

Gap Winds and their effects on regional oceanography Part I: Cross Sound, Alaska

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Carol Ladd¹ and Wei Cheng²

¹Corresponding Author:

Carol Ladd

Pacific Marine Environmental Laboratory, NOAA

7600 Sand Point Way Seattle, WA, USA 98115-6349

Tel: (206) 526-6024

Fax: (206) 526-6485

Email: carol.ladd@noaa.gov

²Joint Institute for the Study of the Atmosphere and Ocean,

University of Washington, 3737 Brooklyn Ave NE, Box 355672,

Seattle, WA 98105-5672 USA

Email: wei.cheng@noaa.gov

Abstract

Gap-wind events flowing from Cross Sound in the eastern Gulf of Alaska (GOA) were examined using QuikSCAT wind data. The average duration of an event is 3.6 days with the longest event recorded in the QuikSCAT dataset being 12 days. Daily offshore directed winds with speeds $> 10 \text{ m s}^{-1}$ are more common during the winter months (October – March), averaging 20.0 days per year, and less common during the summer (April – September), averaging 2.8 days per year. Interannual variability in the frequency of gap-wind events is correlated with El Niño. During gap-wind events, the spatial scales of high off-shore directed winds ($> 10 \text{ m s}^{-1}$) reach almost 200 km off-shore and 225 km along the shelf break, suggesting that the winds directly influence both the shelf (20 – 65 km wide) and the off-shore waters. A model experiment suggests that a gap-wind event can result in eddy formation and changes in circulation and water properties. Increased entrainment of water from below the mixed layer due to the gap-wind event implies that mixed-layer nitrate concentrations could increase on the order of 5 – 10 $\mu\text{mole/l}$, potentially enhancing primary production in the region. An accompanying paper discusses part II of our study (Ladd et al., this issue) focusing on gap-wind events in the western GOA around Kodiak Island.

1 Introduction

Winter storms in the North Pacific typically form east of Japan and cross the Pacific toward the Gulf of Alaska (GOA) (Rodionov et al., 2007; Rodionov et al., 2005; Wilson and Overland, 1986). The rugged, mountainous coastline of the GOA can interact with these synoptic-scale disturbances, resulting in strong coastal pressure gradients and gale force winds. In addition, the contrast between relatively warm offshore water and cold continental air over Alaska during winter results in strong hydrostatic pressure gradients along the coast (Macklin et al., 1988). The resulting coastal wind field can manifest as barrier jets, gap winds, downslope winds, and interactions between them (i.e. Loescher et al., 2006; Winstead et al., 2006; Young and Winstead, 2004).

25 Gap winds, defined here as offshore-directed flow channeled through mountain gaps, have been
26 examined in a number of observational and modeling studies, beginning with Reed's (1931) study of
27 strong winds blowing out of the Strait of Juan de Fuca (~54°N, 130°W). Since that time, gap winds have
28 been examined in many regions around the world. In the GOA, Synthetic Aperture Radar (SAR) data
29 have shown strong gap winds in Prince William Sound (Liu et al., 2008), flowing out of Iliamna Lake
30 northeast of Kodiak Island (Ladd et al., this issue; Liu et al., 2006), and flowing out of Cross Sound in
31 southeastern Alaska (Loescher et al., 2006; Winstead et al., 2006). Loescher et al. (2006) examined the
32 climatology of coastal barrier jets in the GOA from SAR images. They divided the wind jets into two
33 categories: classic barrier jets fed by onshore flow and jets fed by gap flow from the continental interior.
34 They found that most of these wind jets occurred during the cool season, with gap-wind jets commonly
35 found at the outflows of Cross Sound, Yakutat Bay, and Icy Bay in the eastern GOA (Fig. 1).

36 While gap winds and the conditions leading to them have been relatively well-described, their
37 influence on the coastal ocean has been examined in only a few regions. Perhaps the most complete
38 description of gap winds and their influence has been in the northeastern tropical Pacific. The gap winds
39 associated with three mountain gaps in Central America have been shown to influence regional ocean
40 circulation (Kessler, 2006), eddy formation (e.g. McCreary et al., 1989; Trasviña et al., 1995), and
41 chlorophyll-*a* distributions (McClain et al., 2002). Liang et al. (2009) used satellite data to quantify the
42 magnitude, duration and timing of anomalous ocean conditions associated with gap-wind events in this
43 region.

44 Due to programs like GLOBEC Northeast Pacific (Batchelder and Bond, 2009) and NOAA's
45 EcoFOCI (www.ecofoci.noaa.gov), the biology and physical oceanography of the western GOA (west of
46 ~145°W) has been relatively well-studied (Fig. 1). However, the eastern GOA is not as well sampled.
47 Circulation in the GOA includes the cyclonic subarctic gyre in the basin and the Alaska Coastal Current
48 on the continental shelf (Fig. 1). The eastern boundary current of the subarctic gyre is the broad and
49 variable Alaska Current. Eddies are regularly formed in the Alaska Current, with important consequences
50 for fluxes of physical and chemical properties and biota (e.g. Atwood et al., 2010; Janout et al., 2009;

51 Ladd et al., 2009; Ladd et al., 2007; Okkonen et al., 2003). At the head of the gulf, the gyre turns
52 southwestward to form the Alaskan Stream, a western boundary current, tightly confined to the shelf-
53 break. The Alaska Coastal Current, a baroclinic coastal current driven by winds and freshwater, has been
54 well described in the northern and western GOA (e.g. Kowalik et al., 1994; Royer, 1981; Schumacher and
55 Reed, 1986; Stabeno et al., 2004; Stabeno et al., this issue-a). It has been described as part of a system of
56 fresh water driven coastal flow extending from the Columbia River in Washington State, along the coast
57 of British Columbia and Alaska, all the way into the Bering Sea (Kowalik et al., 1994). However, the
58 properties and continuity of this coastal current in the *eastern* GOA have not been well studied
59 (Weingartner et al., 2009). South of Cross Sound, the shelf is narrow (~20 km wide). The shelf is
60 interrupted by Yakobi Sea Valley (> 200 m deep) at the exit of Cross Sound. North of the sea valley, the
61 shelf is much wider (>65 km). Recent data collected in the eastern GOA finally allow a description of the
62 coastal flow in this under-studied region, suggesting that the Alaska Coastal Current is not continuous
63 across the Yakobi Sea Valley (Stabeno et al., this issue-b). This eastern GOA region is important for
64 transportation, tourism, and fishing, and high winds can be treacherous to these industries. Thus it is
65 important to understand the frequency and magnitude of gap-wind events in this under-studied region,
66 and their impact on the regional oceanography.

67 Part I of our study focusses on gap winds flowing out of Cross Sound in the eastern GOA (Fig. 1).
68 The focus of Part II of this study (Ladd et al., this issue) is on gap-wind events in the western GOA
69 around Kodiak Island. In both studies, our strategy is to use a combination of observations (satellite data
70 and in situ measurements) and numerical experiments to both document characteristics of gap winds in
71 these regions and to illustrate their influences on the regional oceanography. We treat eastern and western
72 GOA separately because the geographic layout (narrow shelf in the east versus wide shelf in the west),
73 mean ocean circulation (eastern versus western boundary current systems), ecosystem response, and data
74 availability are distinct between these two regions. In Part II (Ladd et al., this issue), we provide a
75 summary comparing across the regions.

76 2 Methods

77 2.1 Satellite data

78 QuikSCAT wind data (downloaded from the NOAA CoastWatch Program
79 <http://las.pfeg.noaa.gov/oceanWatch/>) were used to quantify the seasonal and interannual frequency of
80 wind events. The SeaWinds instrument, on NASA's QuikSCAT satellite, is a dual-beam microwave
81 scatterometer designed to measure wind magnitude and direction over the global oceans at a reference
82 height of 10 m above the surface with daily coverage of 90% of the earth's oceans. The dataset provided
83 by the CoastWatch Program includes daily averaged winds with 0.25° horizontal resolution. CoastWatch
84 also provides calculations of wind stress curl. All computations, including derivatives, are performed on
85 the individual swath data, which are then mapped to an equal angle grid of 0.25° latitude by 0.25°
86 longitude using a simple arithmetic mean (http://coastwatch.pfeg.noaa.gov/infog/QN_ux10_las.html).
87 QuikSCAT was launched in 1999 and its mission ended in November 2009.

88 Rain can cause erroneous scatterometer measurements. To ensure complete masking of rain-affected
89 areas, the ultra-conservative Multidimensional Histogram rain-masking algorithm is applied to the wind
90 data (Huddleston and Stiles, 2000). To examine gap winds near Cross Sound, we focused on the region
91 138.25 – 137°W, 57.5 – 58°N (see Fig. 2 for location of region). We will call this region, the “Cross
92 Sound box”. We chose the location of the box > 25 km from the coastline because scatterometer data is
93 contaminated by land within ~25 km of the shoreline (Thompson et al., 2001). To evaluate the influence
94 of missing data due to rain, we examined the number of missing data points in the Cross Sound box.
95 Averaged over the entire time series (1999-2009), the number of missing data points within the box was
96 less than 2%. Data coverage is most limited in August and September when rain is most frequent.
97 However, even in those months, missing data averages less than 4% of the area of the box. QuikSCAT
98 wind speeds are accurate to better than 2 m s⁻¹ and wind direction to better than 20°, comparable to *in situ*
99 buoy measurements (Chelton et al., 2004; Freilich and Dunbar, 1999; Vogelzang et al., 2011).
100 Unfortunately, there are no *in situ* wind measurements directly in the path of the Cross Sound gap winds.

101 The closest National Data Buoy Center buoy is located on the shelf northwest of Cross Sound at
102 58.237°N, 137.986°W (Fig. 1). Only one year (2003) contained a complete annual cycle of data from the
103 buoy and we used that year for comparison with QuikSCAT winds interpolated to the buoy location (Fig.
104 3). Correlations between the buoy winds and QuikSCAT winds were significant at over 99.99%
105 confidence (zonal: $R = 0.88$; meridional $R = 0.82$). Complex correlation (Kundu, 1976) is independent of
106 the choice of coordinate system and allows estimation of a correlation angle. The complex correlation
107 was also highly significant ($R = 0.89$) with a correlation angle of -10.5° . The negative correlation angle
108 implies that QuikSCAT winds are rotated clockwise with respect to the buoy winds, but well within the
109 20° QuikSCAT accuracy found by other investigators (Chelton et al., 2004; Freilich and Dunbar, 1999;
110 Vogelzang et al., 2011).

111 A Synthetic Aperture Radar (SAR) image from the Alaska SAR Demonstration Project (Young and
112 Winstead, 2004) is shown for comparison with QuikSCAT wind data (Fig. 2). SAR images provide high
113 resolution snapshots of the near-surface wind speed at resolutions of ~ 100 m to 1 km. However, any
114 given location is imaged less frequently than the scatterometer resulting in limited temporal resolution.
115 The procedures used to generate SAR wind images are described by Monaldo (2000).

