall Islands	0.414	0.973	0.036	0.099	—	0.307	0.050	0	0	0.023	0	0	0.431
Mo'orea	0.036	<0.001	0.000	0.000	0.000	—	0.463	0.370	0.371	0.431	0.342	0.298	0.757
Okinawa	0.234	0.036	0.847	0.387	0.189	<0.001	—	0.008	0	0	0.037	0.125	0.099
Pohnpei	0.658	0.387	0.144	0.423	0.369	<0.001	0.252	—	0	0.010	0.016	0.055	0.332
Kiribati	0.324	0.514	0.126	0.216	0.640	<0.001	0.306	0.667	—	0	0	0.017	0.335
Palau	0.252	0.198	0.324	0.126	0.234	<0.001	0.396	0.207	0.559	—	0.003	0.068	0.228
Johnston Atoll	0.324	0.450	0.018	0.063	0.577	<0.001	0.108	0.189	0.631	0.432	—	0	0.405
MHI	0.279	0.550	0.009	0.018	0.423	<0.001	0.099	0.045	0.342	0.108	0.622	—	0.509
NWHI	0.009	<0.001	0.009	<0.001	<0.001	<0.001	0.018	<0.001	<0.001	<0.001	<0.001	<0.001	—

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754 **Table 3.** Matrix of population pairwise Φ_{ST} values (above diagonal) and associated P values (below
 755 diagonal) based on 605 bp of mtDNA cytochrome b sequence data from *Chaetodon trifasciatus*, *C.*
 756 *melapterus* and *C. austriacus*. All negative Φ_{ST} values were adjusted to 0.

<i>C. trifasciatus</i>				
Location	Diego Garcia	Seychelles	Christmas Island	Indonesia
Diego Garcia	—	0.014	0.027	0
Seychelles	0.268	—	0	0
Christmas Island	0.238	0.961	—	0
Indonesia	0.483	0.769	0.678	—
<i>C. melapterus</i>				
Location	Maskali	Obock	Bay of Ghoubbet	Oman
Maskali	—	0.030	0	0.001
Obock	0.108	—	0.022	0.007
Bay of Ghoubbet	0.991	0.270	—	0
Oman	0.459	0.288	0.667	—
<i>C. austriacus</i>				
Location	Al Lith	Jazirat Baraqan	Yanbu	
Al Lith	—	0.095	0.028	
Jazirat Baraqan	0.207	—	0	
Yanbu	0.491	0.573	—	

757

758 **Table 4.** Matrix of population pairwise F_{ST} values (above diagonal) and associated P values (below diagonal) based on microsatellite
 759 genotypes for *Chaetodon lunulatus*. Significant P values are highlighted in bold ($P < 0.05$). All negative F_{ST} values were adjusted to 0.

Location	Christmas Island	Indonesia	American Samoa	Fiji	Kanton Island	Marshall Islands	Mo'orea	Okinawa	Pohnpei	Kiribati	Palau	Johnston Atoll	MHI	NWHI
Christmas Island	—	0	0.003	0.001	0.012	0.006	0.041	0.010	0.006	0	0.011	0.084	0.032	0.090
Indonesia	0.498	—	0.007	0.002	0.001	0	0.030	0.002	0.0	0	0	0.079	0.024	0.078
American Samoa	0.378	0.067	—	0.009	0.002	0.006	0.027	0.012	0.010	0	0.007	0.082	0.037	0.075
Fiji	0.396	0.267	0.036	—	0.002	0.002	0.030	0.007	0.005	0.000	0.007	0.088	0.030	0.089
Kanton Island	0.124	0.411	0.322	0.260	—	0	0.023	0.003	0.001	0	0.004	0.087	0.035	0.076
Marshall Islands	0.217	0.706	0.067	0.150	0.772	—	0.029	0.005	0.000	0.000	0.002	0.084	0.030	0.079
Mo'orea	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	—	0.056	0.032	0.024	0.029	0.087	0.058	0.095
Okinawa	0.203	0.300	0.089	0.093	0.331	0.116	<0.001	—	0.005	0.007	0.005	0.096	0.034	0.082
Pohnpei	0.232	0.676	0.022	0.071	0.361	0.531	<0.001	0.151	—	0	0.000	0.085	0.029	0.081
Kiribati	0.497	0.744	0.602	0.443	0.779	0.394	<0.001	0.109	0.773	—	0	0.076	0.023	0.067
Palau	0.128	0.779	0.072	0.017	0.154	0.203	<0.001	0.140	0.441	0.554	—	0.080	0.023	0.078
Johnston	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	—	0.051	0.038
MHI	0.005	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	—	0.053
NWHI	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	—

760

761 **Table 5.** Matrix of population pairwise F_{ST} values (above diagonal) and associated P values (below
 762 diagonal) based on microsatellite genotypes for *Chaetodon trifasciatus*. Significant P values are
 763 highlighted in bold ($P < 0.05$). All negative F_{ST} values were adjusted to 0.