116 Sea surface height anomaly (SSHA) data were downloaded from AVISO (Archiving, Validation and
117 Interpretation of Satellite Oceanographic data; <http://www.aviso.altimetry.fr/en/home.html>). Trajectories
118 of mesoscale eddies have been derived from AVISO SSHA data by Chelton et. al (2011) using an
119 automated procedure to identify and track eddies with lifetimes > 16 weeks. This dataset was
120 downloaded from <http://cioss.coas.oregonstate.edu/eddies/>.

121 Science-quality chlorophyll-a concentration data at the ocean surface from MODIS on the Aqua
122 satellite were downloaded from <http://coastwatch.pfeg.noaa.gov>. NASA's Goddard Space Flight Center
123 receives the raw satellite data. Processing is accomplished using the SeaWiFS Data Analysis System
124 (SeaDAS) software (Fu et al., 1998; O'Reilly et al., 2000). The chlorophyll-a data are best used for feature
125 identification and tracking. The actual value of chlorophyll-a is somewhat controversial due to major

126 differences when compared with that of the SeaWiFS sensor on Orbview-2. Both can differ substantially
127 from high quality *in situ* measurements.

128 2.2 Model

129 The Regional Ocean Modeling System (ROMS) is used to examine the effects of gap-wind events on
130 the regional oceanography. A full description of ROMS can be found in (Haidvogel et al., 2008;
131 Haidvogel et al., 2000; Shchepetkin and McWilliams, 1998; Shchepetkin and McWilliams, 2005), and
132 references therein. The curvilinear horizontal coordinate of ROMS used in this study has a nominal
133 resolution of 3 km and covers the entire GOA. It has 42 generalized terrain-following vertical layers. A
134 multi-year integration of the 3-km GOA ROMS is described in Cheng et al. (2012).

135 For this study, we compare the results of a “control” experiment and a “gap-wind” experiment.
136 Because the forcing for the gap-wind experiment is based on an event observed in March 2002, both
137 experiments were run from 19 February to 6 May 2002. Both experiments were initialized from the same
138 spun-up state of ROMS from the multi-year integration (Cheng et al., 2012). The lateral boundary
139 conditions for tracer and velocity vector for both experiments are identical and taken from the Simple
140 Ocean Data Assimilation product (SODA, [http://iridl.ldeo.columbia.edu/SOURCES/.CARTON-](http://iridl.ldeo.columbia.edu/SOURCES/.CARTON-GIESE/.SODA/)
141 [GIESE/.SODA/](http://iridl.ldeo.columbia.edu/SOURCES/.CARTON-GIESE/.SODA/)) version 2, 5-day averages. Additionally, sea surface elevation and currents derived from
142 a tidal model (Foreman et al., 2000) are applied at the open boundaries in both experiments. These tidal
143 signals are allowed to propagate freely throughout the model domain. Four diurnal (O1, Q1, P1, and K1)
144 and four semidiurnal (N2, S2, K2, and M2) tidal constituents are used. In the control experiment, the
145 surface forcing is from the Common Ocean-ice Reference Experiments (CORE,
146 <http://data1.gfdl.noaa.gov/nomads/forms/core/COREv2.html>), and the forcing variables include daily
147 surface downward longwave and shortwave radiation fluxes, 6-hourly surface air pressure, specific
148 humidity, air temperature, surface wind vectors, and monthly surface precipitation. Surface sensible and
149 latent heat, and momentum fluxes are calculated from the prescribed atmospheric state and modeled sea
150 surface temperature (SST) using bulk aerodynamic formulae (Fairall et al., 1996). Upward longwave

151 radiation flux is also calculated as a function of SST: $LW^{up} = \epsilon\sigma T^4$ where $\sigma = 5.67 \times 10^{-8} \text{ W m}^{-2} \text{ K}^{-4}$ is the
152 Stefan-Boltzmann constant, and $\epsilon = 0.97$ is emissivity of the ocean surface. The horizontal resolution of
153 the CORE forcing is approximately two degrees ($\sim 100 \text{ km}$ in the GOA), and the forcing variables are
154 interpolated onto the ROMS grid during model integration. In addition to atmospheric forcing, both
155 experiments are driven by monthly freshwater runoff along the Alaskan coast with discharges from the
156 Copper, Alsek, Stikine, Taku, and Susitna Rivers (Royer and Grosch, 2006). The runoff is applied as
157 freshening on the topmost model layer. Total runoff along each segment of coastline is distributed with an
158 exponential taper from the coastal point offshore, with an e-folding scale of 30 km.

159 Surface forcing in the gap-wind experiment is identical to the control experiment except that an
160 idealized gap-wind event is imposed in the Cross Sound region (Fig. 4). The idealized gap-wind forcing is
161 based on an event observed in March 2002. The maximum QuikSCAT wind speed on 10 March 2002 in
162 the Cross Sound Box was $\sim 25 \text{ m s}^{-1}$. The idealized gap-wind anomaly field was created with maximum
163 wind speed (control run forcing plus gap-wind anomaly) of 25 m s^{-1} , directed from 73°T , and centered at
164 58°N , 137.35°W . The shape of the anomalous wind patch was created using a two-dimensional elliptical
165 Gaussian function with spatial scales of 1.5° longitude and 0.25° latitude. The anomaly begins on 1
166 March, peaks on 10 March, and ends on 19 March using a Gaussian function with decay scale of 3 days to
167 simulate the temporal evolution (Fig. 4c). Modeled daily average fields with the tidal signal removed
168 were analyzed.

169 2.3 Other data

170 Velocity data from three moorings are used to examine characteristics of the Alaska Coastal Current
171 (and for model comparison) north and south of Cross Sound. These moorings are fully described by
172 Stabeno et al. (this issue-b). Briefly, we use velocities at 25 m depth from SE13 (57.8°N , 136.7°W , south
173 of Cross Sound) and IP1 (58.3°N , 136.9°W , north of Cross Sound) and at 164 m depth from CS4 (58.1
174 $^\circ\text{N}$, 137.1°W , in Yakobi Sea Valley). Currents at SE13 and IP1 were measured with RCM-9 current

175 meters while those at CS4 were measured with an upward looking 75 kHz acoustic Doppler current
176 profiler.

177 The Multivariate El Niño Southern Oscillation (ENSO) Index (MEI; Wolter and Timlin, 2011) is used
178 to examine the relationship between ENSO and gap-wind events. This index is downloaded from
179 <http://www.esrl.noaa.gov/psd/enso/mei/>.

180 3 Results

181 3.1 Characteristics of gap-wind events

182 A wind rose showing the frequency and magnitude of daily average winds (calculated over the entire
183 QuikSCAT record: 21 July 1999 – 21 November 2009) in the Cross Sound box (Fig. 5) indicates that
184 winds are predominantly south-easterly ($108 - 144^\circ\text{T}$) in approximately the along-shore direction. The
185 large-scale coastline near Cross Sound is oriented with an approximate angle of $145/325^\circ$ from north. To
186 examine only those wind events directed offshore, we selected events with wind direction from between
187 55 and 85°T . Out of 3747 days of daily-averaged QuikSCAT data, 372 days ($\sim 10\%$) were in the offshore
188 direction (Table 1). Of those, 234 days (63%) recorded maximum daily average wind speed in the Cross
189 Sound box $> 10 \text{ m s}^{-1}$. We assume that the events with winds directed offshore and maximum wind
190 speeds $> 10 \text{ m s}^{-1}$ were gap winds and the focus of the remainder of the paper will be on those 234 events.
191 These events included 59 days with gale force winds ($17.5 - 24.7 \text{ m s}^{-1}$), 4 days with storm force winds
192 ($24.7 - 32.9 \text{ m s}^{-1}$) and one day with hurricane force winds ($> 32.9 \text{ m s}^{-1}$ on 15 March 2006). These 64
193 off-shore directed events accounted for 21% of all days with gale force or stronger winds ($> 17.5 \text{ m s}^{-1}$) in
194 the Cross Sound box. Note that these speeds come from daily average winds and therefore, higher wind
195 speeds likely occurred over shorter timescales.

196 A clear seasonal cycle is apparent in the frequency of offshore directed winds (Fig. 6a). Overall, the
197 offshore winds were much more common in the cold months with the highest incidence occurring in
198 March (averaging 5.6 days per month with off-shore winds). Offshore winds with daily speeds $< 10 \text{ m s}^{-1}$

199 were relatively evenly distributed throughout the year while stronger events primarily occurred during the
200 cool season and were almost non-existent during the warm season. Loescher et al. (2006) also noted that
201 wind jet formation originating from offshore directed gap flow is much more likely during the cool
202 season. They note that this seasonality is to be expected as the cool season favors a large contrast
203 between the cold continental interior and the relatively warm offshore waters.

204 Interannual variability in the number of gap-wind events is also apparent (Fig. 6b). The winter of
205 2006/2007 had the most events (42), with 14 of those exhibiting average daily wind speeds greater than
206 gale force. The time series of gap wind frequency is significantly correlated with the multivariate ENSO
207 index (MEI; Wolter and Timlin, 2011) implying that gap winds are more common during El Niño years.
208 Including all events with wind speeds $> 10 \text{ m s}^{-1}$ results in a correlation with the MEI of 0.64 ($p=0.05$)
209 while including only those events with daily average wind speeds greater than gale force results in a
210 correlation of 0.82 ($p=0.004$).

211 To examine the evolution of a typical event, composites were formed from the events with wind
212 speeds stronger than gale force and separated from each other by more than 7 days (39 events; Fig. 7).
213 These 39 events have an average duration of 3.6 days (Table 2). The maximum duration observed was 12
214 days for an event in February/March 2007. While the composite winds are strongest in the Cross Sound
215 region, a local wind speed maximum directed offshore is also observed just south of Yakutat Bay
216 suggesting that gap-wind events from Cross Sound and from Yakutat Bay tend to co-occur. The
217 composite offshore wind speed anomaly averaged over the Cross Sound box starts to build about 2 days
218 before the maximum of the composite (Fig. 8). The peak composite offshore wind speed averaged over
219 the region is 12.5 m s^{-1} (after removing the climatological seasonal cycle), more than double the standard
220 deviation. After day 0 of the composite event, winds in the region quickly begin to turn to become
221 southeasterly (Fig. 7). By 3 days post-event, the average wind direction is $\sim 110^\circ\text{T}$, which is within the
222 most commonly observed directional band (Fig. 5). The region over which composited wind speeds are
223 greater than 10 m s^{-1} extends almost 200 km offshore. The along-shore extent of the high wind region
224 extends almost 225 km along the shelf break (not including the local maximum near Yakutat). Note that

225 the compositing procedure tends to smear the region of high winds. SAR imagery illustrates that
226 gradients in the wind speed at the edges of these events can be very sharp (Fig. 2).

227 3.2 Effects on surface currents

228 Using model results we describe the model representation of the regional currents, and examine the
229 influence of a gap-wind event on the circulation. The mean surface flow (averaged over the 76 day
230 control model run) shows the broad, variable Alaska Current over the deep water ($> 20 \text{ cm s}^{-1}$) and the
231 Alaska Coastal Current on the continental shelf (Fig. 9). A small (diameter $\sim 40 \text{ km}$) anticyclonic eddy
232 centered at 57.25°N , 136.75°W separates the coastal current from the faster current over the deep water.
233 This eddy is smaller than the typical size of a Sitka Eddy (Tabata, 1982) and positioned $\sim 80 \text{ km}$ east of its
234 nominal location but may be the model representation of the early formation stages of a Sitka Eddy.

235 Southeast of Cross Sound, the northwestward flowing coastal current is continuous and relatively
236 stable with most of its variability in the along-shore direction. Velocities measured at 25m from a
237 mooring located at 57.8°N , 136.7°W (SE13, southeast of Cross Sound; Stabeno et al., this issue-b) during
238 21 March – 7 May 2011 had net velocity (\bar{u} , \bar{v}) of 9.3 cm s^{-1} with 88% of the variance on the principal
239 axis (330°). Similar directional stability (84% of the variance on a principal axis of 324°) was observed
240 for the same location and time period in 2013. While the model was run for a different year (2002), we
241 compare currents over the same time of year. Model velocities (from the control run) interpolated to the
242 mooring location during 21 March – 7 May show net velocity of 0.7 cm s^{-1} with 98% of the variance on
243 the principal axis (324°).

244 In the Yakobi Sea Valley directly under the Cross Sound gap winds, velocities measured at 164m
245 during 21 March – 7 May 2011 (CS4 mooring; 58.1°N , 137.1°W) had net velocity of 1.7 cm s^{-1} with 84%
246 of the variance on the principal axis (281° ; approximately aligned with the bathymetry of Yakobi Sea
247 Valley). Model velocities interpolated to 164m depth at the CS4 location during 21 March – 7 May show
248 net speed of 0.5 cm s^{-1} with 91% of the variance on the principal axis (218°).

249 As the coastal current crosses over Yakobi Sea Valley to the wider shelf northwest of Cross Sound, it
250 moves away from the coastline and becomes more variable (Fig. 9). Often, no clearly defined coastal
251 current is evident in the model simulation over this wider shelf. This is consistent with drifter data that
252 show no organized Alaska Coastal Current between Cross Sound and Yakutat Bay (Stabeno et al., this
253 issue-b). Velocities measured at 25m from a mooring located at 58.3°N, 136.9°W (IP1, north of Cross
254 Sound; Stabeno et al., this issue) during 21 March – 7 May 2011 had net velocity of 3.3 cm s⁻¹ with 59%
255 of the variance on the principal axis (332°T). Model velocities in this location and time of year show net
256 velocity of 3.6 cm s⁻¹ with 93% of the variance on the principal axis (311°T). The net direction of the
257 model current is southeastward (129°T) demonstrating that this location does not typically represent the
258 location of the northwestward coastal current.

259 A comparison of the control run and the gap-wind forced run illustrates the influence of the gap-wind
260 event on the regional oceanography. In the week after the peak in the wind speed, the average surface
261 current speed at SE13 (south of Cross Sound) in the gap-wind run is 24.7 ± 5.6 cm s⁻¹, significantly faster
262 than in the control run (13.5 ± 4.1 cm s⁻¹). The associated net current direction does not change
263 appreciably between the two runs (327°T in the gap-wind run; 320°T in the control run; approximately
264 oriented along-shore). Surface currents at IP1 (north of Cross Sound) are also significantly faster in the
265 gap-wind case in the week after the peak of the wind event (8.2 ± 2.5 cm s⁻¹ vs. 2.8 ± 2.4 cm s⁻¹). In this
266 location the net direction is also affected by the wind event. While the net direction in the absence of the
267 gap-wind event is approximately along-shore (317°T) during the week after the peak in the wind event, in
268 the gap-wind case, the net direction is 176°T (almost due southward). The southward current direction in
269 the gap-wind case is due to an anticyclonic circulation that sets up on the shelf north of the gap-wind axis
270 (Fig. 10).

271 3.3 Eddies associated with gap winds in observations

272 The formation of eddies along the eastern boundary of the GOA has been the subject of much recent
273 research (e.g. Combes and Di Lorenzo, 2007; Ladd et al., 2009). Anticyclonic Sitka eddies form south of

274 Cross Sound near 57°N, 138°W (Tabata, 1982) and Yakutat eddies form along the wider shelf north of
275 Cross Sound (Gower, 1989; Ladd et al., 2005). Mechanisms that may be important to the formation of
276 Sitka eddies include the reflection of atmospherically forced planetary waves (Willmott and Mysak,
277 1980), interaction between the Alaska Current and the underlying topography (Swaters and Mysak, 1985),
278 and/or abrupt reversals of the prevailing southerly winds (Thomson and Gower, 1998). Many studies have
279 suggested a link between the interannual variability of Sitka eddies and ENSO; downwelling coastal
280 Kelvin waves excited by ENSO (Melsom et al., 1999; Murray et al., 2001) as well as atmospheric
281 teleconnections with the tropics (Melsom et al., 2003; Okkonen et al., 2001) contribute to interannual
282 mesoscale variability in the eastern GOA. Gap winds have been found to be important in the formation of
283 Tehuantepec and Papagayo eddies off the west coast of Central America (e.g. Chang et al., 2012; Liang et
284 al., 2009; McCreary et al., 1989) and may also be important in forcing mesoscale variability in the eastern
285 GOA.

286 Wind stress curl is negative on the north side of the gap-wind axis and positive on the south side (Fig.
287 7). Thus, Ekman pumping results in an eddy dipole with an anticyclonic eddy north of the wind axis and
288 a cyclonic eddy south of the axis (Willett et al., 2006). The formation region of the anticyclonic Sitka
289 eddy is south of the Cross Sound gap-wind axis, suggesting that Cross Sound gap-wind events do not
290 contribute to the formation of the Sitka Eddy. However, gap winds from Chatham Strait farther south
291 may play a role in Sitka eddy formation while Cross Sound gap winds may result in formation of Yakutat
292 eddies.

293 Composites of SSHA (and SST) do not show any significant patterns related to the Cross Sound wind
294 events (not shown). This is not surprising given the high variability in the region, the interaction of
295 multiple closely-spaced gap-wind source regions (Chatham Strait, Cross Sound, Yakutat), and the
296 propagation of eddies into the region. However, a census of eddies (Chelton et al., 2011) suggests that
297 Cross Sound gap winds may be associated with the formation of eddies in the region. An annual time
298 series of the number of eddies formed in January – June of each year in the region 144°W – 134°W; 55°N

299 – 60°N derived from the Chelton et al. (2011) dataset is significantly correlated with the number of gap-
300 wind events each winter ($r = 0.71$, $p=0.02$).

301 A Sitka eddy and a Yakutat eddy were sampled *in situ* in May 2005 (Ladd et al., 2009). This Sitka
302 eddy was first observed in the altimetry data on 22 December 2004, approximately a week after a gap-
303 wind event was observed flowing from Chatham Strait. The Yakutat eddy was first observed in altimetry
304 data on 23 March 2005. Gap winds were observed flowing from Cross Sound on the 19th and 21st of
305 March 2005. Chlorophyll data from the MODIS satellite (Fig. 11) shows the influence of these two
306 eddies on phytoplankton distributions in the basin. A ribbon of high chlorophyll originating at the coast
307 just north of Chatham Strait appears to be wrapping around the Sitka eddy while high chlorophyll from
308 the region of Cross Sound appears to be wrapping around the Yakutat eddy. *In situ* observations showed
309 high silicate levels in low salinity surface waters at the center of the Yakutat eddy. These high silicate
310 concentrations may indicate riverine input to the core of the eddy (Ladd et al., 2009; Whitney et al., 2005)
311 and may have come from Cross Sound.

312 3.4 SSH evolution in the model

313 During spin-up of the gap-wind experiment, surface currents under the wind patch are directed
314 offshore, resulting in coastal upwelling and negative SSHA very near the coast. Sea surface height
315 increases to the north of the wind patch axis and decreases to the south (Fig. 10a) as expected from
316 Ekman convergence/divergence. By 10 March (during peak winds), maximum SSHA absolute value
317 reaches 2 cm. By 16 March (6 days after peak winds), the original SSH anomalies have moved westward
318 off the shelf and maximum SSH anomaly is > 7 cm. Ekman dynamics are still active resulting in
319 continued build-up of positive (negative) SSHA on the shelf to the north (south) of the wind axis (Fig.
320 10c). By 30 March, 10 days after the end of the gap-wind event, SSHA build-up on the shelf has
321 dissipated, but the SSH anomalies in the ocean basin have persisted and moved westward. The positive
322 anomaly has strengthened to more than 10 cm while the negative anomaly has weakened to < 4 cm.

323 Throughout the wind event, the SSHA is stronger to the north of the wind event axis (positive SSHA;
324 anticyclonic) than to the south (negative SSHA; cyclonic). Asymmetry in the strength of cyclonic vs.
325 anticyclonic eddies generated by gap winds in the Gulf of Tehuantepec is due to interaction between the
326 Ekman dynamics and entrainment caused by enhanced wind speed (McCreary et al., 1989; Trasviña et al.,
327 1995) and similar processes are acting here. Deeper mixing under the wind jet deepens the pycnocline,
328 enhancing anticyclonic rotation but counteracting cyclonic rotation.

329 3.5 Modeled effects on surface salinity

330 While surface salinity anomalies associated with the gap-wind forcing are spatially heterogeneous,
331 some large scale patterns are apparent (Fig. 12). Patches of positive and negative anomalies on the shelf
332 northwest of Cross Sound are associated with the previously mentioned anticyclonic circulation there:
333 positive (negative) salinity anomalies tend to co-locate with the onshore (offshore) circulation anomalies.
334 This result suggests a role of advection in causing such anomalies. Moreover, positive salinity anomalies
335 over Yakobi Sea Valley are due to a combination of entrainment of saltier water from below and
336 enhanced evaporation due to the higher wind speeds. Salinity on a transect oriented across the shelf just
337 southeast of Yakobi Sea Valley shows evidence of upwelling due to the gap-wind event. Isohalines near
338 the coast are raised higher in the water column in the days after the peak of the gap-wind event. Over the
339 course of the event, maximum local surface salinity anomalies on the shelf can reach as high as 0.58.
340 After the gap-wind event, mixed layer salinity on the shelf tends to recover toward mean values exhibited
341 by the control model run.