Location	Diego Garcia	Seychelles	Christmas Island	Indonesia
Diego Garcia	—	0.005	0.006	0.012
Seychelles	0.047	—	0	0
Christmas Island	0.013	0.742	—	0.001
Indonesia	0.018	0.496	0.350	—

764

765 **TITLES AND LEGENDS TO FIGURES**

766

767 **Figure 1.** Distribution map of *Chaetodon* subgen. *corallochaetodon* (redrawn from Blum, 1989).
 768 *Chaetodon lunulatus* (blue, widespread Pacific Ocean), *C. trifasciatus* (red, widespread Indian Ocean),
 769 *C. austriacus* (green, largely restricted to the northern and central Red Sea; but see DiBattista *et al.*, in
 770 review) and *C. melapterus* (yellow, restricted to the southern Red Sea through the Arabian Gulf). The
 771 known geographic range of each species is outlined with a dotted line and solid pink lines represent
 772 known marine biogeographic barriers (Hsu *et al.*, 2007) that influence the genetic partitions and
 773 evolution of *corallochaetodon*. Sample locations are shown with species-specific coloured symbols and
 774 numbers that correspond to the following location names: 1. Jazirat Baraqan, 2. Yanbu, 3. Al Lith, 4.
 775 Obock, 5. Bay of Ghoubbet, 6. Maskali, 7. Oman, 8. Seychelles, 9. Diego Garcia, 10. Cocos (Keeling)
 776 Islands, 11. Christmas Island, 12. Indonesia, 13. Okinawa, 14. Palau, 15. Pohnpei, 16. Marshall Islands,
 777 17. Fiji, 18. American Samoa, 19. Kanton Island, 20. Kiribati, 21. Mo'orea, 22. Johnston Atoll, 23.
 778 Main Hawaiian Islands, 24. Northwestern Hawaiian Islands. Sample sizes for each location are
 779 presented in Table 1. Photo Credits: L.A. Rocha for *C. austriacus*, T. Sinclair-Taylor for *C. lunulatus*,
 780 *C. trifasciatus*, and *C. melapterus*.

781

782 **Figure 2.** Neighbour-joining tree based on mtDNA cytochrome *b* sequences, highlighting the
 783 relationship between sister species in *Chaetodon* subgenus *corallochaetodon* (bootstrap values shown
 784 based on 1000 replicates). For simplicity, only a representative subset of specimens is shown.
 785 Maximum-likelihood and maximum-parsimony trees yielded the same topology among species.

786 *Chaetodon vagabundus* is used as an outgroup (Genbank accession number JF458006). Abbreviations:
 787 *C. lunulatus* = Clu, *C. trifasciatus* = Ctt, *C. melapterus* = Cml and *C. austriacus* = Cau.

788

789 **Figure 3.** Statistical parsimony network for *Chaetodon lunulatus* (pink, purple, blue shades), *C.*
 790 *trifasciatus* (green shades), *C. melapterus* (yellow and orange) and *C. austriacus* (red) based on
 791 mtDNA cytochrome *b* sequences. The area of each circle is proportional to the abundance of the
 792 respective haplotype: small circles indicate rare or unique haplotypes and the largest circle indicate the
 793 most common haplotype observed in 286 sampled individuals. Black bars and black branches represent
 794 a single mutation (unless otherwise noted) and colours indicate haplotype sampling location (see key).