342 4 Summary and discussion

343 Gap-wind events flowing from Cross Sound in the eastern GOA were examined using QuikSCAT
344 wind data. The average duration of an event is 3.6 days with the longest event recorded in the QuikSCAT
345 dataset being 12 days. Daily offshore directed winds with speeds $> 10 \text{ m s}^{-1}$ are more common during the
346 winter months (October – March) averaging 20.0 days per year (11.0% of winter days), and less common

347 during the summer (April – September) averaging 2.8 days per year (1.5%). Interannual variability in the
348 frequency of gap-wind events is correlated with ENSO. However, the correlation is based on a time
349 series of only 10 years and further study is warranted.

350 The relationship between ENSO and gap wind frequency could be due to either oceanic or
351 atmospheric processes. El Niño results in warmer surface ocean temperatures in the GOA, resulting in
352 larger contrast between oceanic and continental air masses during the winter. In addition, through
353 atmospheric teleconnections, El Niño influences the sea level pressure field resulting in stronger coastal
354 pressure gradients along the eastern GOA (e.g. Schwing et al., 2002). Both of these influences could
355 result in the higher incidence of gap-wind events observed during El Niño years. Interestingly, gap wind
356 frequency in the western GOA (near Kodiak Island) are not significantly correlated with ENSO (Ladd et
357 al., this issue). This is not unexpected as the influence of ENSO on the GOA is weaker farther north and
358 west.

359 A composite of 39 gap-wind events in the Cross Sound region illustrates the spatial scales of these
360 events with high off-shore directed winds ($> 10 \text{ m s}^{-1}$) reaching almost 200 km off-shore and 225 km
361 along the shelf break. Because the shelf in this region is narrow (20 – 65 km), this suggests that the gap
362 winds directly influence both the shelf and the off-shelf waters.

363 An ocean circulation model experiment, forced with an idealized gap-wind event, illustrates the
364 effects on the regional ocean. It is important to note that real gap-wind events are highly variable both
365 temporally and spatially. Gap winds are often highly sheared at their edges. In addition, events are not
366 typically stand-alone events. They often build, weaken, and build again over a short period of time. Our
367 idealized model experiment was not designed to reproduce the variety of gap-wind events observed.
368 Rather, we use it to isolate the first-order effects of a generalized gap-wind event on the regional
369 oceanography.

370 One important finding of the model experiment is that gap winds are likely important to eddy
371 formation in the region. This is suggested by a correlation between the number of gap-wind events and
372 the number of eddies formed each winter from the Chelton et al. (2011) dataset. The model experiment

373 confirms that a gap-wind event can result in eddy formation. An association between El Niño and eddy
374 formation in the eastern GOA has been attributed to both oceanic Kelvin waves propagating from the
375 tropics (Melsom et al., 1999; Murray et al., 2001) and atmospheric teleconnections (Hermann et al.,
376 2009a; Melsom et al., 2003; Okkonen et al., 2001) via the basin scale wind stress curl field. Gap-wind
377 events are more common during El Niño years suggesting that small scale wind events may also play a
378 role in the link between ENSO and eddy formation. Models using lower resolution wind forcing have
379 been shown to form eddies in this region (e.g. Hermann et al., 2009a; Xiu et al., 2012). However, our
380 results suggest that eddy formation in the eastern GOA may be underestimated by models unless model
381 forcing includes high resolution winds that properly account for gap-wind forcing.

382 Due to influences on circulation, mixing and surface fluxes, in addition to eddy formation, gap winds
383 may influence ecosystem parameters such as larval distribution and transport (Atwood et al., 2010) and
384 nutrient fluxes. The model experiment demonstrates that entrainment of higher salinity water from below
385 the mixed layer is an important result of the gap-wind event on the mixed layer salinity budget. Using
386 salinity/nitrate relationships for the eastern GOA (Ladd et al., 2009), a surface salinity increase of ~0.5
387 implies that mixed layer nitrate concentrations could increase on the order of 5 – 10 $\mu\text{mole/l}$, potentially
388 enhancing primary production in the region. This is consistent with a modeling experiment in the
389 northern GOA that found that local wind stress curl is important to upwelling of nitrate on the coastal
390 GOA shelf (Hermann et al., 2009c). We examined satellite chlorophyll data for evidence of gap wind
391 influence. Unfortunately, satellite chlorophyll data in the region is sparse, particularly during the cold
392 season due to low light and frequent extensive cloudiness, and we were not able to confirm effects of gap-
393 wind events on surface chlorophyll concentrations.

394 Gap-wind events may also result in transport of iron, an important micronutrient, to the surface ocean
395 via dust plumes. Using satellite and meteorological data, Crusius et al. (2011) described dust transport
396 from coastal Alaska into the GOA. They suggested that wind events occurring in autumn, when coastal
397 river levels are low and riverbed sediments are exposed, can transport significant soluble iron to the
398 surface ocean. While our study did not examine the effects of atmospheric dust transport, an influx of

399 iron combined with mixing of nitrate from below could provide highly favorable conditions for primary
400 production.

401 While our model did not represent the flux of water from Cross Sound, gap winds would likely result
402 in higher flushing of Cross Sound than occurs during periods with calm winds. This enhanced flushing
403 could have important implications for the ecosystems within the sound. The Alaska Coastal Current does
404 not appear to be continuous across the mouth of Cross Sound (Stabeno et al., this issue-b). Our results
405 suggest that the continuity of the Alaska Coastal Current may be variable, with gap-wind events acting to
406 flush Cross Sound, enhance cross-shelf transport, and interrupt the coastal current.

407 We have shown that one idealized event can spin up eddies and result in changes in circulation and
408 water properties in the regional ocean. In the real world, gap-wind events often occur as a series of events
409 which likely increase the magnitude and duration of the effects on the ocean. The eastern GOA is
410 important for transportation, tourism, and fishing, and high winds can be treacherous to these industries.
411 The influence of these wind events underscores the importance of monitoring winds at high spatial and
412 temporal resolution, particularly near orography.

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430 **6 Figure Captions**

431 Figure 1. Schematic of Gulf of Alaska circulation. Locations of moorings (IP1, CS4, and CS13) and
432 NDBC buoy used for QuikSCAT comparison are noted.

433 Figure 2. Example of gap-wind event 27 January 2008 from SAR image (left) and QuikSCAT data
434 (right). Wind speed (color; m s^{-1}) is overlaid with vectors illustrating wind direction. Region used for
435 defining Cross Sound gap-wind events is shown in right panel (white rectangle).

436 Figure 3. Comparison between QuikSCAT winds (red) and winds observed by NDBC station 46083
437 Fairweather Ground (black) in 2003.

438 Figure 4. Wind forcing on 10 March 2002 for (a) Control run, and (b) Gap-wind run. Wind speed
439 (color; m s^{-1}) is overlaid with wind vectors. White circles denote locations of moorings (IP1, CS4, and
440 CS13) and NDBC buoy (see Fig. 1 for labels). (c) Time amplitude of gap-wind forcing.

441 Figure 5. Wind rose diagram of daily averaged QuikSCAT wind data in the region $138.25 - 137^\circ\text{W}$,
442 $57.5 - 58^\circ\text{N}$ illustrating frequency of occurrence in 10 directional and 4 wind speed bins. The plot was
443 created from vector averaged wind direction and maximum wind speed. Wind direction is defined by
444 meteorological convention as the direction from which the winds are blowing.

445 Figure 6. (a) Monthly histogram of all daily averaged winds averaged over 138.25 – 137°W, 57.5 –
446 58°N blowing from between 55 and 85°T. (b) Annual histogram of all daily averaged winds with a
447 maximum wind speed in the region of gale force or stronger and blowing from between 55 and 85°T.

448 Figure 7. Left: Composite of winds derived from 40 gap-wind events separated from each other by
449 more than 7 days and with regional, daily averaged wind speeds greater than gale force ($> 17.5 \text{ m s}^{-1}$).
450 Five days are plotted, beginning 2 days before the day of maximum wind speed (Day 0) and ending 2
451 days after. Wind vectors are overlaid on wind speed (color; m s^{-1}). Right: Windstress curl (N m^{-3})
452 derived from composite winds on Day 0.

453 Figure 8. Composite (a) wind speed and (b) wind direction averaged over 138.25 – 137°W, 57.5 –
454 58°N.

455 Figure 9. Surface currents averaged over the control model run. Location of 200 m isobath is
456 overlaid (black contour). Locations of three moorings discussed in text are denoted by black circles.

457 Figure 10. Evolution of sea surface height anomaly (cm) from the model (Gap-wind run – Control
458 run). Plot for 10 March uses a different color bar (top) from the remainder of the plots.

459 Figure 11. Log MODIS chlorophyll data (8-day composite centered on 6 May 2005) in mg
460 m^{-3} . Contours of 15 cm SSHA from altimetry are overlaid. Schematic arrows (gray) show direction of
461 chlorophyll advection around eddies.

462 Figure 12. Salinity anomalies (Gap-wind run – Control run) on March 12. Surface current vectors for
463 the gap-wind run are overlaid.

464

465 **7 Tables**

466 Table 1. Wind events with average wind direction between 55 and 85°T in the region 138.25 –
 467 137°W, 57.5 – 58°N.

Wind speed (m s ⁻¹)	Number of events	Percentage of total offshore directed events
< 10	138	37
10 – 17.5	170	46
17.5 – 24.7 (gale)	59	16
24.7 – 32.9 (storm)	4	1
> 32.9 (hurricane)	1	0
Total	372	10 (of total days in record)

468

469 Table 2. Duration of 39 events used to form composite (Fig. 7).

Duration	Number of events
≥ 10 days	2
5 – 9 days	10
2 – 4 days	13
1 day	14

470

471 **8 References**

472

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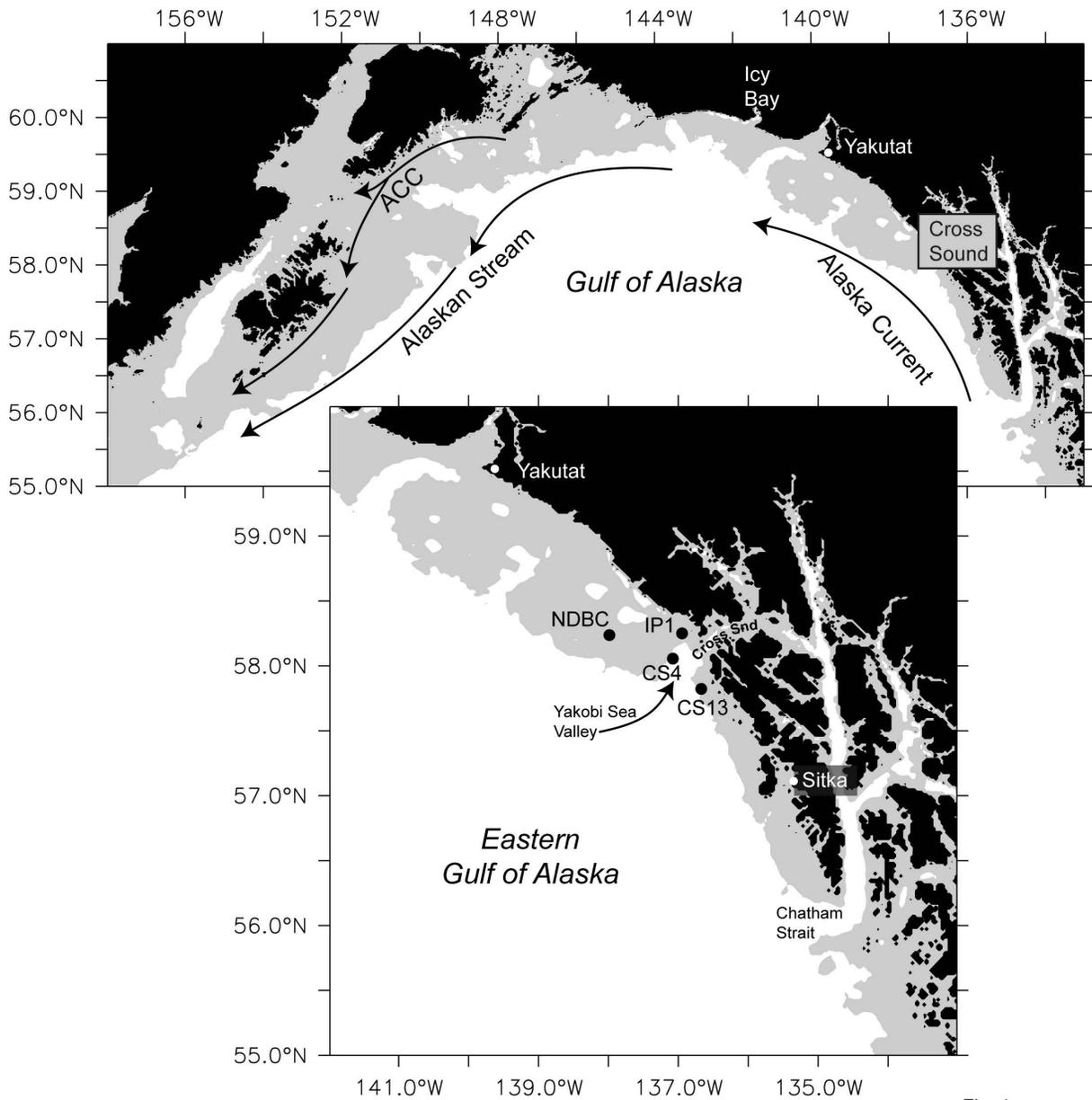


Fig. 1

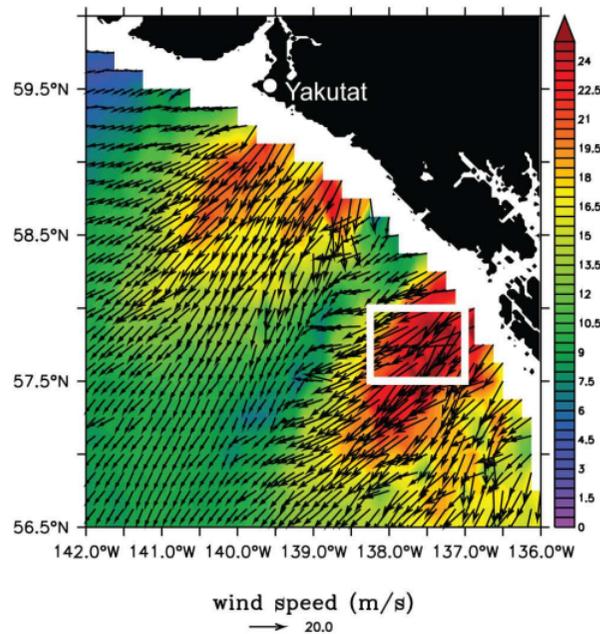
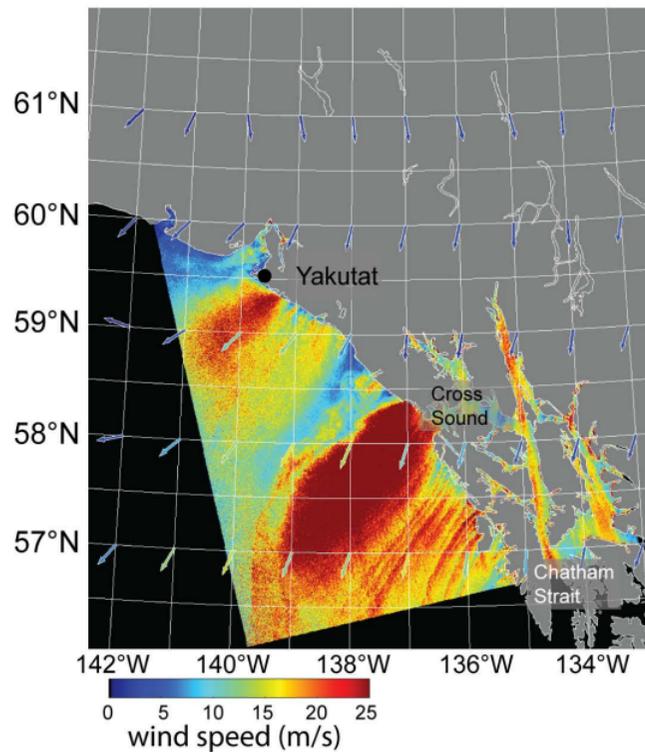