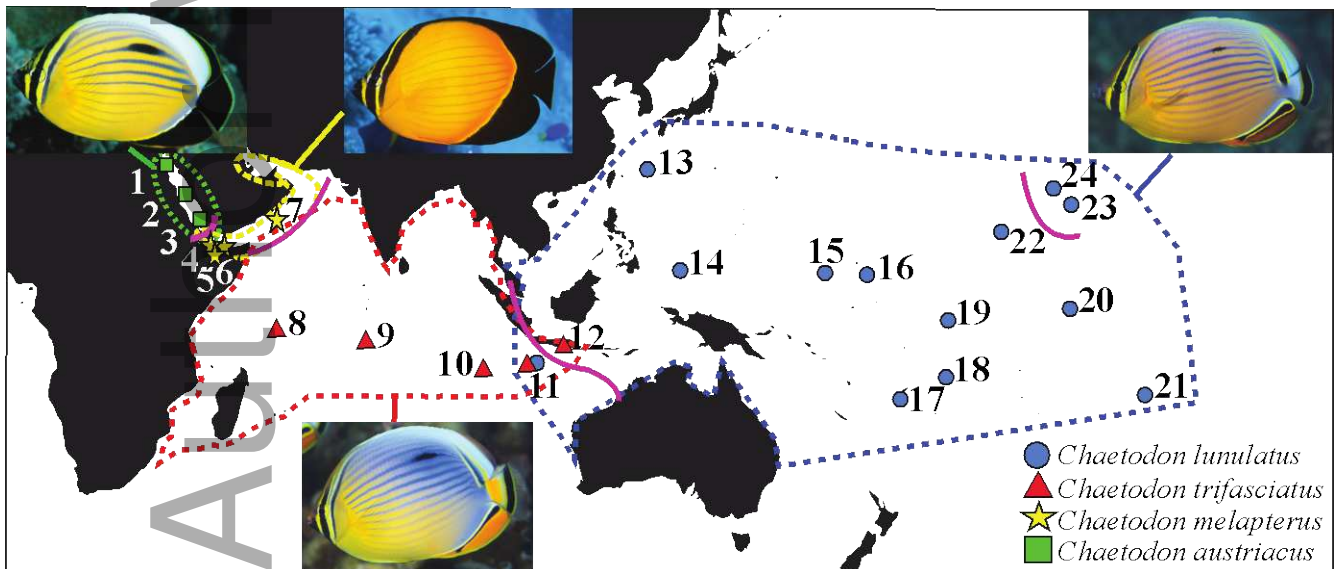
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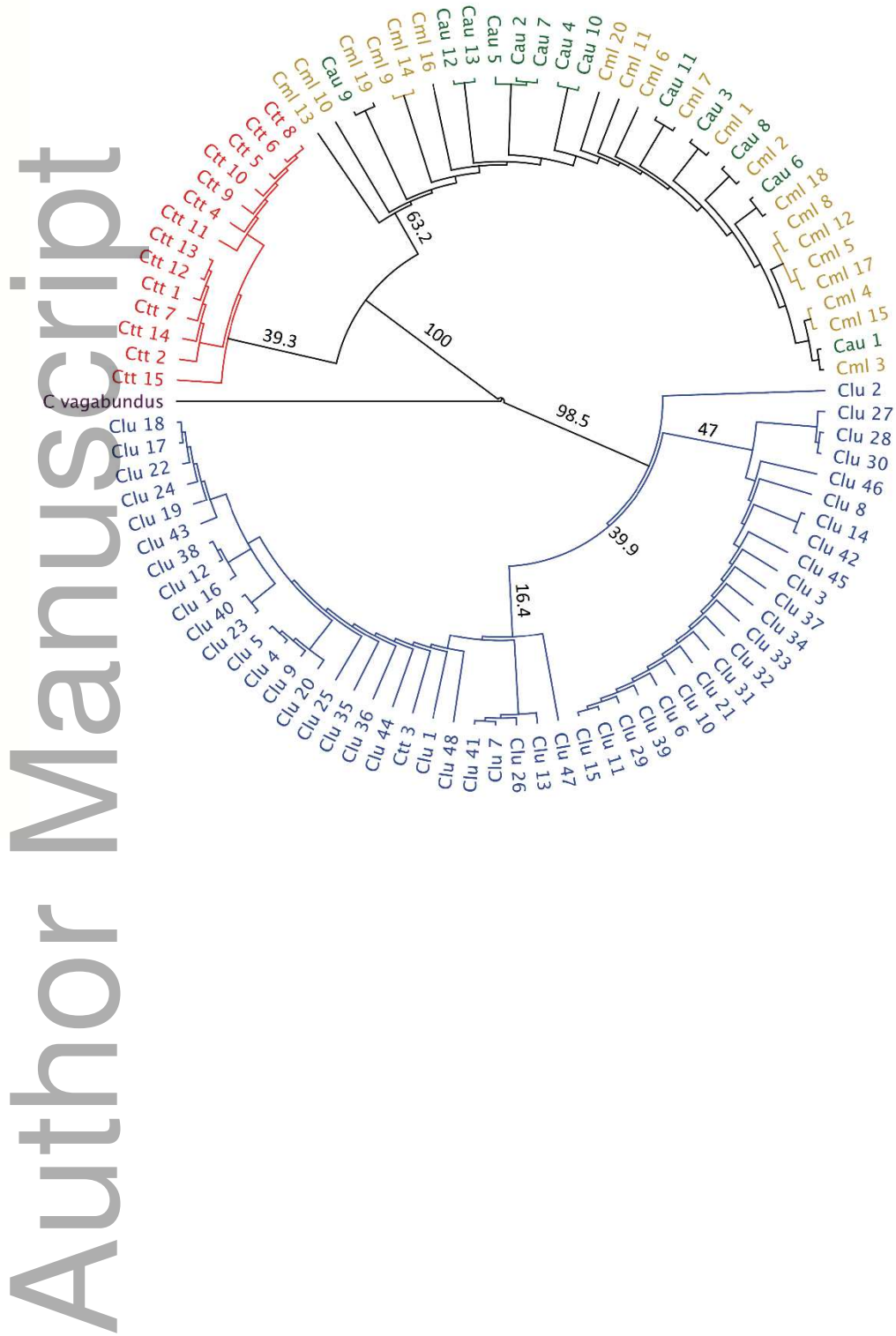
796 **Figure 4.** STRUCTURE bar plot for *Chaetodon lunulatus* showing the highest mean probability of $K =$
 797 3. Locations: 1. Christmas Island, 2. Indonesia, 3. Palau, 4. Okinawa, 5. Pohnpei, 6. Marshall Islands,
 798 7. Fiji, 8. American Samoa, 9. Mo'orea, 10. Kanton Island, 11. Kiribati, 12. Johnston Atoll, 13. MHI,
 799 14. NWHI.