Fig. 2

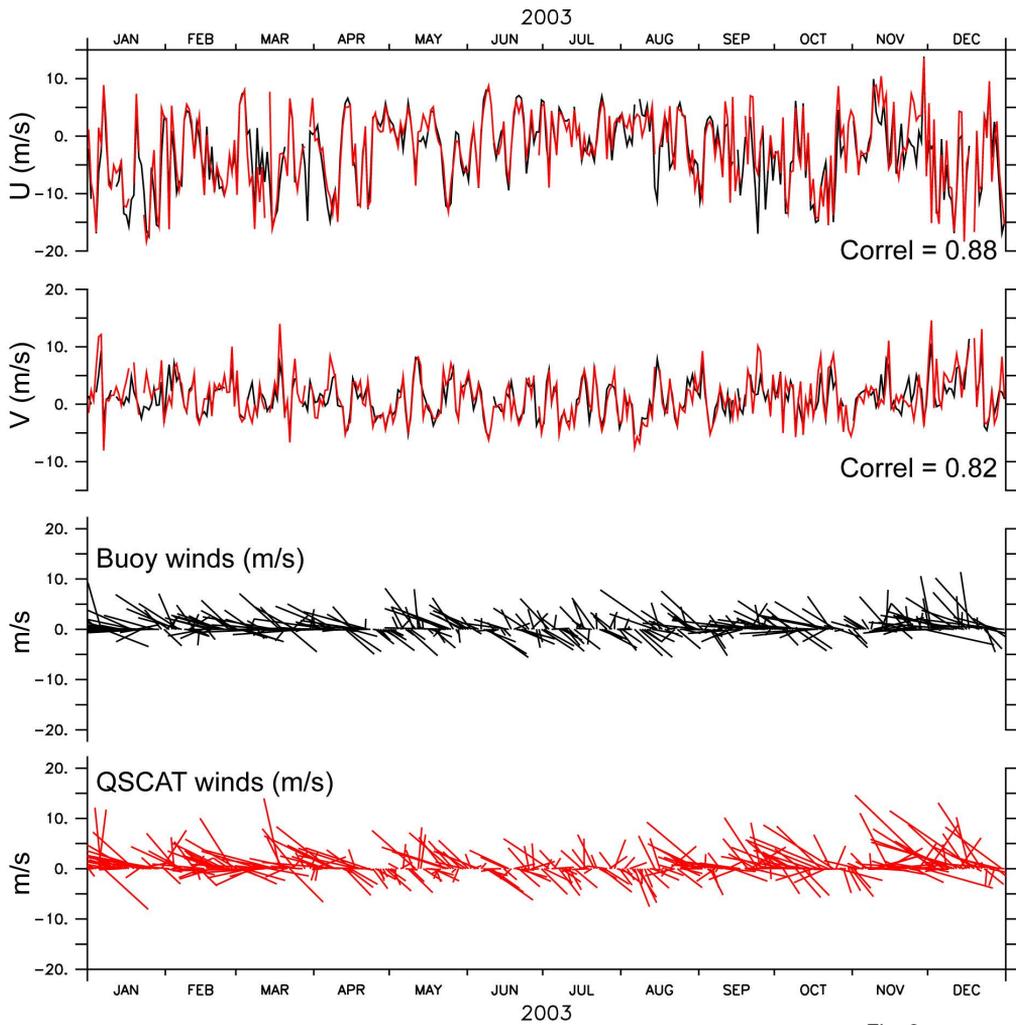


Fig. 3

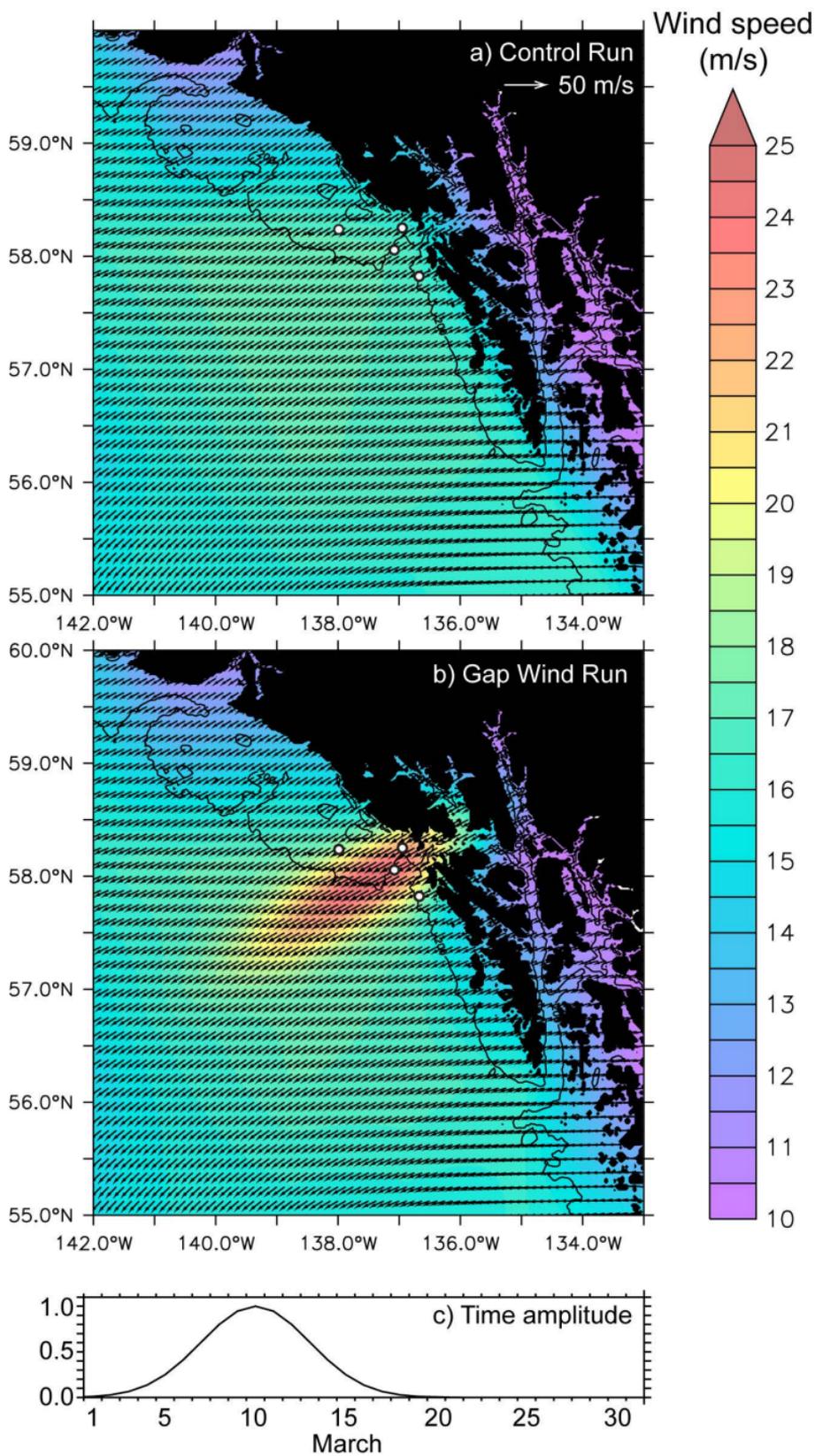


Fig. 4

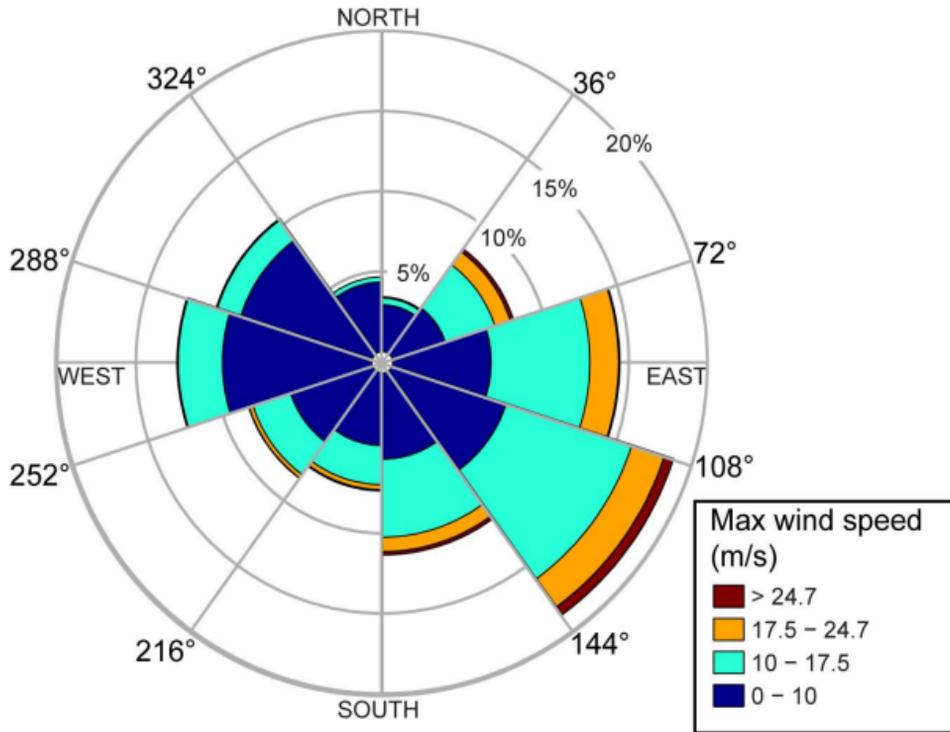


Fig. 5

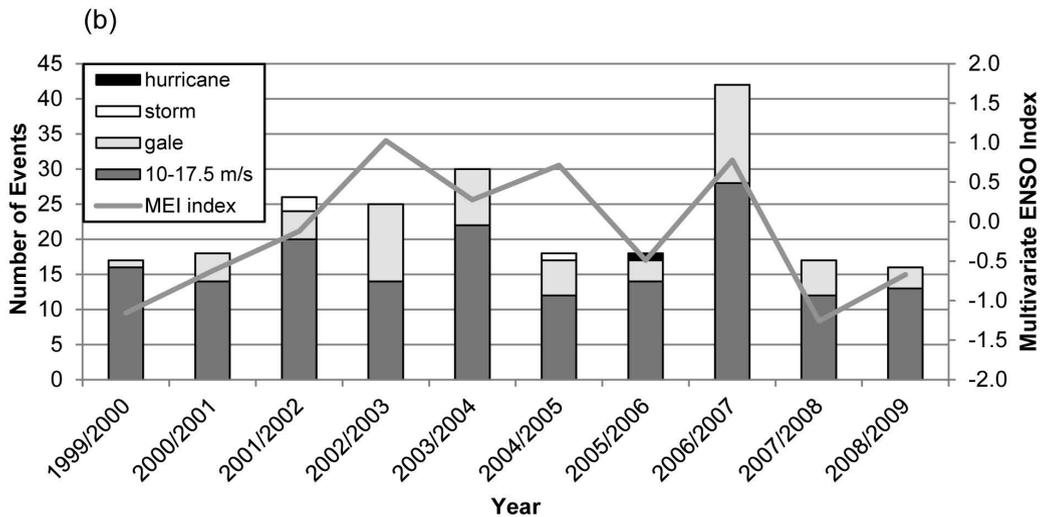
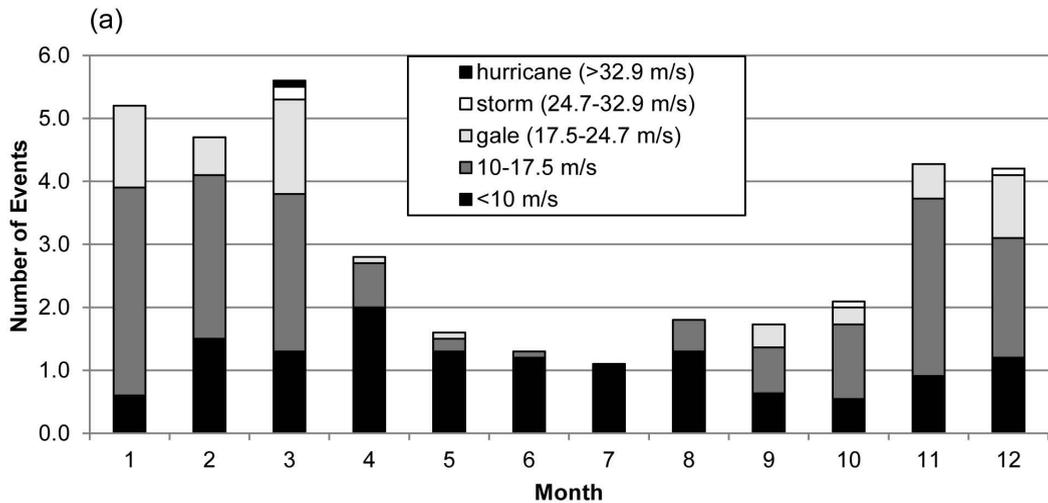


Fig. 6

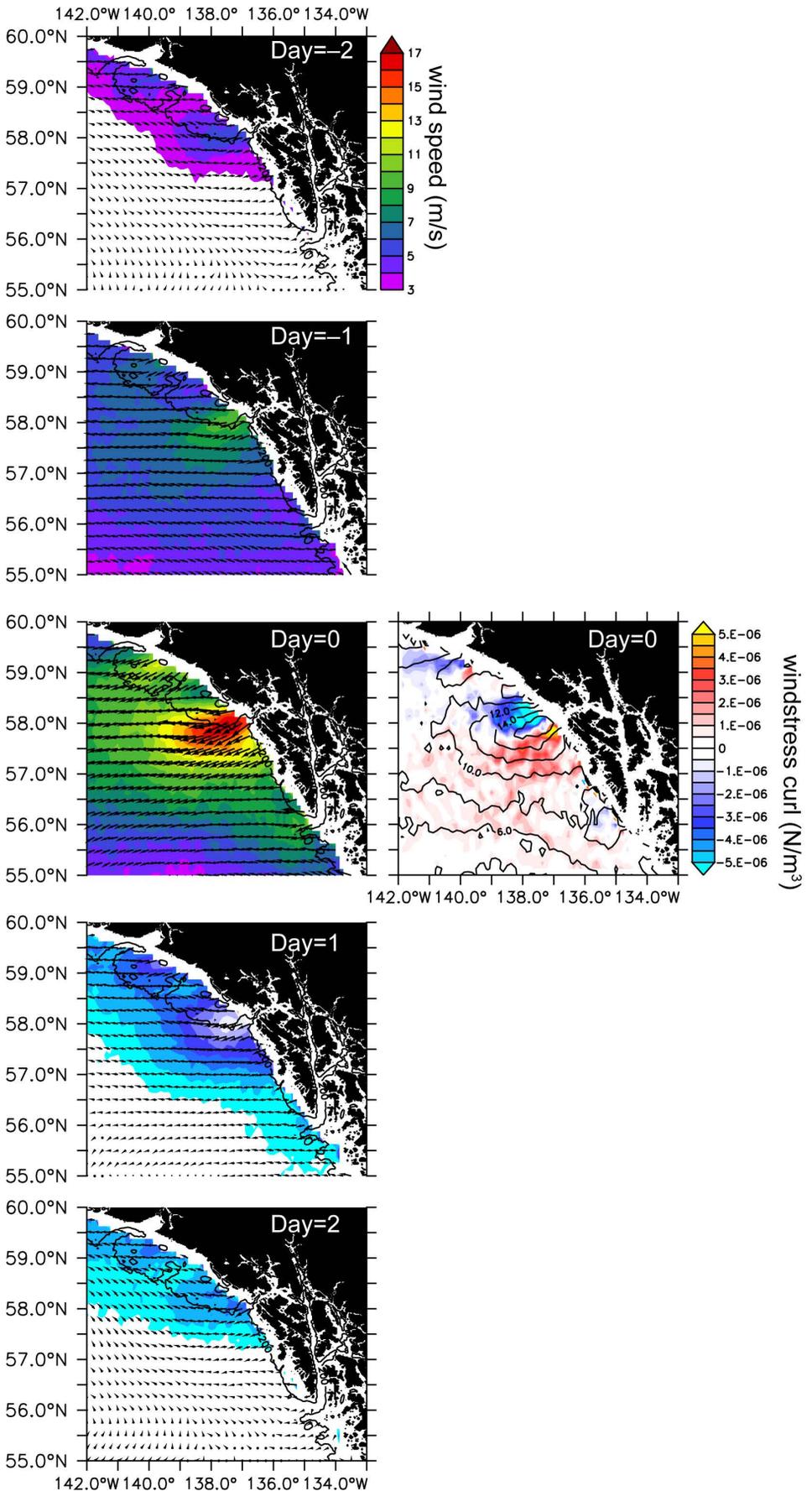
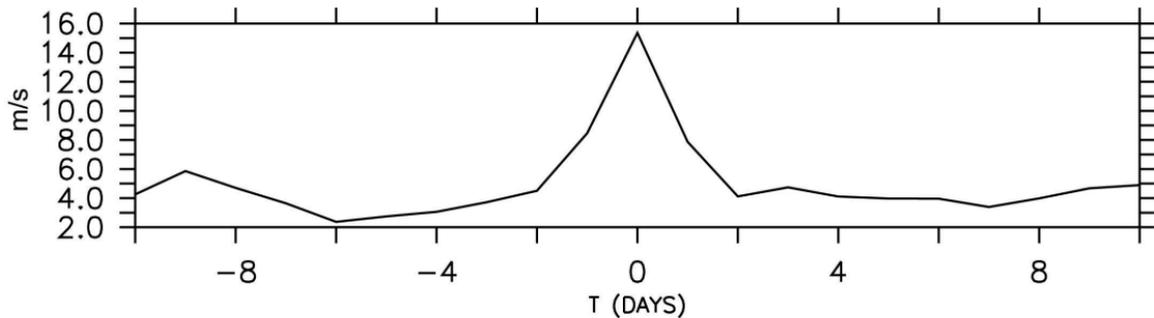
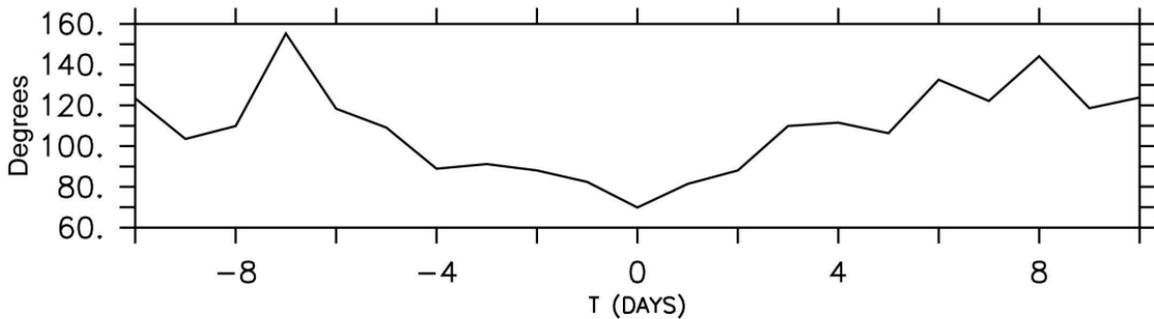