800

801 **Figure 5.** STRUCTURE bar plot for *Chaetodon trifasciatus*, showing the highest mean probability of
 802 $K = 2$. Locations: 1. Diego Garcia, 2. Seychelles, 3. Christmas Island, 4. Indonesia.

803

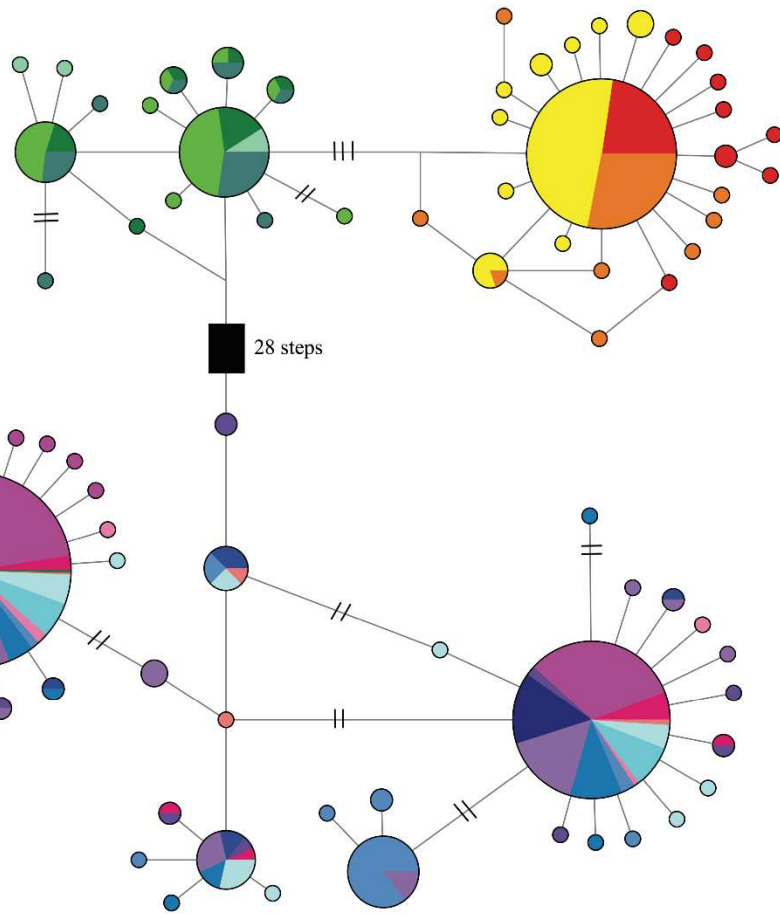




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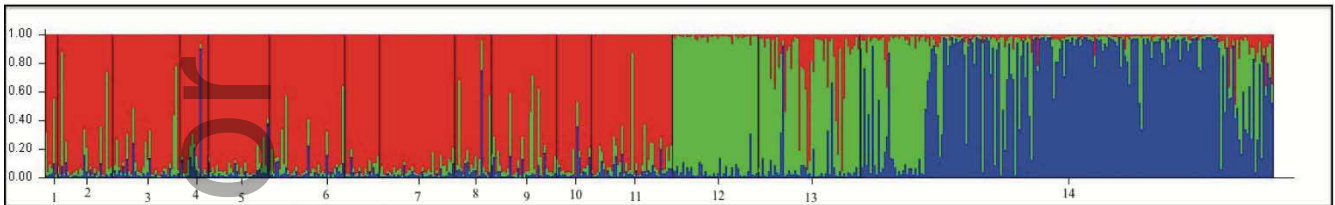
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 - PALAU
 - POHNPEI
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