Fig. 7



Composite wind speed (m/s)



Composite wind direction (deg)

Fig. 8

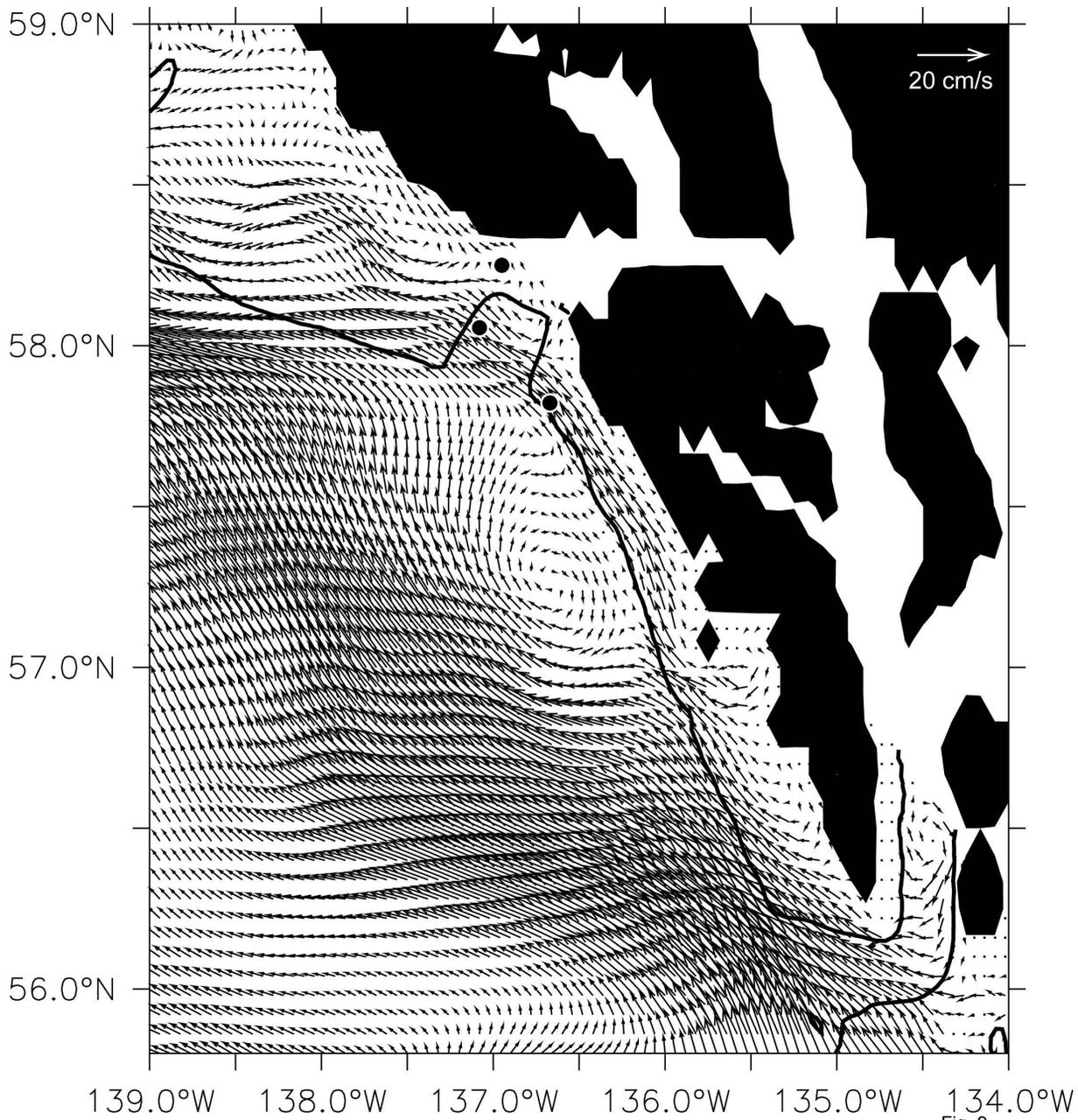


Fig. 9

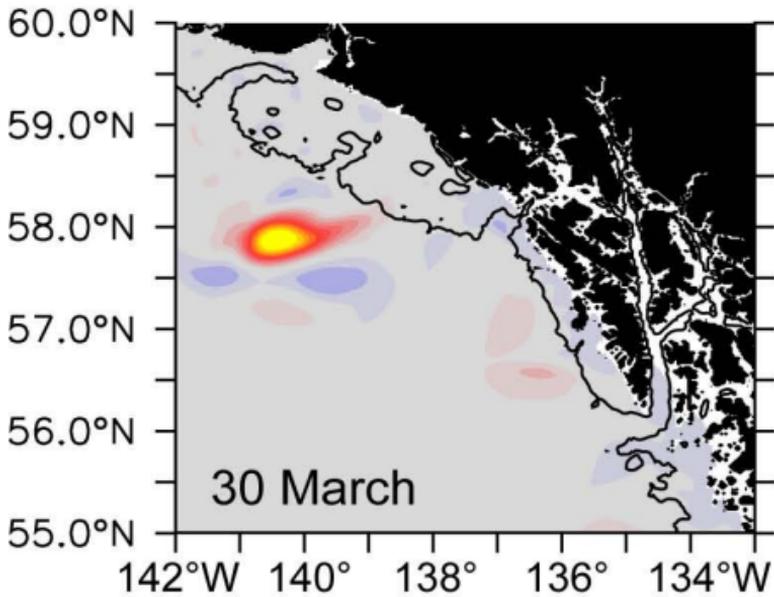
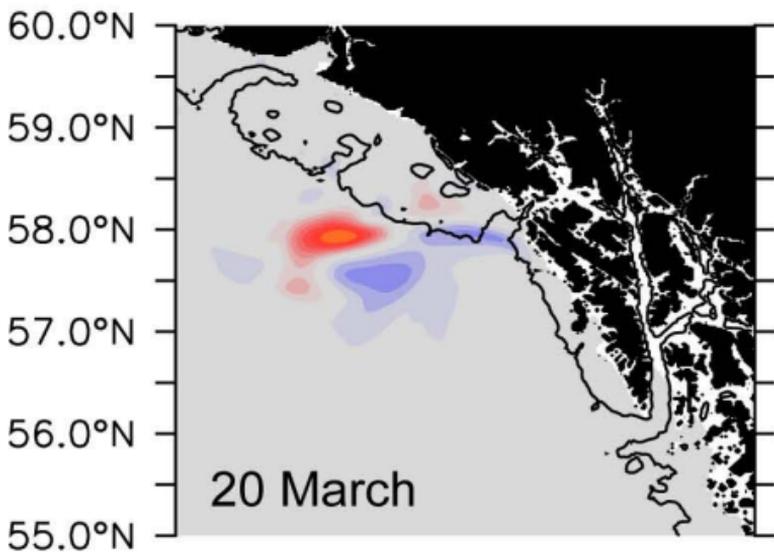
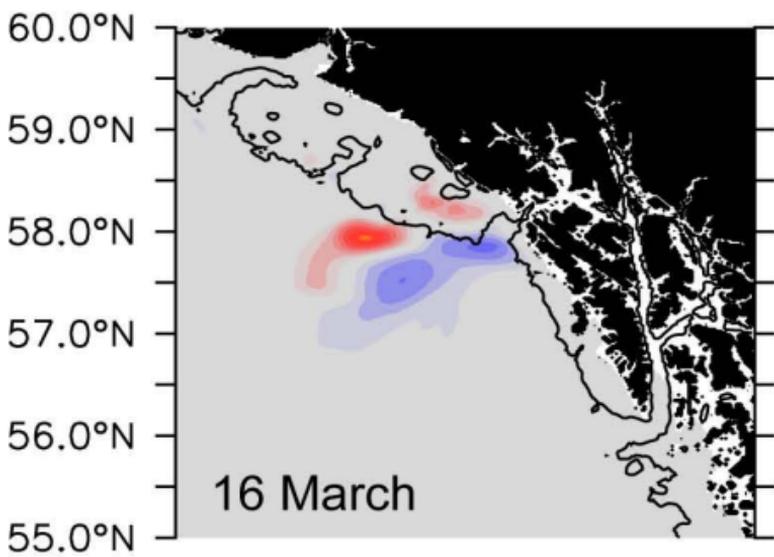
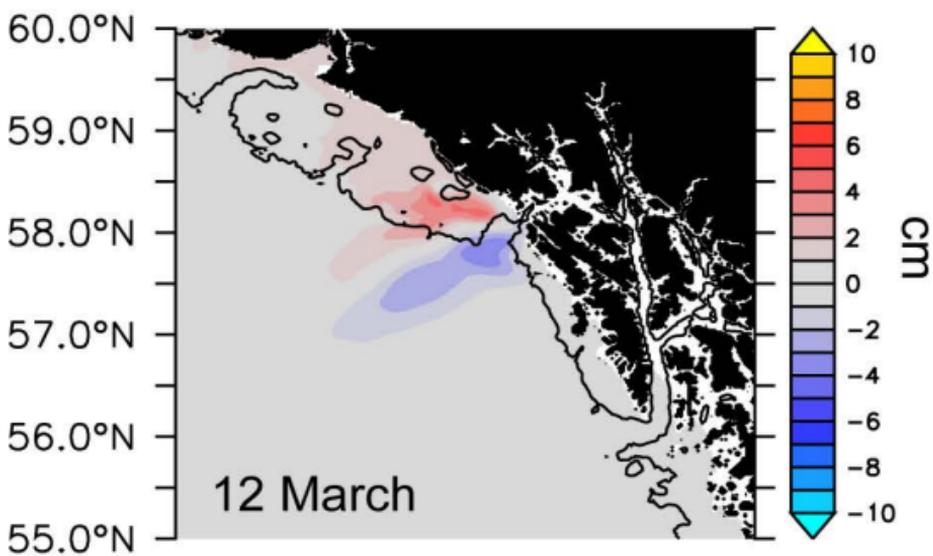
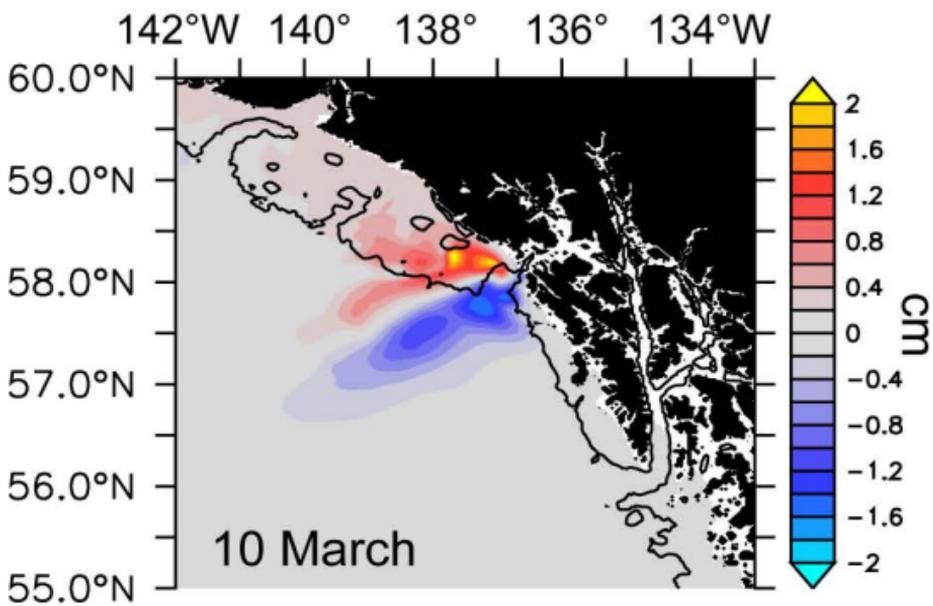


Fig. 10

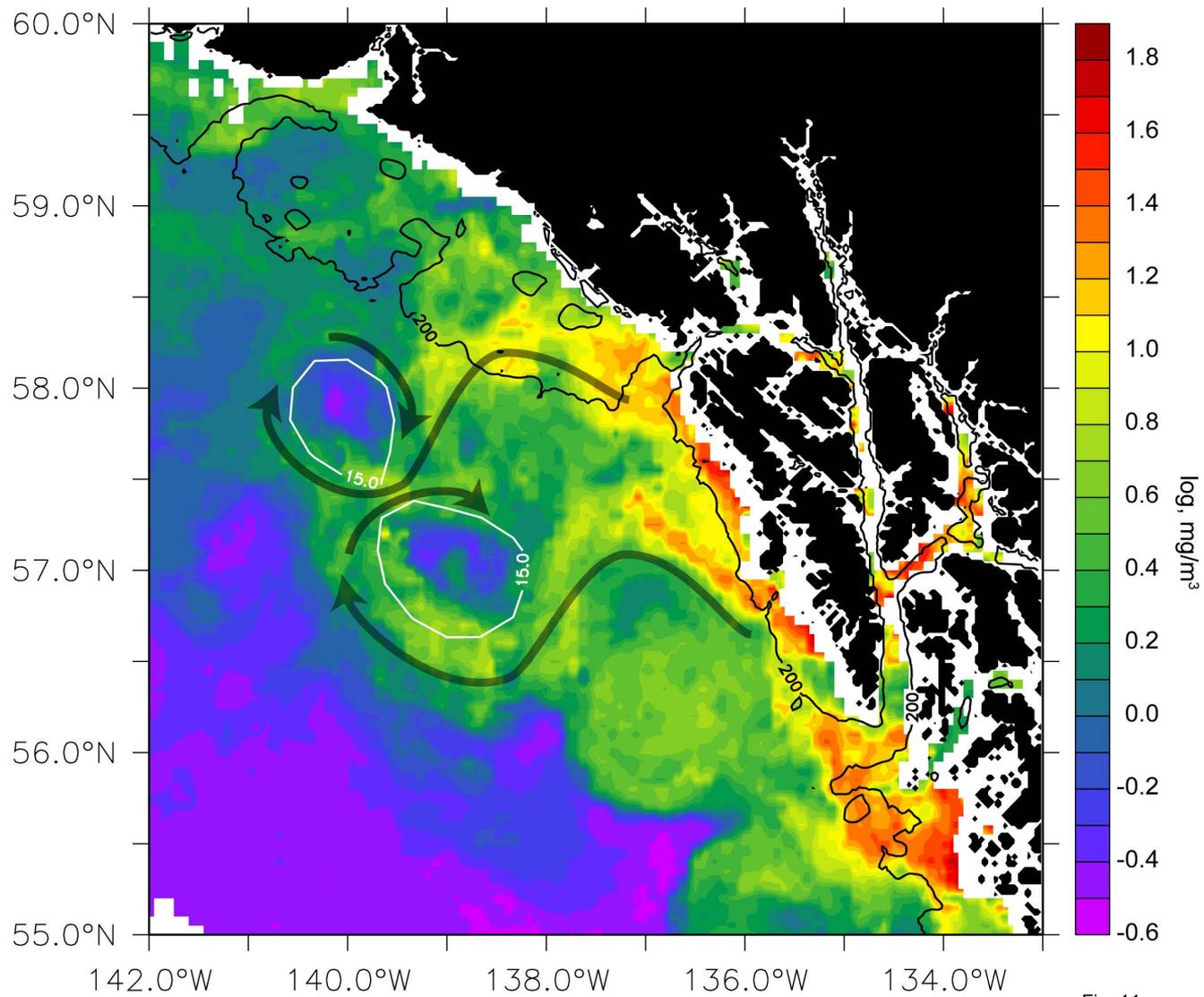


Fig. 11

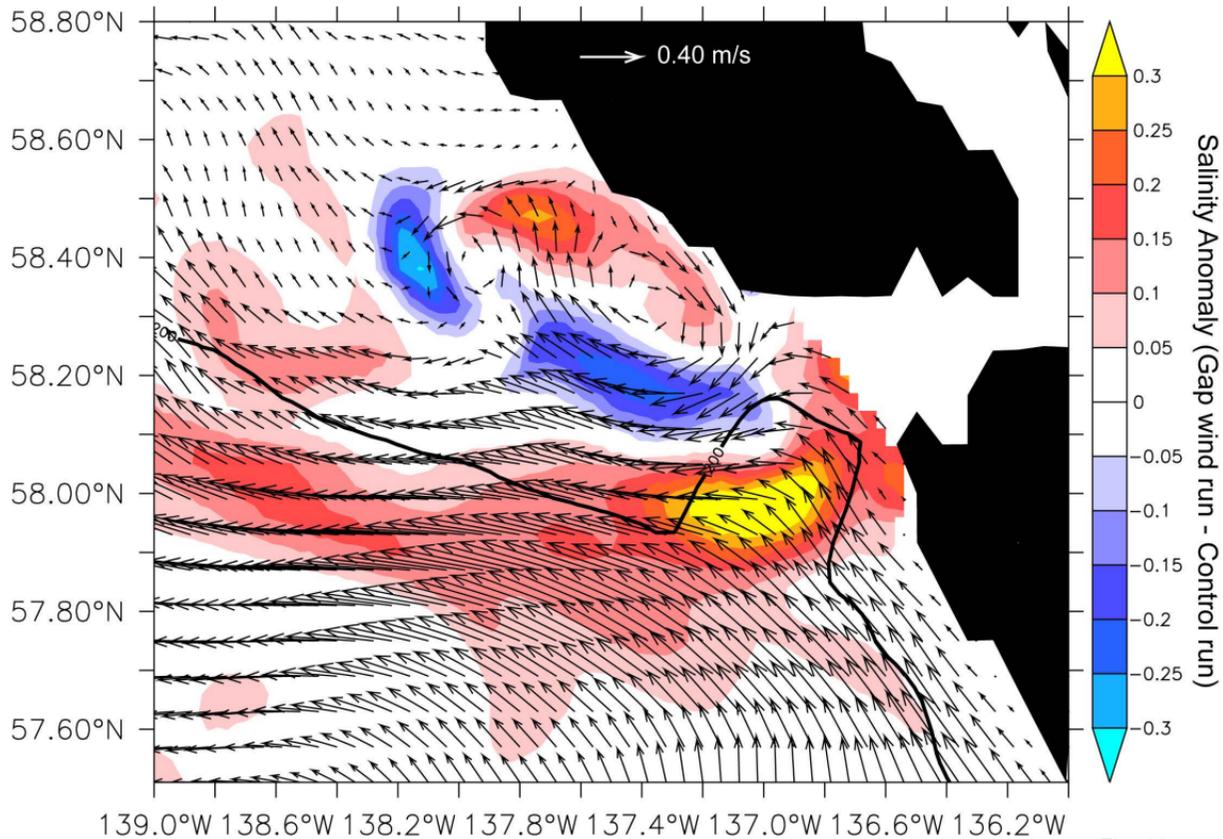


Fig. 12